

Neutrino Astronomy : The Supernova Story (Lecture 1)

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2-3, May 2024

Understanding The Universe Through Neutrinos

ICTS-TIFR, Bengaluru.

Neutrino Astronomy

- Spin $1/2$

- **Chargeless**

No bending in magnetic fields →
Points back to the source

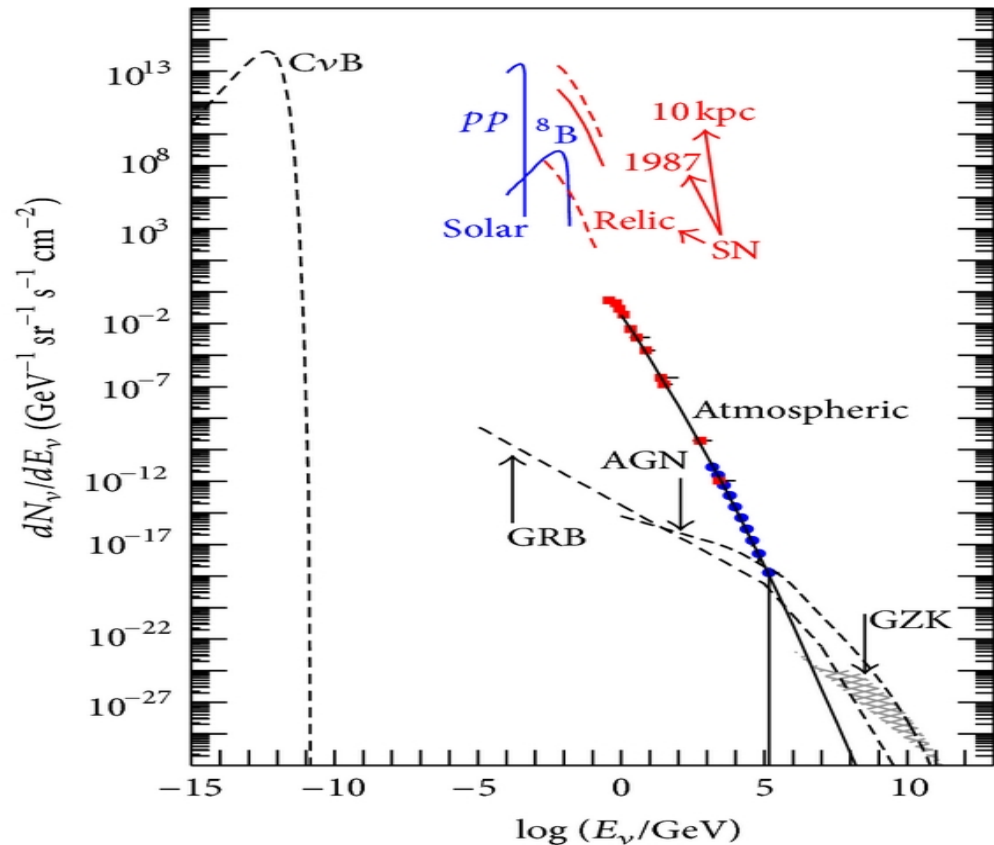
- **Weakly interacting**

Minimal scattering → Arrive from
regions opaque to light

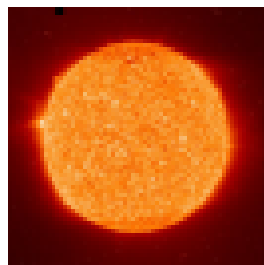
Excellent Astronomical Messenger!!

- Almost massless

Neutrino Oscillations



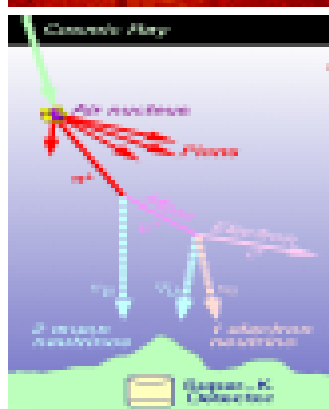
Neutrino Sources



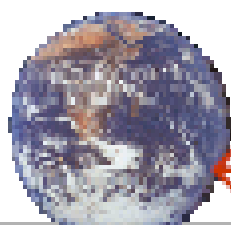
← Sun



← Cosmology

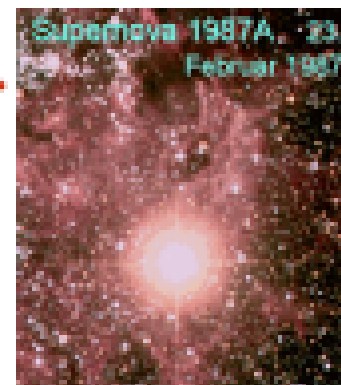


← Atmosphere



← Earth

Astronomy: →
Supernovae
GRBs
UHE ν 's

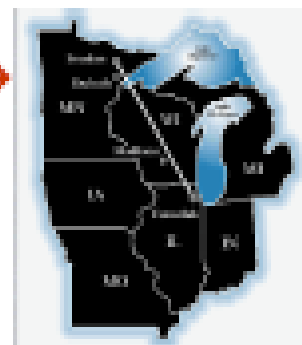


Reactors →



Accelerators →

β -Sources →



Supernova Neutrinos: WHAT?

Supernova one of the most energetic events in nature:

Terminal phase of a massive star ($M > 8 \sim 10 M_{\odot}$), collapses & ejects the outer mantle in a shock wave driven explosion.

Energy & Time Scale:

99% energy (10^{53} ergs) is emitted by neutrinos (Energy ~ 10 MeV) in ~ 10 s



Supernova Neutrinos: WHY?

SN Explosion: the most powerful neutrino source (10^{57} / 10 sec) in the Universe

Neutrino detection : (L1 & L3)

High-statistics of events (10^5 - 10^6 event/10 sec) for galactic SN

Supernova Neutrinos: WHY?

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Neutrino theory/phenomenology : (L2)

Neutrino Oscillations in extreme astrophysical environment.

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Neutrino astrophysics : (L1)

Crucial role of neutrino in the explosion mechanism.

Supernova Neutrinos: WHY?

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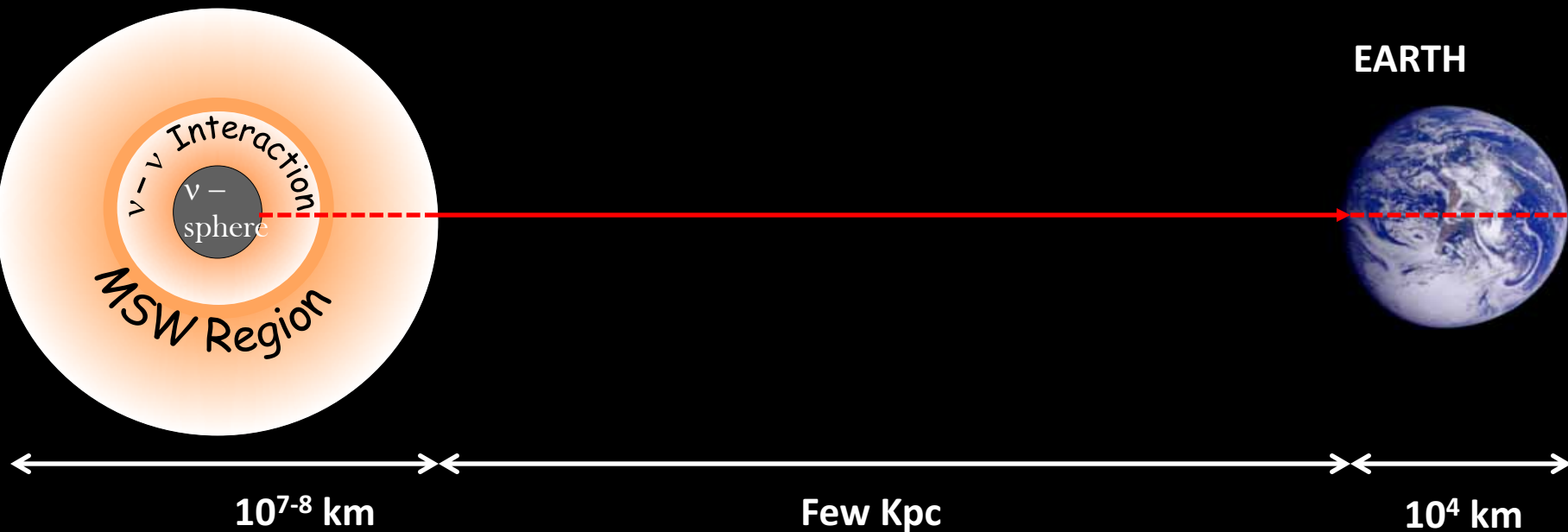
Crucial role of neutrino in the explosion mechanism.

Neutrino nuclear astrophysics :

Nucleosynthesis in supernovae is a neutrino-driven process

Supernova Neutrinos: HOW?

Core Collapse



Production

- Simulation of SN Explosion
- Initial energy spectra
- Initial time spectra
- Initial Angular spectra

Propagation

- Dense Neutrino Background
- Dense matter effect
- Shock wave, Earth effect
- New interactions

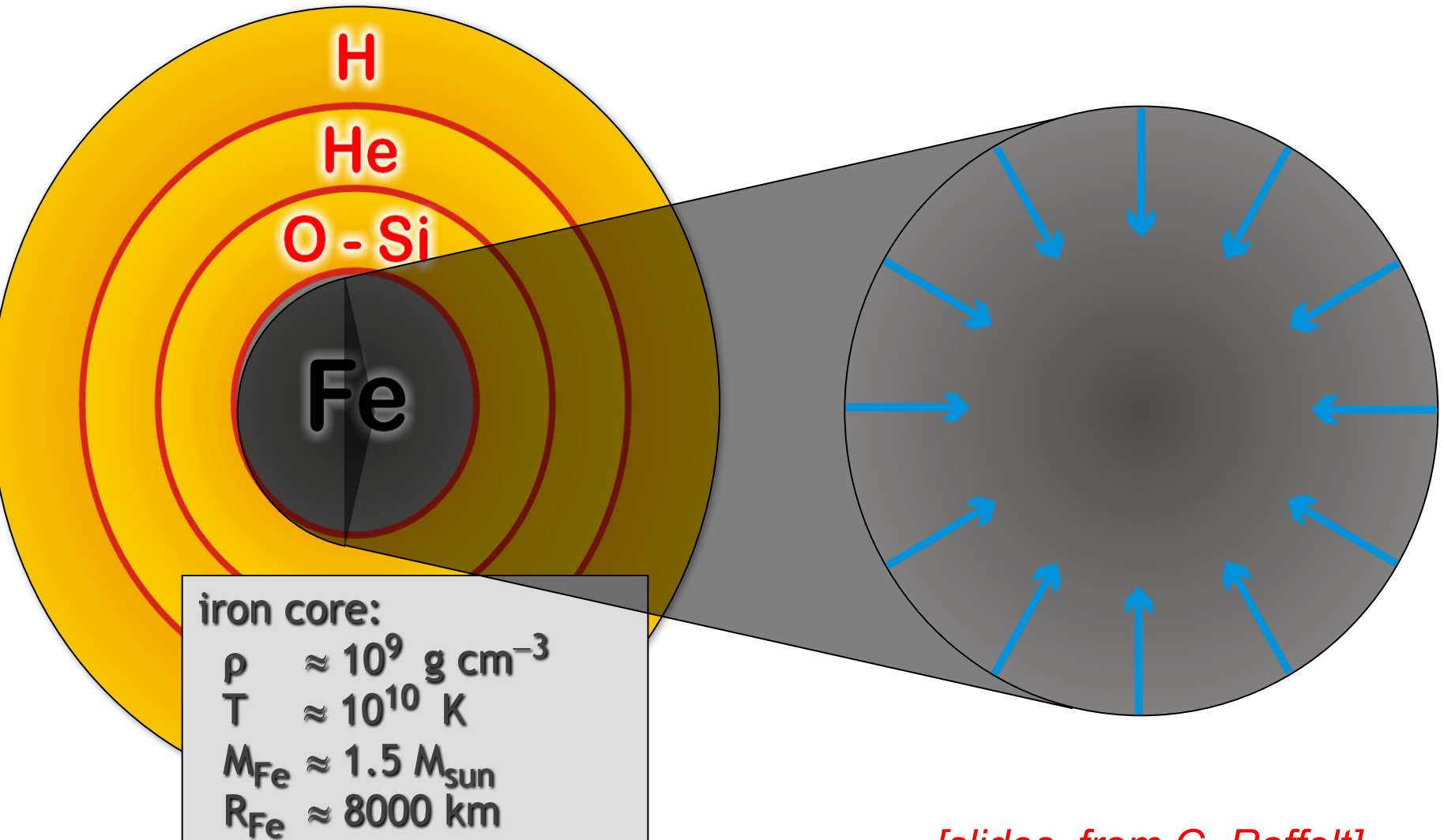
Detection

- Different detectors
- Different interaction channels
- Final energy spectra
- Final time spectra

Stellar Collapse and Supernova Explosion

Onion structure

Collapse (implosion)



[slides from G. Raffelt]

Stellar Collapse and Supernova Explosion

Newborn Neutron Star

Explosion

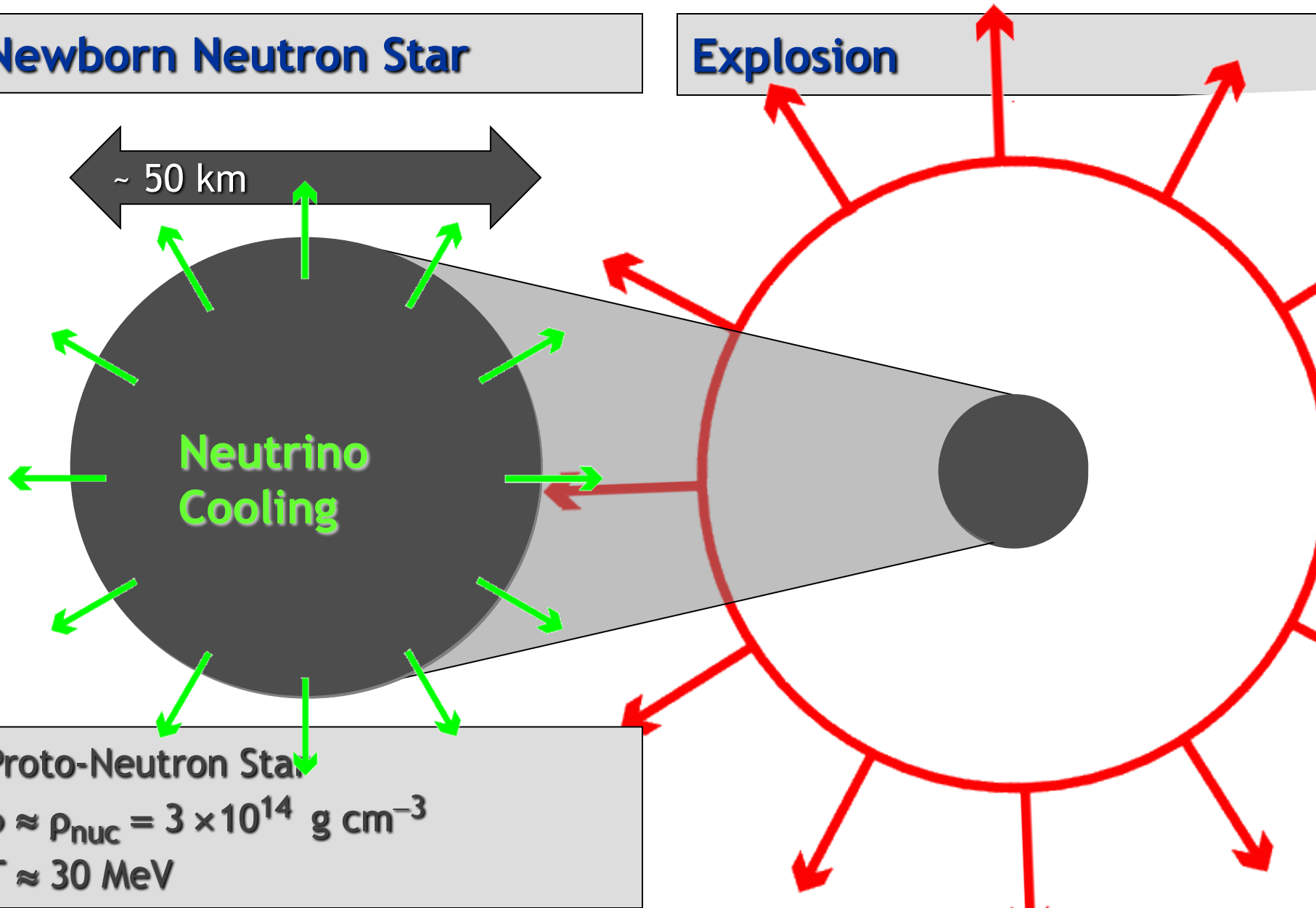
~ 50 km

**Neutrino
Cooling**

Proto-Neutron Star

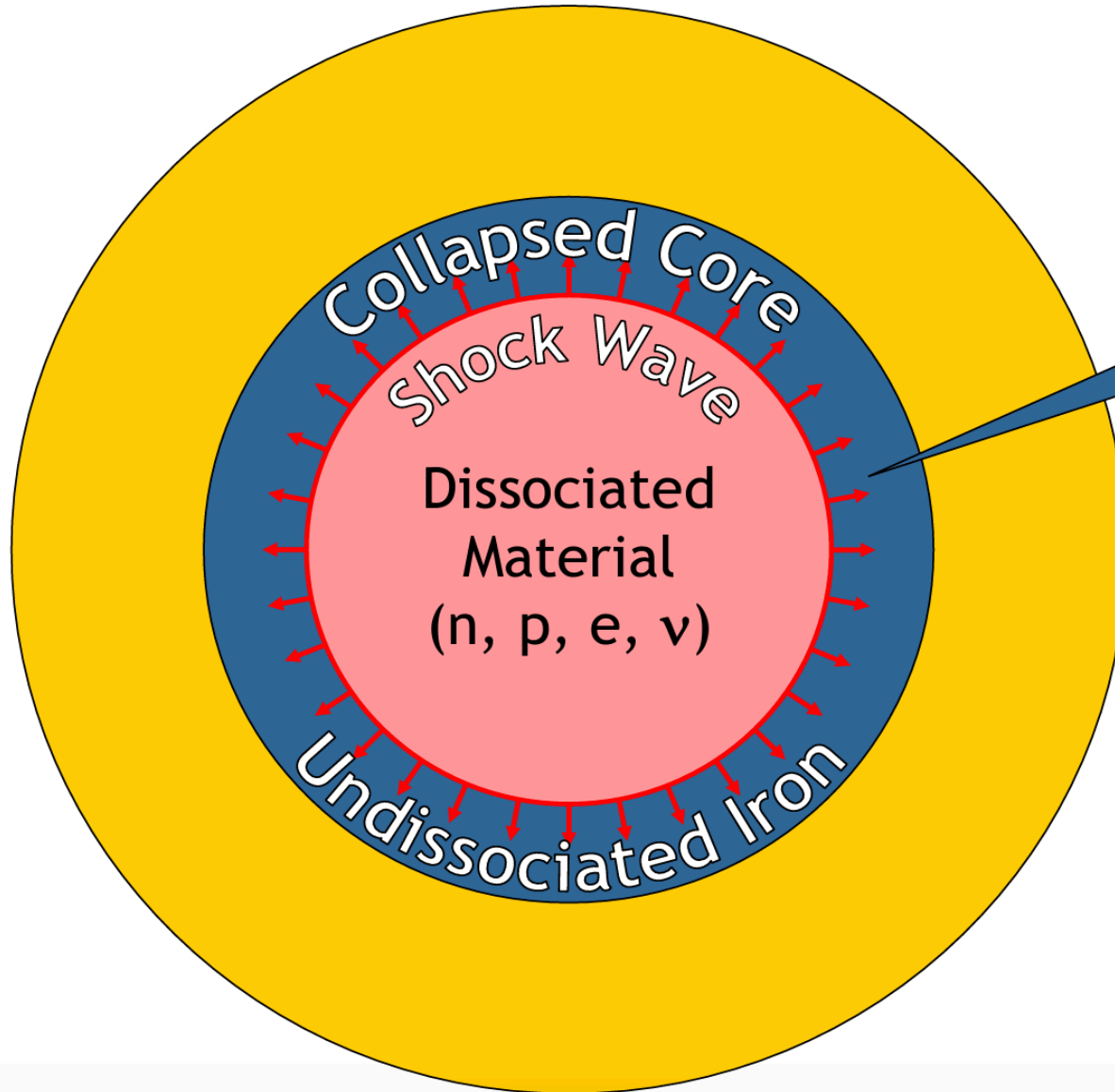
$$\rho \approx \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$$

$$T \approx 30 \text{ MeV}$$



Problems in SN explosion simulations

Why No Prompt Explosion?

