

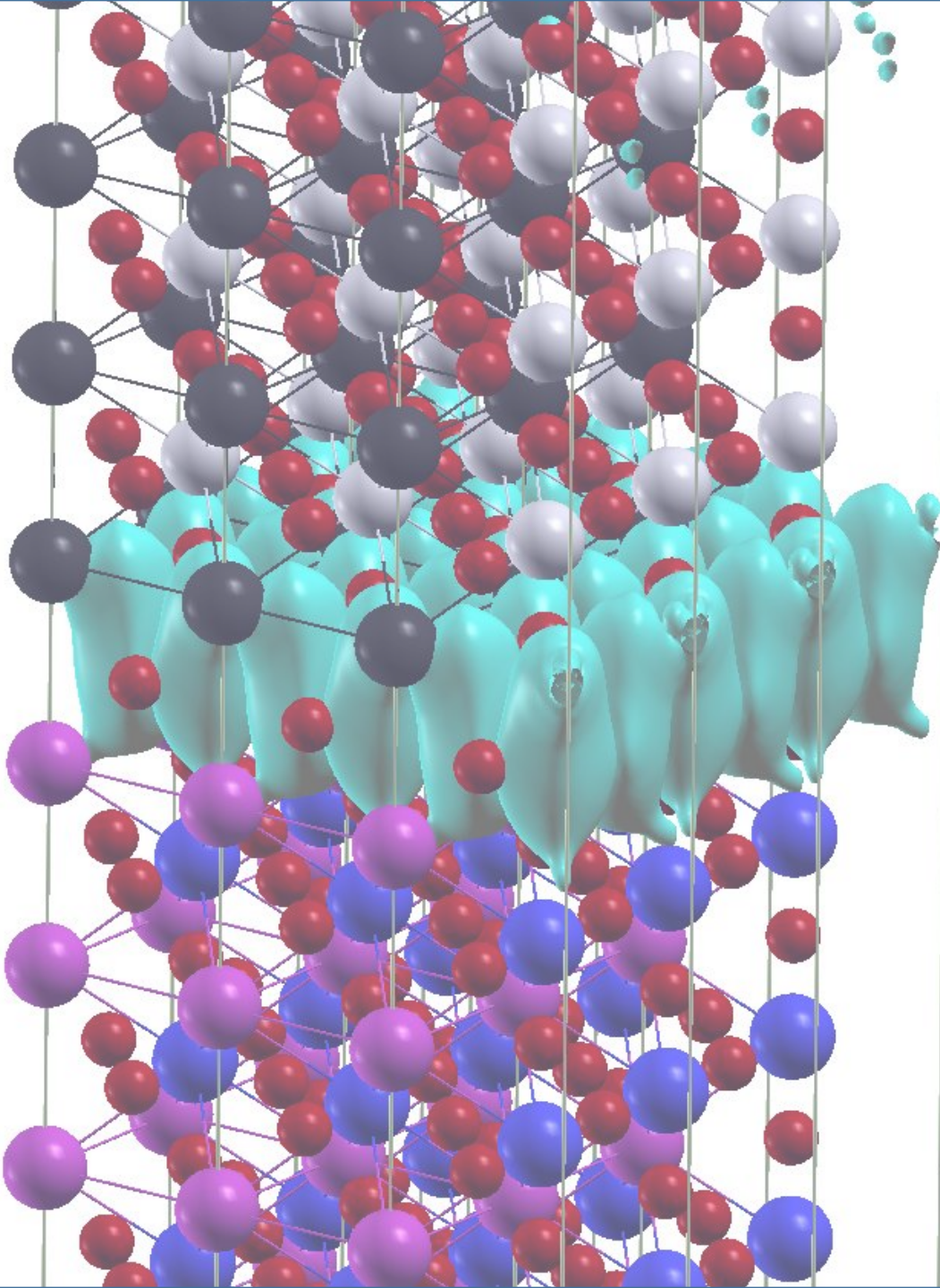
Two Dimensional Electron Gas at LVO/KTO Interface

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**Jawaharlal Nehru Centre for
Advanced Scientific Research
Bangalore**



Engineered 2D Quantum Materials
ICTS-TIFR, Bangalore
July, 2024



Collaborators



Prof. Suvankar Chakraverty,
INST, Mohali, India

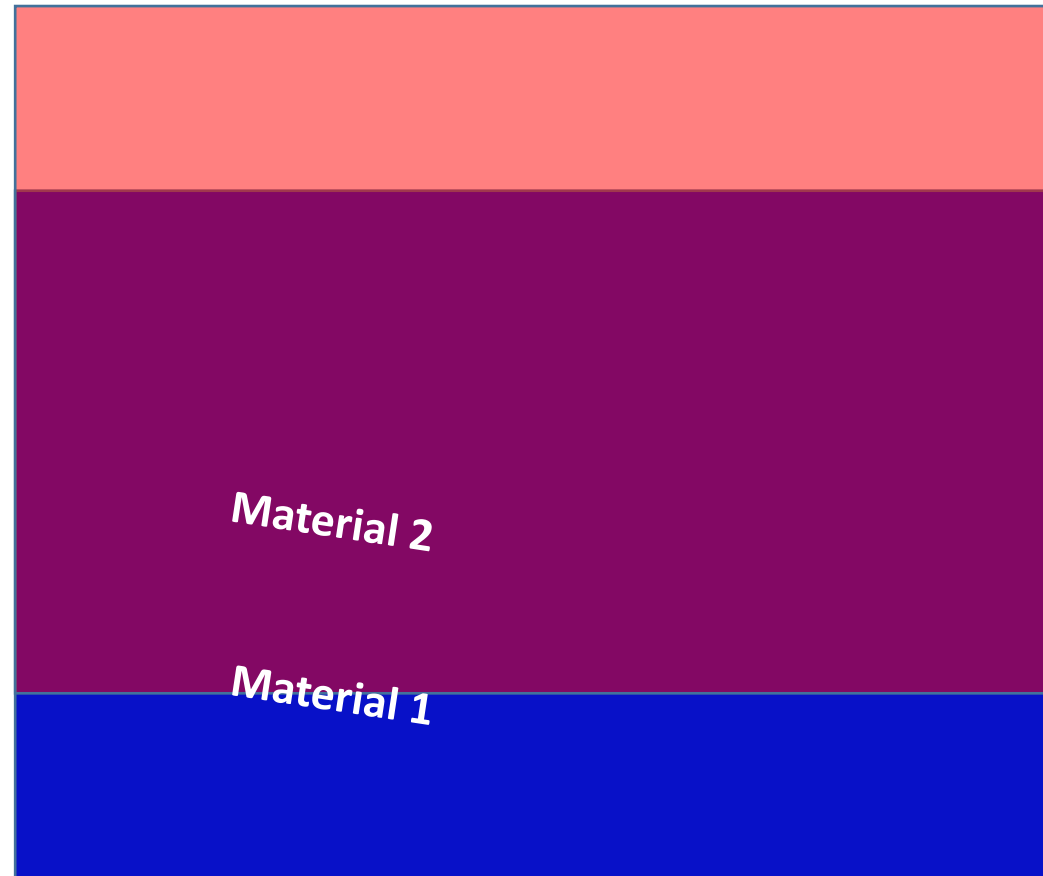


Prof. Shobhana Narasimhan,
JNCASR, Bangalore, India

2DEG at Interface



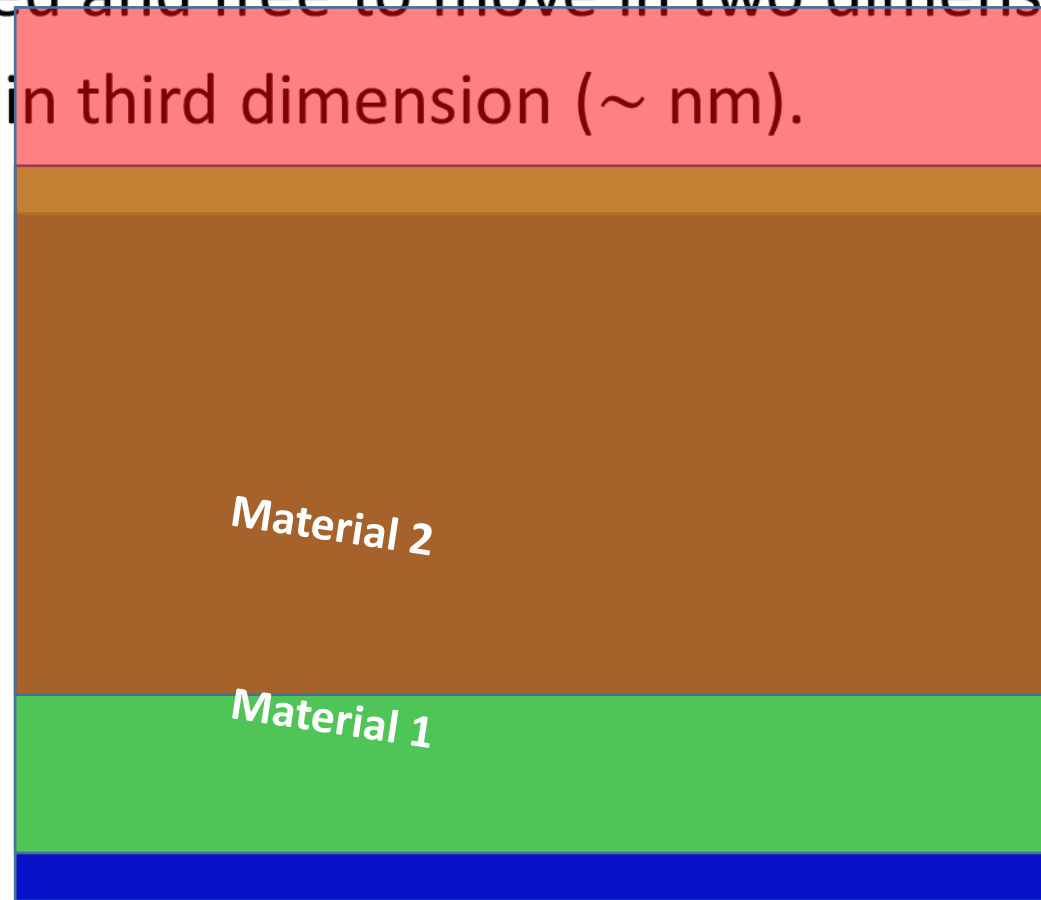
2DEG at Interface



2DEG at Interface

- 2DEG can form at heterointerface.
- Electrons confined and free to move in two dimensions.
- Tightly confined in third dimension (\sim nm).

2DEG



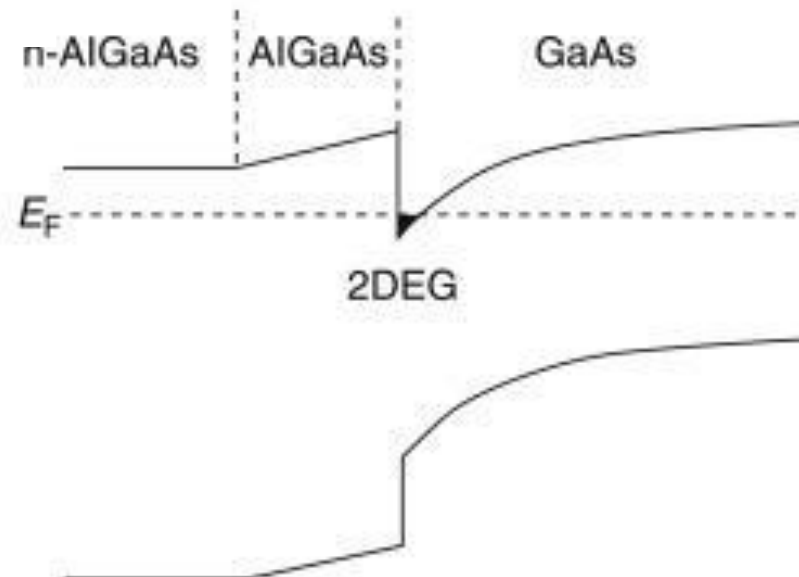
Why is 2DEG Interesting?

- Important for fundamental physics and exotic phenomena in 2D (2 Nobel prizes so far!)
e.g.,
 - Quantum Hall effect.
 - Superconductivity.
 - Wigner Crystallization.
- Important for applications.
 - High carrier concentration and high carrier mobility attractive for devices.
 - e.g., MOSFETs.



Why 2DEG forms - 1

- At **semiconductor-semiconductor interfaces**:
e.g., Si/Si-Ge or AlGaAs/GaAs

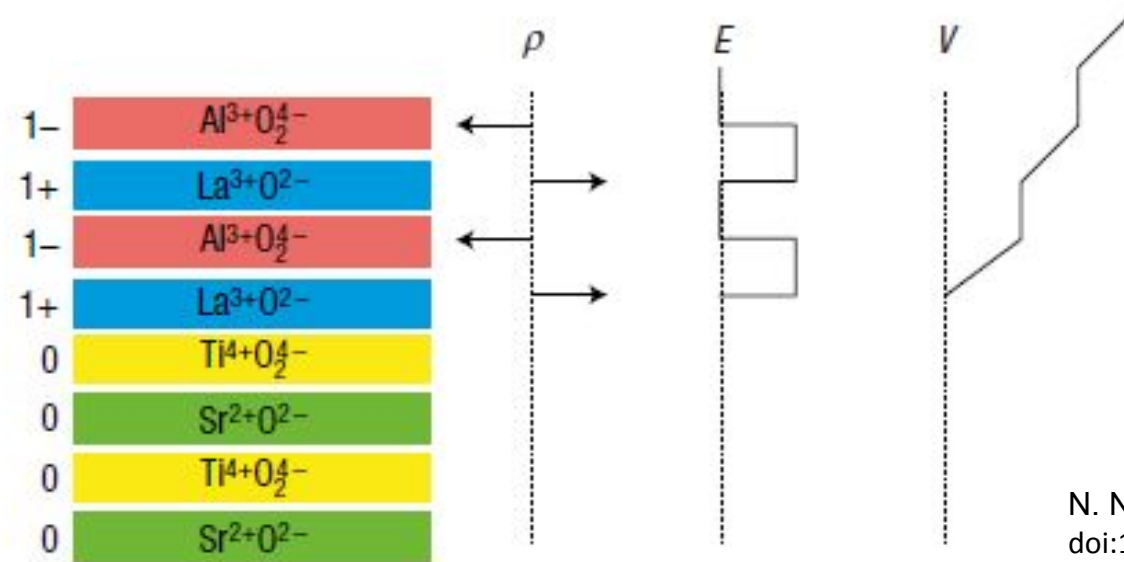


W.R. Clarke et al., Reference Module in Materials Science and Materials Engineering, 2016

- Band gap engineering: **band bending + modulation doping + gating**.
(Recall talk by Garima Ahuja on 23rd July)

Why 2DEG forms - 2

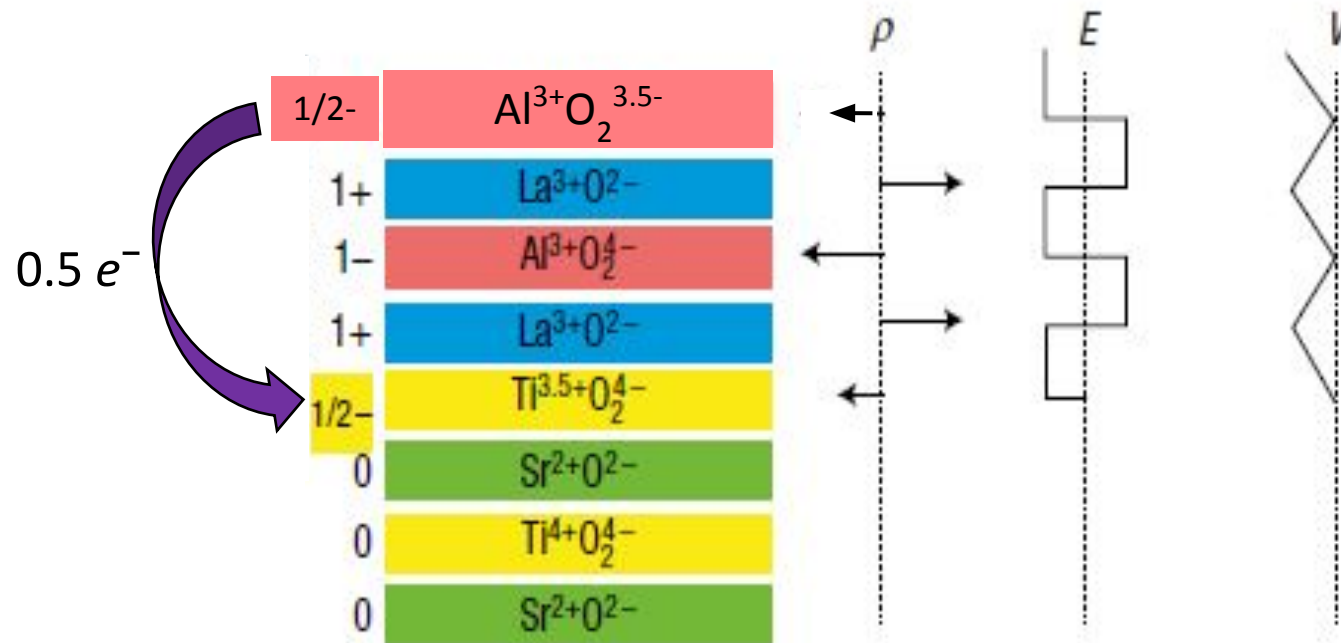
- At polar/non-polar interfaces:
e.g., $\text{LaAlO}_3/\text{SrTiO}_2$, $\text{LaCrO}_2/\text{SrTiO}_2$



- Neutral planes of STO interfaced with alternating charged planes of LAO.
- Produces non-negative electric field.
- Electrostatic potential diverges with increasing film thickness.
- Known as “**Polar catastrophe**”.

