

# Bound on chaos and Acoustic Hawking radiation in free fermi fluid

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Reference :arXiv:1801.00967

+ Work in progress

# Introduction:

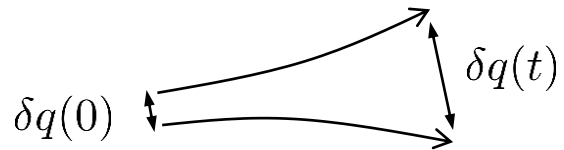
bound on chaos

Maldacena-Shenker-Stanford (2015)

$$\lambda_L \leq \frac{2\pi T}{\hbar}$$

$\lambda_L$  : Lyapunov exponent

$T$  : Temperature



$$\delta q(t) \sim \delta q(0) \exp(\lambda_L t)$$

Examples of the saturation of the bound  $\lambda_L = \frac{2\pi T}{\hbar}$

- AdS/CFT (dual black holes)
- SYK model

→ Most chaotic systems? Fast scramblers?  
Signature of **Quantum Gravity**?

# Introduction:

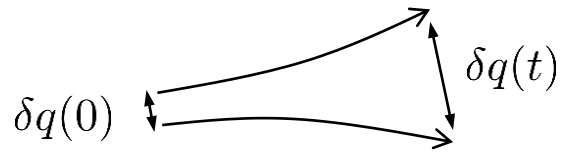
bound on chaos

Maldacena-Shenker-Stanford (2015)

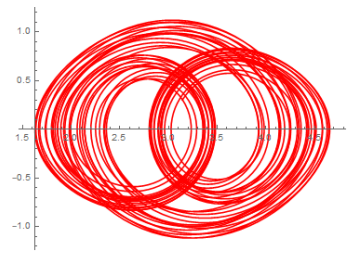
$$\lambda_L \leq \frac{2\pi T}{\hbar}$$

$\lambda_L$  : Lyapunov exponent

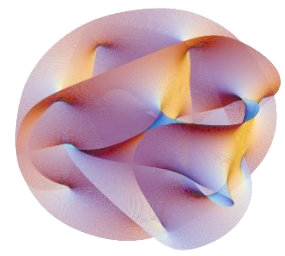
$T$  : Temperature



$$\delta q(t) \sim \delta q(0) \exp(\lambda_L t)$$



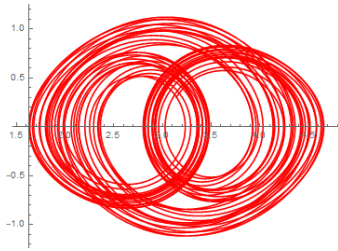
Chaos




Quantum Gravity

(Image courtesy of Wikipedia.)

# Introduction:



$$\lambda_L \leq \frac{2\pi T}{\hbar}$$


$$T \geq \frac{\hbar}{2\pi} \lambda_L$$

“Temperature is bounded from below in chaotic systems.”

Kurchan 2016

TM 2018

Today’s talk:

This relation may be interesting in **semi-classical regime**.

## Temperature bound in chaotic system

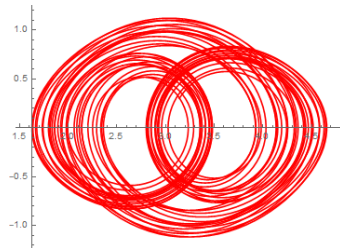
A classical chaotic system with  $\lambda_L$  at  $T=0$ .  
(Hamiltonian system)

↓ Turn on **quantum correction**.  
(**semi-classical**)

$$\lambda_L \leq \frac{2\pi T}{\hbar}, \quad T \geq \frac{\hbar}{2\pi} \lambda_L$$

Two possibilities:

- $\lambda_L \rightarrow 0$
- $T \neq 0$

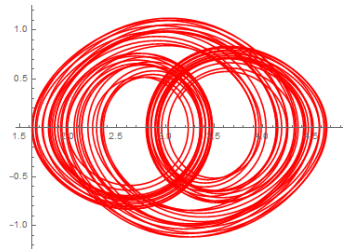


## Temperature bound in chaotic system

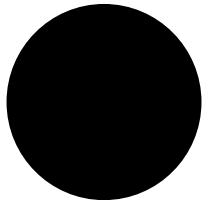
A classical chaotic system with  $\lambda_L$  at  $T=0$ .  
(Hamiltonian system)

↓ Turn on **quantum correction**.  
(**semi-classical**)

$$T \geq T_L := \frac{\hbar}{2\pi} \lambda_L$$



cf. Black hole



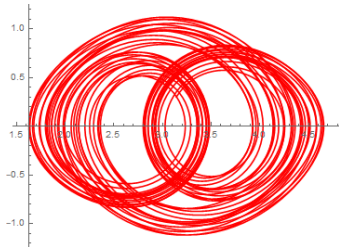
Quantum mechanically thermal

$$T_H = \frac{\hbar}{8\pi GM}$$

Classical chaos becomes thermal quantum mechanically similar to BH?