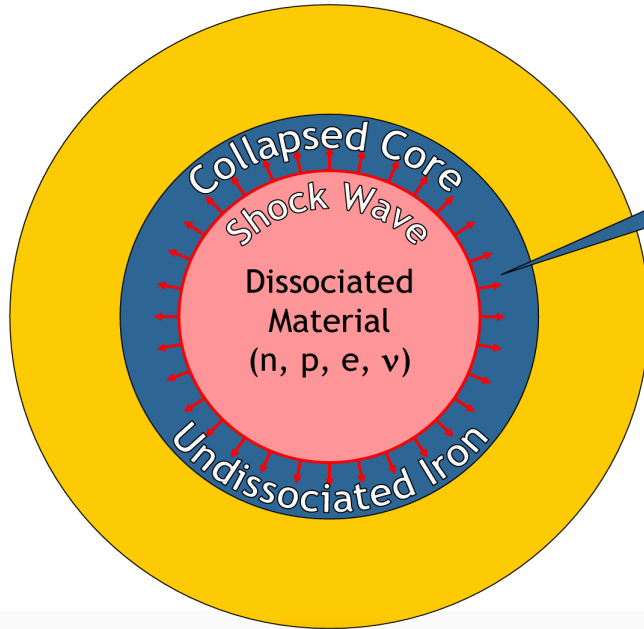


- $0.1 M_{\text{sun}}$ of iron has a nuclear binding energy $\approx 1.7 \times 10^{51}$ erg
- Comparable to explosion energy

- **Shock wave forms within the iron core**
- **Dissipates its energy by dissociating the remaining layer of iron**

Problems in SN explosion simulations

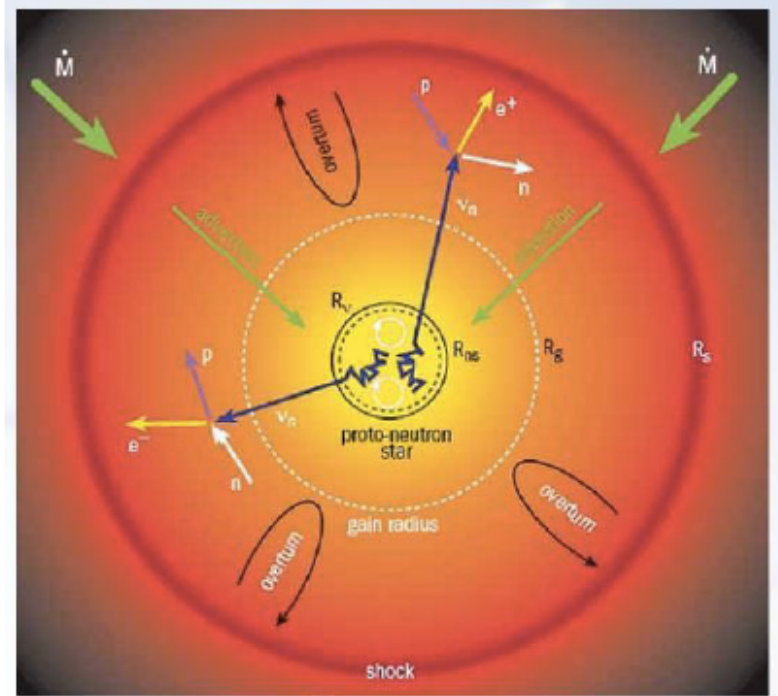
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Slide from G.Raffelt

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“Neutrino-heating mechanism”: Neutrinos revive stalled shock by energy deposition

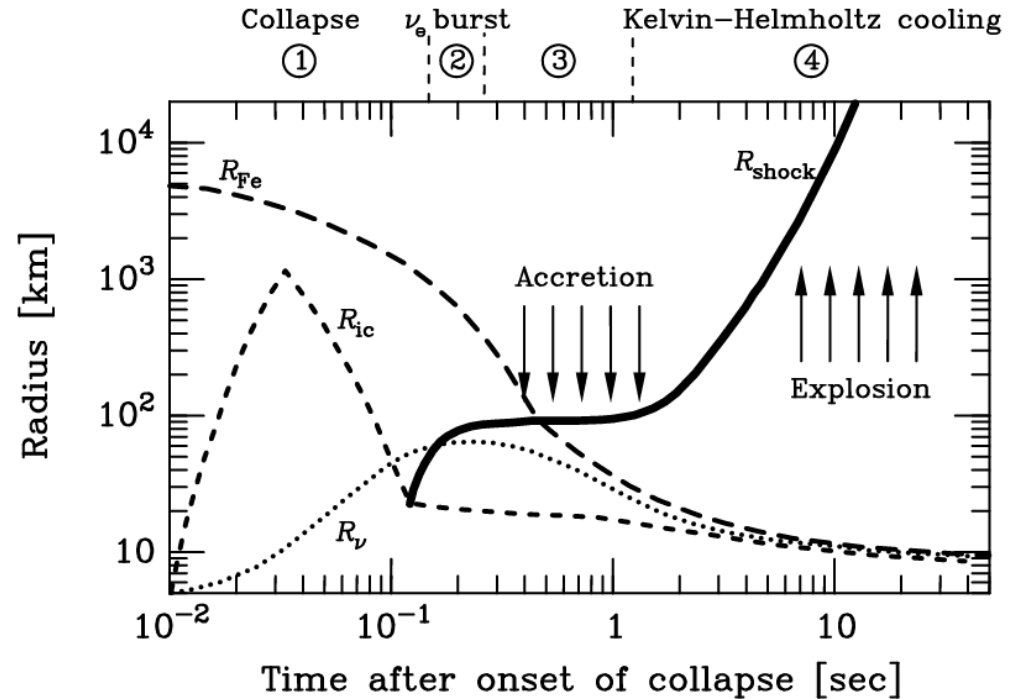
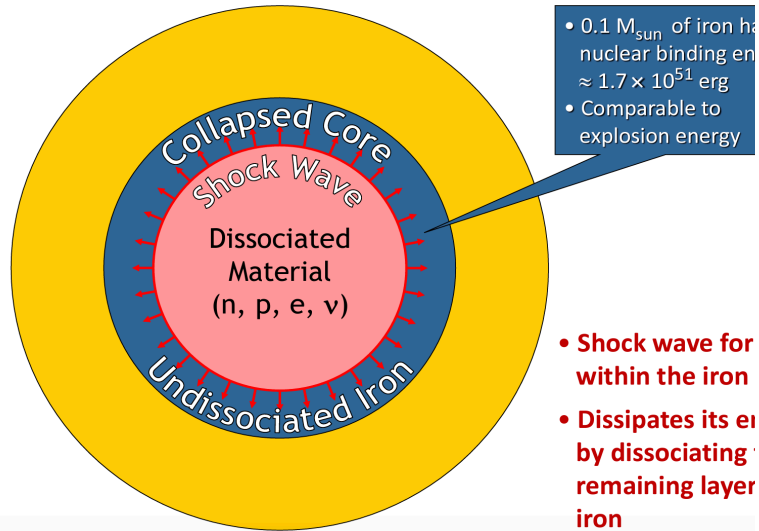
[Colgate & White, 1966, Wilson, 1982, Bethe & Wilson, 1985]

Convective processes & hydrodynamic instabilities enhance the heating mechanism

[Herant et al. 1992, 1994; Burrows et al. 1995, Janka & Müller 1994, 1996; Fryer & Warren 2002, 2004; Blondin et al. 2003; Scheck et al. 2004,06,08]

Problems in SN explosion simulations

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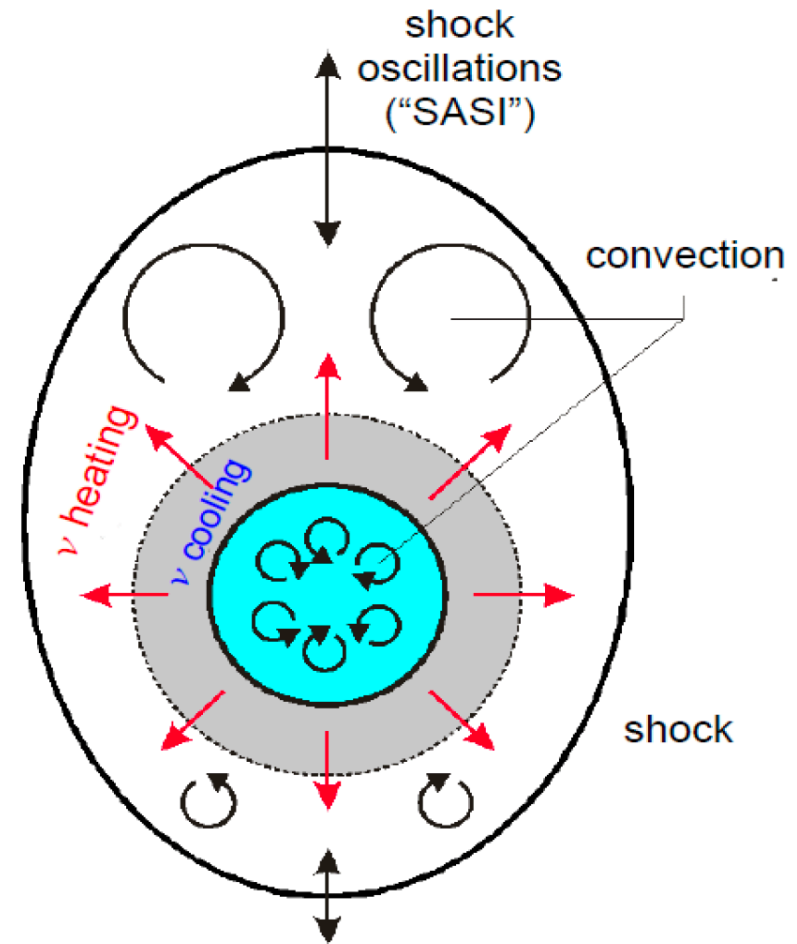
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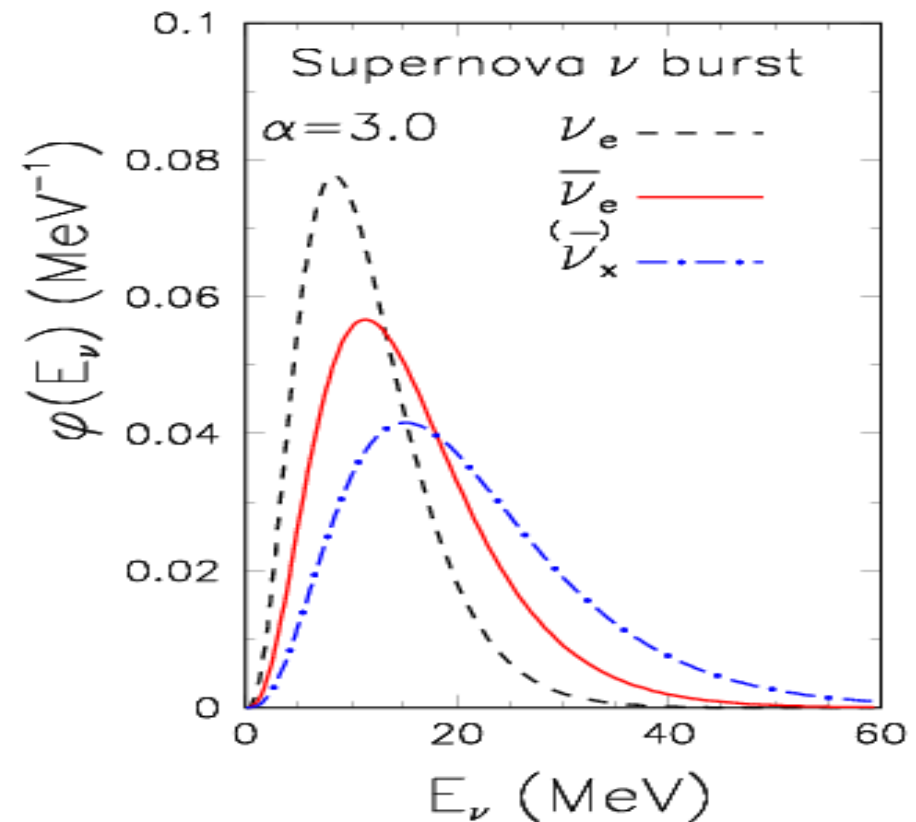
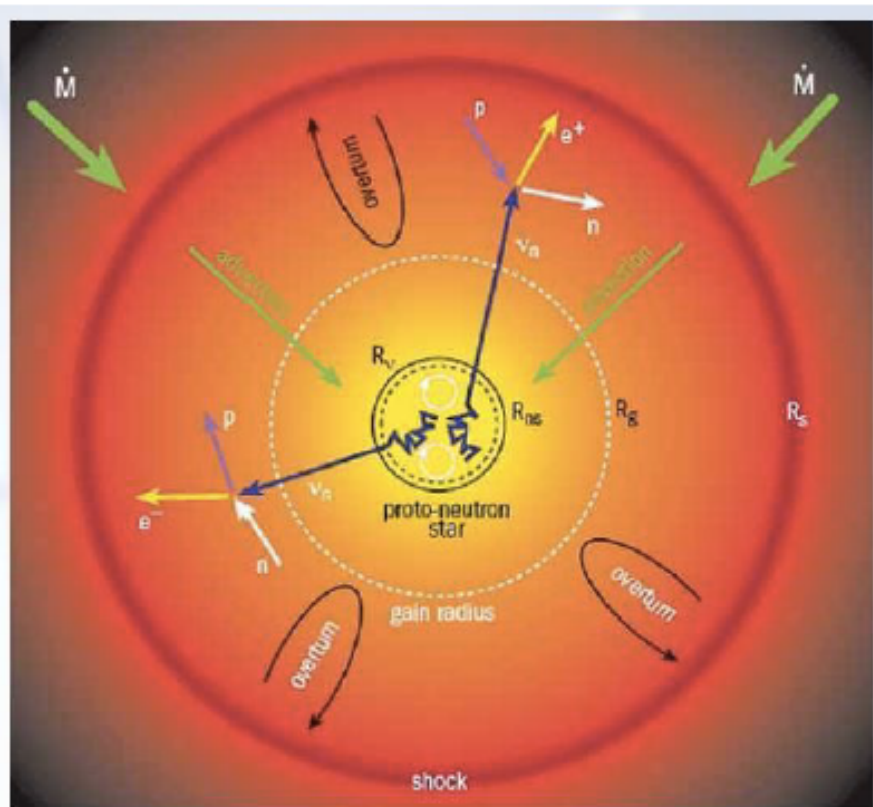
Neutrino-Driven Supernovae

- Stalled accretion shock still pushed outward to $\sim 150\text{km}$ as matter piles up on the PNS, then recedes again
- *Heating or gain* region develops some tens of ms after bounce
- Convective overturn & shock oscillations “SASI” enhance the efficiency of ν -heating, which finally revives the shock
- **Big challenge: Show that this works!**



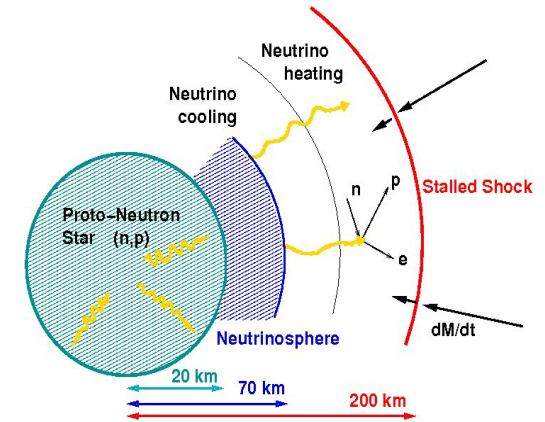
Problems in SN explosion simulations

Neutrino oscillation can influence the energy deposition due to different heating efficiency of the different **Flavors**



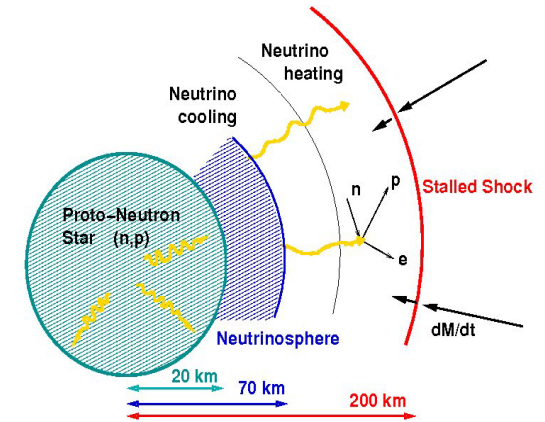
PROBLEMS IN SN EXPLOSION SIMULATIONS

- Small mass stars: successful explosion even with spherical symmetry
- Shock loses energy :
Failed SN for $>10M_{\text{SUN}}$

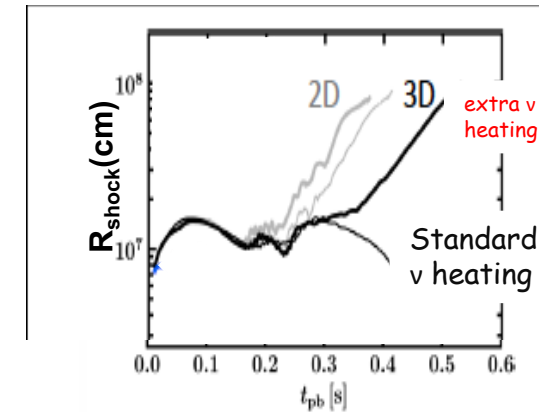


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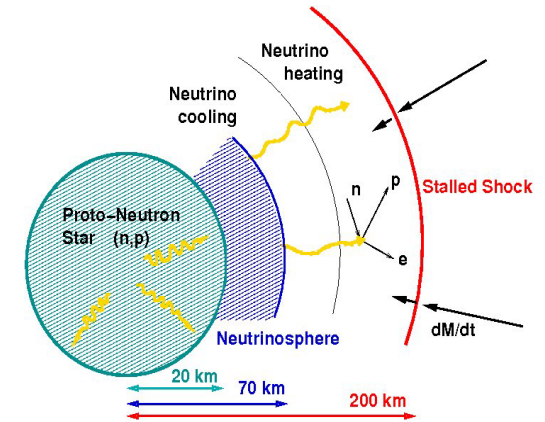


- Numerical explosions ok for broad mass range in 2D (axial symmetry)
- In 3D, mostly failed simulation

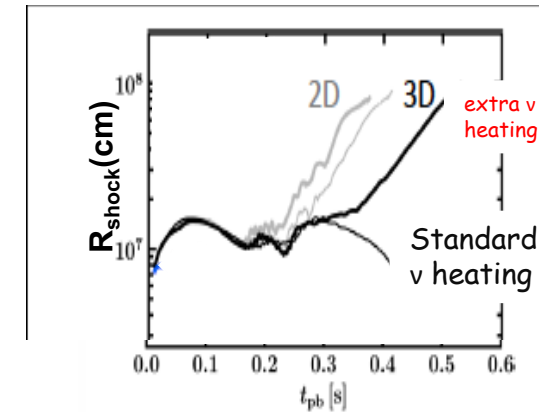


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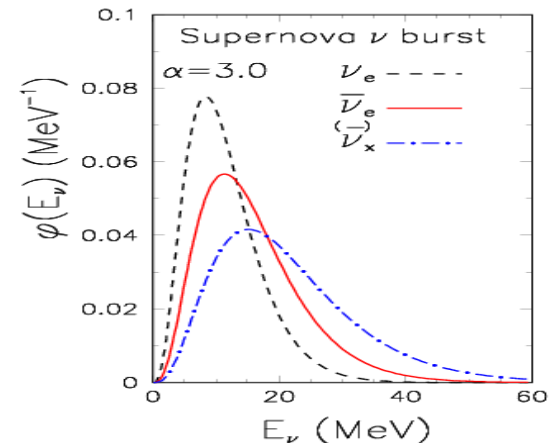


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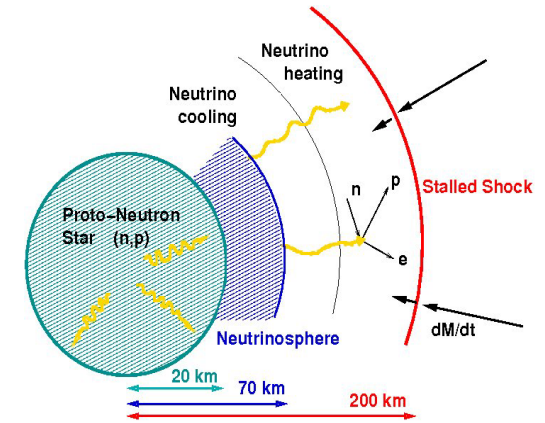
EXTRA Heating???