Why 2DEG forms - 2

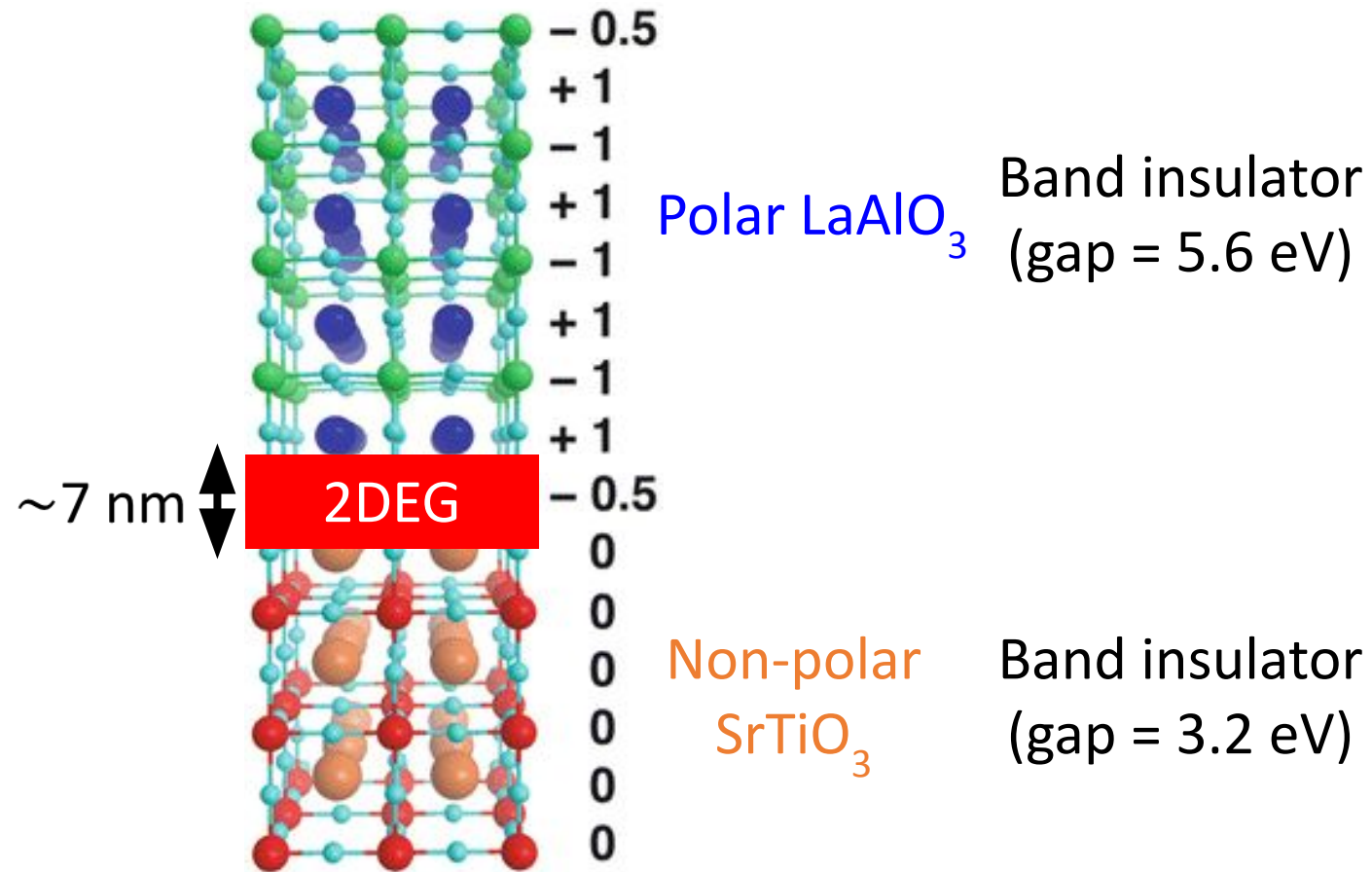
- At polar/non-polar interfaces:
e.g., $\text{LaAlO}_3/\text{SrTiO}_3$, $\text{LaCrO}_3/\text{SrTiO}_3$



N. Nakagawa et al., Nature Materials,
doi:10.1038/nmat1569

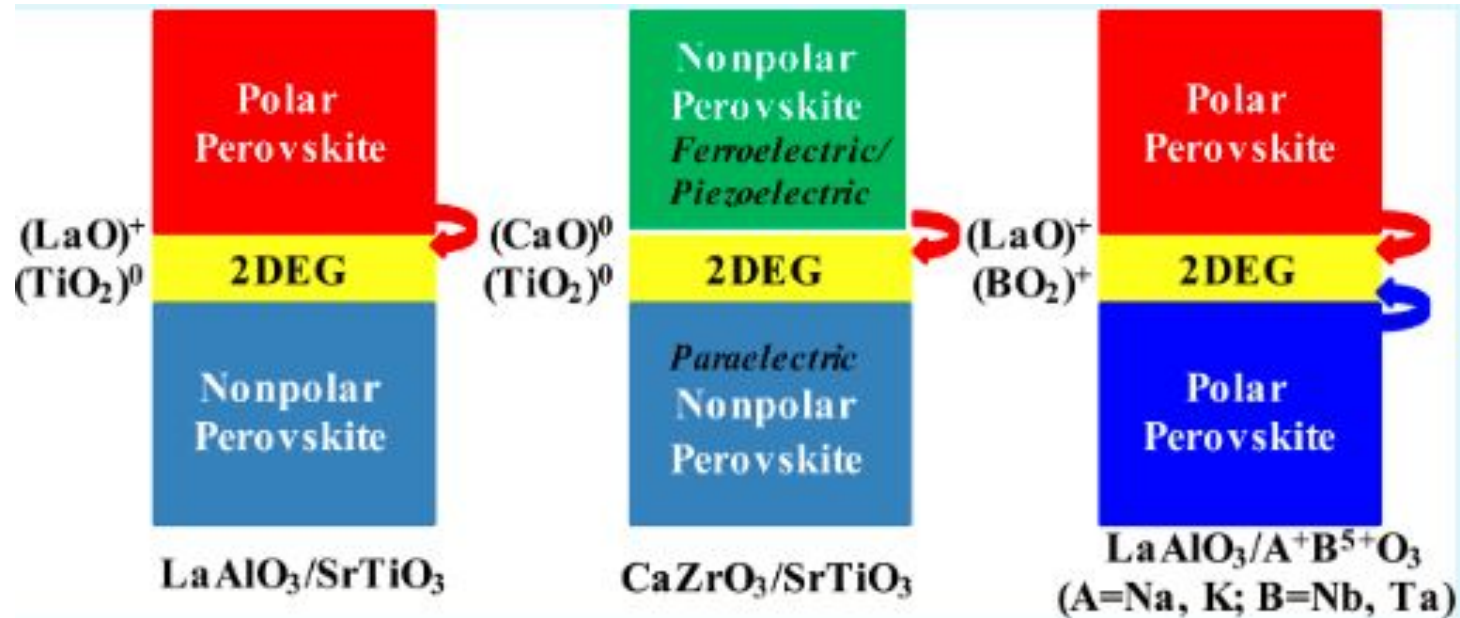
- Polar catastrophe is avoided by $\frac{1}{2}$ electron transfer to the interfacial TiO_2 layer.
- Electric field oscillates about zero and potential remains finite.
- Known as “**electronic reconstruction**”.

Early Work: Polar/Non-polar Oxide Interface



1. Ohtomo et al., NATURE | VOL 427 | 29 JANUARY 2004.
2. M. Basletic et al., *Nature Materials* 7, pages 621–625 (2008).

Early Work: Other Oxide Interfaces



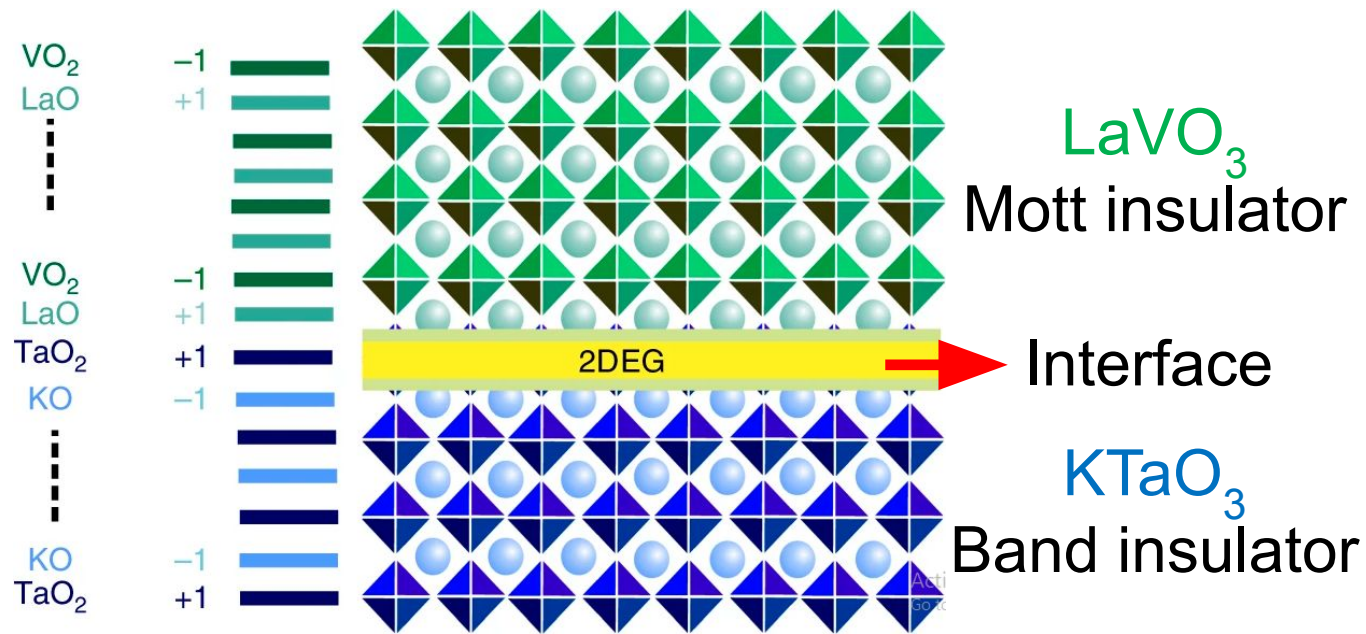
- Non-polar/non-polar oxide interfaces:

e.g., $\text{CaZrO}_3/\text{SrTiO}_3$ etc.

- Polar/polar oxide interfaces:

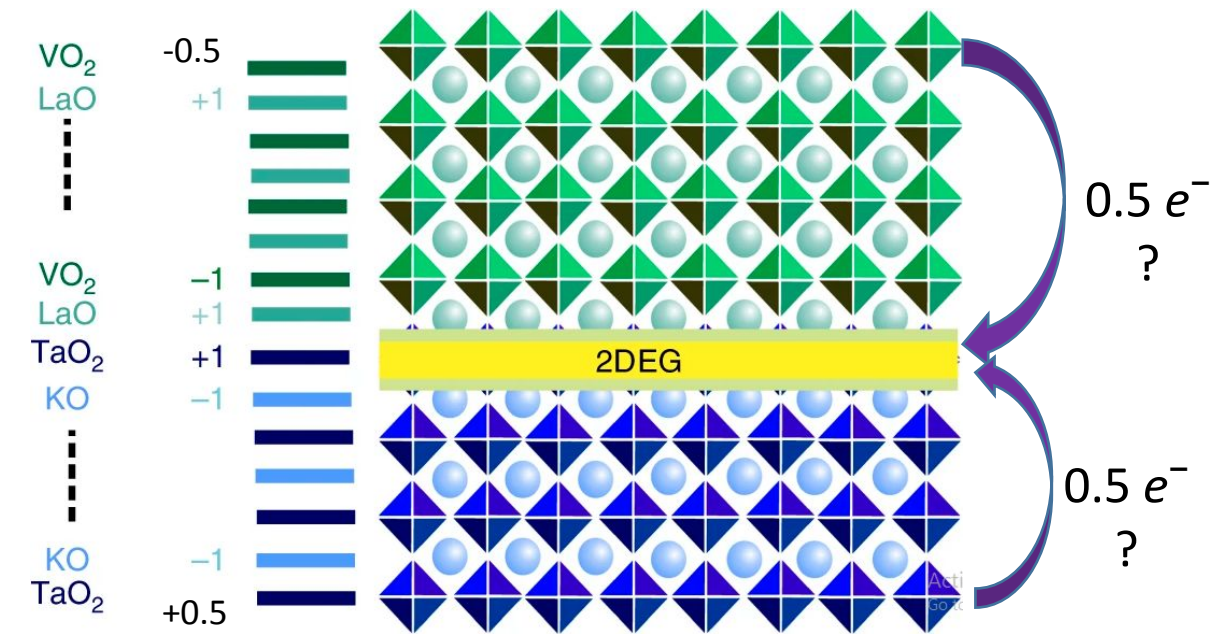
e.g., $\text{LaTiO}_3/\text{KTaO}_3$, $\text{LaAlO}_3/\text{KNbO}_3$, $\text{LaAlO}_3/\text{NaTaO}_3$ etc.

System Under Study



- Deposited thin film of LaVO_3 on TaO_2 terminated $\text{KTaO}_3(001)$ surface.
- LVO is a Mott insulator. KTO is a band insulator.
- Both LVO and KTO are polar i.e., consist of alternating charged layers.

Why LVO/KTO?



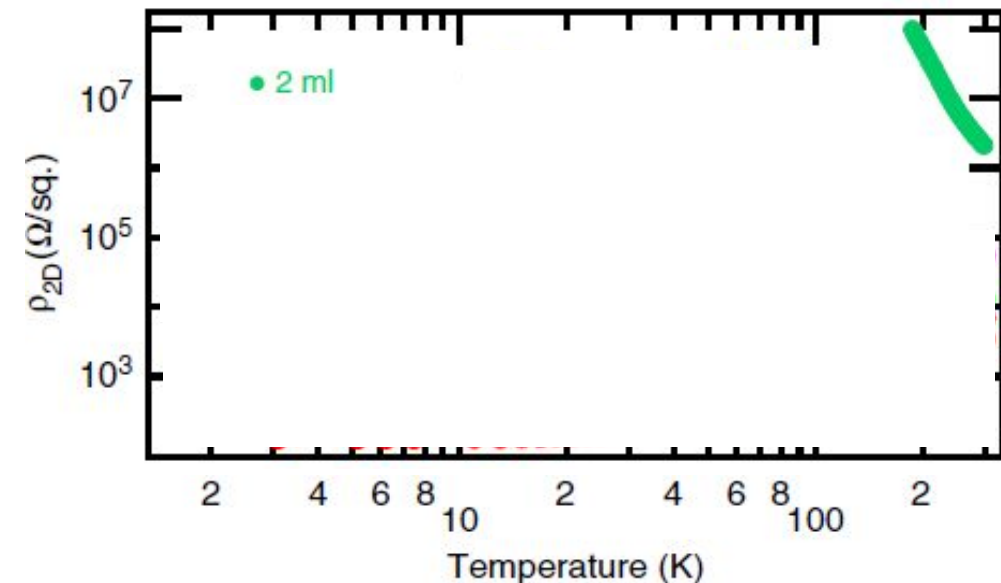
- Polar/polar interface.
- What we hope will happen:
 - (i) Both the materials will donate electrons increase in carrier density.
 - (ii) Ta-5d orbitals are less localized compared to Ti-3d orbitals higher e^- mobility.
 - (iii) Large spin-orbit coupling Rashba physics.