## Today's topic

- **Emergence of thermal behavior in some chaotic systems** may indeed occur semi-classically.



$$T \geq T_L := \frac{\hbar}{2\pi} \lambda_L$$

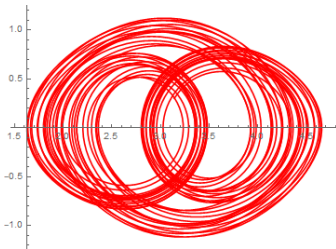
- The bound is saturated even in integrable systems.

$$\lambda_L = \frac{2\pi T}{\hbar}$$

- **Acoustic Hawking radiation** in supersonic fermi fluid is related to this emergent thermodynamics.

## Section 1

### Emergence of thermal behavior in semi-classical chaotic systems



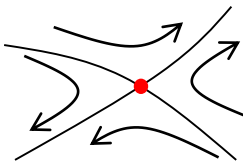
$$T \geq T_L := \frac{\hbar}{2\pi} \lambda_L$$



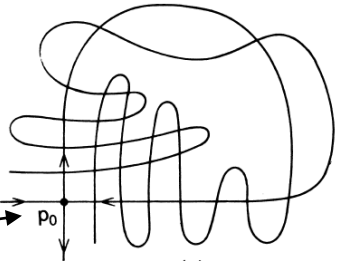
# Classical chaos and hyperbolic fixed point

Typical two ingredients of chaotic motion

- Hyperbolic fixed point
- Disturbance

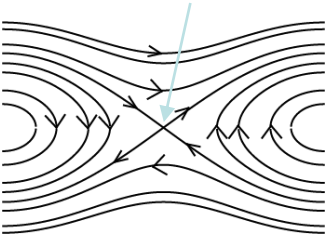
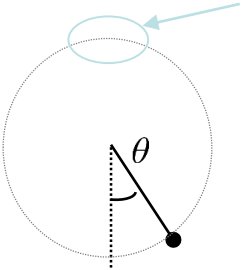


particle trajectory

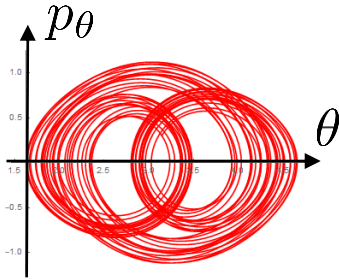


Wiggins's textbook

ex) damped driven pendulum motion



driven force  
damping



particle trajectories in  $(p,q)$  phase space  
(without damping and driven force)

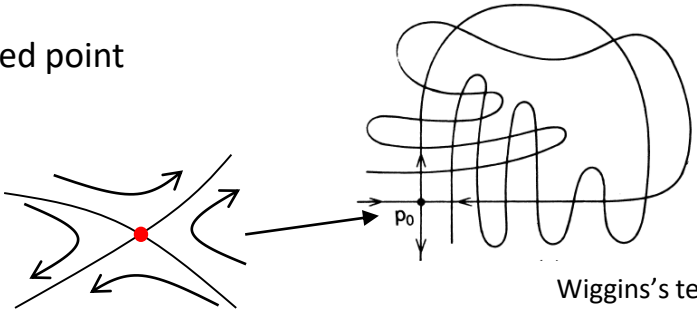
chaotic motion

# Classical chaos and hyperbolic fixed point

Typical two ingredients of chaotic motion

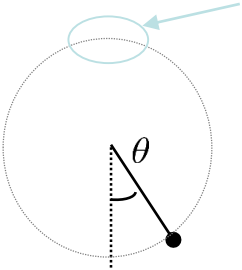
- Hyperbolic fixed point
- Disturbance

particle trajectory



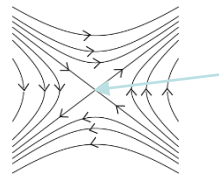
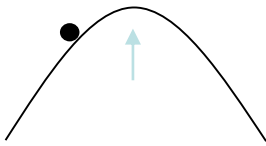
Wiggins's textbook

ex) damped driven pendulum



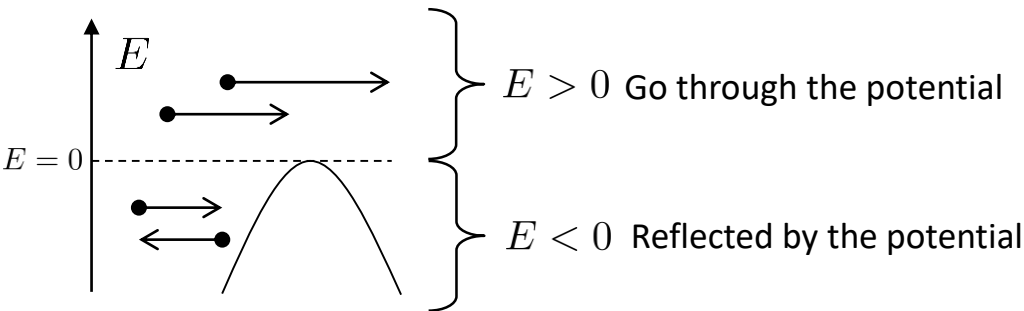
Particle motion near hyperbolic fixed point