- Oscillation give harder ν_e and $\bar{\nu}_e$ spectra
- $\bar{\nu}_e$ and ν_e dump energy more efficiently



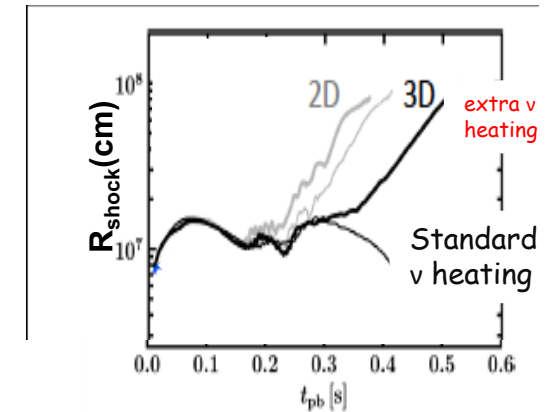
PROBLEMS IN SN EXPLOSION SIMULATIONS

- Small mass stars: successful explosion even with spherical symmetry
- Shock loses energy : Failed SN
- Failed within few 100 ms



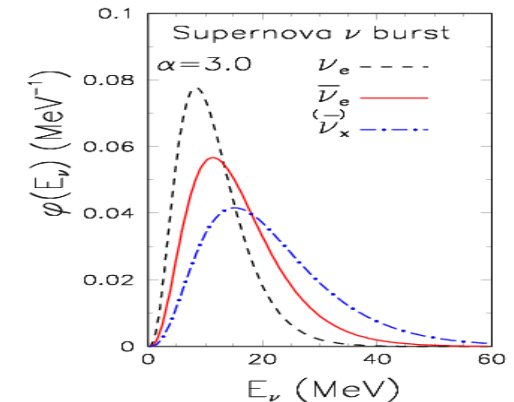
Flavor conversion
(few 100 km) behind
the stalled shock
in few 100 ms

- N
- ra
- In

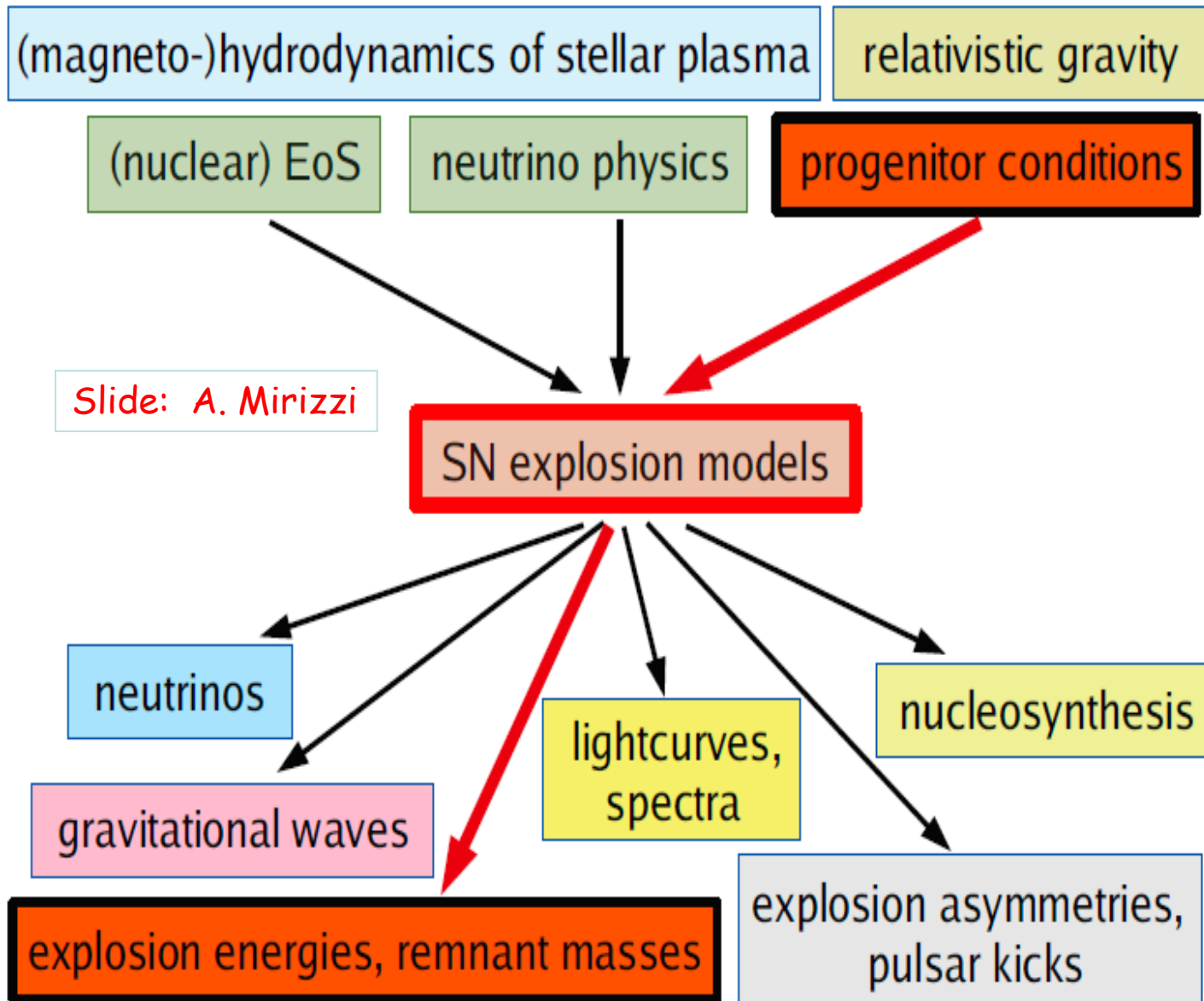


EXTRA Heating???

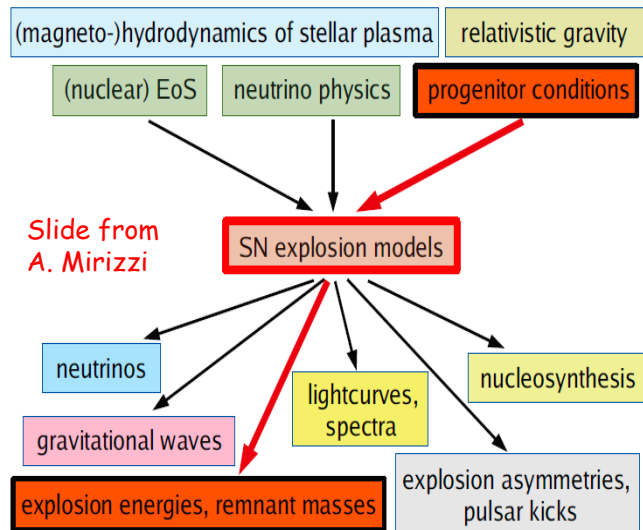
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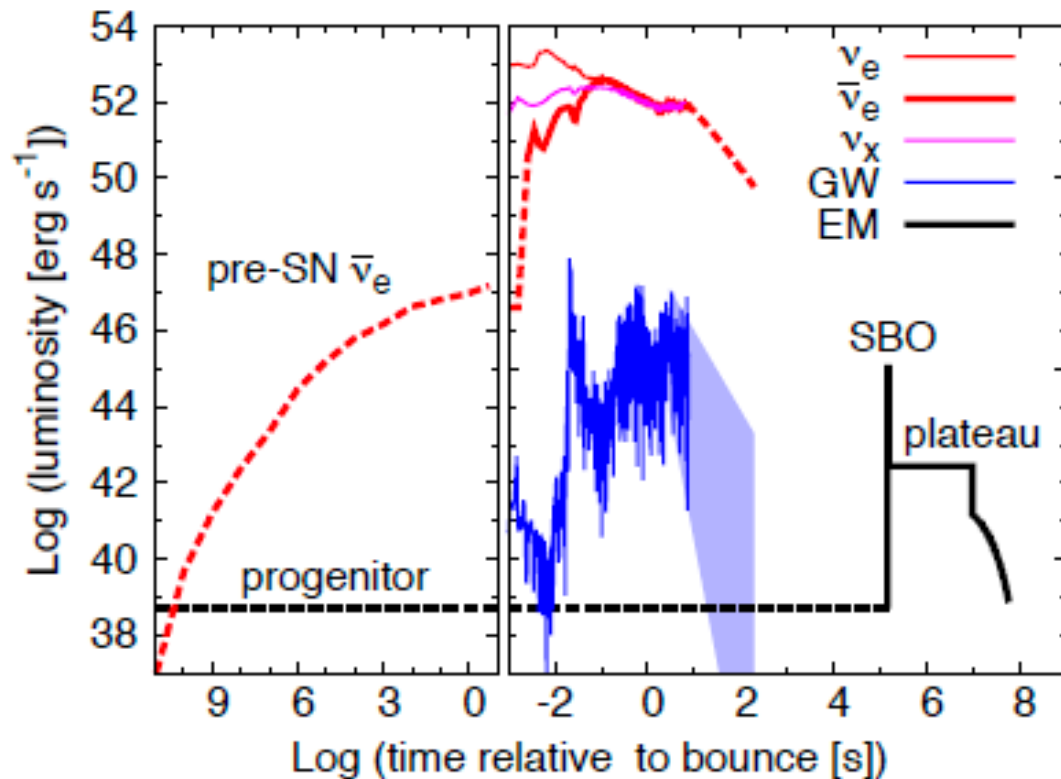
Multi messenger signals from SNe



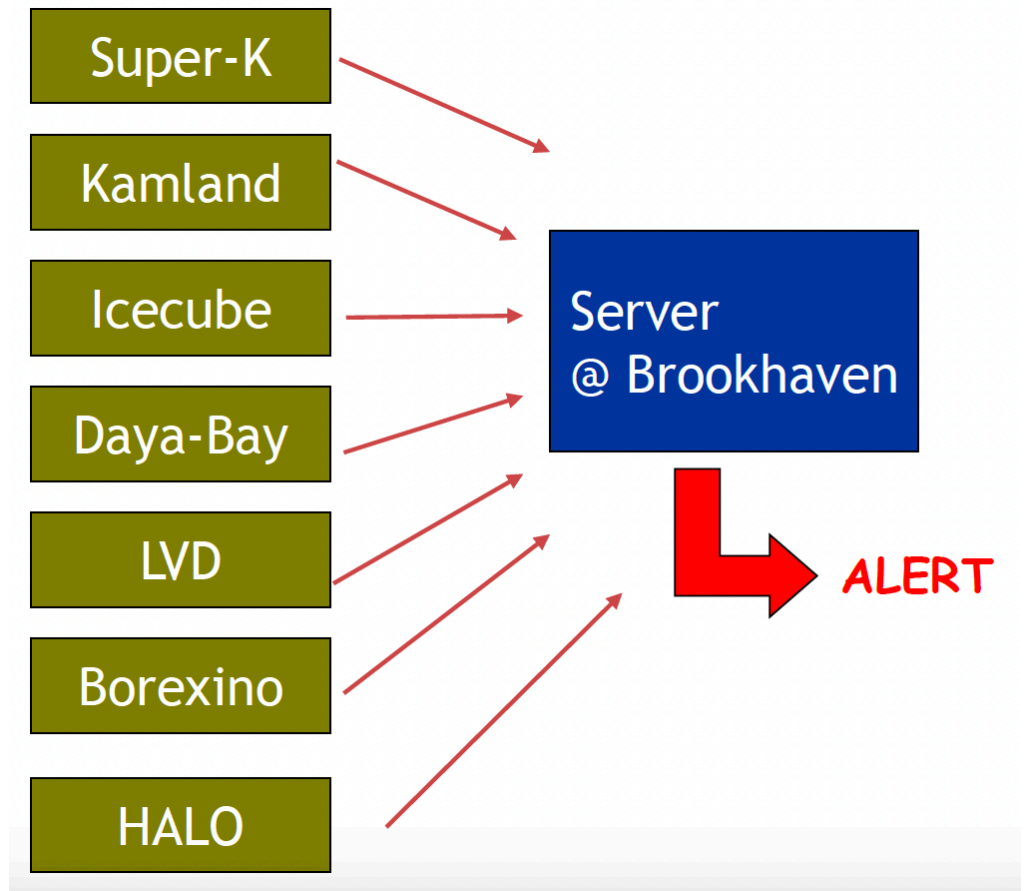
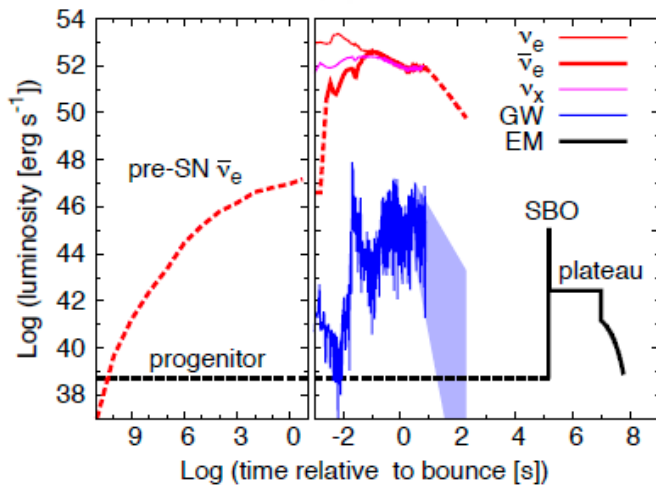
Multi messenger signals from SNe



Nakamura, Horiuchi, Tanaka, Hayama, Takiwaki & Kotake, arXiv:1602.03028



Large Detectors for Supernova Neutrinos



- Neutrinos arrive several hours before light: Alert for Astronomers
- GW-neutrino timing correlation: Probing neutrino flight time, Triangulation

Neutrino Emission Phases

Neutronization burst ~ 50 ms

- Shockbreakout
- De-leptonization of outer core layers
- **Electron Capture**

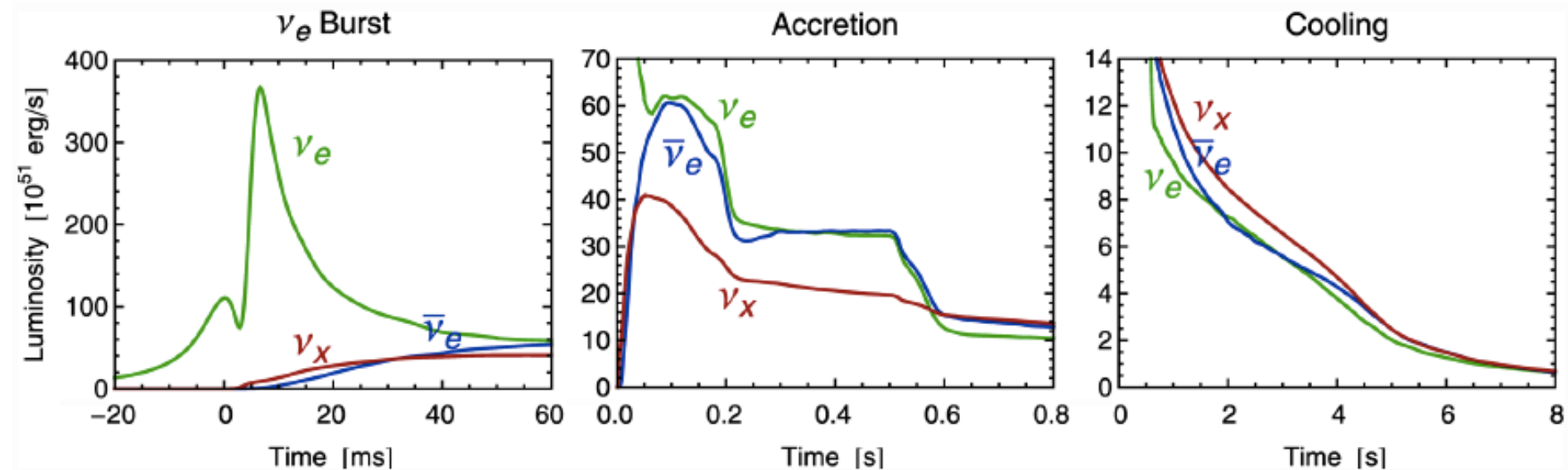
Accretion: ~ 0.5 s

- powered by infalling matter
- Stalled shock

Cooling ~ 10 s

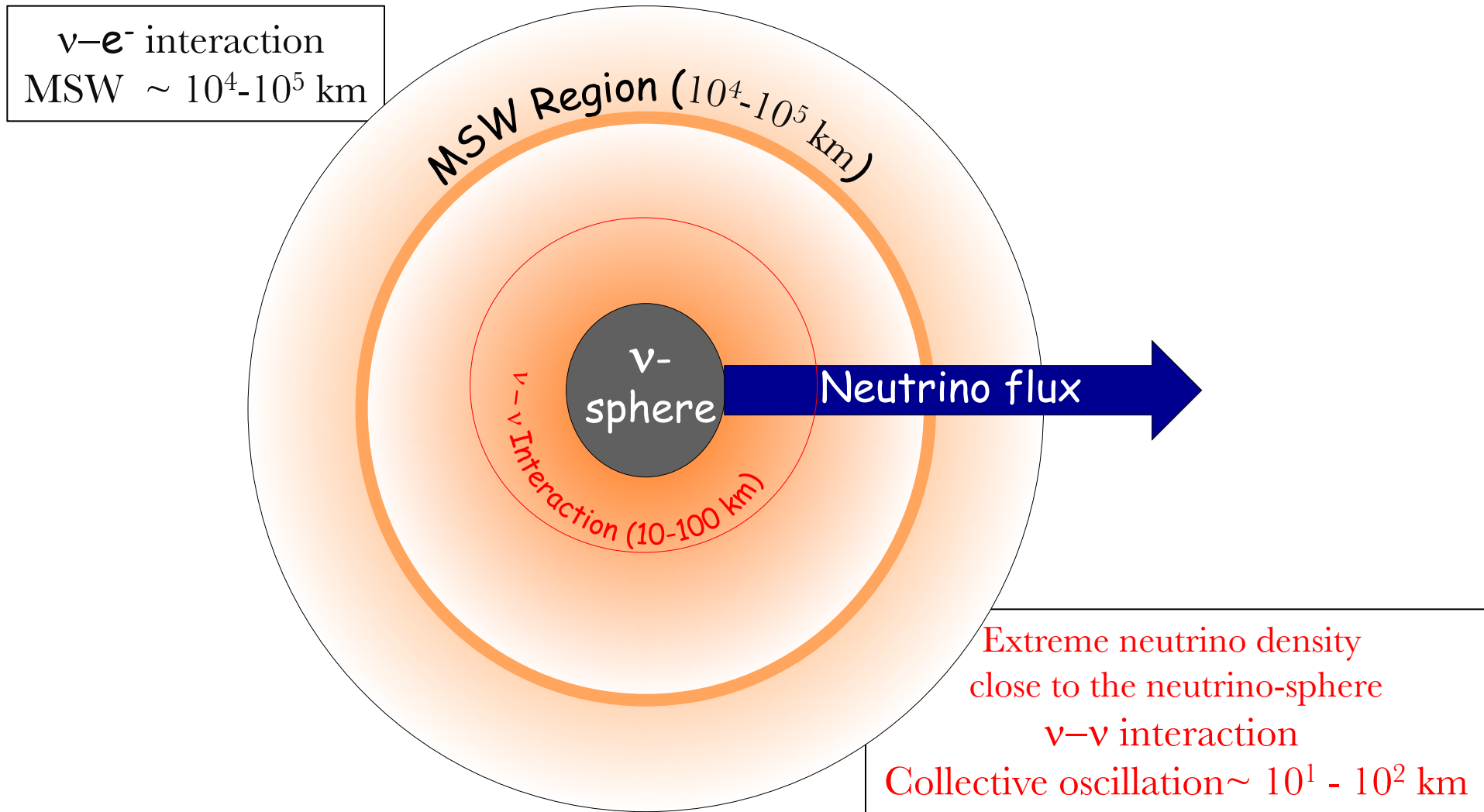
- Cooling by ν diffusion

Thermal Burst: pair production



- **ν_e Burst and Accretion:** Best phase to study oscillation.
- **Cooling:** Oscillation effects are negligible.
- **Accretion:** How to rejuvenate the stalled shock?