Aims of this Study

- Joint experimental and theoretical study.
- Experiments done in the group of Prof. Suvankar Chakraverty, INST, Mohali.
- Want to find other systems which exhibit **2DEG at oxide interface**, in particular **LaVO₃/KTaO₃ (LVO/KTO)**.
- LVO/KTO is a **polar/polar interface** – Can give higher interfacial electron density of 2DEG?
- Determine the **origin of 2DEG** at LVO/KTO interface.
- DFT calculations to confirm experimental observations and provide additional insights.

Experimental Observations: Signature of Formation of 2DEG at LVO/KTO Interface

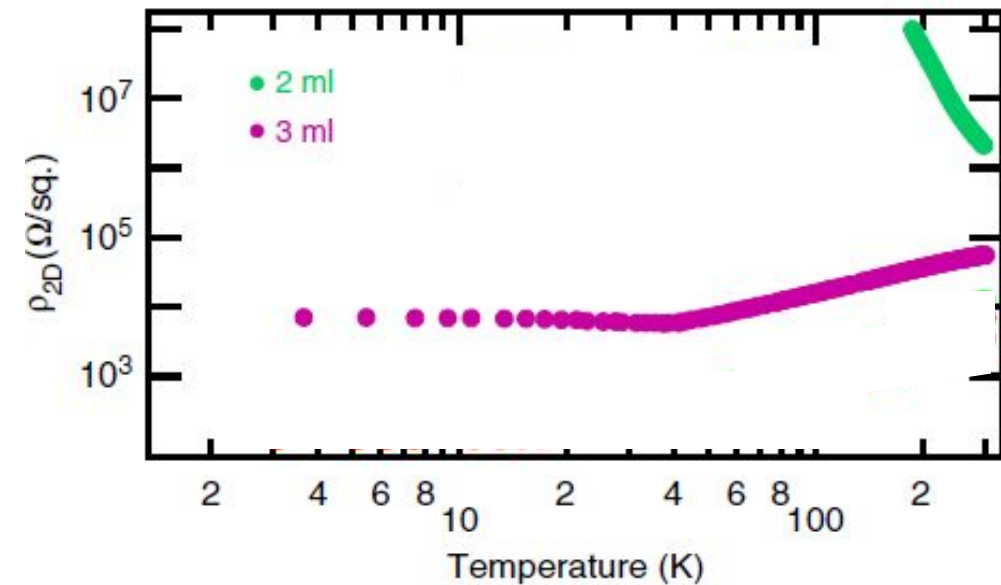
Resistivity vs. Temp for diff thicknesses of LVO



- At 2 ML LVO film thickness, resistivity is very high.
- Resistivity decreases with increasing temp.
- Interface is **insulating**.

Experimental Observations: Signature of Formation of 2DEG at LVO/KTO Interface

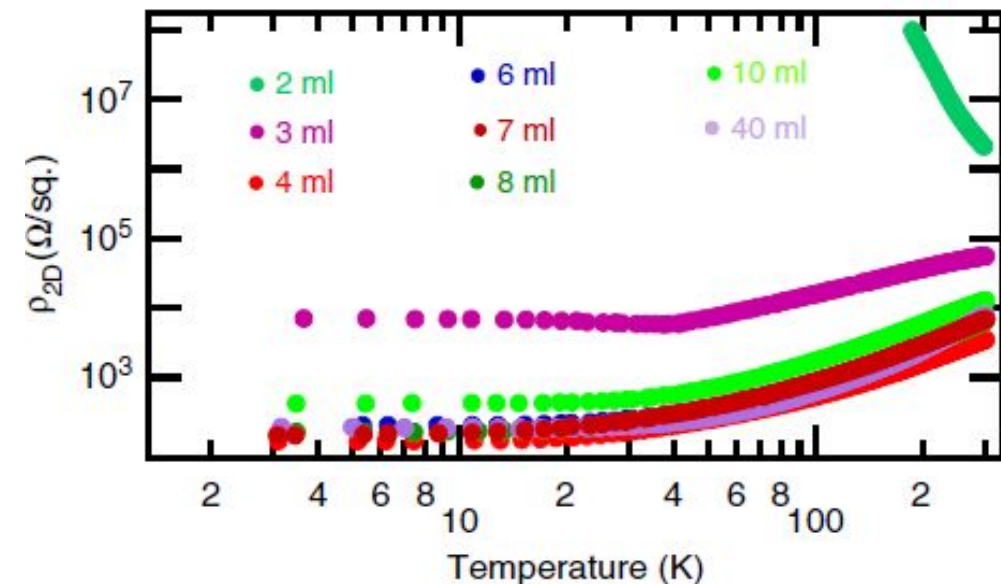
Resistivity vs. Temp for diff thicknesses of LVO



- At 3 ML LVO film thickness, resistivity became smaller, but still high.
- Resistivity increases linearly with increasing T.

Experimental Observations: Signature of Formation of 2DEG at LVO/KTO Interface

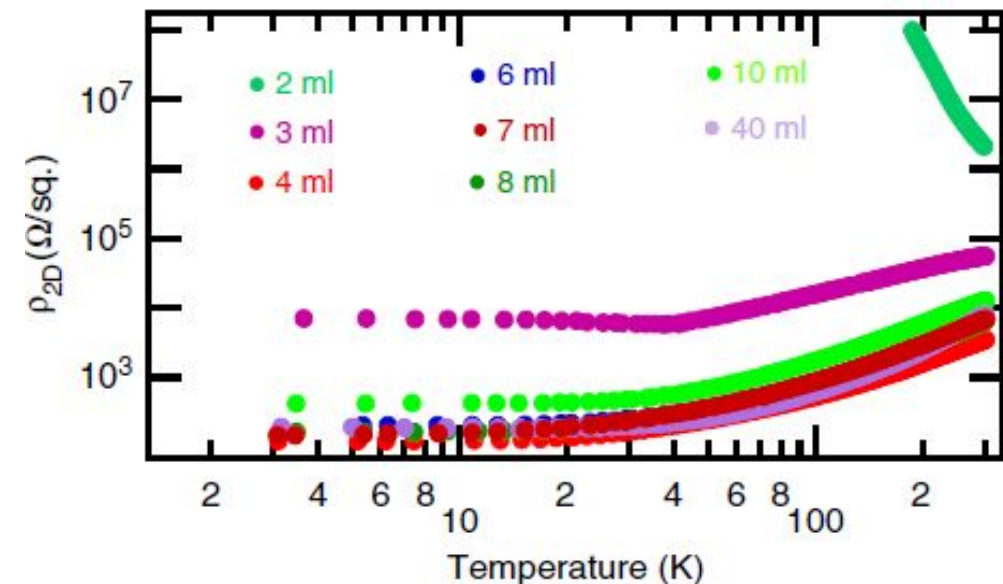
Resistivity vs. Temp for diff thicknesses of LVO



- Above 3 ML LVO film thickness, interfacial resistivity drops down few orders of magnitude.
- Resistivity increases linearly with increasing T.
- **Interface becomes metallic** above 3 ML.

Experimental Observations: Signature of Formation of 2DEG at LVO/KTO Interface

Resistivity vs. Temp for diff thicknesses of LVO



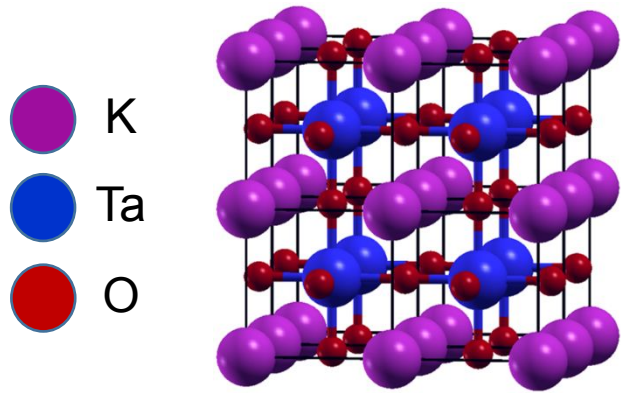
- Above 3 ML LVO film thickness, interfacial resistivity drops down few orders of magnitude.
- Resistivity increases linearly with increasing T.
- **Interface becomes metallic** above 3 ML.
- Metallicity is confined within ~ 10 nm around interface.
- High carrier mobility $\sim 600 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ at 1.8 K.
- High carrier density of 1×10^{14} electrons/ cm^{-2} . (compare with 1×10^{13} electrons/ cm^{-2} for LAO/STO system)

Computational Details



- Spin-polarized Density functional theory, Quantum ESPRESSO package.
- Plane wave basis ($ecutwfc = 45 \text{ Ry}$), ultrasoft pseudopotentials.
- PBE-GGA exchange-correlation.
- Hubbard U and J for strongly correlated V-3d and Ta-5d orbitals.
- BZ sampling using $8 \times 8 \times 1$ Monkhorst-Pack k-mesh.
- Marzari-Vanderbilt cold smearing of width of 0.005 Ry .
- Geometry optimization using Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm.

Bulk KTO and LVO Structures



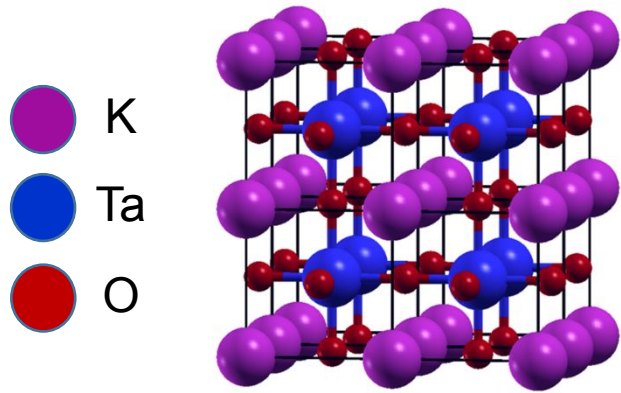
Cubic
NM

DFT optimized lattice
constant:

$$a_0^{\text{KTO}} = 4.011 \text{ \AA} (3.989 \text{ \AA})$$

Band insulator

Bulk KTO and LVO Structures

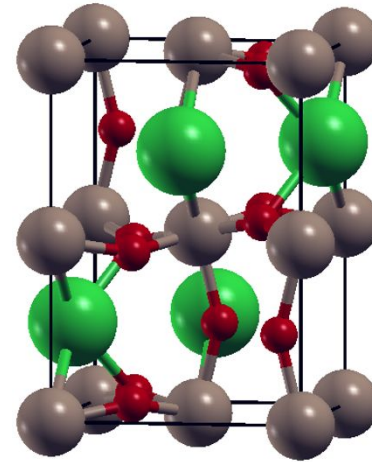


Cubic
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Band insulator



Orthorhombic
NM

RT structure

Mott insulator

DFT optimized lattice

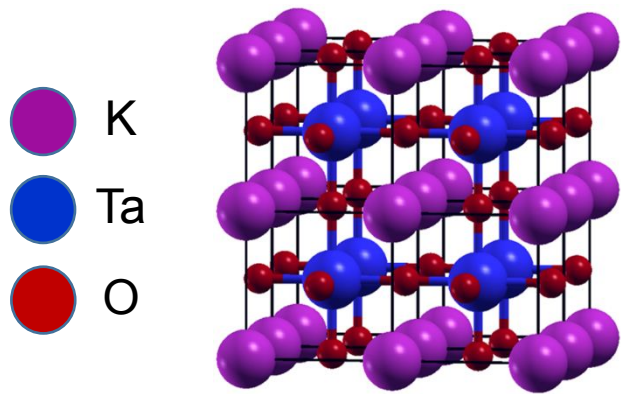
constants:

$$a = 5.547 \text{ \AA} (5.555 \text{ \AA})$$

$$b = 5.571 \text{ \AA} (5.553 \text{ \AA})$$

$$c = 7.952 \text{ \AA} (7.848 \text{ \AA})$$

Bulk KTO and LVO Structures

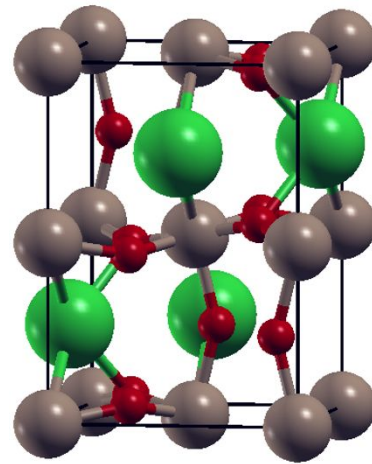


**Cubic
NM**

DFT optimized lattice constant:

$$a_0^{\text{KTO}} = 4.011 \text{ \AA} (3.989 \text{ \AA})$$

Band insulator



**Orthorhombic
NM**

RT structure

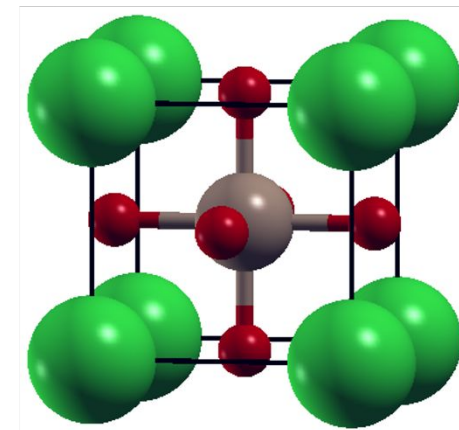
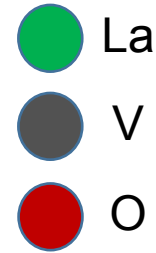
Mott insulator

DFT optimized lattice constants:

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**Tetragonal
A-AFM**

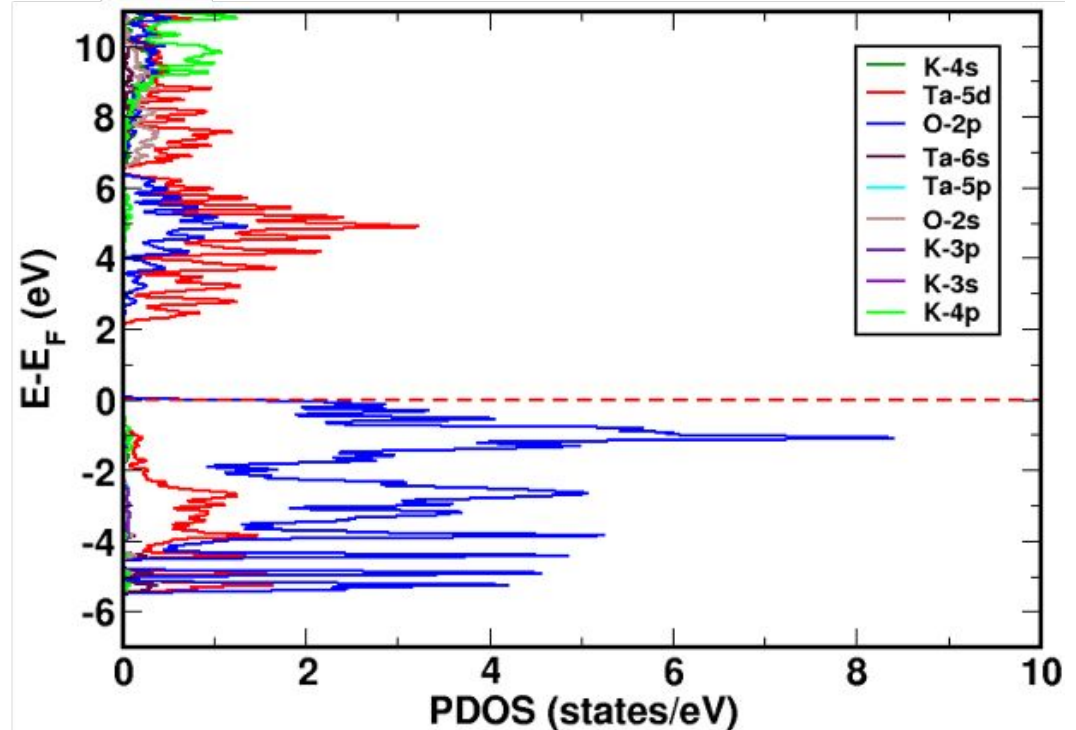
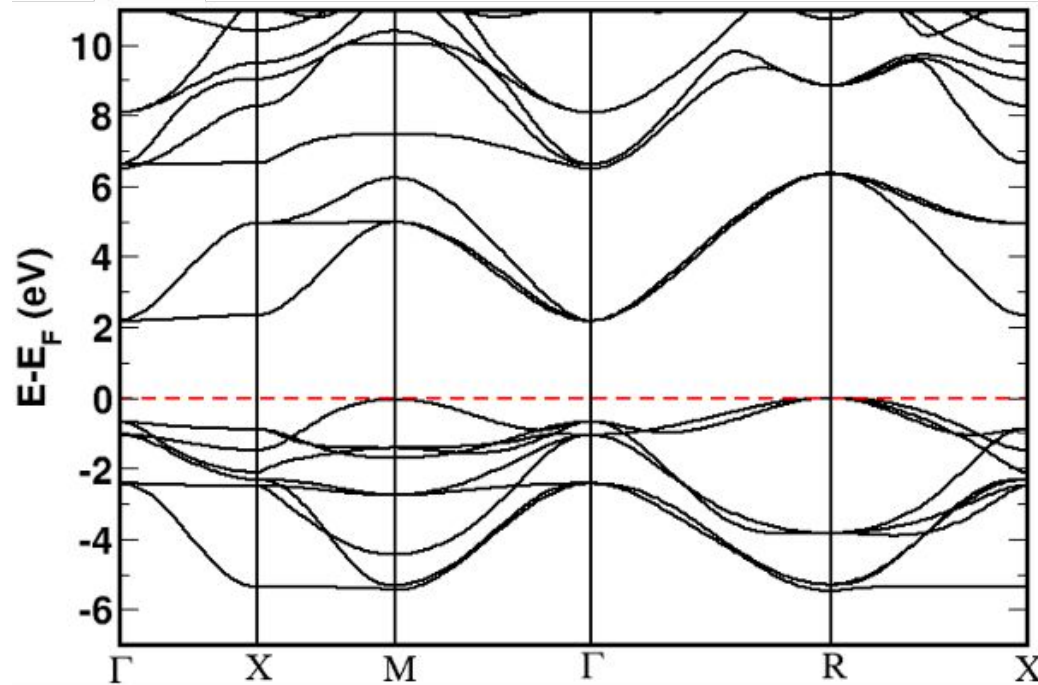
Hypothetical structure considered as LVO takes tetragonal structure when grown on KTO(001)

$$a = b = a_0^{\text{KTO}} = 4.011 \text{ \AA}$$

$$c = 3.952 \text{ \AA} (\text{DFT optimized})$$

A-AFM ordering favored over NM, FM, C-AFM and G-AFM

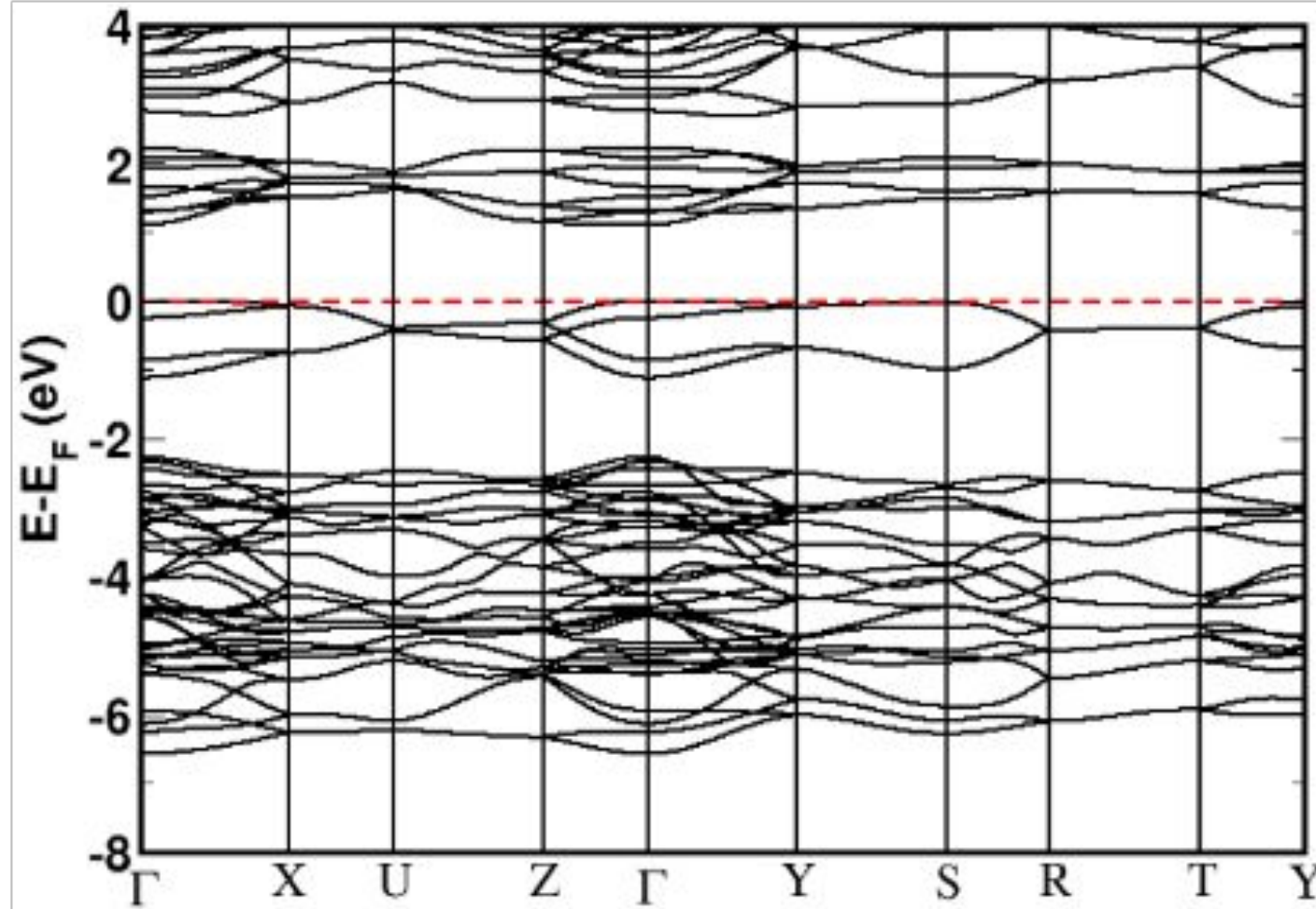
Electronic Structure of Bulk KTO



- Calculated band gap = 2.18 eV;
Previous DFT: 2.1 eV
Experimental value = 3.60 eV.
- VBM at R point, CBM at Γ point.
Indirect gap.

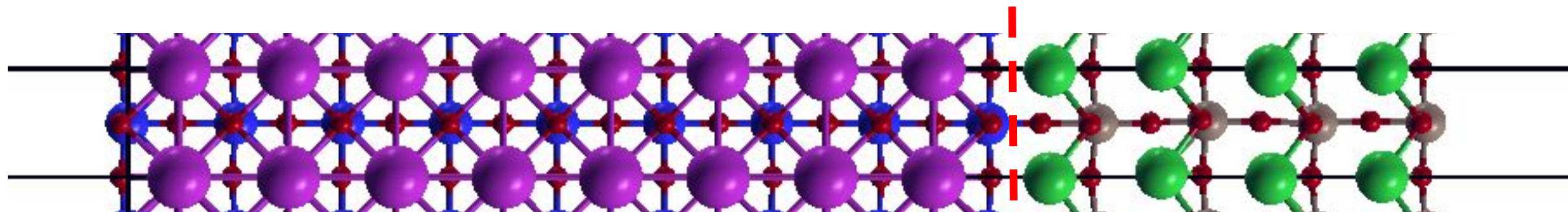
- Valence bands mainly come from **O-2p orbitals**.
- Conduction bands mainly come from **Ta-5d orbitals**.

Electronic Structure of Bulk LVO



- LVO is a Mott insulator. Therefore need U to get correct physics.
- For $U = 5.65$ eV and $J = 0.65$ eV, **band gap matches with expt.** value of 1.1 eV.

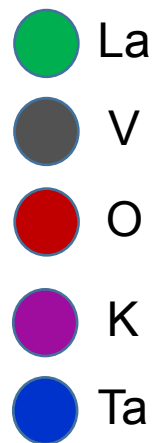
Atomic Structure of LVO/KTO Interface



KTO part

$(\text{LaO})^+ / (\text{TaO}_2)^+$
interface

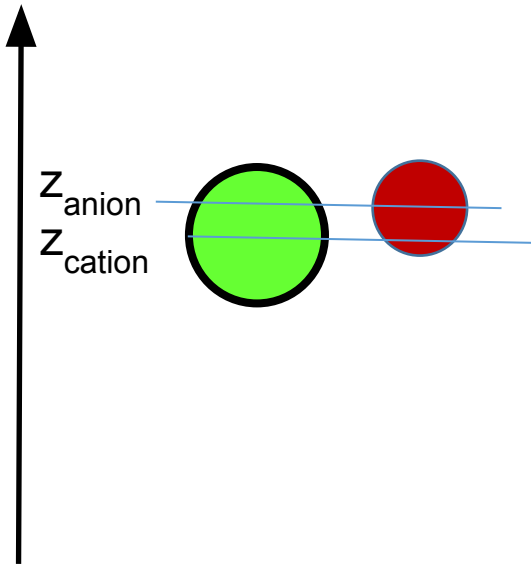
LVO part



Slab structure of $(\text{LVO})_4 / (\text{KTO})_{8.5}$ interface
(with vacuum)

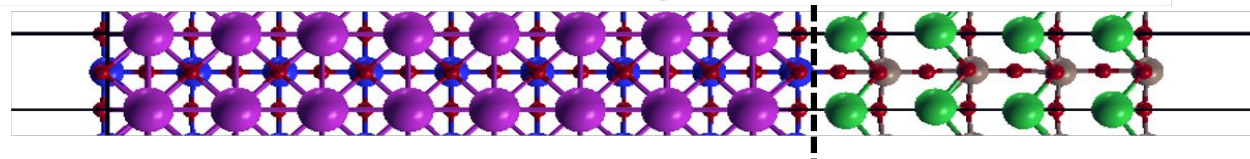
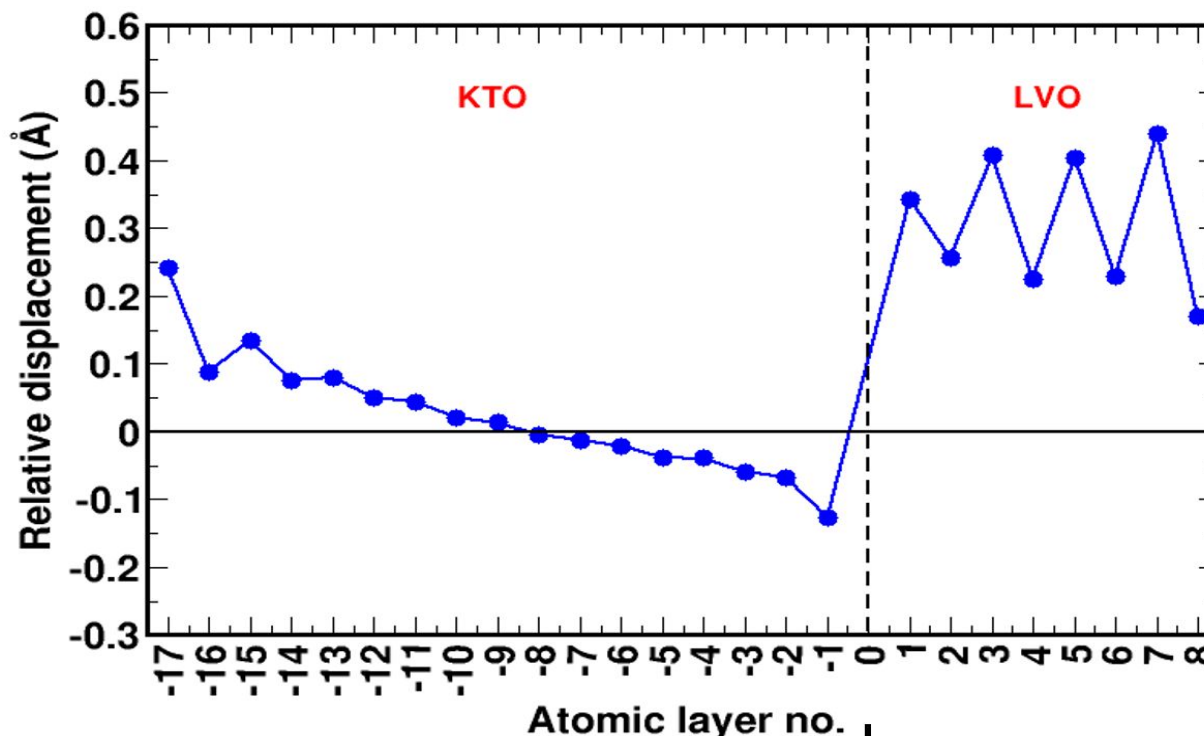
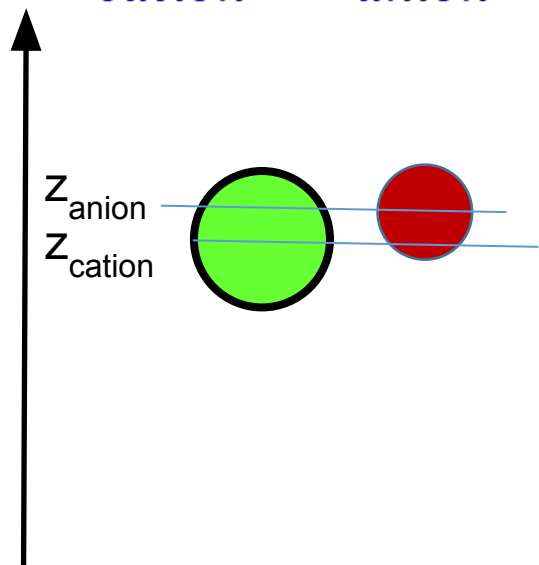
Polar Distortions at LVO/KTO Interface