$\approx 1$  dim **inverse harmonic potential**



**Thermal behavior** will appear around there.

## ◆ Particle motion in an inverse harmonic potential (classical mechanics)



$$V(x) = -\frac{\alpha}{2}x^2.$$

$$m\ddot{x}(t) = -V'(x) = \alpha x,$$

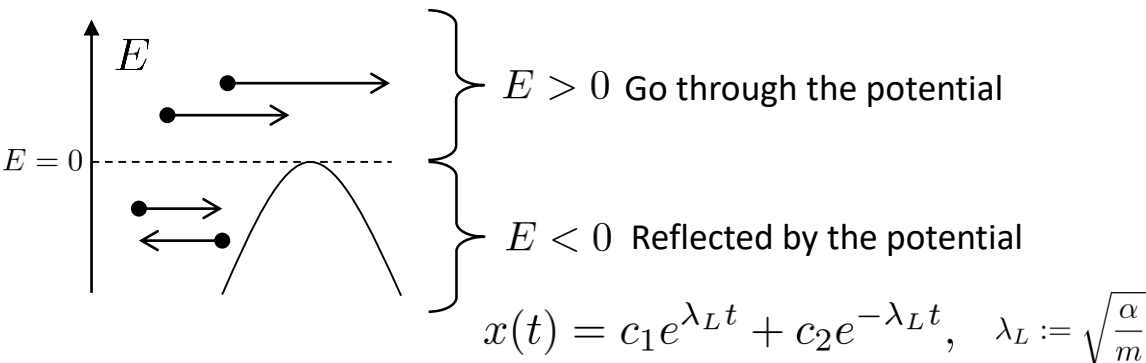
$$\longrightarrow x(t) = c_1 e^{\sqrt{\alpha/m}t} + c_2 e^{-\sqrt{\alpha/m}t},$$

$$\text{Lyapunov exponent } \lambda_L := \sqrt{\frac{\alpha}{m}}$$

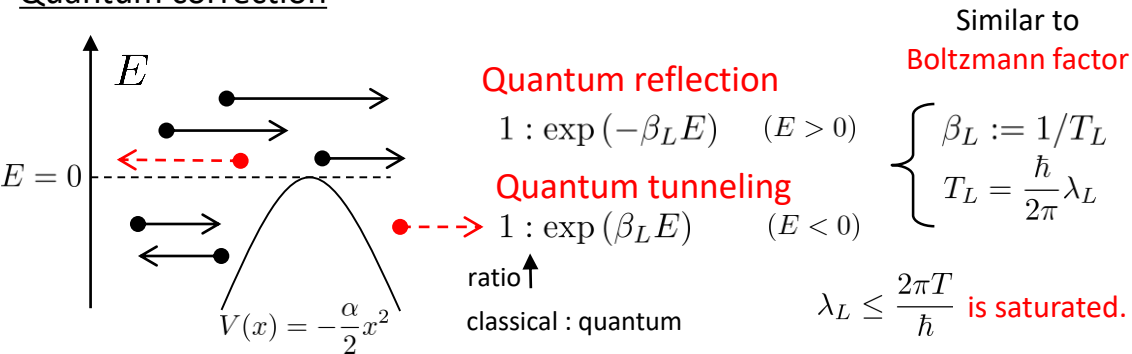
# Thermal emission from inverse harmonic potential

TM 2018

## ◆ Particle motion in an inverse harmonic potential (classical mechanics)

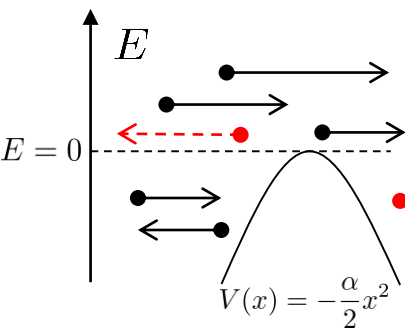
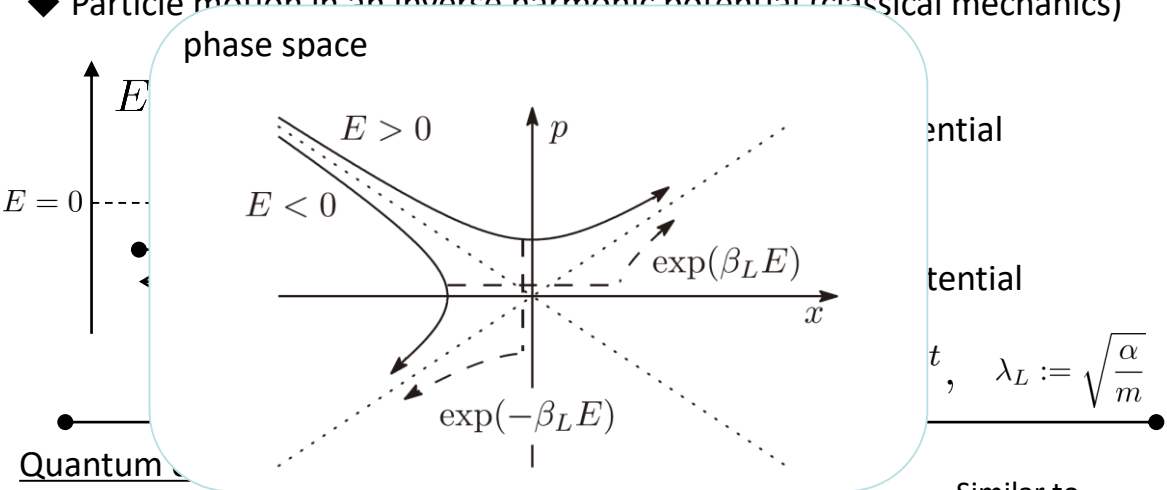