SN ν Flavor Transitions: Collective Oscillation



- **Flavor Oscillation:** In far separated regions, can be treated independently

SN ν Flavor Transitions: Collective Oscillation

Extreme neutrino density
close to the neutrino-sphere
 ν - ν interaction

Collective oscillation $\sim 10^1 - 10^2$ km

Slow Collective Oscillations,

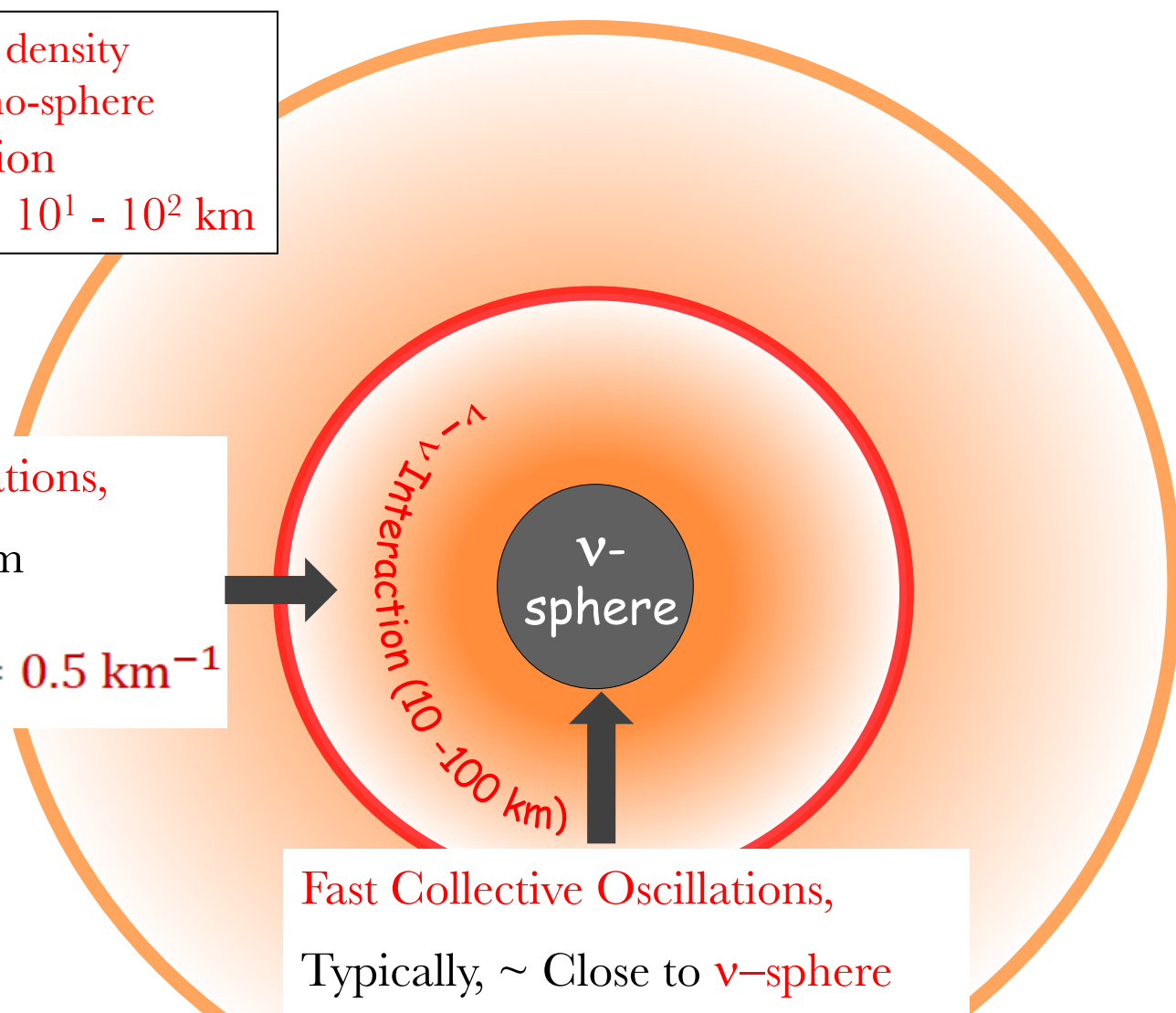
Typically, \sim few 100 km

$$\frac{\Delta m_{\text{atm}}^2}{2E} = 10^{-10} \text{ eV} = 0.5 \text{ km}^{-1}$$

Fast Collective Oscillations,

Typically, \sim Close to ν -sphere

$$\sqrt{2}G_{\text{F}}n_{\nu} = 10^{-5} \text{ eV} = 0.5 \text{ cm}^{-1}$$



Sanduleak -69 202

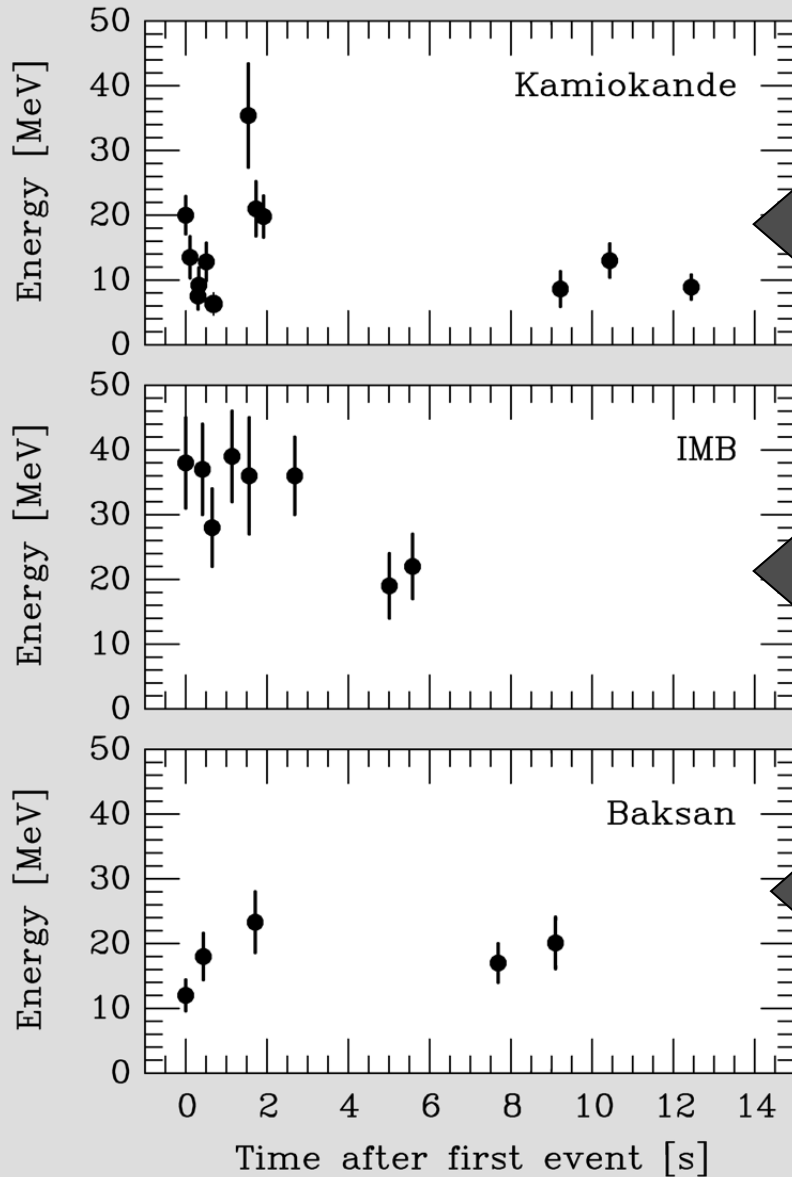


Supernova 1987A

23 February 1987



...but about two hours before:



Kamiokande-II (Japan)
Water Cherenkov detector
2140 tons
Clock uncertainty ± 1 min

Irvine-Michigan-Brookhaven (US)
Water Cherenkov detector
6800 tons
Clock uncertainty ± 50 ms

Baksan Scintillator Telescope
(Soviet Union), 200 tons
Random event cluster $\sim 0.7/\text{day}$
Clock uncertainty $+2/-54$ s

The core collapse and ν cooling mechanism confirmed!

Neutrino Burst Observation : First verification of stellar evolution mechanism

Friday, February 23, 2007

Supernova 1987A



23 February 1987,
7:35 UT

Anomalously high neutrino counting rates observed in the [Kamiokande](#), [IMB](#), and [Baksan](#) neutrino detectors

23 February 1987,
10:30 UT

[Robert McNaught](#) photographs the Large Magellanic Cloud. When he develops the plate, a bright new star shows up.

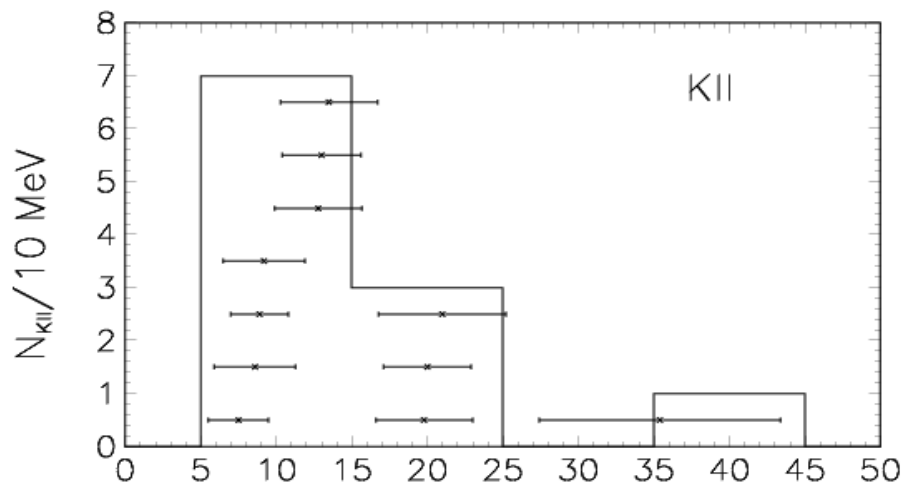
24 February 1987,
5:30 UT

Astronomer [Ian Shelton](#) at the Las Campanas Observatory, Chile, sees with his naked eyes a new star in the Large Magellanic Cloud.

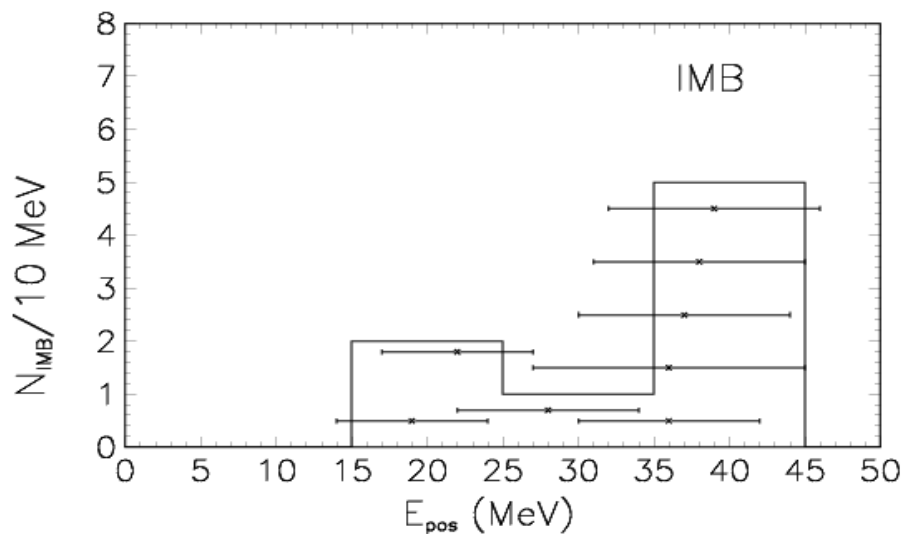
[html](#)



Energy Distribution of SN 1987A Neutrinos

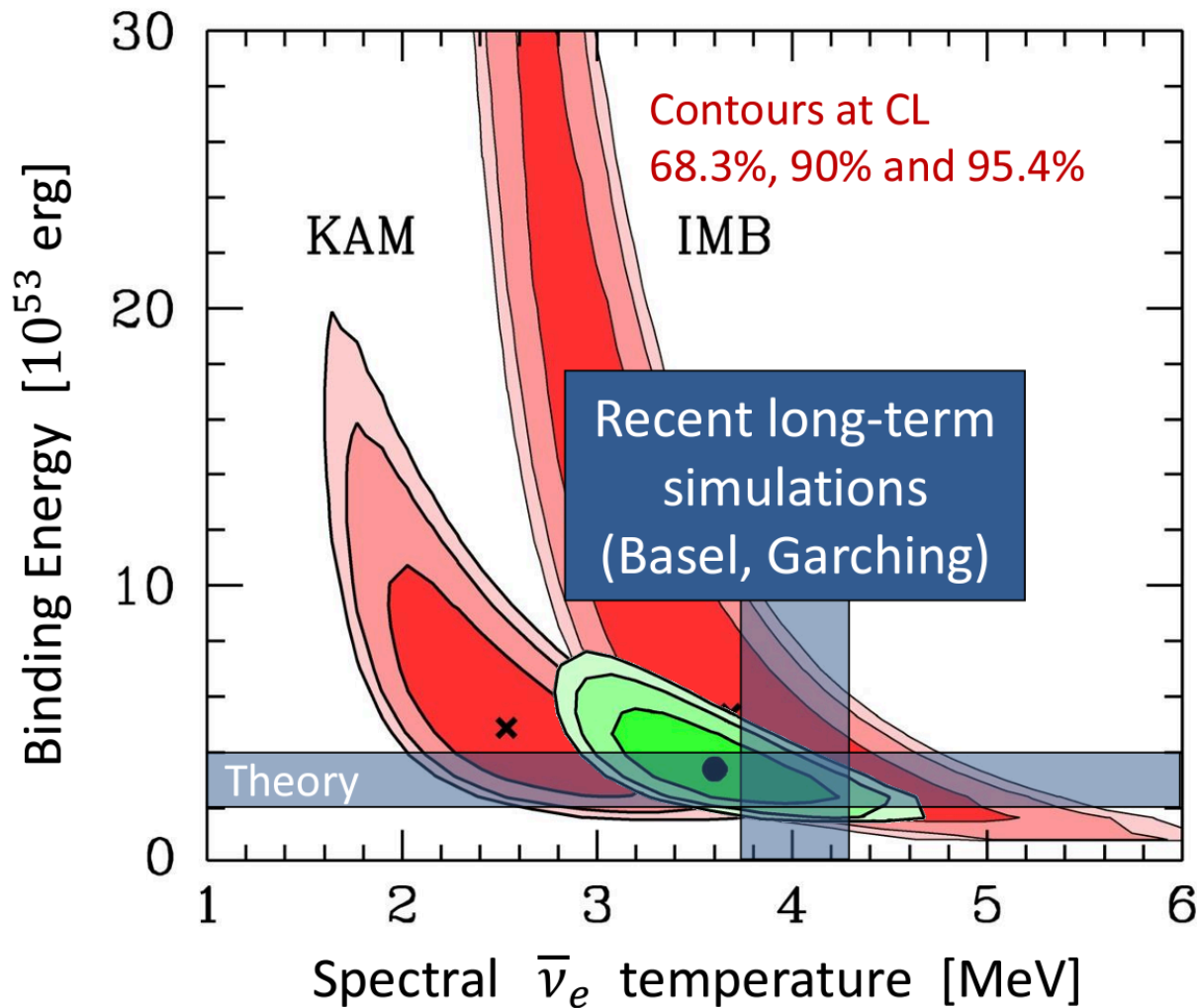


Kamiokande-II (Japan)
Water Cherenkov detector
2140 tons



Irvine-Michigan-Brookhaven (US)
Water Cherenkov detector
6800 tons

Interpreting SN1987A neutrinos



Assume

- Thermal spectra
- Equipartition of energy between $\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau$ and $\bar{\nu}_\tau$

Jegerlehner,
Neubig & Raffelt,
PRD 54 (1996) 1194

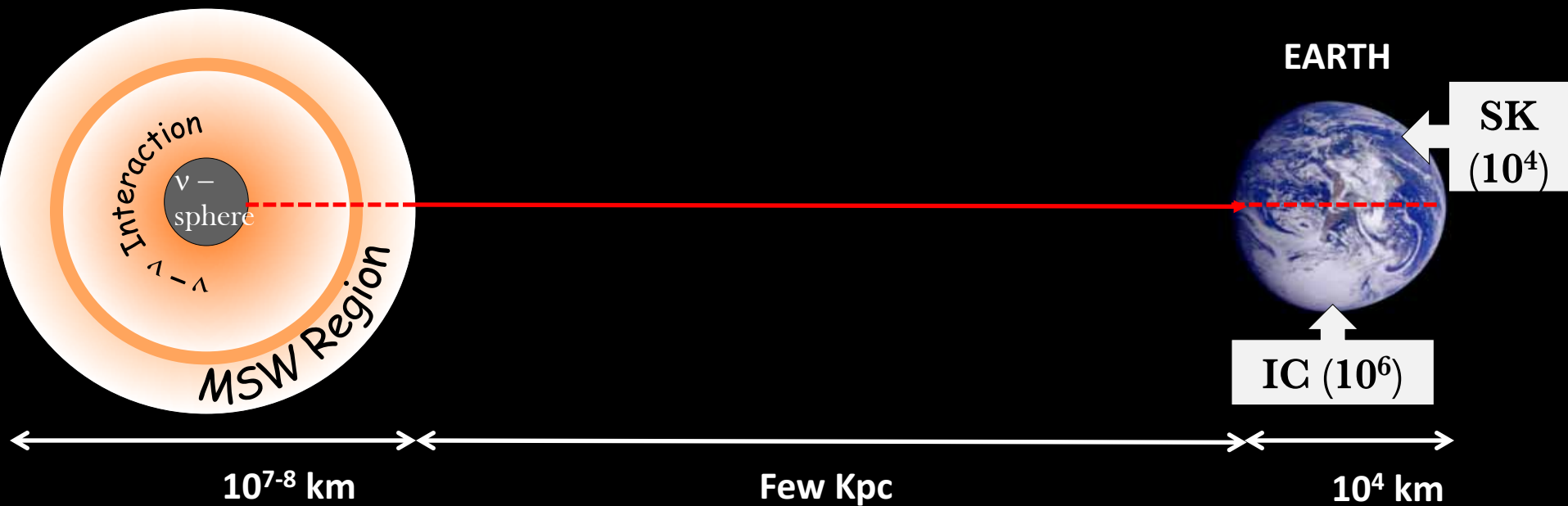
What could we see “tomorrow”?

SN 20XXA !