Relative
displacement =
 $Z_{cation} - Z_{anion}$



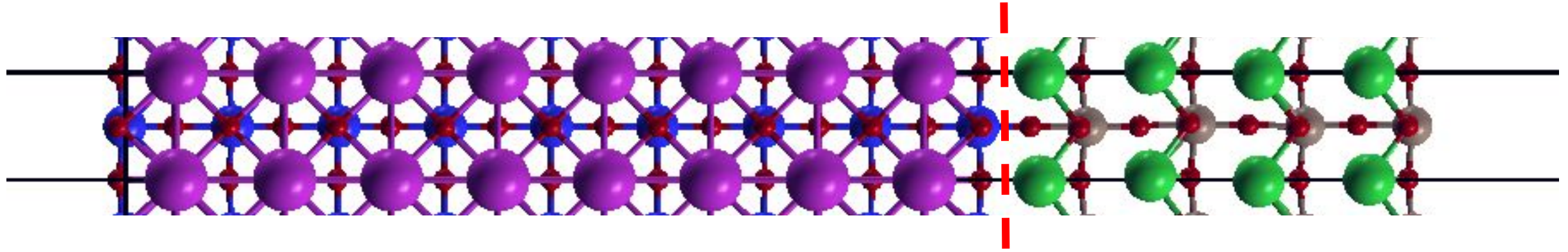
Polar Distortions at LVO/KTO Interface

Relative displacement = $Z_{cation} - Z_{anion}$

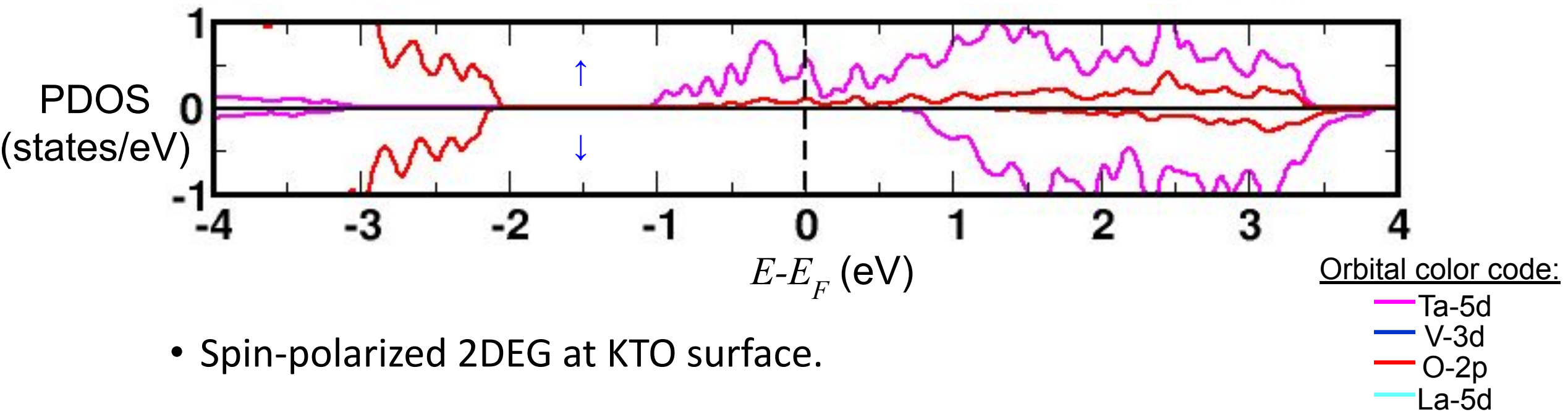
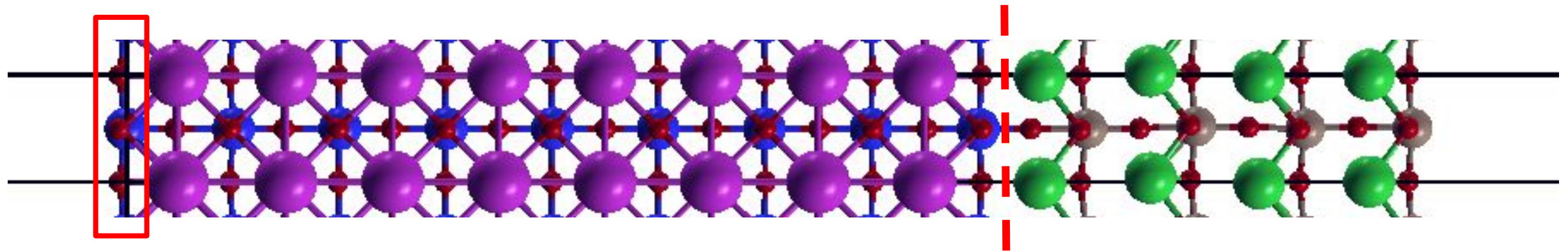


- O^{2-} anions want to come closer to the interface.
- Ferroelectric like **polar distortions** create local dipoles.
- Similar behavior observed at LAO/STO and LSO/BSO interfaces. [1,2]

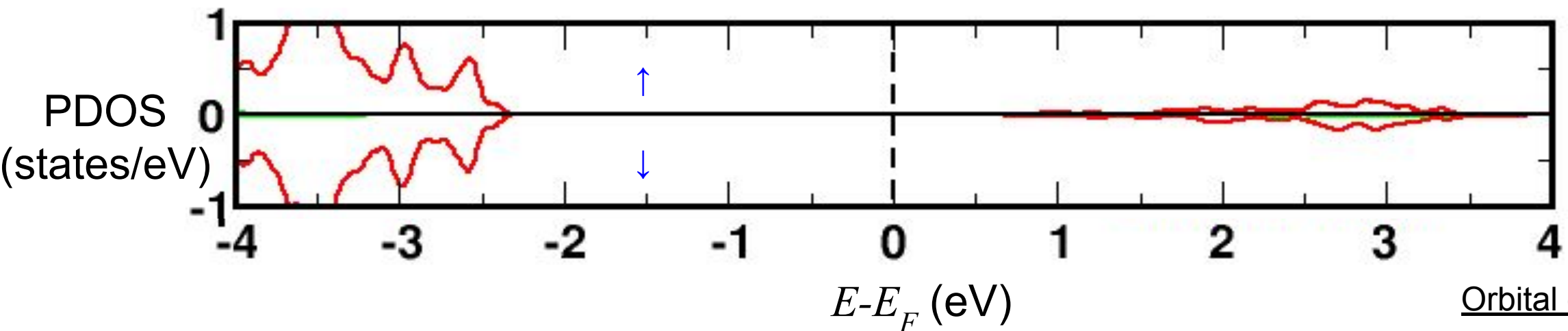
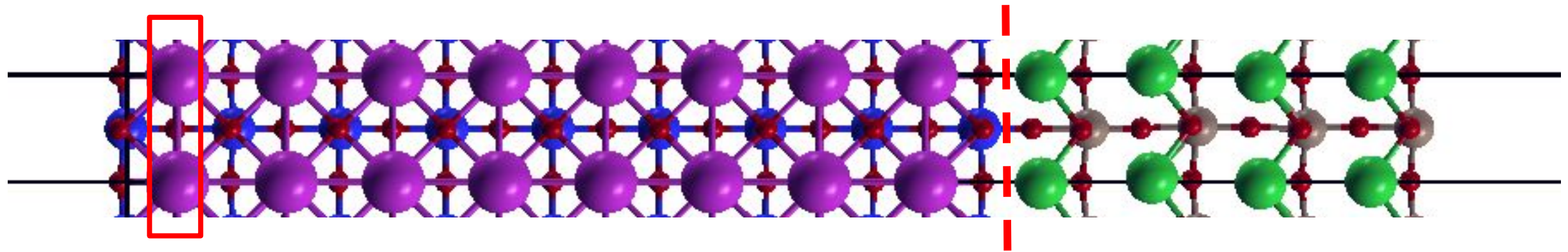
Layer-resolved PDOS of LVO/KTO Interface



Layer-resolved PDOS of LVO/KTO Interface (layer: -17)



Layer-resolved PDOS of LVO/KTO Interface (layer: -16)

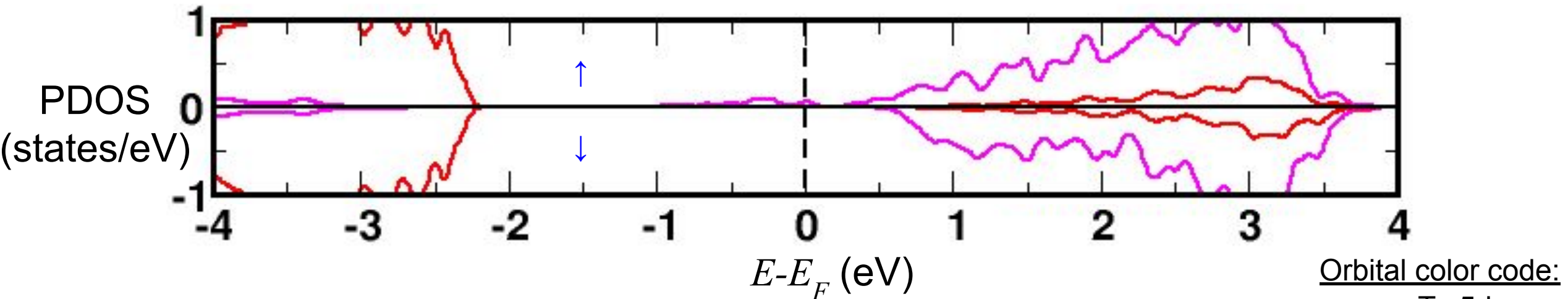
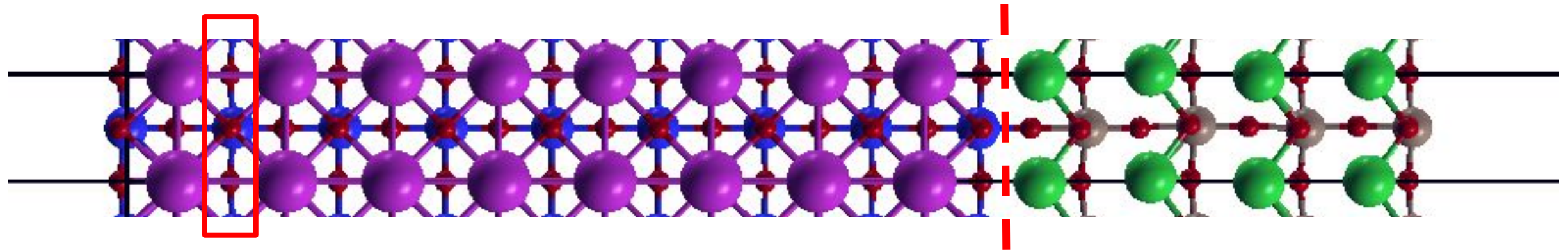


- Insulating.

Orbital color code:

- Ta-5d
- V-3d
- O-2p
- La-5d

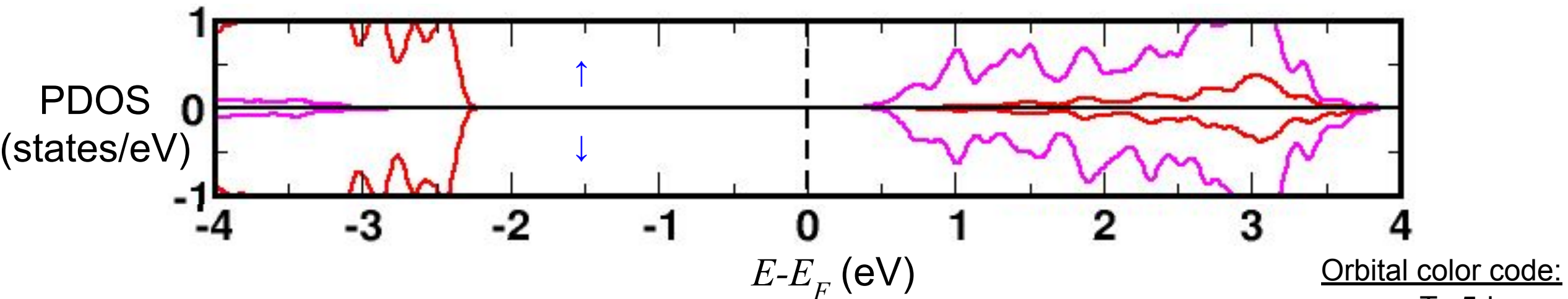
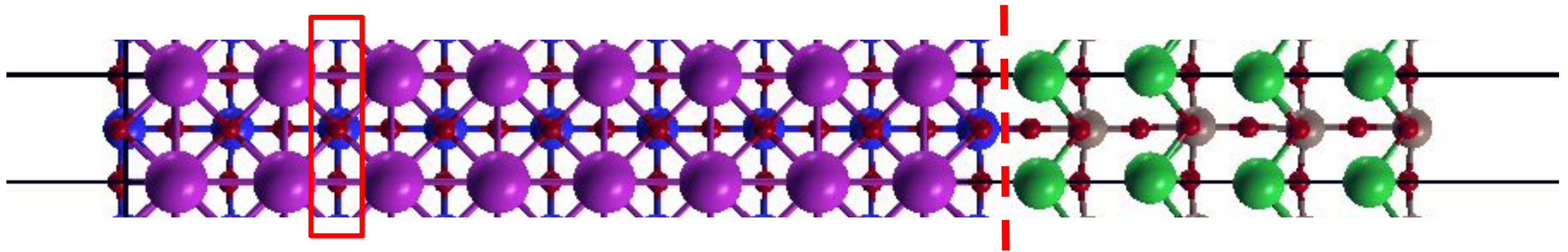
Layer-resolved PDOS of LVO/KTO Interface (layer: -15)



- Conductivity decreases.

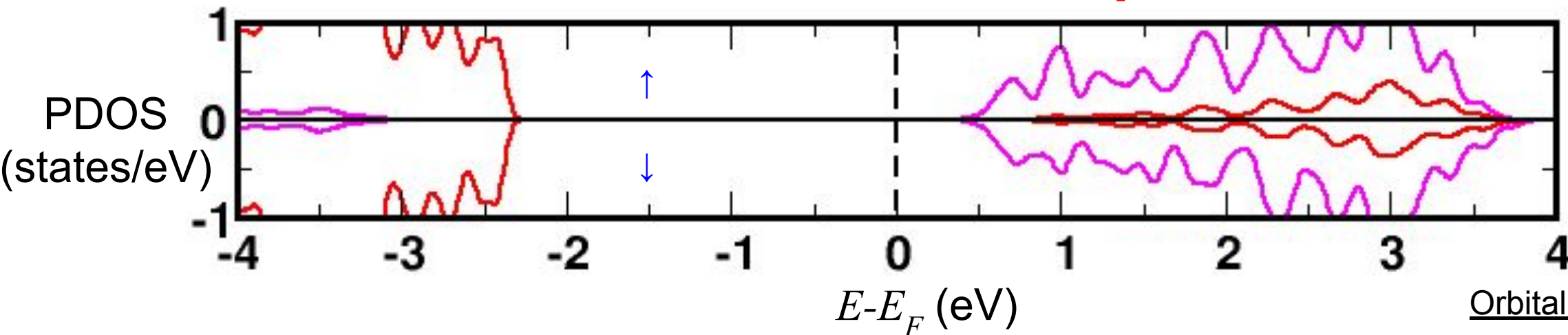
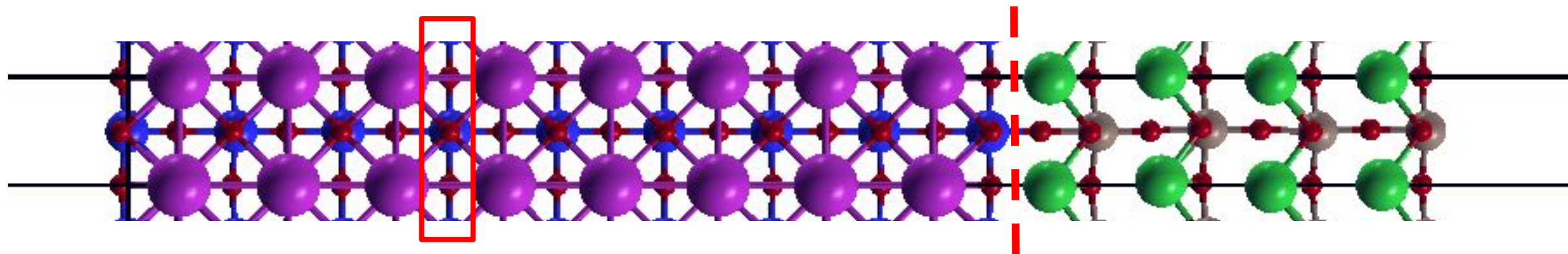
Orbital color code:
— Ta-5d
— V-3d
— O-2p
— La-5d

Layer-resolved PDOS of LVO/KTO Interface (layer: -13)



- Become insulating.