## Quantum correction



# Thermal emission from inverse harmonic potential

## ◆ Particle motion in an inverse harmonic potential (classical mechanics)



**Quantum reflection**

$$1 : \exp(-\beta_L E) \quad (E > 0)$$

**Quantum tunneling**

$$1 : \exp(\beta_L E) \quad (E < 0)$$

ratio ↑  
classical : quantum

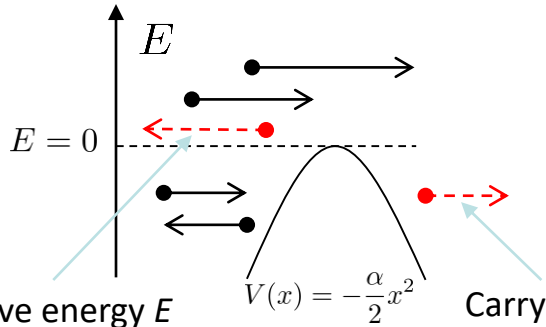
**Boltzmann factor**

$$\begin{cases} \beta_L := 1/T_L \\ T_L = \frac{\hbar}{2\pi} \lambda_L \end{cases}$$

$\lambda_L \leq \frac{2\pi T}{\hbar}$  is saturated.

# Thermal emission from inverse harmonic potential TM 2018

◆ Energy transfer: How much energy is transferred by the quantum effects comparing with the classical motion?



Carry positive energy  $E$

Carry negative energy  $E$

Energy in the left region increases.

Negative energy in the left decreases.

→ Increase positive energy ( $-E$ )  
 ("hole" creation)

probability:  $\exp(-\beta_L E)$

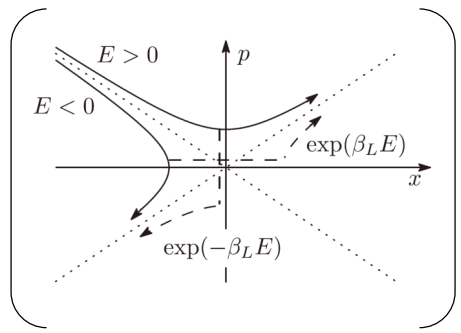
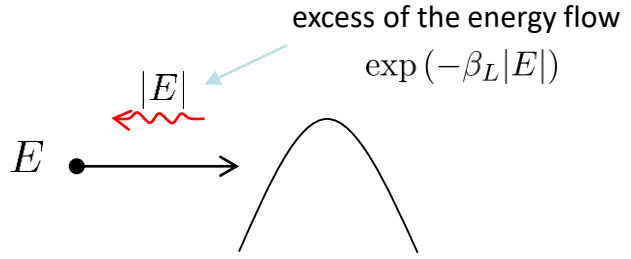
probability:  $\exp(\beta_L E) = \exp(-\beta_L(-E))$

Energy  $|E|$  is transferred by the probability  $\exp(-\beta_L |E|)$ .

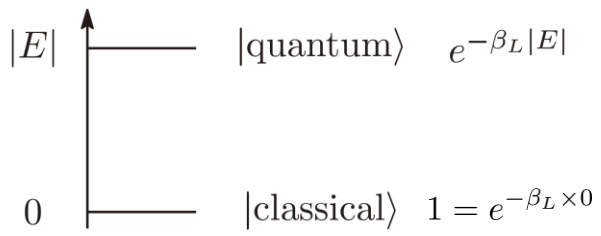
→ It can be regarded as a **thermal excitation** at  $T_L = \frac{\hbar}{2\pi} \lambda_L$ .

# Thermal emission from inverse harmonic potential TM 2018

## ◆ Connection to two level system



Analogous to **the thermal excitation of a two level system**.



↑  
excess of energy over classical process in the left region

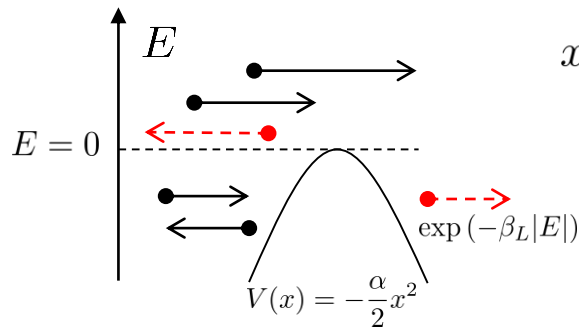
↑  
ratio

Particle motion near hyperbolic fixed point

$\simeq$  **Two level system**

at  $T_L = \frac{\hbar}{2\pi} \lambda_L$

# Remarks



$$x(t) = c_1 e^{\sqrt{\alpha/m}t} + c_2 e^{-\sqrt{\alpha/m}t},$$

Lyapunov exponent  $\lambda_L := \sqrt{\frac{\alpha}{m}}$

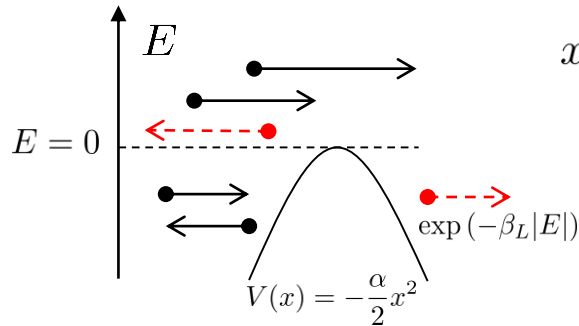
$$\lambda_L \leq \frac{2\pi T}{\hbar} \text{ is saturated.}$$