Typical problems in supernova neutrinos

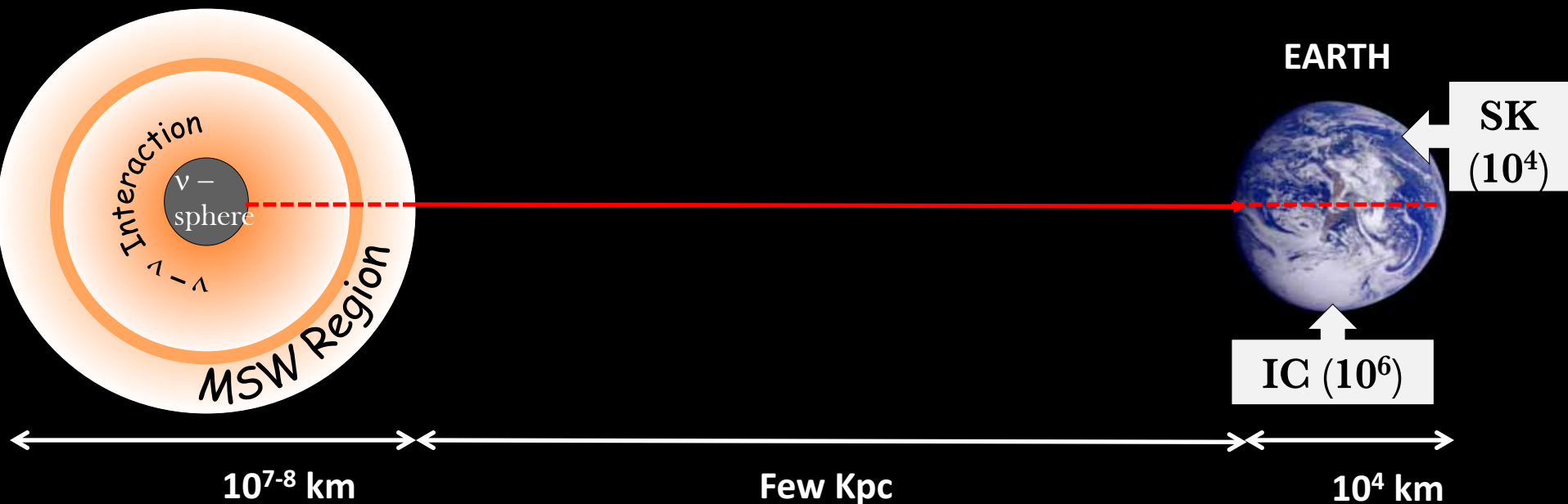
Core Collapse



In brackets events for a “fiducial SN” at distance 10 kpc

Typical problems in supernova neutrinos

Core Collapse



Mton scale water Cherenkov detectors
(10^5 events) ($\bar{\nu}_e$)

HYPER-KAMIOKANDE



40 kton Liquid Argon TPC
(3000 events) (ν_e)

DUNE



Dark matter detectors

DARWIN
40 tons
(700 events)
($\nu_{e,x}, \bar{\nu}_{e,x}$)



20 kton scintillator
(6000 events) ($\bar{\nu}_e$)

JUNO

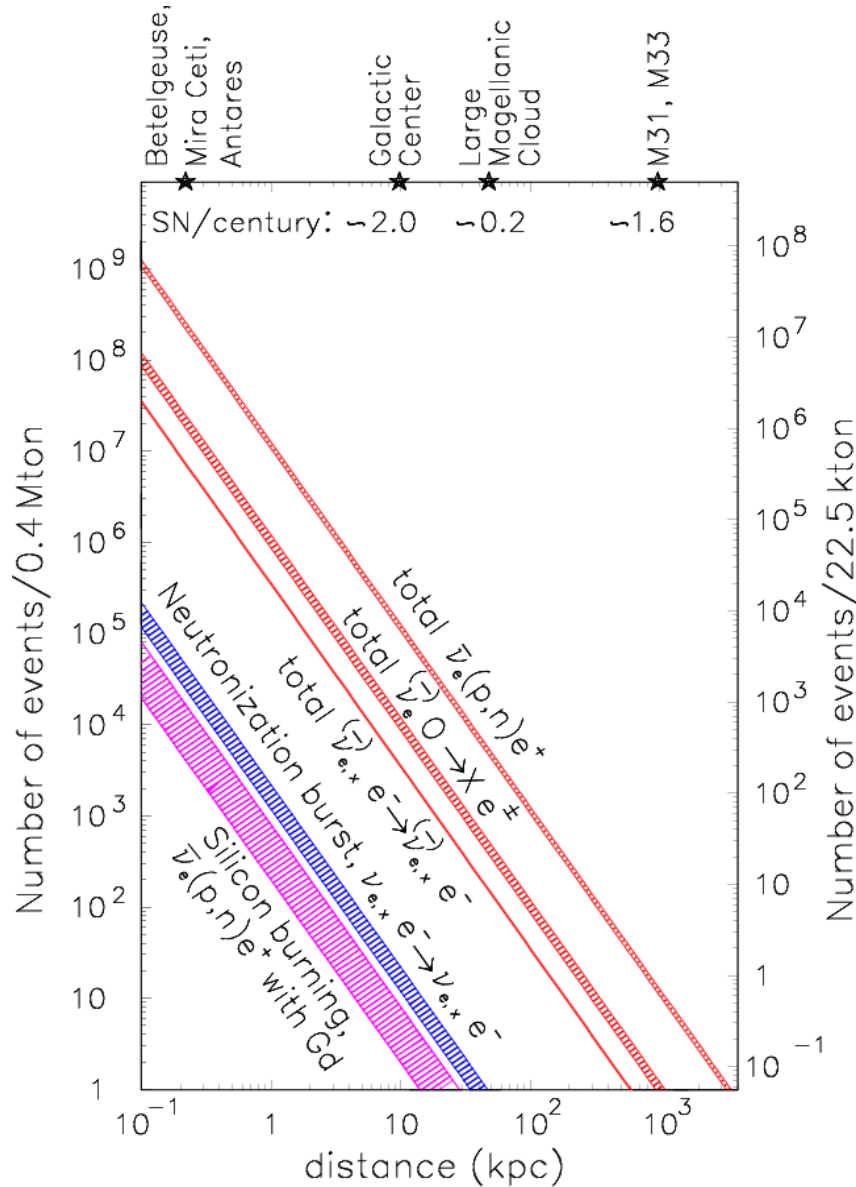


Large Detectors for Supernova Neutrinos

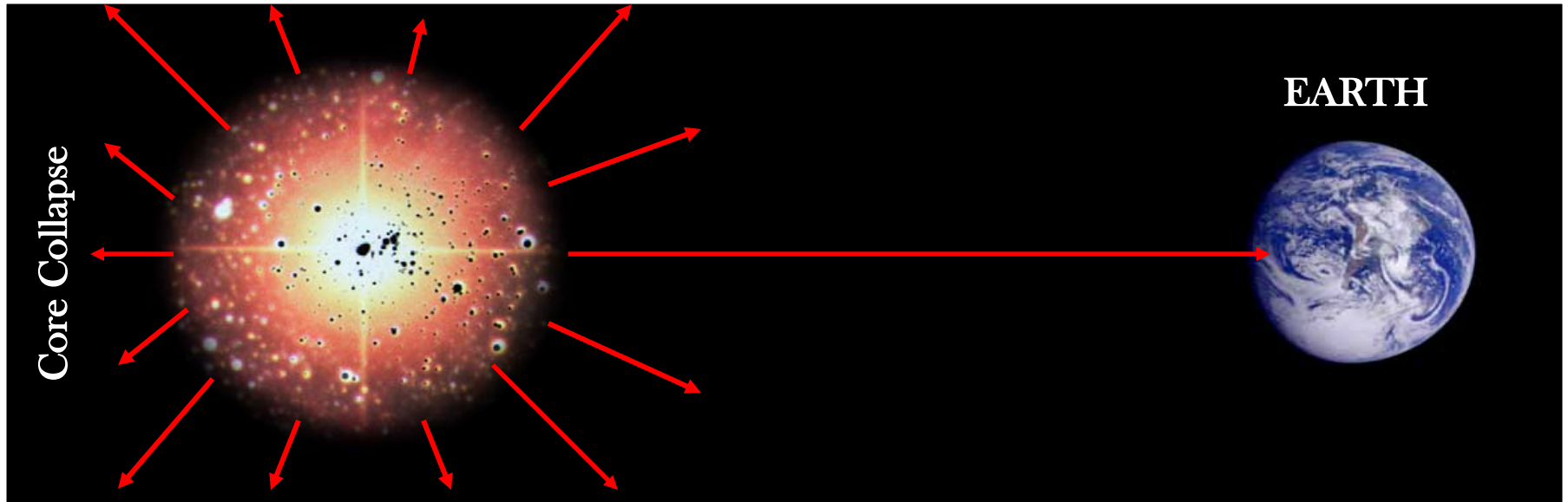
Detector	Type	Mass (kt)	Location	Events	Flavors	Status
Super-Kamiokande	H ₂ O	32	Japan	7,000	$\bar{\nu}_e$	Running
LVD	C _n H _{2n}	1	Italy	300	$\bar{\nu}_e$	Running
KamLAND	C _n H _{2n}	1	Japan	300	$\bar{\nu}_e$	Running
Borexino	C _n H _{2n}	0.3	Italy	100	$\bar{\nu}_e$	Running
IceCube	Long string	(600)	South Pole	(10 ⁶)	$\bar{\nu}_e$	Running
Baksan	C _n H _{2n}	0.33	Russia	50	$\bar{\nu}_e$	Running
MiniBooNE*	C _n H _{2n}	0.7	USA	200	$\bar{\nu}_e$	(Running)
HALO	Pb	0.08	Canada	30	ν_e, ν_x	Running
Daya Bay	C _n H _{2n}	0.33	China	100	$\bar{\nu}_e$	Running
NO ν A*	C _n H _{2n}	15	USA	4,000	$\bar{\nu}_e$	Turning on
SNO+	C _n H _{2n}	0.8	Canada	300	$\bar{\nu}_e$	Near future
MicroBooNE*	Ar	0.17	USA	17	ν_e	Near future
DUNE	Ar	34	USA	3,000	ν_e	Proposed
Hyper-Kamiokande	H ₂ O	560	Japan	110,000	$\bar{\nu}_e$	Proposed
JUNO	C _n H _{2n}	20	China	6000	$\bar{\nu}_e$	Proposed
RENO-50	C _n H _{2n}	18	Korea	5400	$\bar{\nu}_e$	Proposed
PINGU	Long string	(600)	South Pole	(10 ⁶)	$\bar{\nu}_e$	Proposed

Large Detectors for Supernova Neutrinos

Example of 0.4 Mton WC detector



Supernova neutrino signal at detectors



SN neutrinos arrive several hours before photons: Alert Astronomers

<http://snews.bnl.gov>

- Energy Spectra:

Spectral split, Earth matter effect

- Time Spectra:

Neutronization Burst, SASI Modes, Bound on Neutrino velocity,

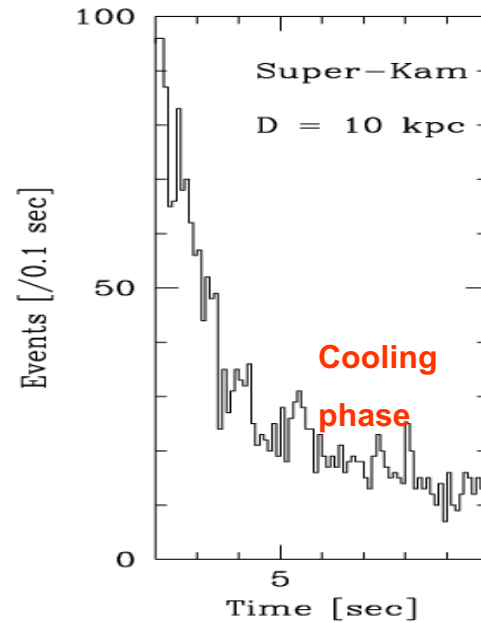
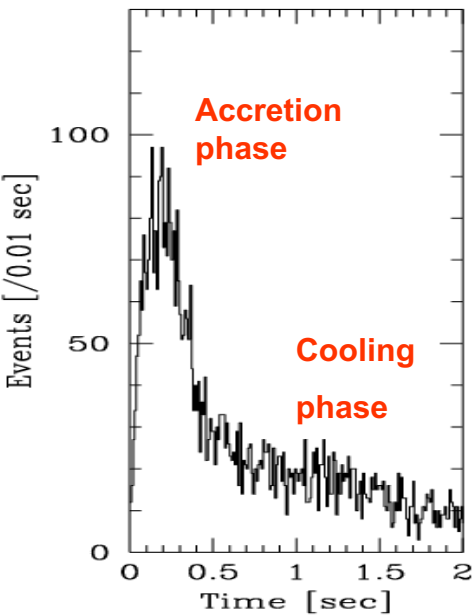
Shock wave effect, earth effect, **Rise Time Analysis** etc..

Energy and Flavor Identification

- WC (SK/HK): $\bar{\nu}_e$ average energy can be reconstructed to $\sim 6-10\%$ accuracy, can measure flux, spectrum and angular distributions vs time.
- Liquid Ar: ν_e crucial flavor for Neutronization Burst.
GDSK, GDHK?? [Nikrat, Laha, Horiuichi, 1711.0008]
- ν_x ?? Large scintillator spectral technique? [Beacom, Farr, Vogel, hep-ph/0205220, Dasgupta and Beacom, 1103.2768]
Direct Dark Matter detector?

Bandopadhaya, Bhattacharya, SC, Kar PRD 2014,
Lang, McCabe, Reichard, Selvi & Tamborra, arXiv:1606.09243

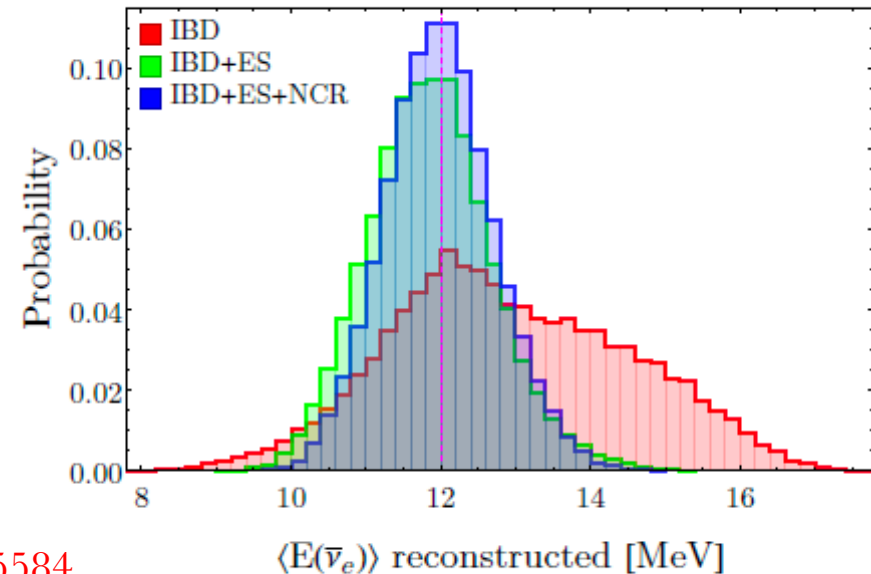
Simulated Supernova Signal: Super-Kamiokande



Simulation for Super-Kamiokande SN signal at 10 kpc, based on a numerical Livermore model

Totani, Sato, Dalhed & Wilson, ApJ 1998.

Electron antineutrino average energy can be reconstructed with $\sim 6\%$ accuracy

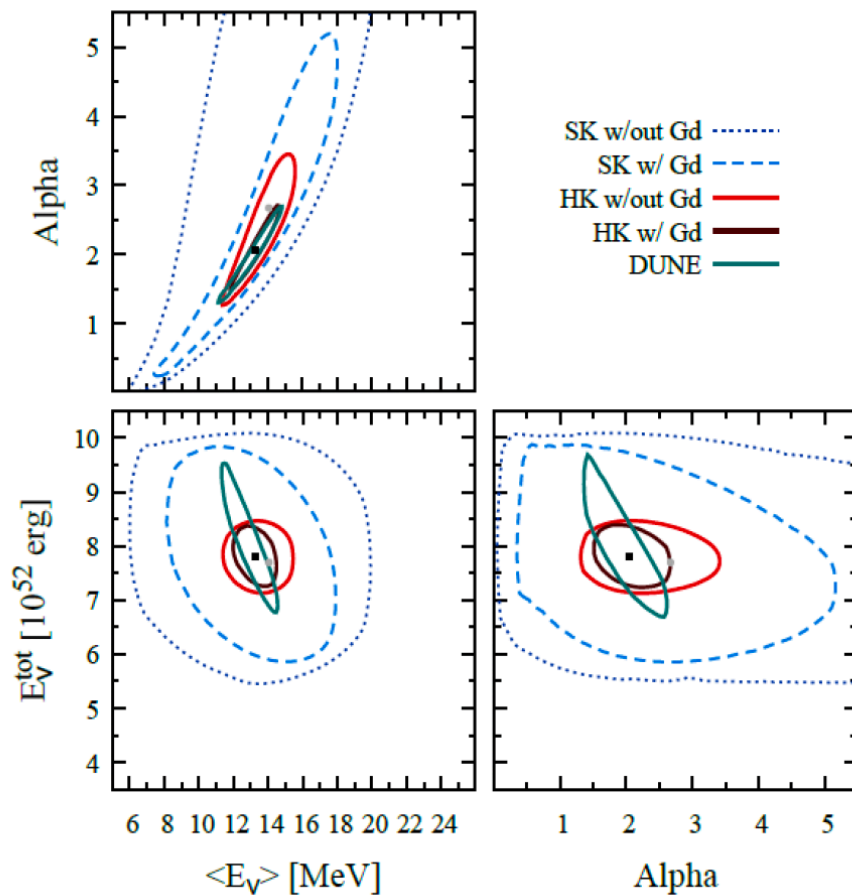


Gallo Rosso, Vissani and Volpe, 1708.00760; 1712.05584

(a) $\langle E_{\bar{\nu}_e} \rangle$ in Super-Kamiokande

Identifying Electron Neutrinos

[Nikrat, Laha, Horiuchi, 1711.0008]



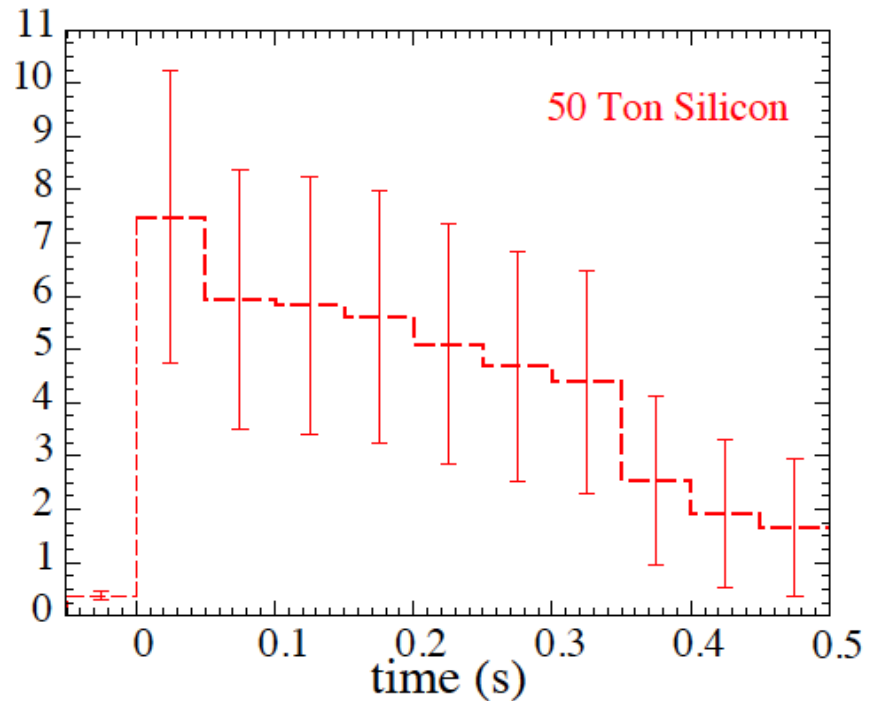
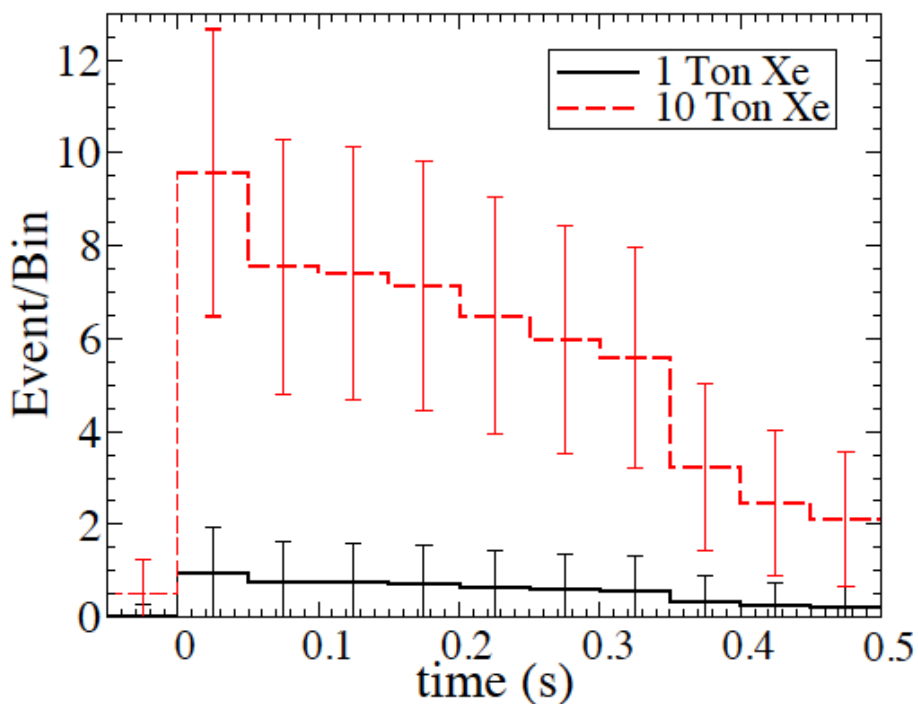
Parameter	SK	SK+Gd	HK	HK+Gd	DUNE
$\langle E_{\nu_e} \rangle$	$\pm 50\%$	$\pm 40\%$	$\pm 15\%$	$\pm 10\%$	$\pm 10\%$
$E_{\nu_e}^{\text{tot}}$	$\pm 30\%$	$\pm 20\%$	$\pm 10\%$	$\pm 7\%$	$\pm 20\%$
α_{ν_e}	N/A	$\pm 110\%$	$\pm 50\%$	$\pm 30\%$	$\pm 30\%$