Layer-resolved PDOS of LVO/KTO Interface (layer: -11)

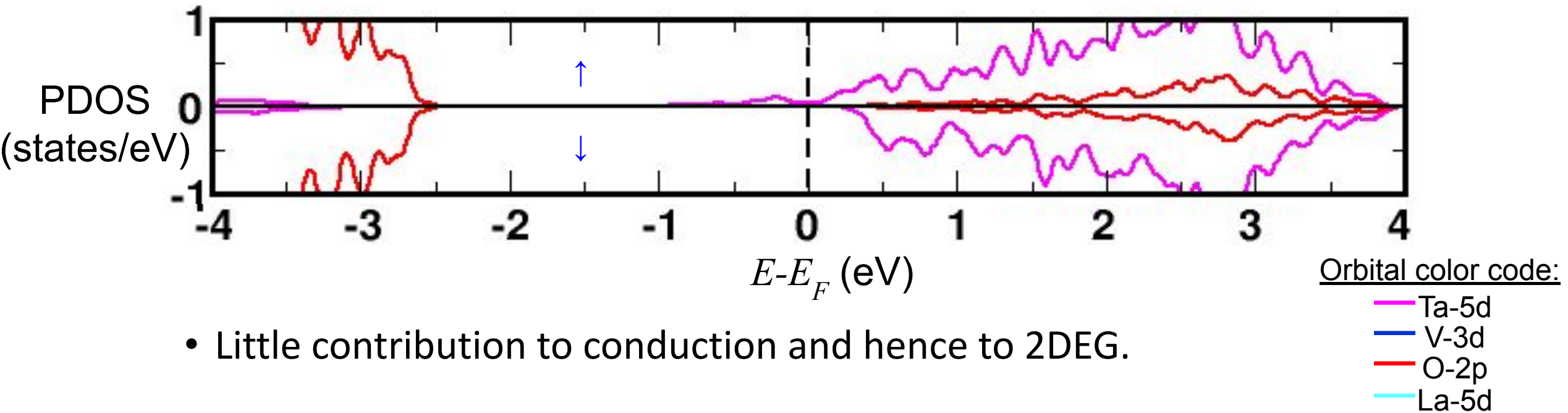
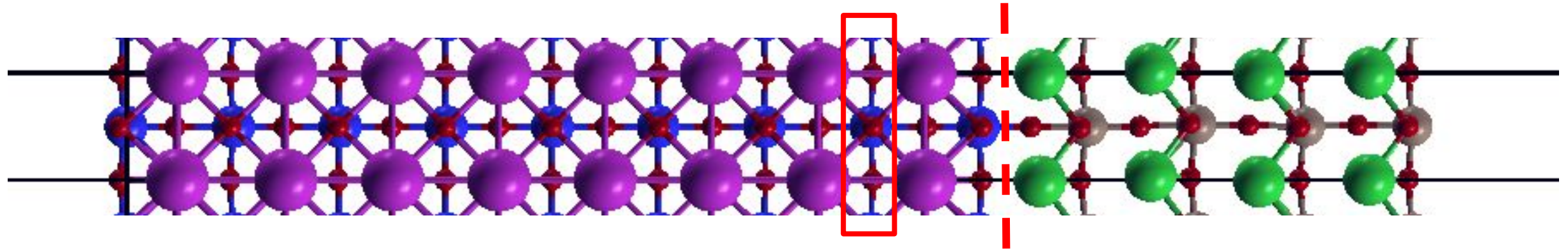


- The middle TaO₂ layers and all KO layers are all insulating.

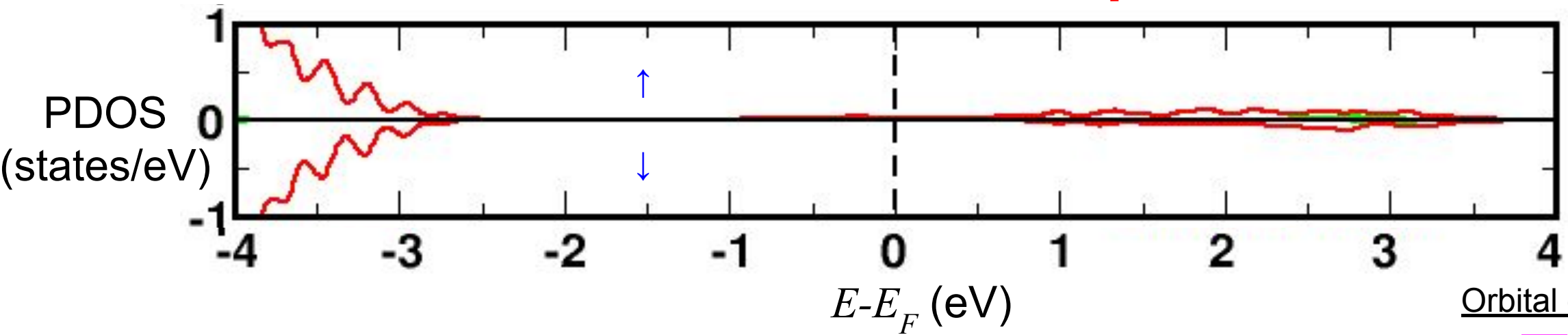
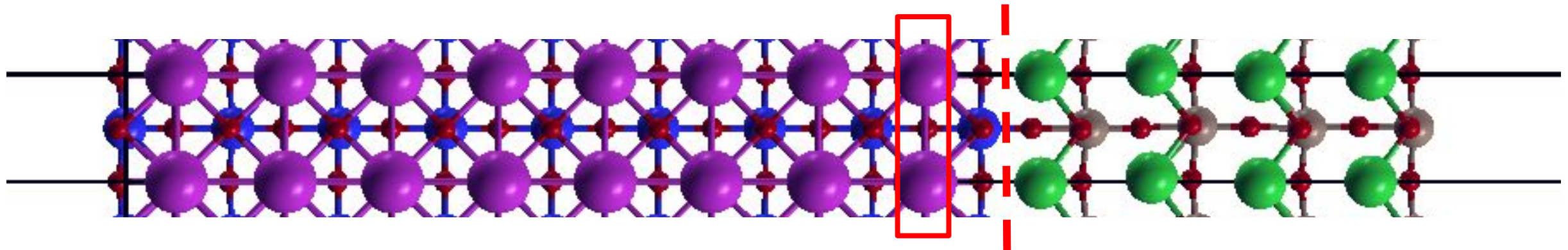
Orbital color code:

- Ta-5d
- V-3d
- O-2p
- La-5d

Layer-resolved PDOS of LVO/KTO Interface (layer: -3)



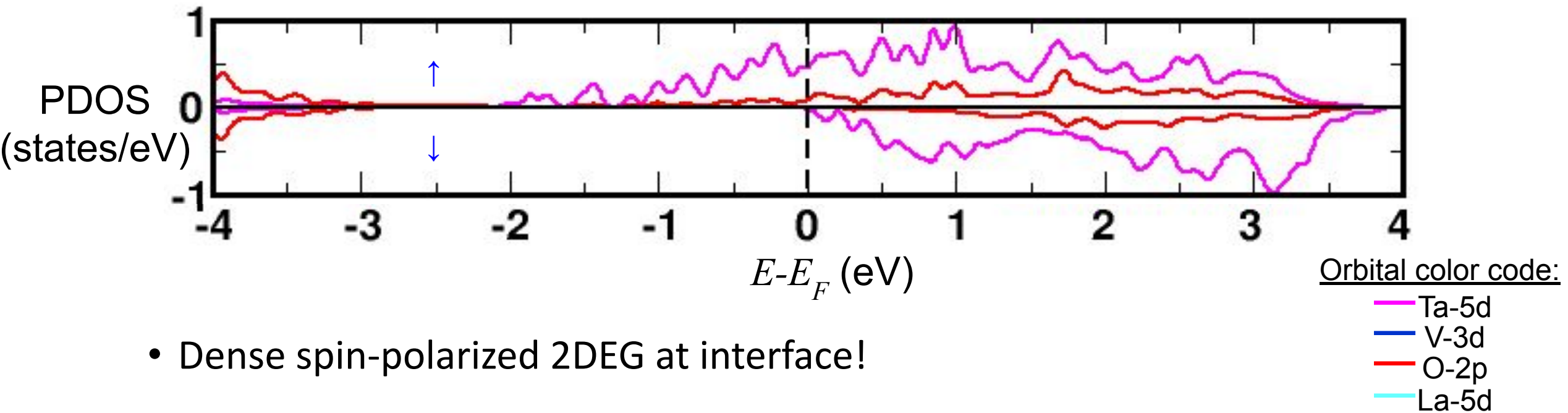
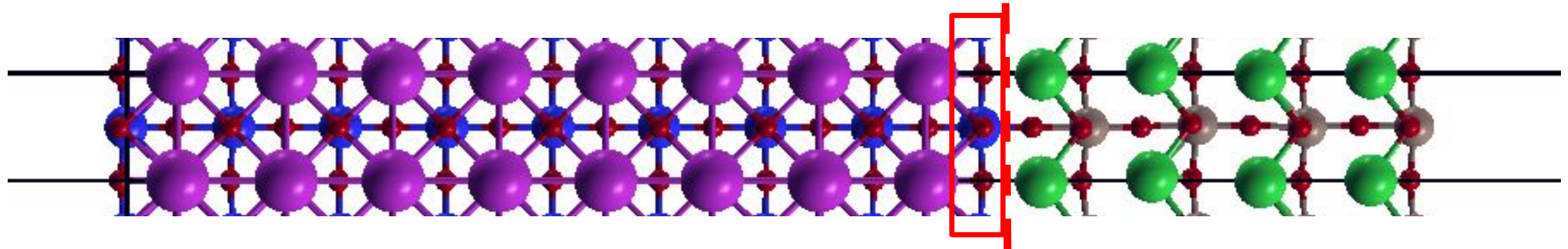
Layer-resolved PDOS of LVO/KTO Interface (layer: -2)



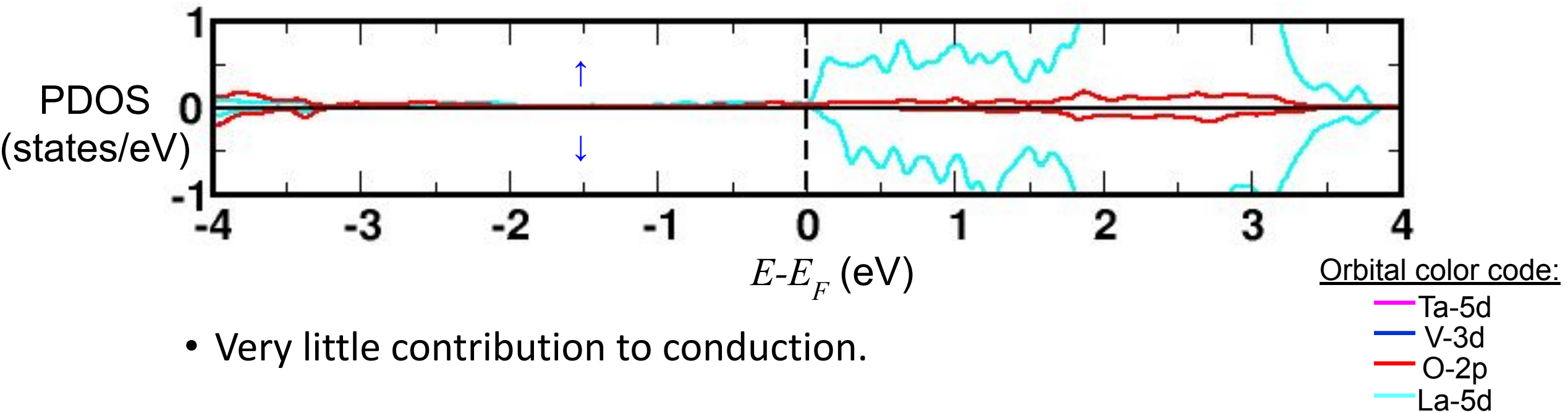
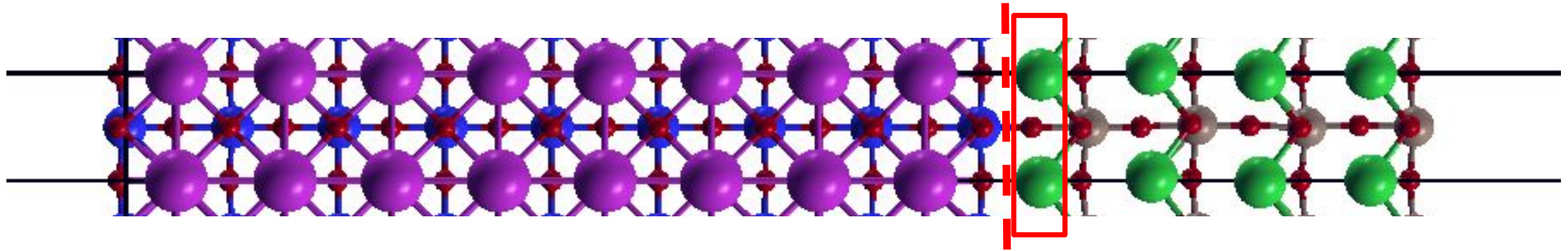
• Insulating.

Orbital color code:
— Ta-5d
— V-3d
— O-2p
— La-5d

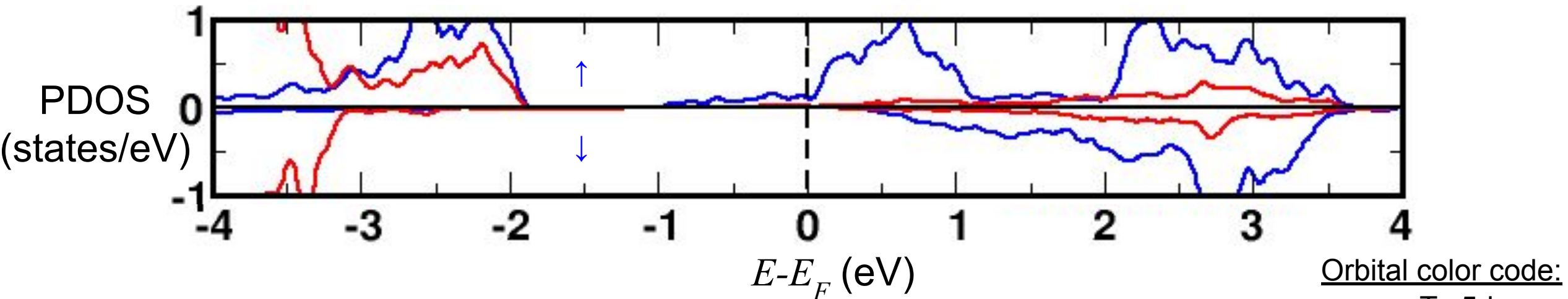
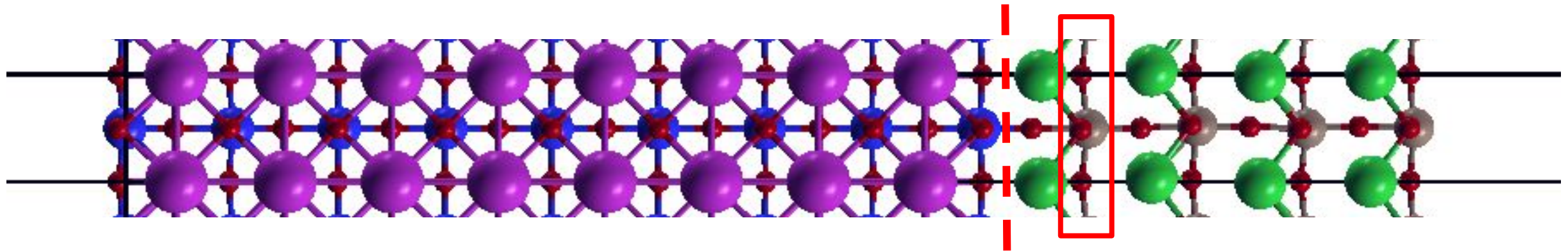
Layer-resolved PDOS of LVO/KTO Interface (layer: -1)



Layer-resolved PDOS of LVO/KTO Interface (layer: 1)