- The particle scattering occurs within **the Ehrenfest's time**  $t \ll \frac{1}{\lambda_L} \log \frac{1}{\hbar}$   
 → The Lyapunov exponent defined by the classical motion may be valid. (OTOC may not be necessary.)
 

$\left( \begin{array}{c} \text{wave packet} \\ \begin{array}{c} \text{→} \\ \text{←} \end{array} \\ \text{size of the system} \end{array} \right)$
- Inverse harmonic potential saturates the bound. **But it's integrable!**  
 ex) **c=1 matrix model (integrable)** saturates the bound.



# Remarks

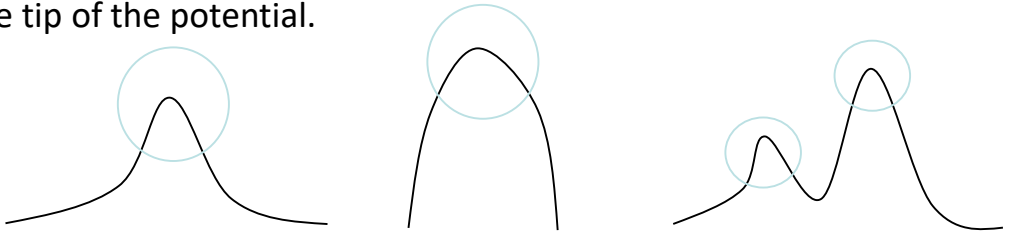


$$x(t) = c_1 e^{\sqrt{\alpha/m}t} + c_2 e^{-\sqrt{\alpha/m}t},$$

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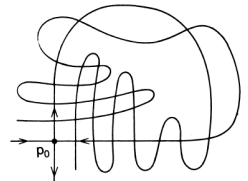
$$\lambda_L \leq \frac{2\pi T}{\hbar} \text{ is saturated.}$$

- The result would be **universal**, since the excitation mainly occurs near the tip of the potential.



- In the chaotic system, the Lyapunov exponent of the hyperbolic fixed point and the Lyapunov exponent of the system is different.

→ Connection to the bound on chaos is unclear.