Channel	Super-K	Hyper-K	DUNE
ν_e scattering	300	3,500	260
$\bar{\nu}_e$ scattering	84	970	73
ν_x scattering	41	480	36
$\bar{\nu}_x$ scattering	31	370	28
^{16}O	110	1,300	...
IBD	9,800	110,000	...
^{40}Ar	2,200

Accretion phase in a Direct Dark Matter detector

Coherent Elastic Neutrino-Nucleus Scattering (CENNS)

Neutral current: Measure of mu and tau flavor neutrinos

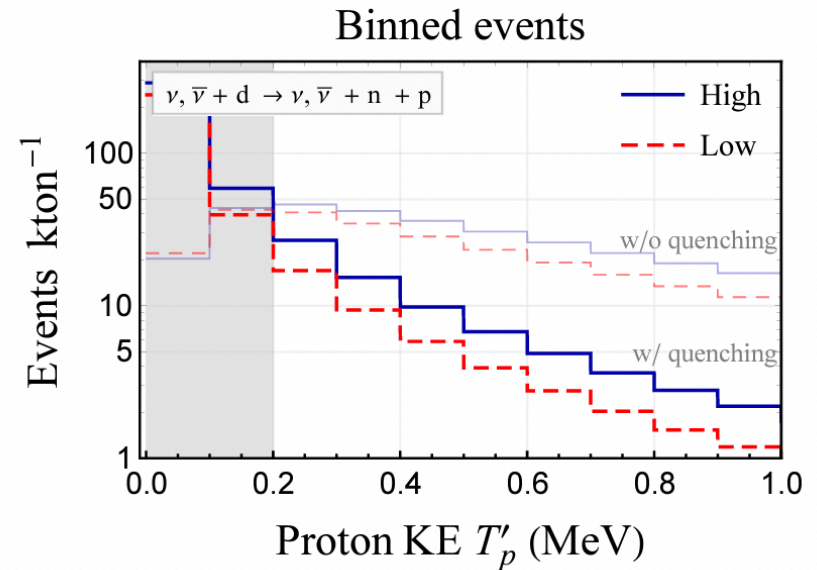
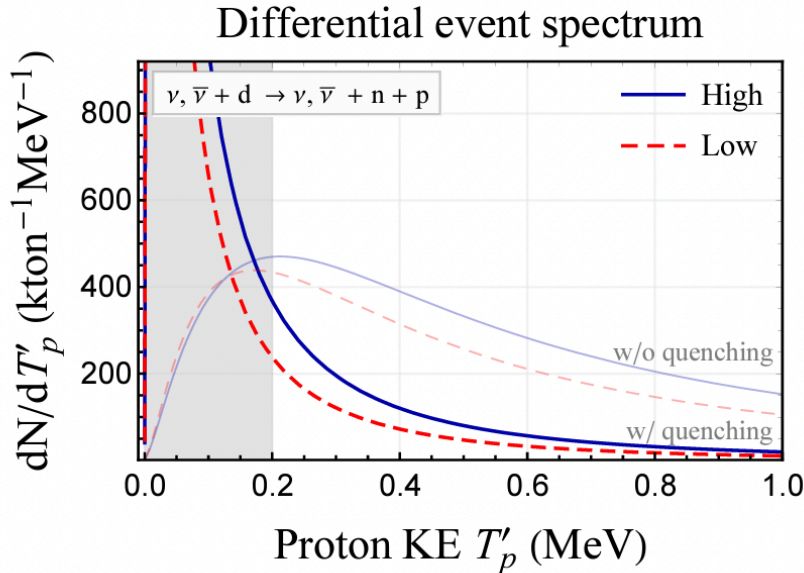
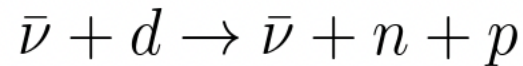
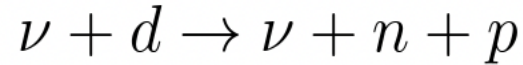


S.C, P. Bhattacharjee & Kamales Kar PRD, 2014

Lang, McCabe, Reichard, Selvi & Tamborra, arXiv:1606.09243

Deuterated liquid scintillator detector (DLS)

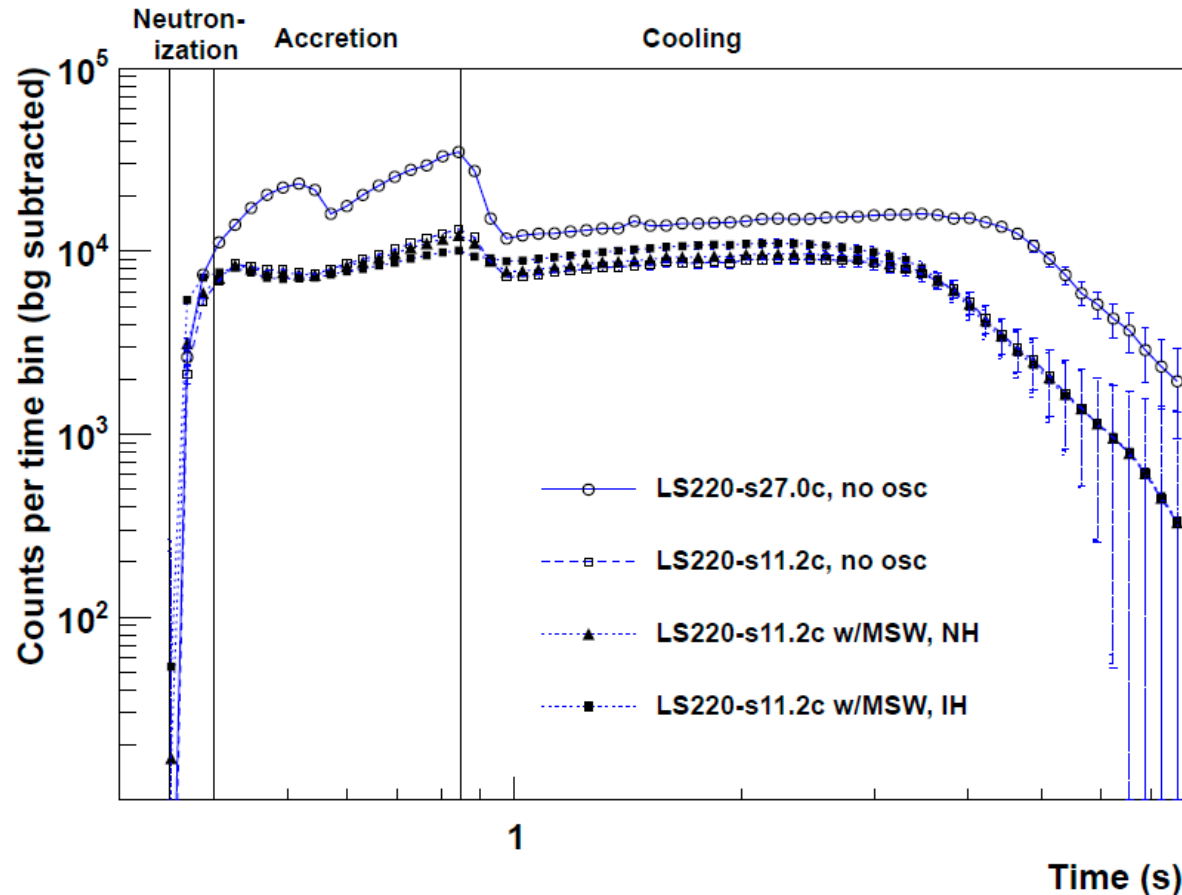
Neutral current: Measure of mu and tau flavor neutrinos



Few 100 events for Galactic SNe

Chauhan, Dasgupta & Datar, JCAP 2021

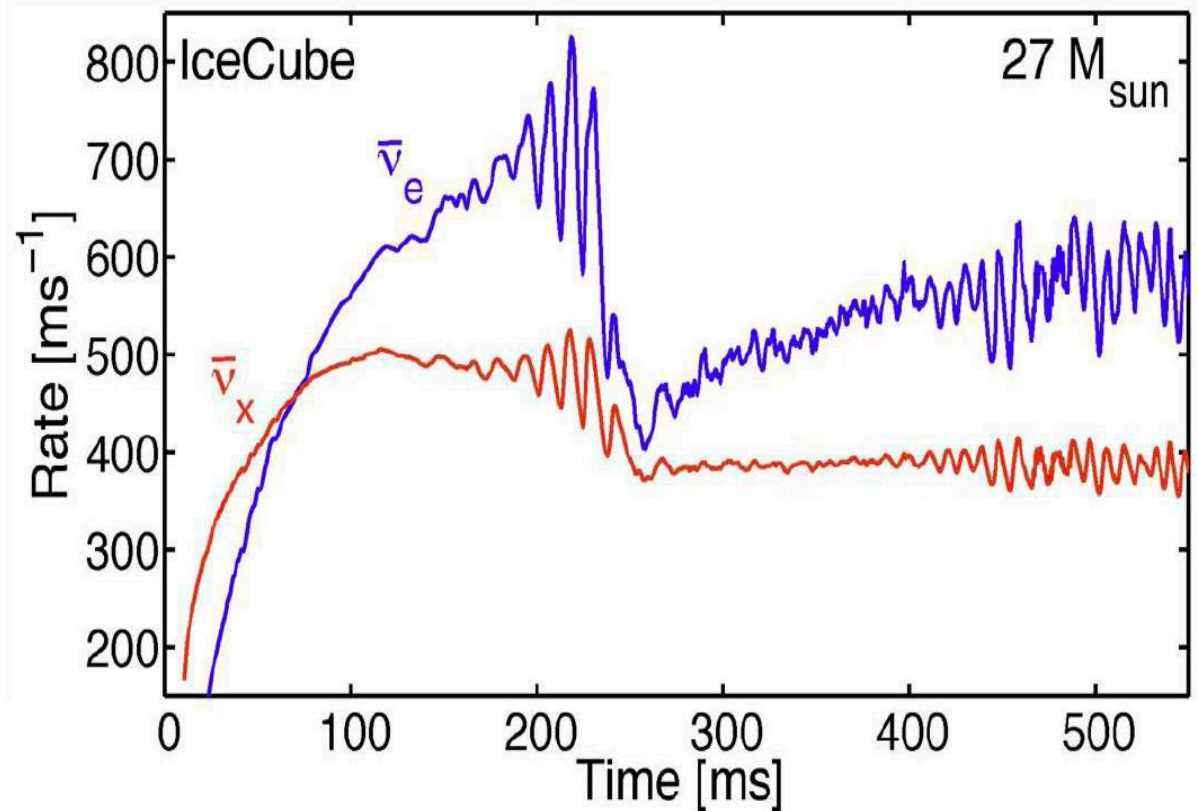
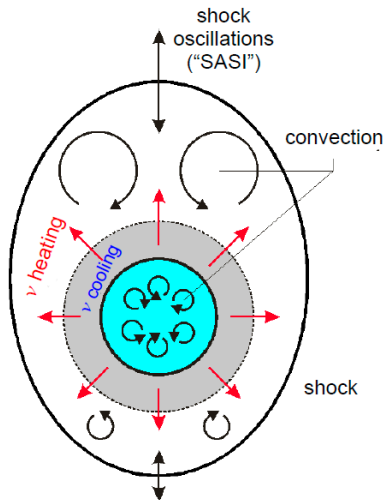
Simulated Supernova Signal: IceCube



- Reconstruction of the electron anti-neutrino light curve.
- Possible to distinguish the different post-bounce phases.

Short Time Variations In SN neutrino Signal

Convective overturn & shock oscillations “SASI” enhance the efficiency of ν -heating, which finally revives the shock



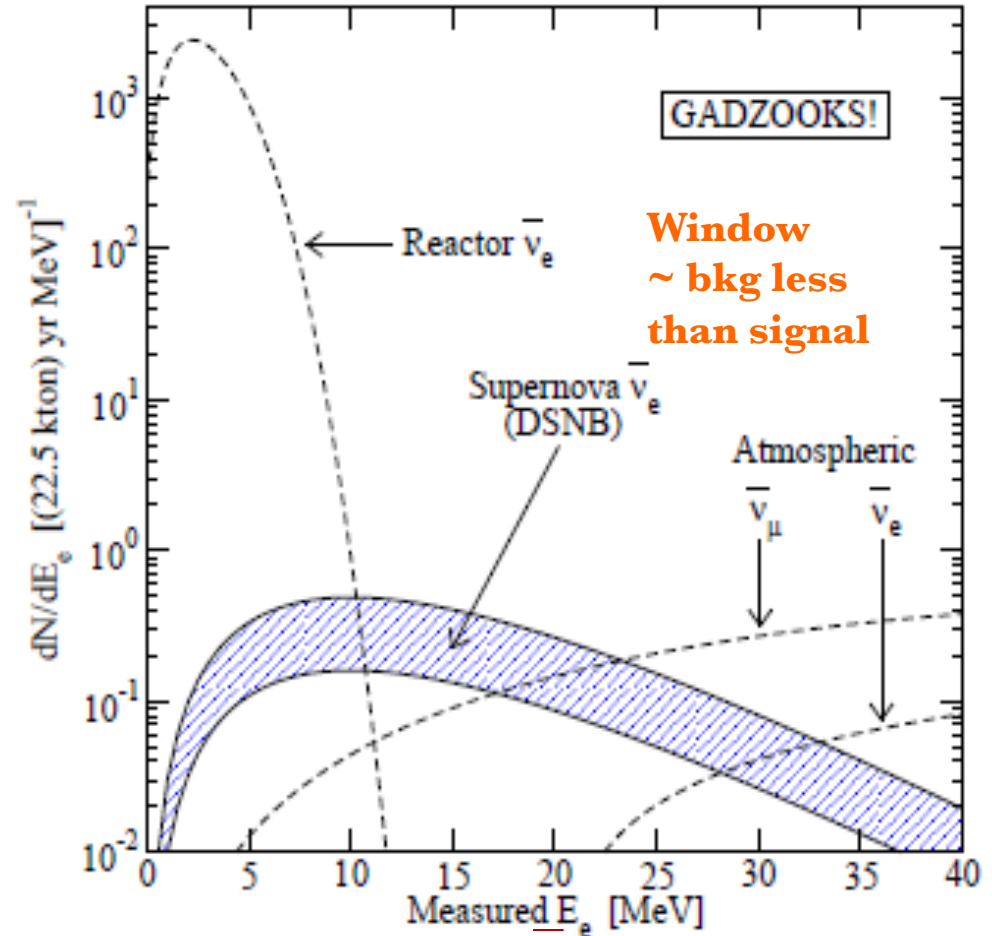
Convective motions lead to large amplitude oscillations of the stalled shock with a period of ~ 10 ms

Necessary high statistics and high time resolution: IceCube

Diffuse SN Neutrino Background (DSNB)

- Approx. 10 core-collapse/sec in the visible universe
- mostly from redshift $z \sim 1$
- Confirm star formation rate

[Beacom & Vagins, hep-ph/0309300]



SK-doped with Gd would detect few clear DSNB neutrino events/year.

Neutrino astronomy at cosmic distances !

Neutrino Astronomy

- Spin $1/2$

- **Chargeless**

No bending in magnetic fields →
Points back to the source

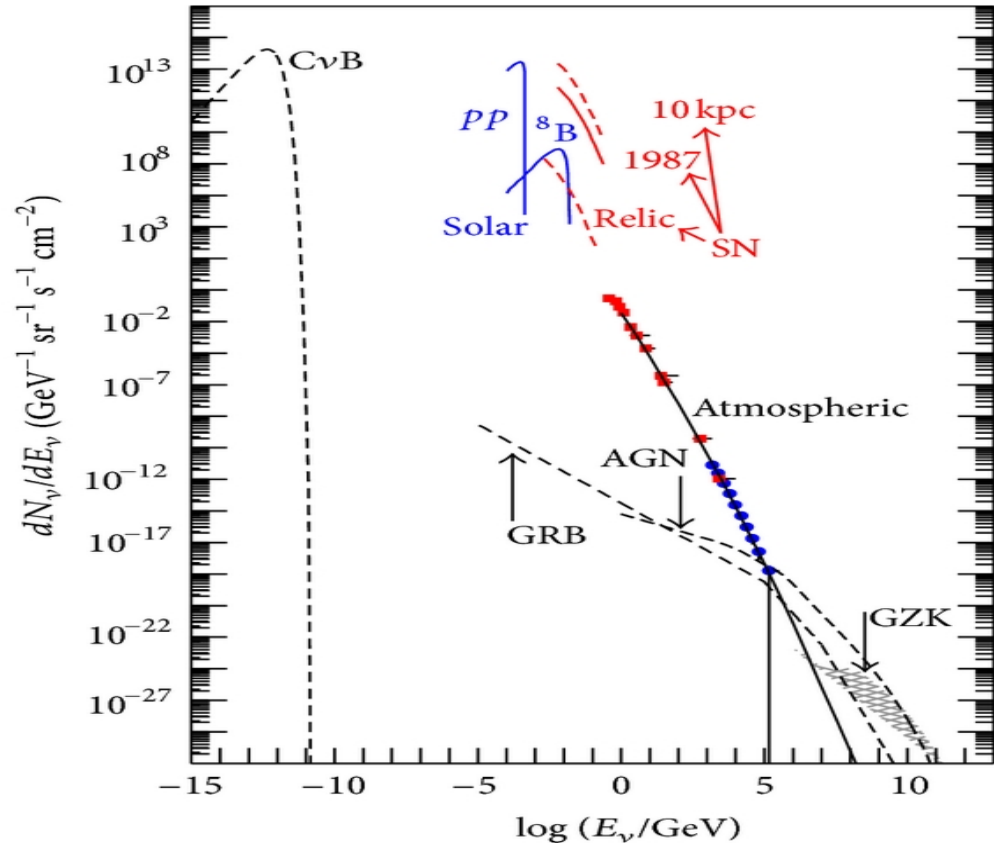
- **Weakly interacting**

Minimal scattering → Arrive from
regions opaque to light

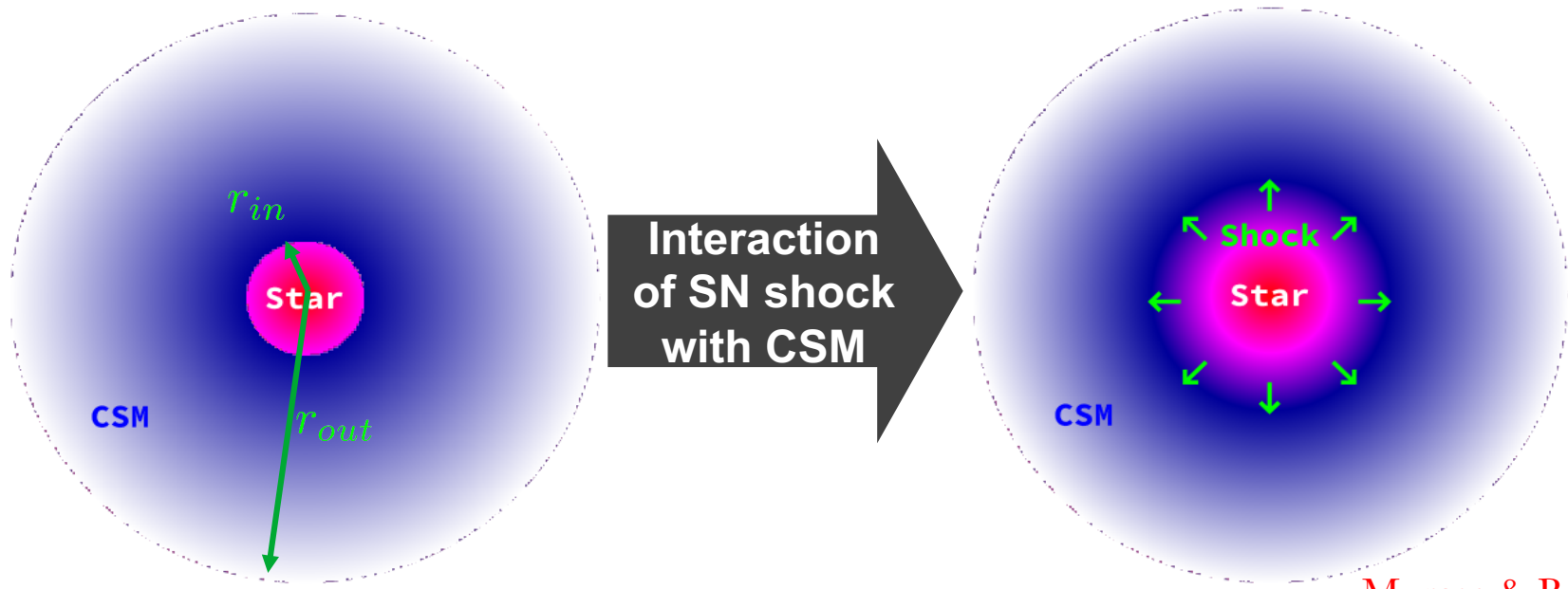
Excellent Astronomical Messenger!!