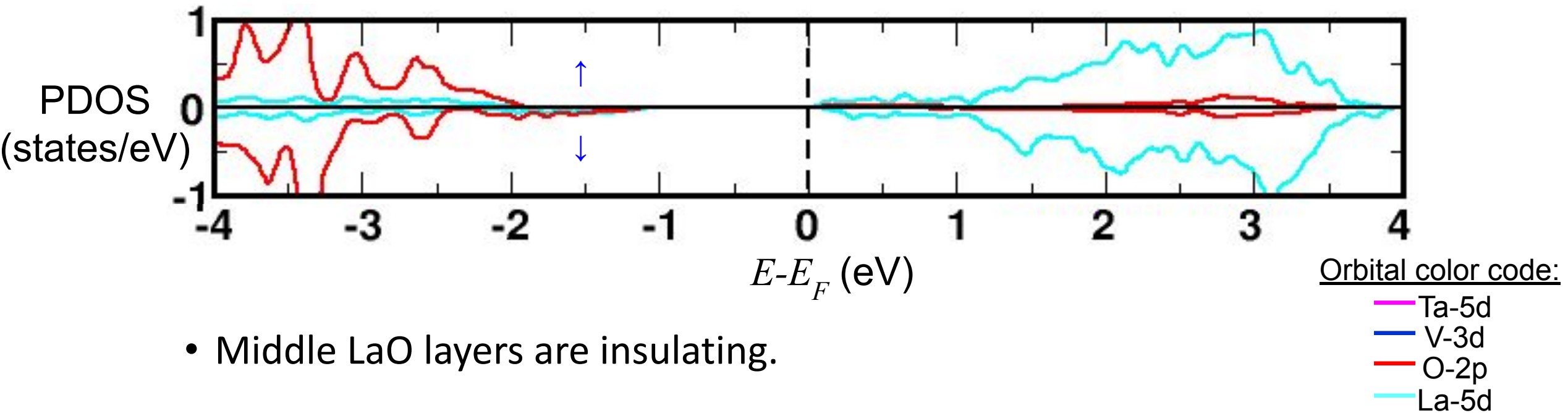
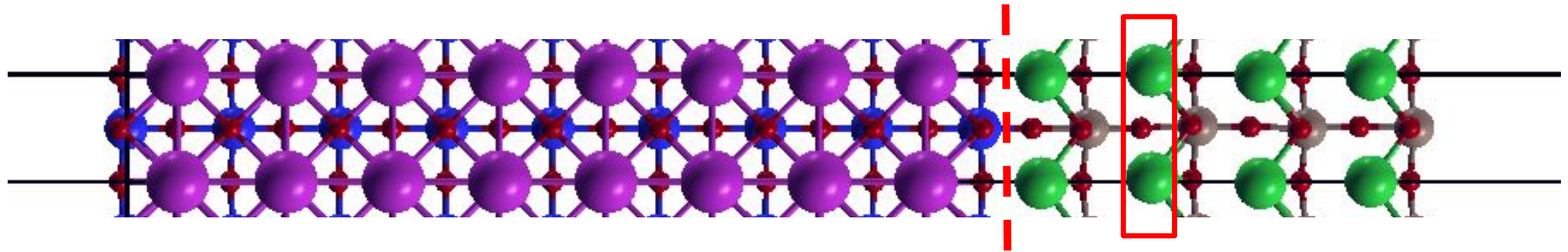


Layer-resolved PDOS of LVO/KTO Interface (layer: 2)

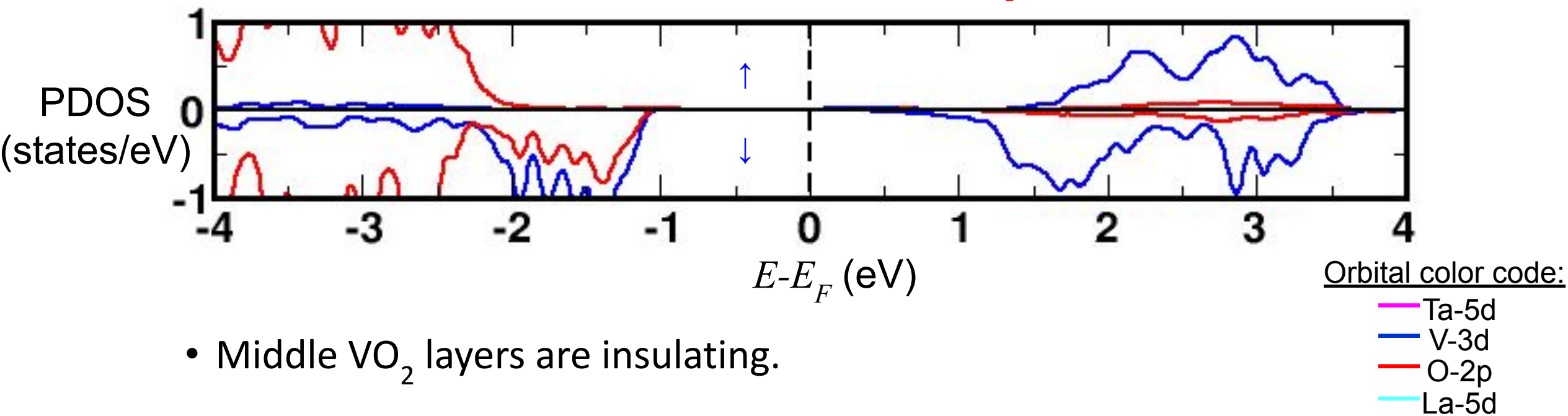
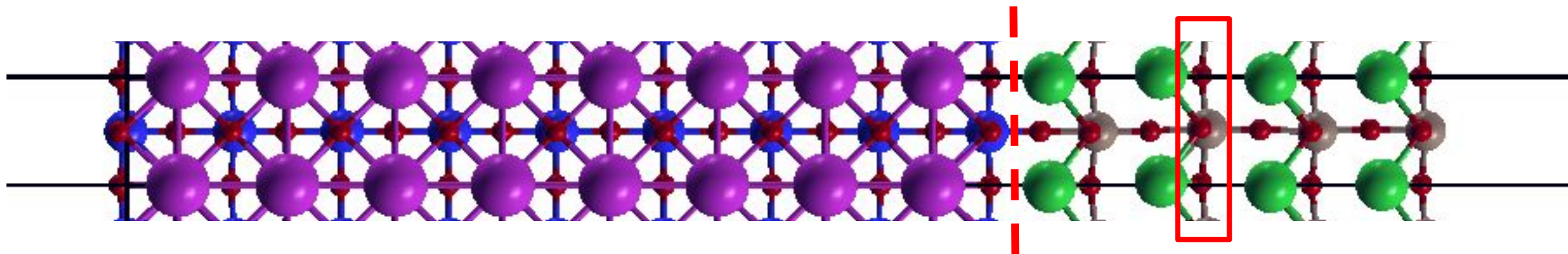


- Conducting  little contribution to 2DEG.
- Mainly **V-3d orbitals** hosts the 2DEG in this layer.

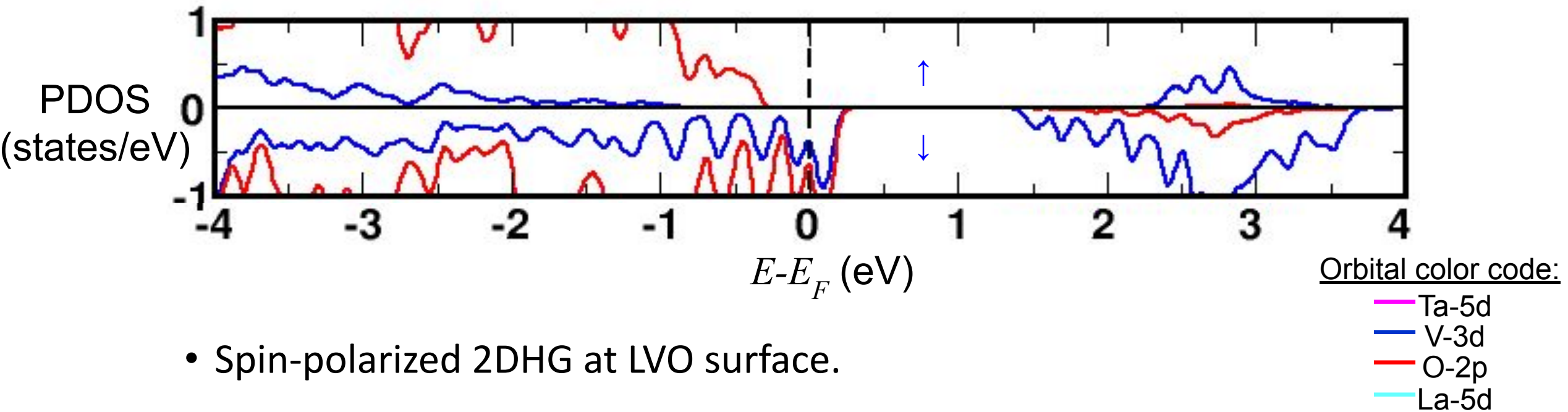
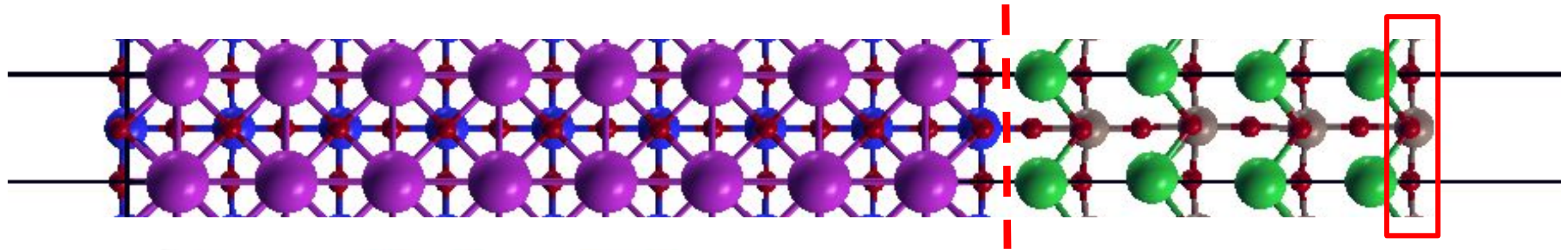
Layer-resolved PDOS of LVO/KTO Interface (layer: 3)



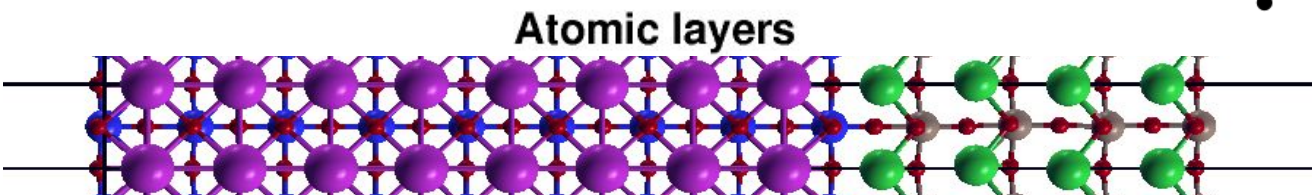
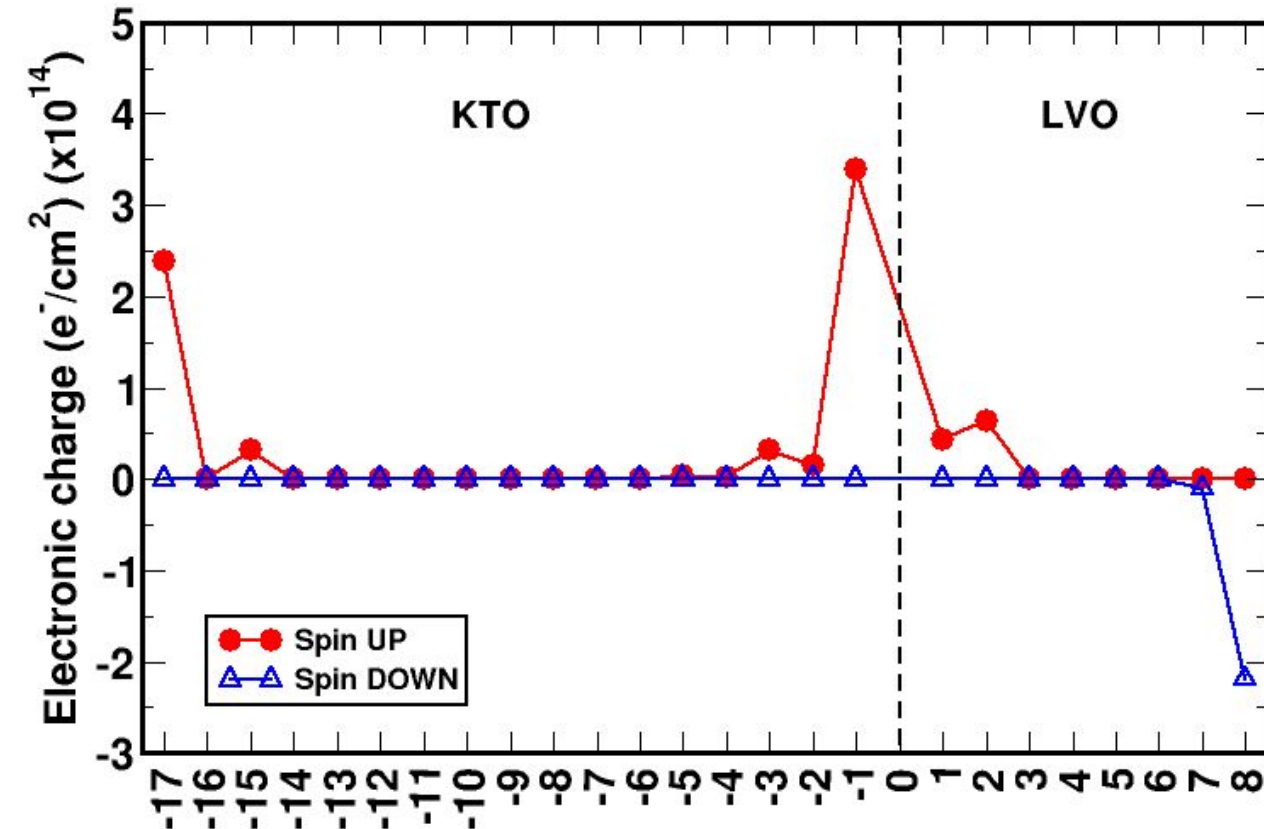
Layer-resolved PDOS of LVO/KTO Interface (layer: 4)



Layer-resolved PDOS of LVO/KTO Interface (layer: 8)