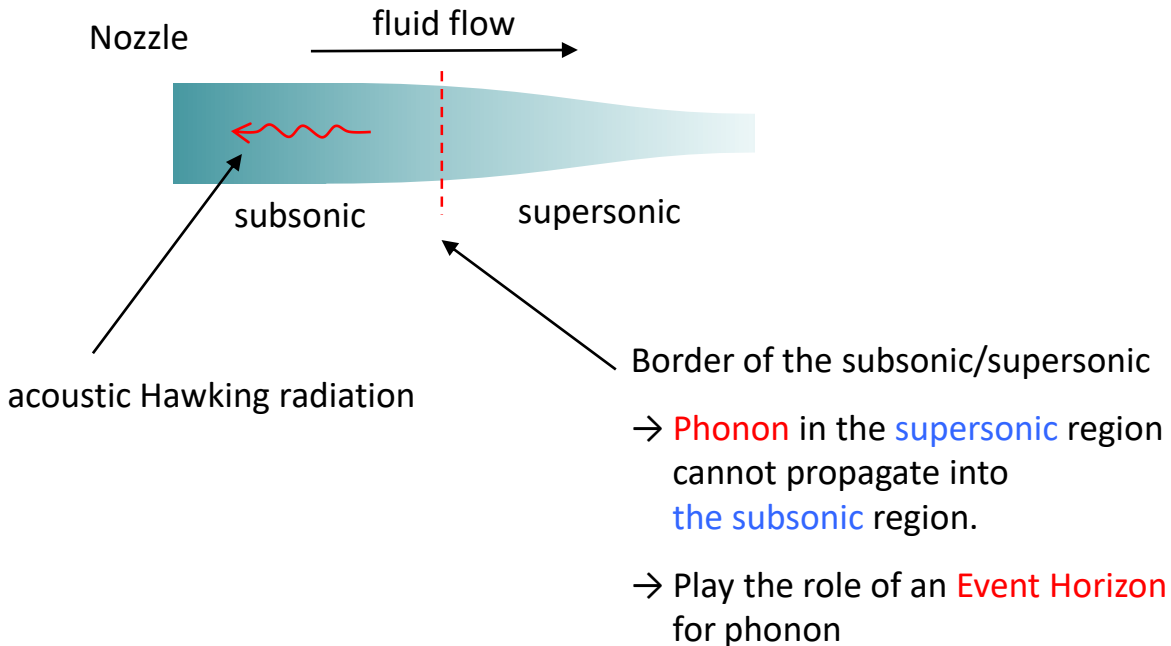


## Section 2

Connection to Acoustic Hawking radiation

# Connection to acoustic Hawking radiation

◆ Acoustic Hawking radiation: Unruh 1981

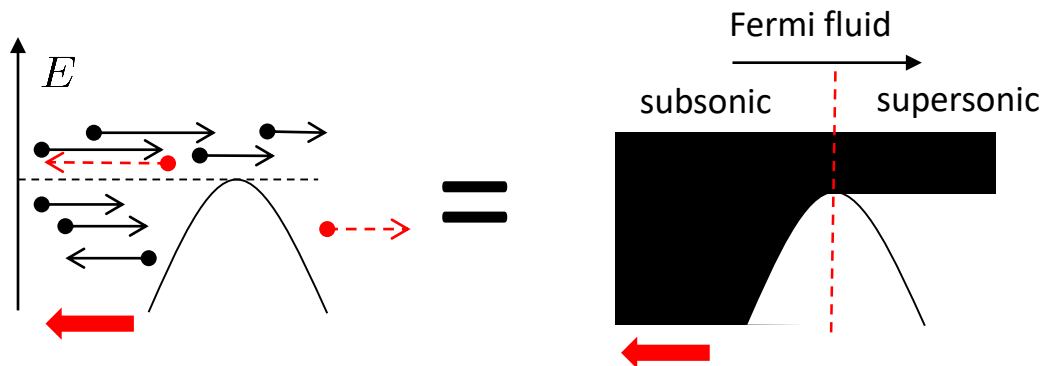


# Connection to acoustic Hawking radiation

Giovanazzi 2004, TM 2018

Inject **many free fermions** from the left

cf.  $c=1$  matrix model



Quantum energy transfer

Thermal emission obeys  
**Fermi-Dirac distribution.**

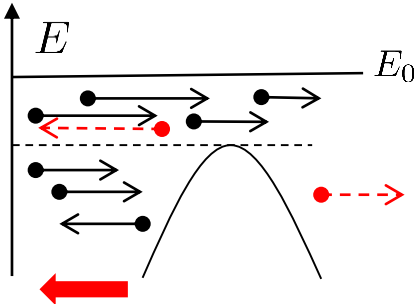
Acoustic Hawking radiation  
**(Horizon is at the tip of the potential)**

Microscopic description of HR

Thermal emission from the hyperbolic fixed point  
 $\simeq$  Hawking radiation

# Acoustic Hawking radiation from quantum mechanics

Giovanazzi 2004, TM 2018

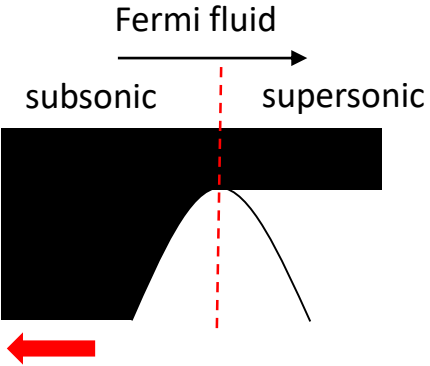


$$\underbrace{\frac{m}{2\pi\hbar} \left( \int_{-\infty}^0 \frac{dE}{|p(E, x)|} \right)}_{\text{density of states}} \underbrace{\left( \frac{-E}{e^{-\beta_L E} + 1} + \int_0^{E_0} \frac{dE}{|p(E, x)|} \frac{E}{e^{\beta_L E} + 1} \right)}_{\text{tunneling}} = \frac{1}{(-x)} \frac{T_L}{24} \left( 1 + O\left(\frac{T_L}{\alpha x^2}\right) \right)$$

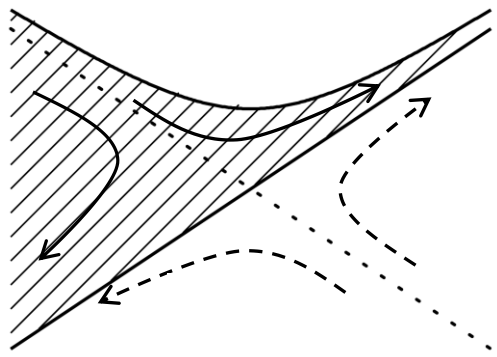
density of states
tunneling
reflection

# Acoustic Hawking radiation from fluid mechanics

Giovanazzi 2004, TM 2018



Free fermions in the phase space(classical)