- Almost massless

Neutrino Oscillations



Young SNe and Dense Circumstellar Material

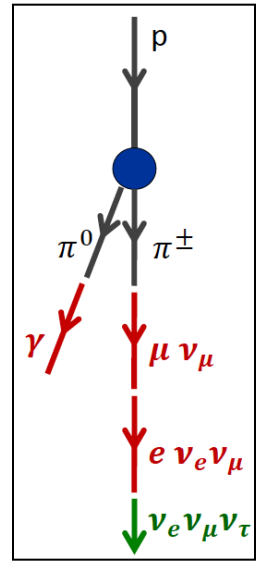


Murase & Beacom, 2011
Ofek et al, ApJ, 2014

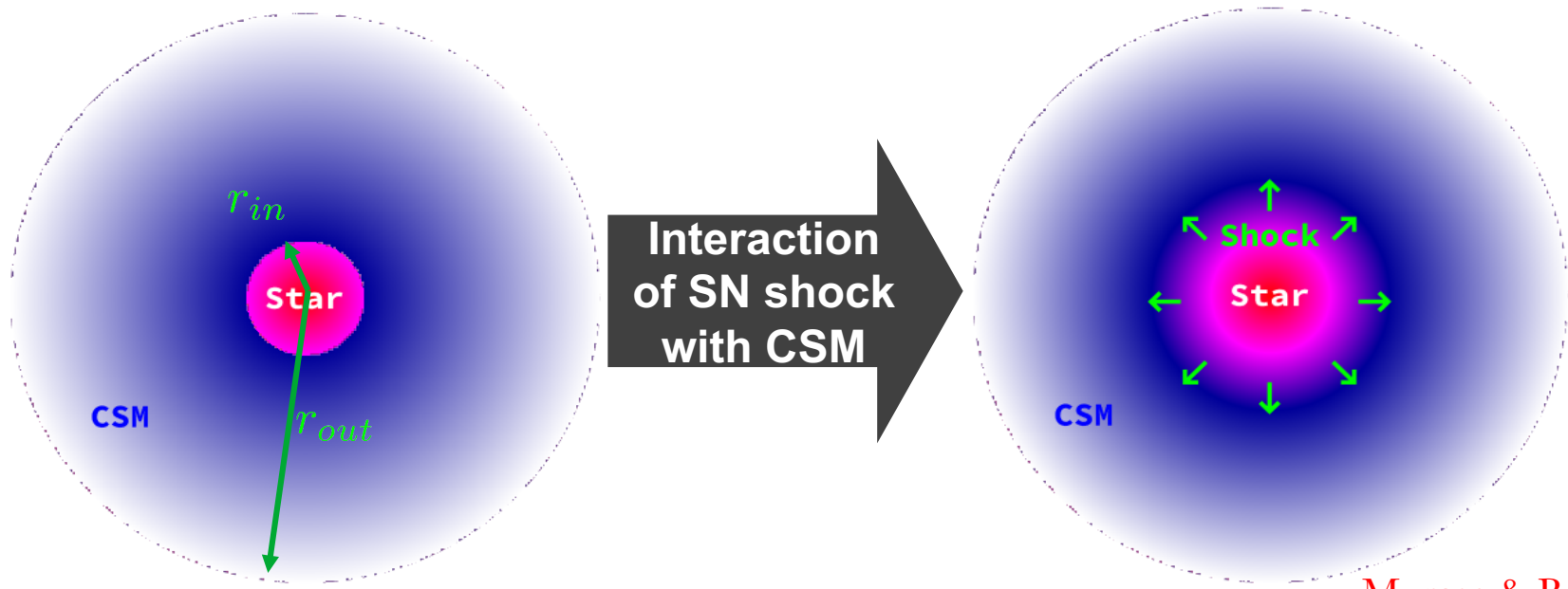
- Heavy mass loss (up to $10 M_{\odot}/\text{yr}$) prior to explosion creates a Dense Circumstellar Medium (CSM), mostly protons.
- Shock-CSM interaction: Accelerates protons to up to 10-100 PeV, initiating hadronic channels for neutrinos and gamma ray's

Fluxes at source:

$$\phi_{\nu/\gamma}^S(E_{\nu/\gamma}, r) \propto n_{CSM}(r) N_p(E_p, r) F_{\nu/\gamma}(E_p, E_{\nu/\gamma})$$



Young SNe and Dense Circumstellar Material



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Ofek et al, ApJ, 2014

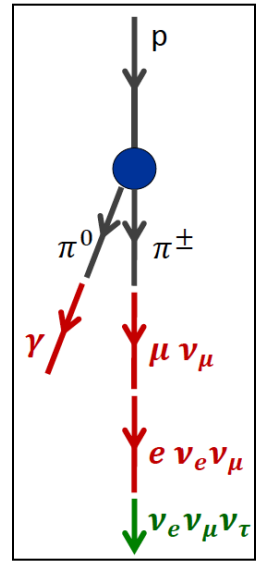
Fluxes at source:

$$\phi_{\nu/\gamma}^S(E_{\nu/\gamma}, r) \propto n_{CSM}(r) N_p(E_p, r) F_{\nu/\gamma}(E_p, E_{\nu/\gamma})$$

- Gamma rays are lost in source and cascade to low energies
- Gamma rays are attenuated due to EBL, important for 100 Mpc

Fluxes at detector:

$$\phi_{\nu/\gamma}^S(E_{\nu/\gamma}, r) \propto n_{CSM}(r) N_p(E_p, r) F_{\nu/\gamma}(E_p, E_{\nu/\gamma}) f_a(E_\gamma)$$



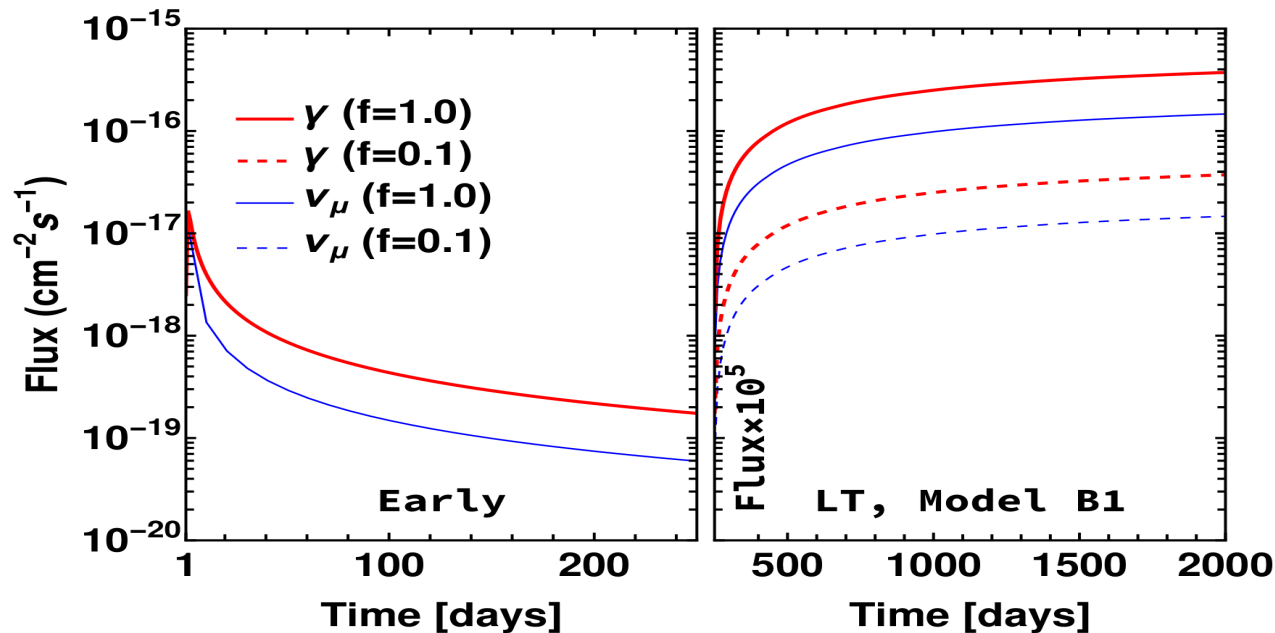
Young SNe and Dense Circumstellar Material

D. Brethauer et. al. 2020, 2022

Example: SN 2014C:

- Re-brightens after about a few hundred days.
- Due to interaction with a CSM far away from the progenitor (6×10^{16} cm).
- The CSM profile is not well understood.

Parameters	Early phase	Typical value (LT)	Uncertainty (LT)
v_{sh} (km s^{-1})	2×10^4	10^4	$(4-45) \times 10^4$
r_i (cm)	3×10^{11}	6×10^{16}	$(5.5-6) \times 10^{16}$
r_o (cm)	6×10^{16}	2.5×10^{17}	$(1-2.5) \times 10^{17}$
n_{CSM} (cm^{-3})	2×10^{12}	2×10^6	—
ϵ_p	10^{-1}	5×10^{-2}	$10^{-2}-10^{-1}$
ϵ_B	10^{-2}	1.5×10^{-2}	$10^{-3}-10^{-2}$
D_L (Mpc)	14.7	14.7	14.1 - 15.3
Onset time	180 s	250 days	(100-400) days
Declination	34°		—



(P. Sarmah, [S.C.](#), I Tamborra, K. Auchettl, JCAP 2022, PRD 2023)

Young SNe and Dense Circumstellar Material

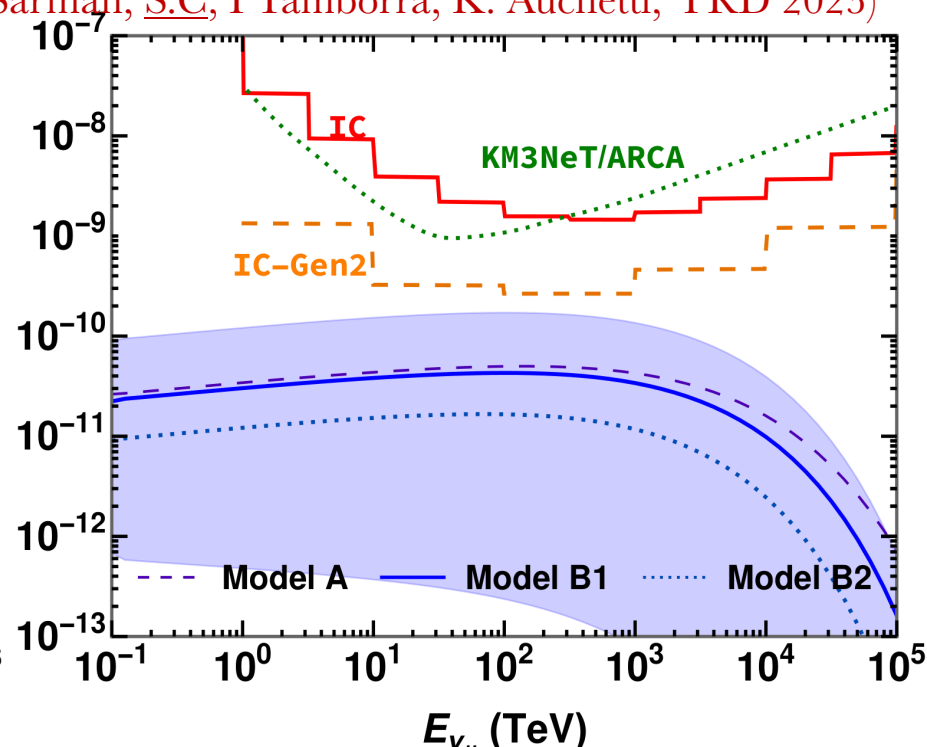
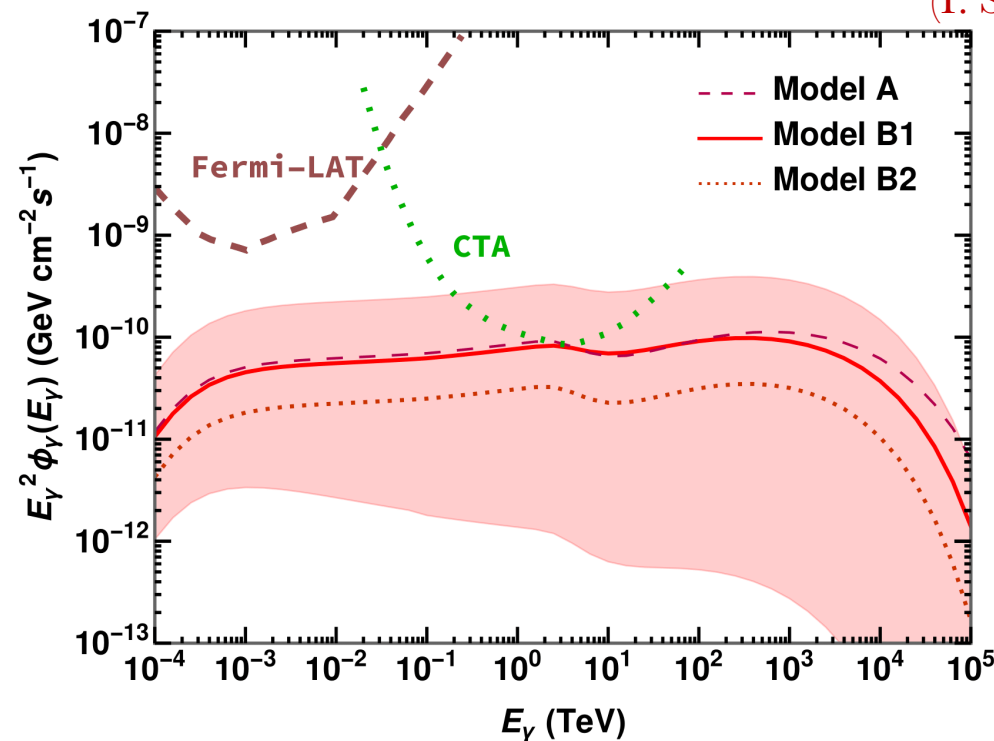
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(P. Sarmah, S.C, I Tamborra, K. Auchettl, PRD 2023)



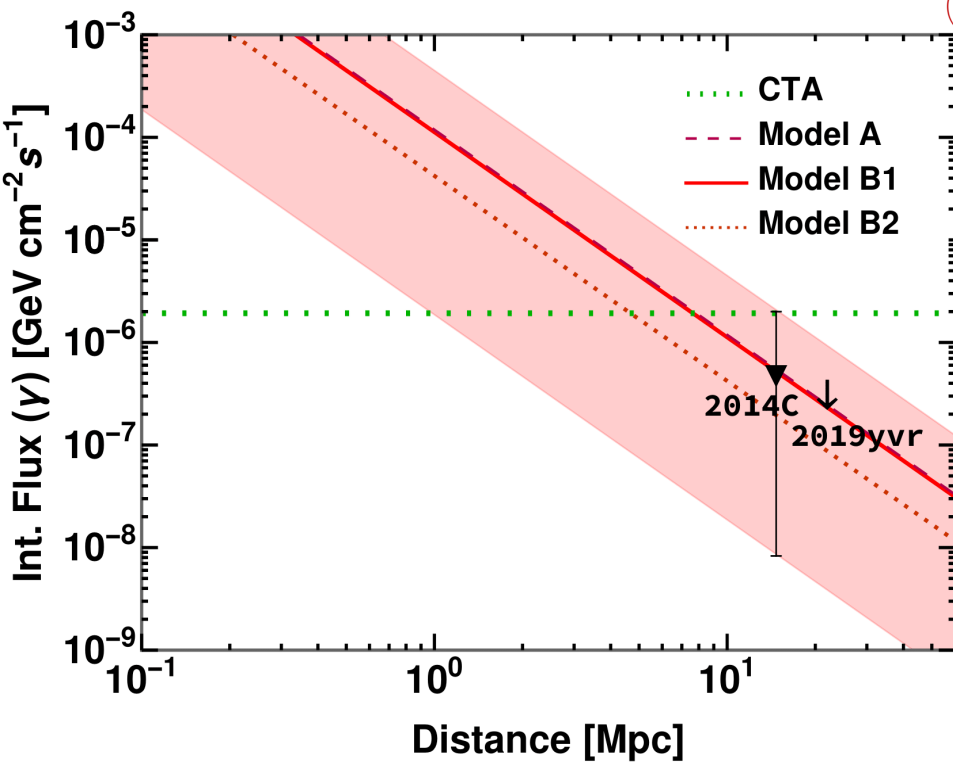
Young SNe and Dense Circumstellar Material