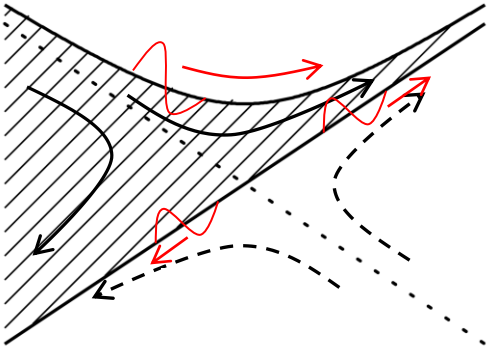
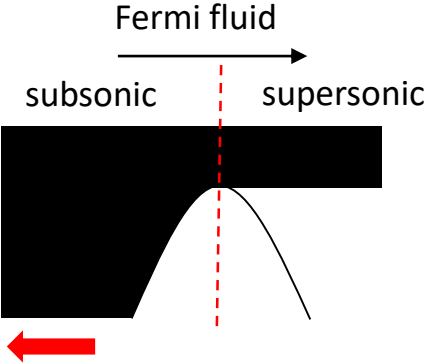


phonon = (small) fluctuation of the surface of the droplet

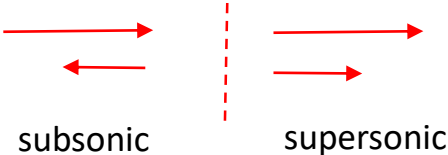
# Acoustic Hawking radiation from fluid mechanics

Giovanazzi 2004, TM 2018

Free fermions in the phase space(classical)

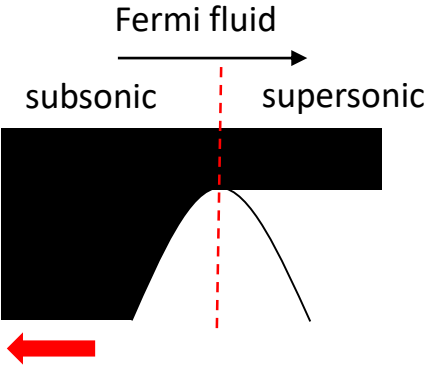


phonon = (small) fluctuation of the surface of the droplet

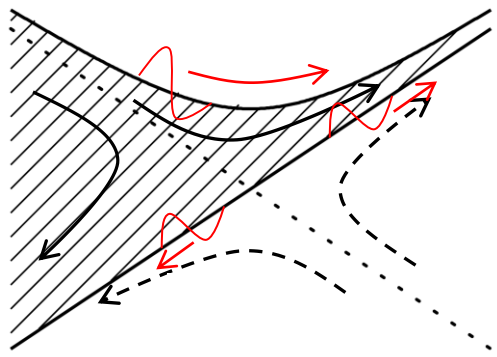


# Acoustic Hawking radiation from fluid mechanics

Giovanazzi 2004, TM 2018



Free fermions in the phase space(classical)



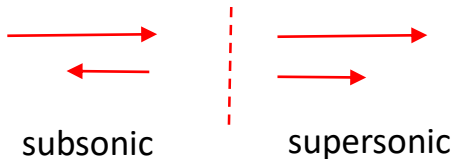
## Bogoliubov transformation

$$T_L = \frac{\hbar}{2\pi} \lambda_L$$

$$\frac{1}{(-x)} \frac{T_L}{24} \left( 1 + O\left(\frac{T_L}{\alpha x^2}\right) \right)$$

consistent with QM

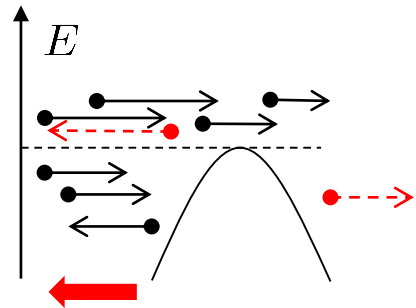
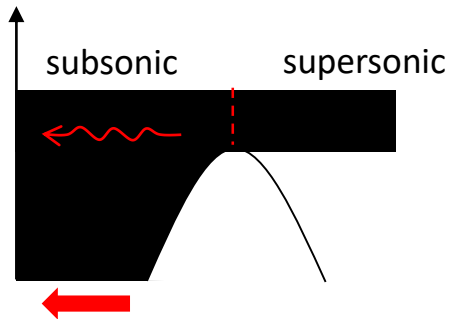
phonon = (small) fluctuation of the surface of the droplet





# Acoustic Hawking radiation from fluid mechanics

## ◆ Two descriptions of the acoustic Hawking radiation



This agreement is **natural** but these two descriptions are quite **different**.

Dynamical variables	Phonon (macroscopic)	Elementary fermions (microscopic)
Statistics	Boson	Fermion
Descriptions	QFT	Quantum mechanics
choice of vacuum	Unruh vacuum	A natural boundary condition

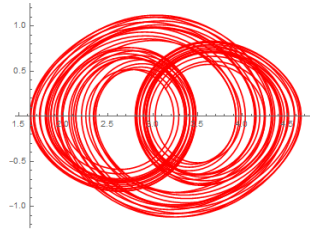
→ Deeper understanding of Hawking radiation.

# Summary

# Summary:

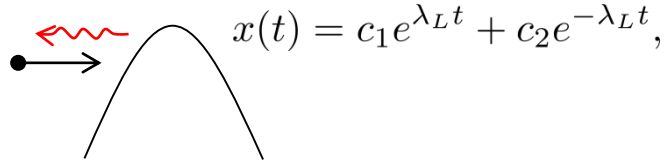
Classical chaotic systems may become thermal semi-classically.

Maldacena-Shenker-Stanford (2015)



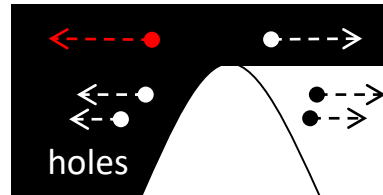
$$T \geq \frac{\hbar}{2\pi} \lambda_L$$

**Hyperbolic fixed point**  
(Inverse harmonic potential) may explain this mechanism.



$$\exp(-\beta_L |E|) \quad T_L = \frac{\hbar}{2\pi} \lambda_L$$

The thermal emission may be related to Hawking radiation.



reflection

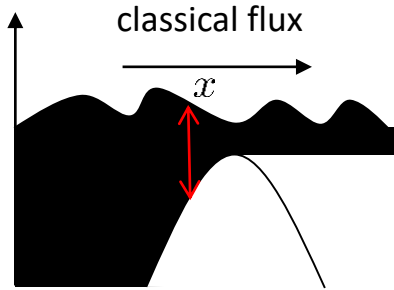
tunneling

Thanks!



# Appendix

# Acoustic Hawking radiation from fluid mechanics



## Fluid variables

$$\left\{ \begin{array}{l} \rho(x, t) \text{ density of the fermions} \\ v(x, t) \text{ velocity} \\ p(x, t) = \frac{\hbar^2 \pi^2}{3m^2} \rho(x, t)^3 \text{ pressure} \end{array} \right.$$

Fluid variables obey hydrodynamic equations:

[Dhar-Mandal-Wadia 1992](#)

$$\partial_t \rho + \partial_x(\rho v) = 0, \quad \rho (\partial_t v + v \partial_x v) = -\partial_x p - \rho \partial_x V(x).$$

Assume an expansion

$$\left\{ \begin{array}{l} \rho(x, t) = \rho_0(x, t) + \epsilon \rho_1(x, t) + \epsilon^2 \rho_2(x, t) + \dots, \\ v(x, t) = v_0(x, t) + \epsilon v_1(x, t) + \epsilon^2 v_2(x, t) + \dots, \end{array} \right.$$

$\epsilon$ : a small parameter

background flow  
(e.g. stationary flow)

fluctuation  
(phonon)

# Acoustic Hawking radiation from fluid mechanics

## ◆ Acoustic geometry

$$\partial_t \rho + \partial_x(\rho v) = 0, \quad \rho (\partial_t v + v \partial_x v) = -\partial_x p - \rho \partial_x V(x).$$

Expansion  $\left\{ \begin{array}{l} \rho(x, t) = \rho_0(x, t) + \epsilon \rho_1(x, t) + \epsilon^2 \rho_2(x, t) + \dots, \\ v(x, t) = v_0(x, t) + \epsilon v_1(x, t) + \epsilon^2 v_2(x, t) + \dots, \end{array} \right.$

$v_1 = -\partial_x \psi$  : define velocity potential  $\psi$

At  $\epsilon^1$  order,  $0 = \partial_\mu \sqrt{-g} g^{\mu\nu} \partial_\nu \psi$

$$g_{\mu\nu} = \frac{1}{\rho_0} \begin{pmatrix} c^2 - v_0^2 & v_0 \\ v_0 & -1 \end{pmatrix} \quad c(x, t) := \sqrt{\frac{\partial p}{\partial \rho}} = \frac{\hbar \pi}{m} \rho_0(x, t).$$

Acoustic metric

speed of sound

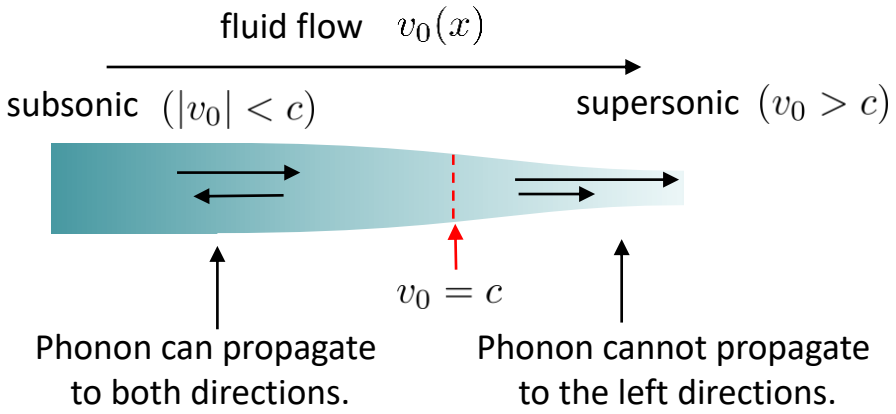
→  $\psi$  obeys a wave equation on a curved geometry.

$g_{tt}$  vanishes if  $v_0 = c$ . → acoustic event horizon



# Acoustic Hawking radiation from fluid mechanics

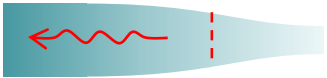
◆ Acoustic event horizon



Causally Disconnected

If we quantize phonon, **Hawking radiation** is induced toward left

Unruh 1981



$$T_H = \frac{c\hbar}{4\pi} \partial_x f|_{v_0=c}$$

$$f := 1 - \frac{v_0^2}{c^2}$$