D. Brethauer et. al. 2020, 2022

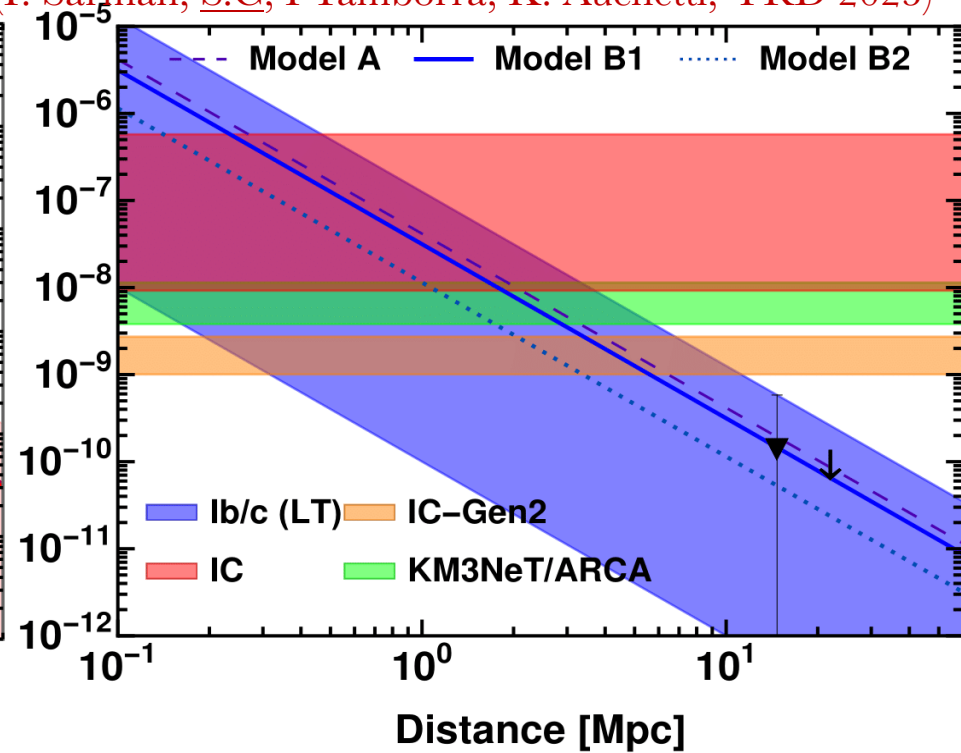
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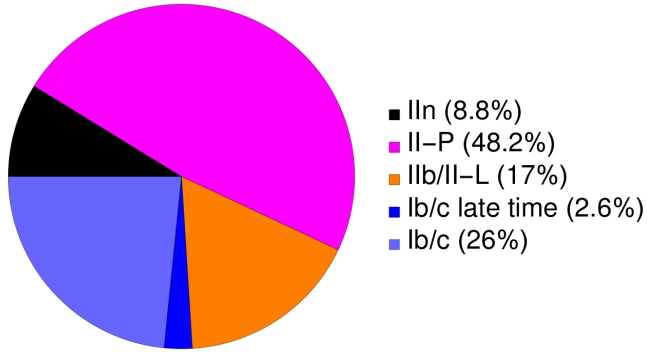


(P. Sarmah, S.C, I Tamborra, K. Auchettl, PRD 2023)



Young SNe and Dense Circumstellar Material

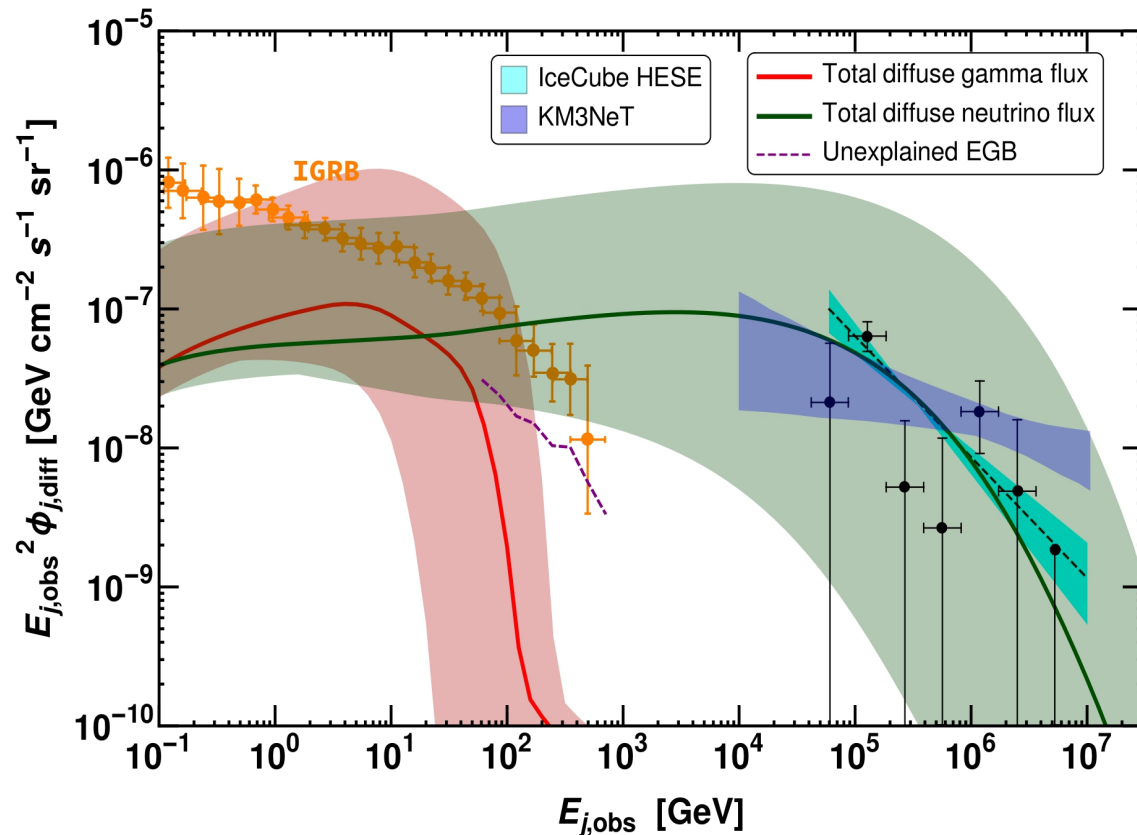
Classification of CCSNe



Ref: arXiv:1006.3899, 1601.06806

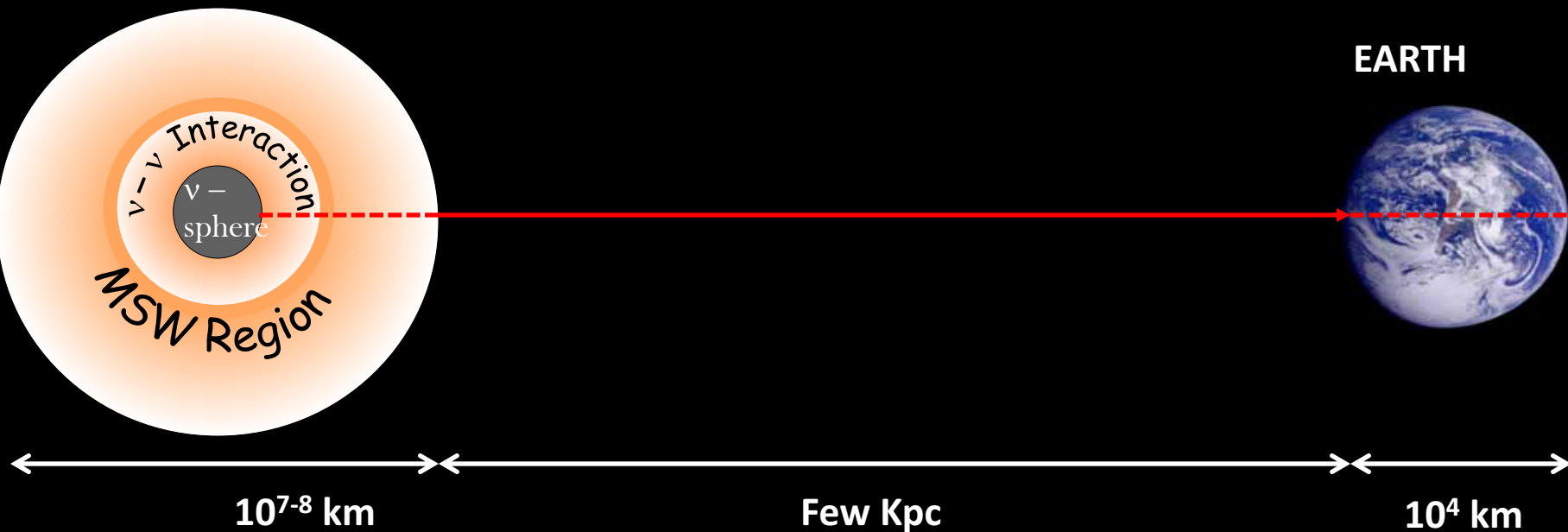
(P. Sarmah, S.C., I Tamborra, K. Auchettl, JCAP 2022)

Diffuse Flux



Supernova Neutrinos: HOW?

Core Collapse



Production

- Simulation of SN Explosion
- Initial energy spectra
- Initial time spectra
- Initial Angular spectra

Propagation

- Dense Neutrino Background
- Dense matter effect
- Shock wave, Earth effect
- New interactions

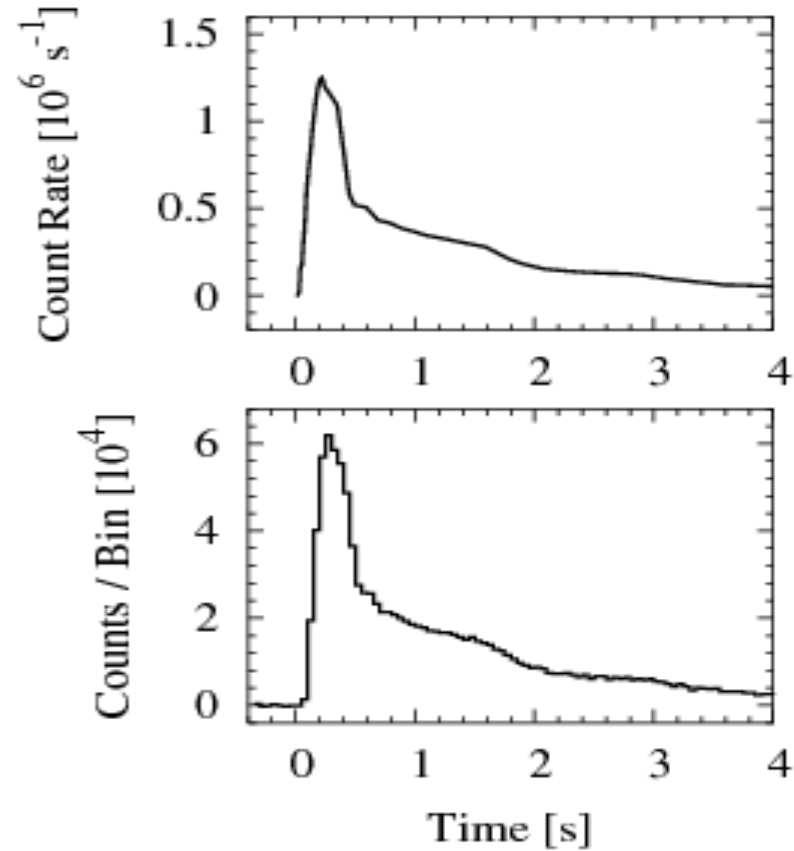
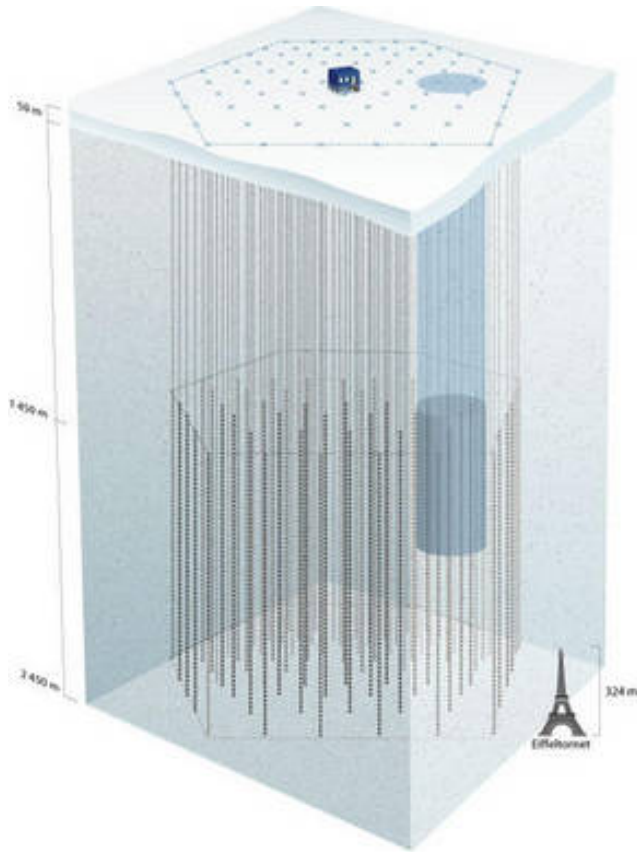
Detection

- Different detectors
- Different interaction channels
- Final energy spectra
- Final time spectra

Thank you!

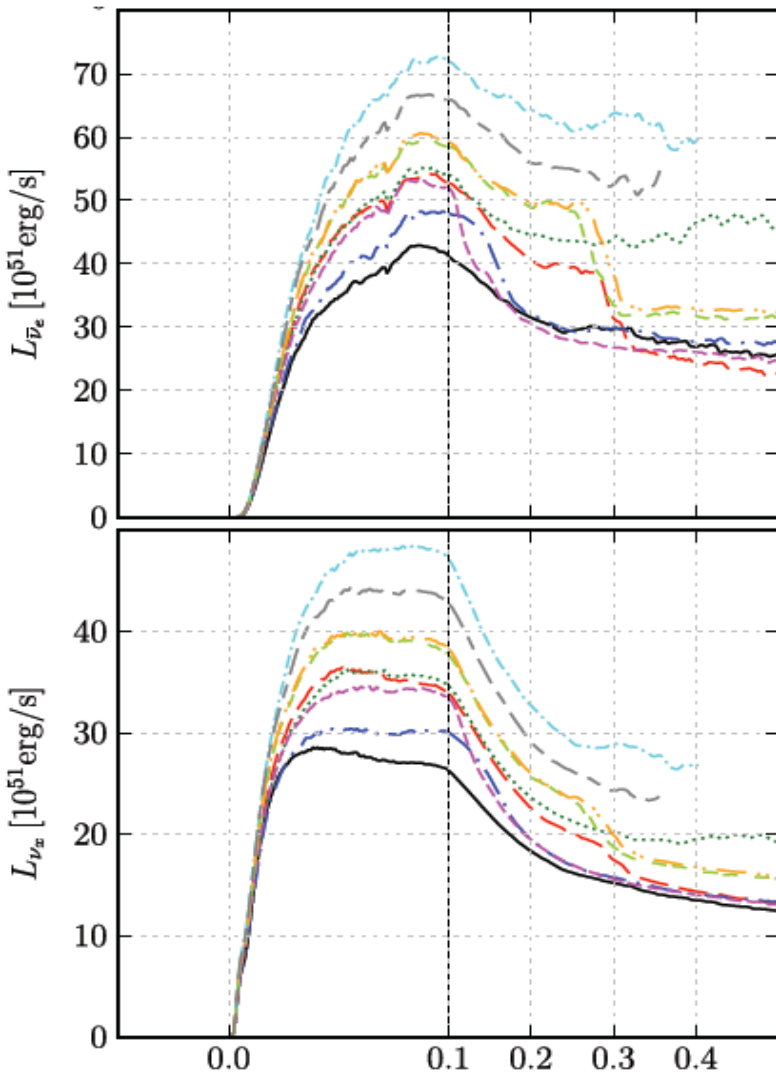
Simulated Supernova Signal at IceCube

[Dighe, Keil and Raffelt, hep-ph/0303210]



Possible to reconstruct the SN lightcurve with current detectors.

Rise time Analysis: Hierarchy Determination



Garching group, 2011

- High degeneracy of ν_e and e , suppresses $\bar{\nu}_e$ production.
- $\bar{\nu}_e$ more in equilibrium with environment than ν_x

Consistent feature in wide variety of simulations

Flux of ν_x rises faster than $\bar{\nu}_e$

$$\text{NH: } F_{\bar{\nu}_e} = \cos^2 \vartheta_{12} (F_{\bar{\nu}_e}^0 - F_{\nu_x}^0) + F_{\nu_x}^0$$

$$\text{IH: } F_{\bar{\nu}_e} = F_{\nu_x}^0$$

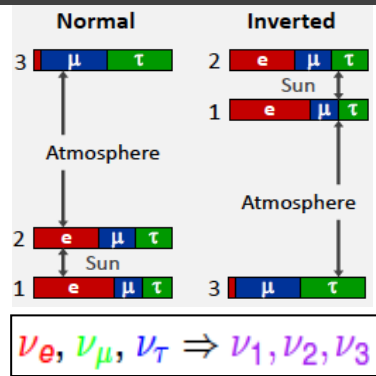
Flux in IH (ν_x) rises faster than NH ($\nu_x, \bar{\nu}_e$)

[Serpico, S.C, Fischer, Hüdepohl, Janka & Mirizzi

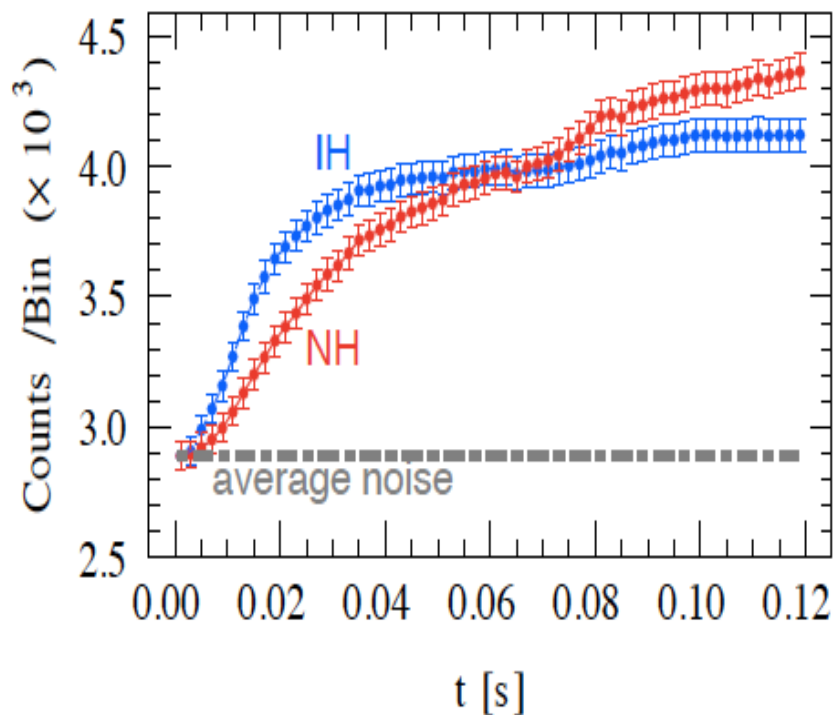
PRD 85:085031,2012]

Rise time analysis: Hierarchy determination

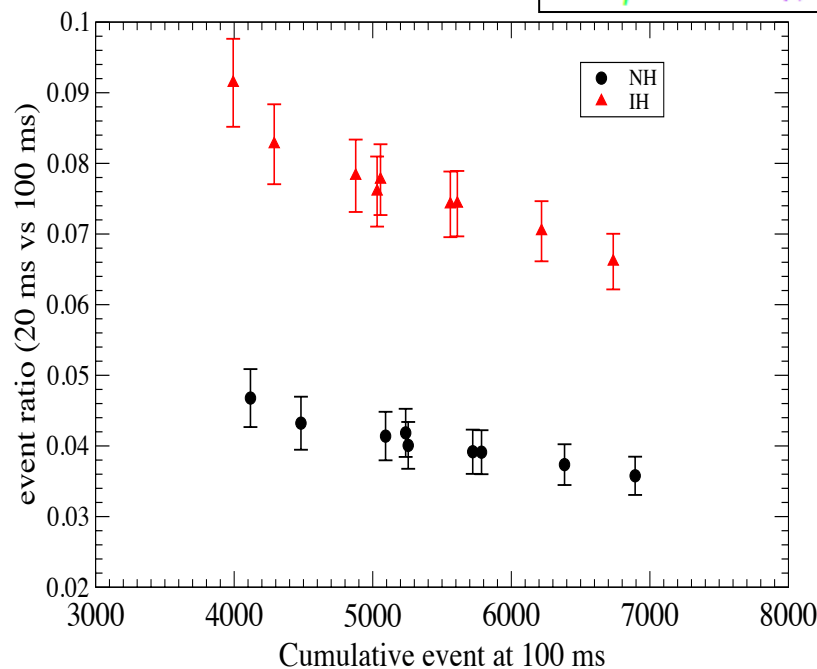
Normalized Count rate : Electron anti-neutrino
 10 different models (12 M_{\odot} -40 M_{\odot})



IC



Mton



Flux in IH rises faster than NH

Sky Map of Lepton-Number Flux ($11.2 M_{\text{SUN}}$ Model)

Lepton-number flux ($\nu_e - \bar{\nu}_e$) relative to 4π average
Deleptonization flux into one hemisphere, roughly dipole distribution

(LESA – Lepton Emission Self-Sustained Asymmetry)