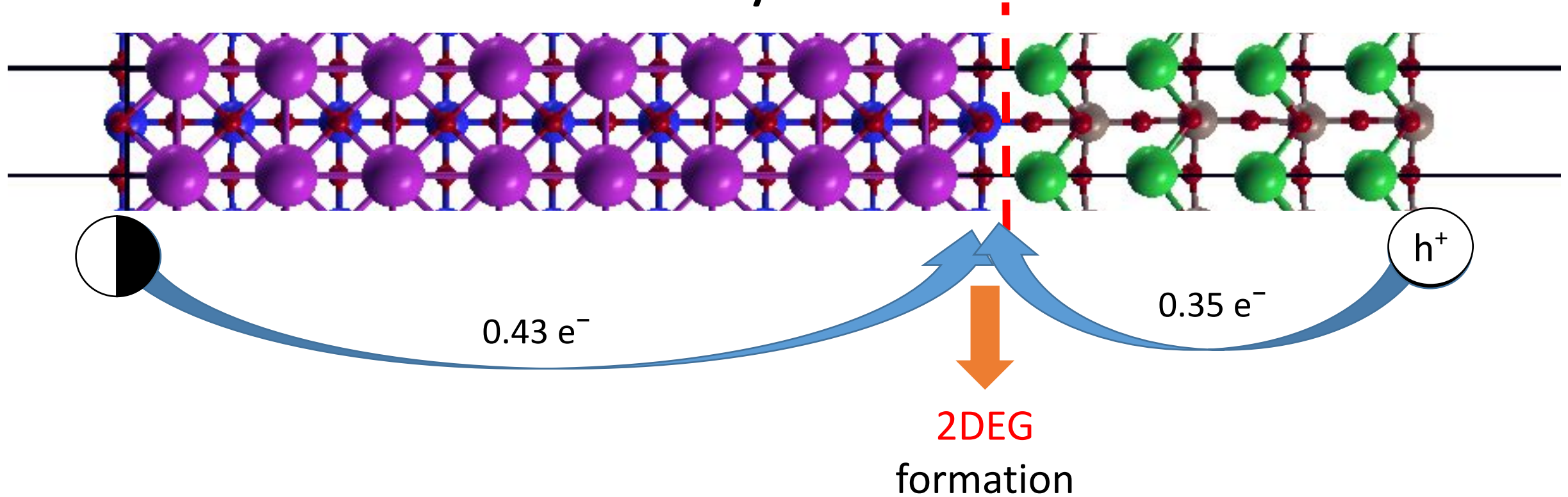


Layer-resolved Electronic Charge



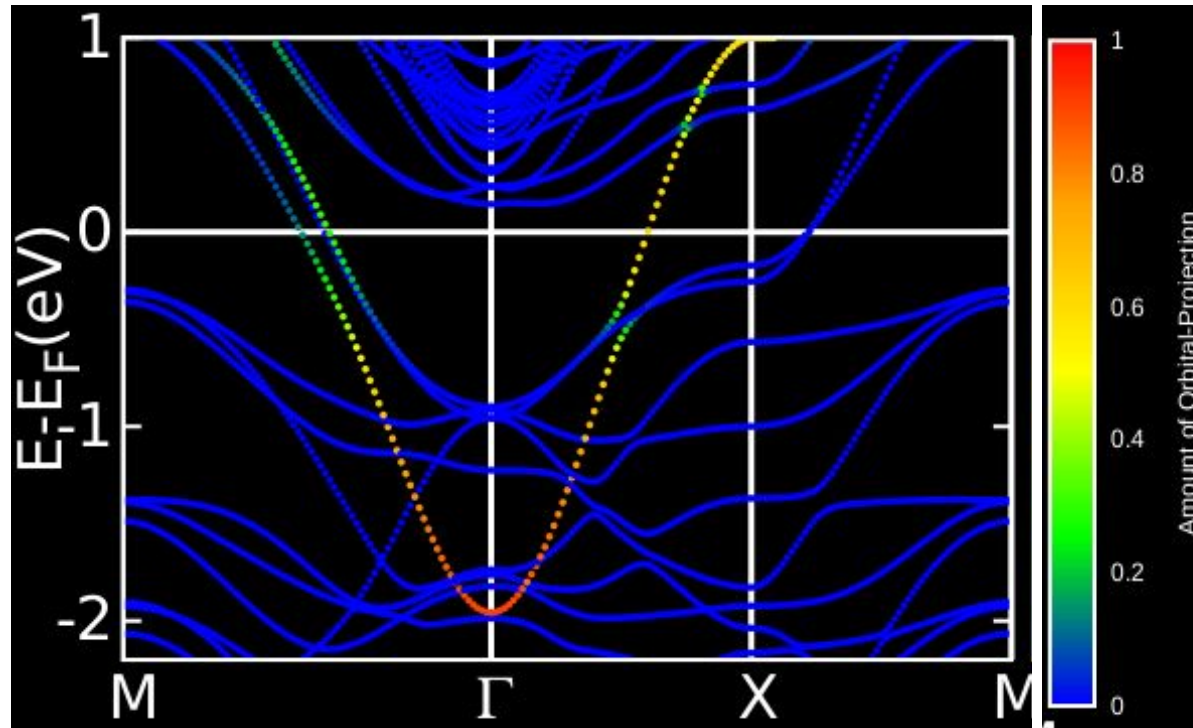
- Charge is calculated by integrating layer-resolved PDOS.
- 2DEG is primarily hosted by Interfacial TaO_2 layer on KTO side.
- Very high interfacial free e^- density = $4.89 \times 10^{14} \text{ e}^-/\text{cm}^2$ (reasonable agreement w/ expt. value of $1 \times 10^{14} \text{ e}^-/\text{cm}^2$) (at LAO/STO interface $\sim 1 \times 10^{13} \text{ e}^-/\text{cm}^2$)
- Spin-polarized 2DEG.
- 2DEG is extremely confined to the interface.

“Electronic Reconstruction” is the Origin of 2DEG at LVO/KTO Interface



- We indeed find charge contributed from both polar materials!

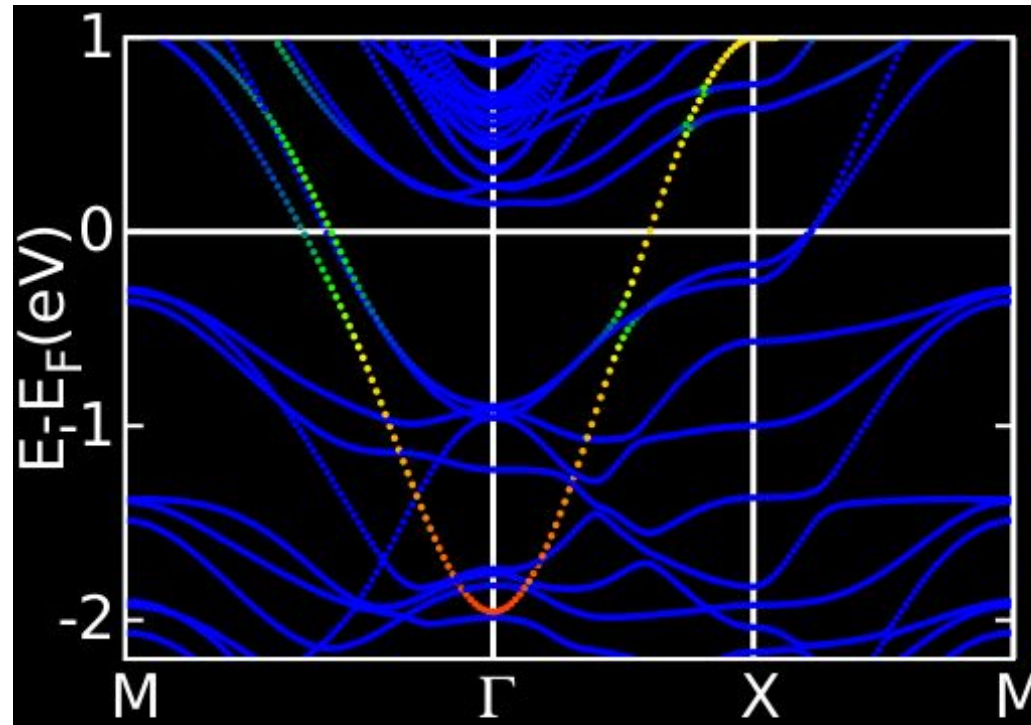
Projected Band Dispersion at Interface



Projected on interfacial Ta- $5d_{xy}$ orbitals **spin \uparrow**

- **Parabolic band** confirms existence of **free electrons** forming 2DEG; primarily **hosted by interfacial Ta- $5d_{xy}$ orbitals**.

Effective Mass & Mobility of 2DEG Electrons



Projected on interfacial Ta-5 d_{xy} orbitals spin \uparrow

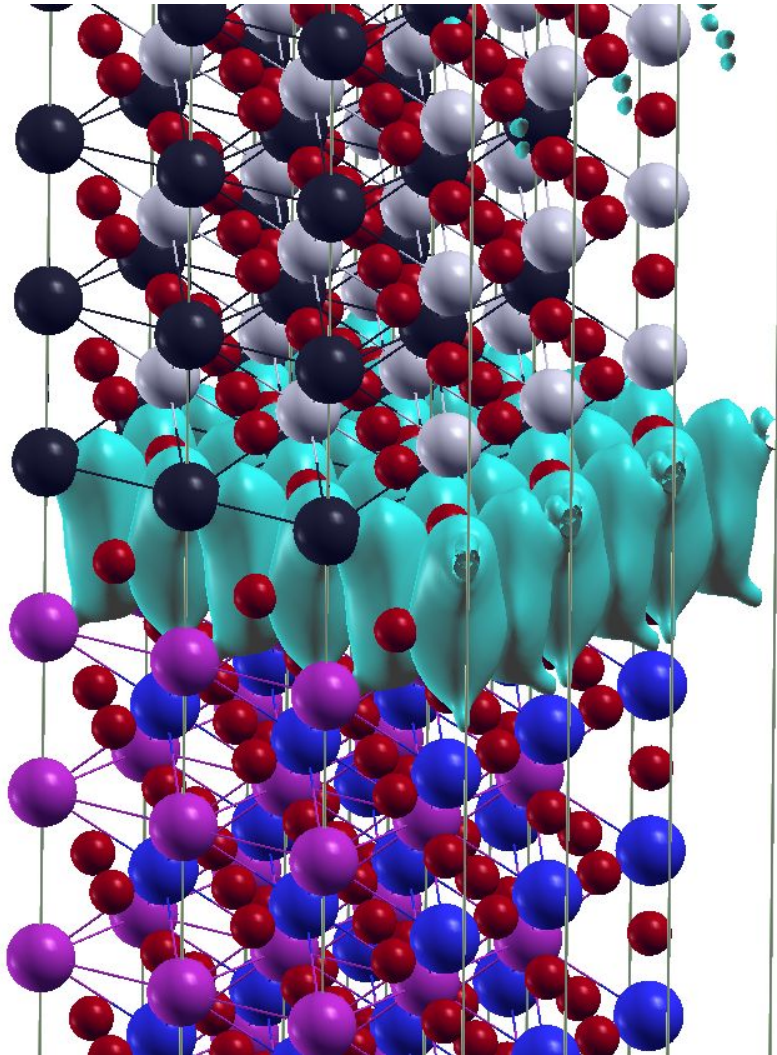
- 2DEG at the interface forms a parabolic band.
- Electron effective mass:

$$m^* = \frac{\hbar^2}{\frac{d^2E}{dk^2}} = 0.11m_0.$$

Much lower than $m^* = 0.4 - 0.6m_0$ for LAO/STO.

- Results in **Higher mobility** ($\mu = \frac{e\tau}{m^*}$).

Band-decomposed Charge Density: 2DEG at LVO/KTO Interface



- Band-decomposed charge density for parabolic band hosting 2DEG at Γ point.
- Cyan lobes correspond to iso-surface of charge density $|\psi_{nk\uparrow}(\mathbf{r})|^2$ with iso-value = $0.0001 e^-/\text{bohr}^3$.
- Cyan lobes showing 2DEG.
- Electrons are **confined** and **free to move** in plane parallel to interface; **cannot move** perpendicular to interface.

Conclusions

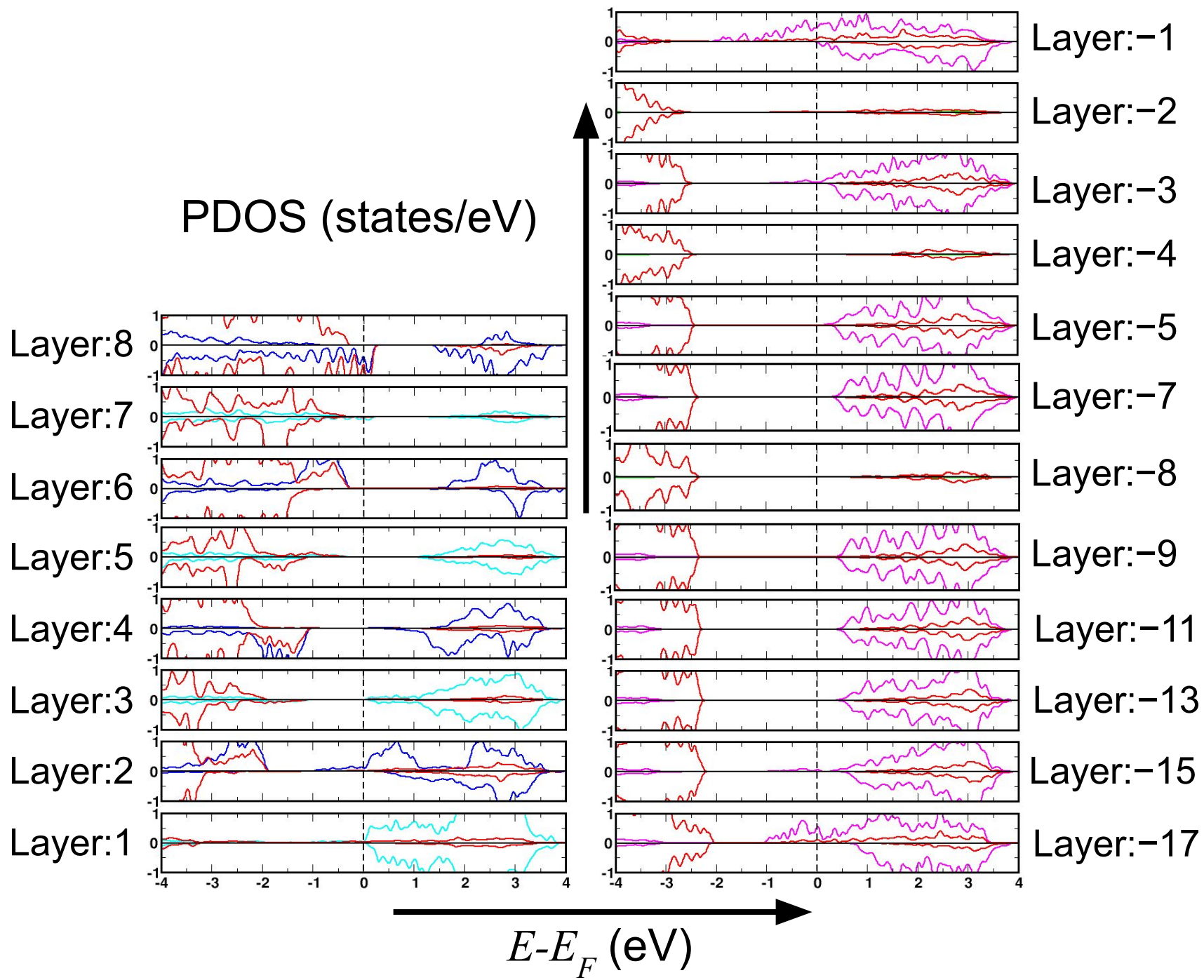
- Very **thin layer of spin-polarized 2DEG** formed at the interface between KTO (non-magnetic) and LVO (A-AFM).
- 2DEG has **higher carrier density** and **lower electron effective mass** \square expect **higher mobility** than previously studied systems.
- Carrier density is higher in 2DEG because of **charge contributed from both** (polar) LVO and (polar) KTO.

Thank You

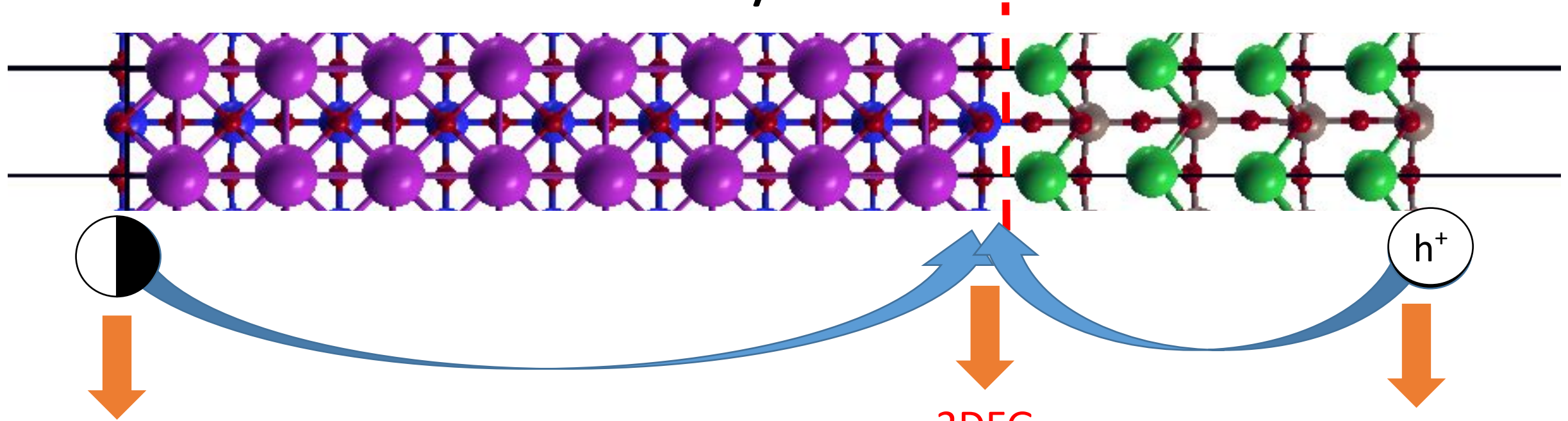
Backup Slides

Conclusions

- LVO/KTO interface shows **metallicity** though the constituents are **insulators**.
- **Parabolic bands** around the Γ point cross the Fermi level which shows the **existence of conduction/free electrons**.
- Electrons are transferred from surface of both LVO and KTO to interfacial TaO₂ layer □ **'electronic reconstruction'** is the **origin of 2DEG**.
- Calculated interfacial electron density agrees well with expt.
- **Higher electron mobility** because of lower effective mass, due to less localized Ta-5d orbitals compared to Ti-3d orbitals.
- Conduction electrons forming the **2DEG primarily reside on interfacial TaO₂ layer**.
- 2DEG is **spin-polarized**.
- 2DEG is **extremely confined or localized** to the interface.
- Higher interfacial carrier density and higher mobility of 2DEG compared to polar/non-polar oxide interfaces.



“Electronic Reconstruction” is the Origin of 2DEG at LVO/KTO Interface



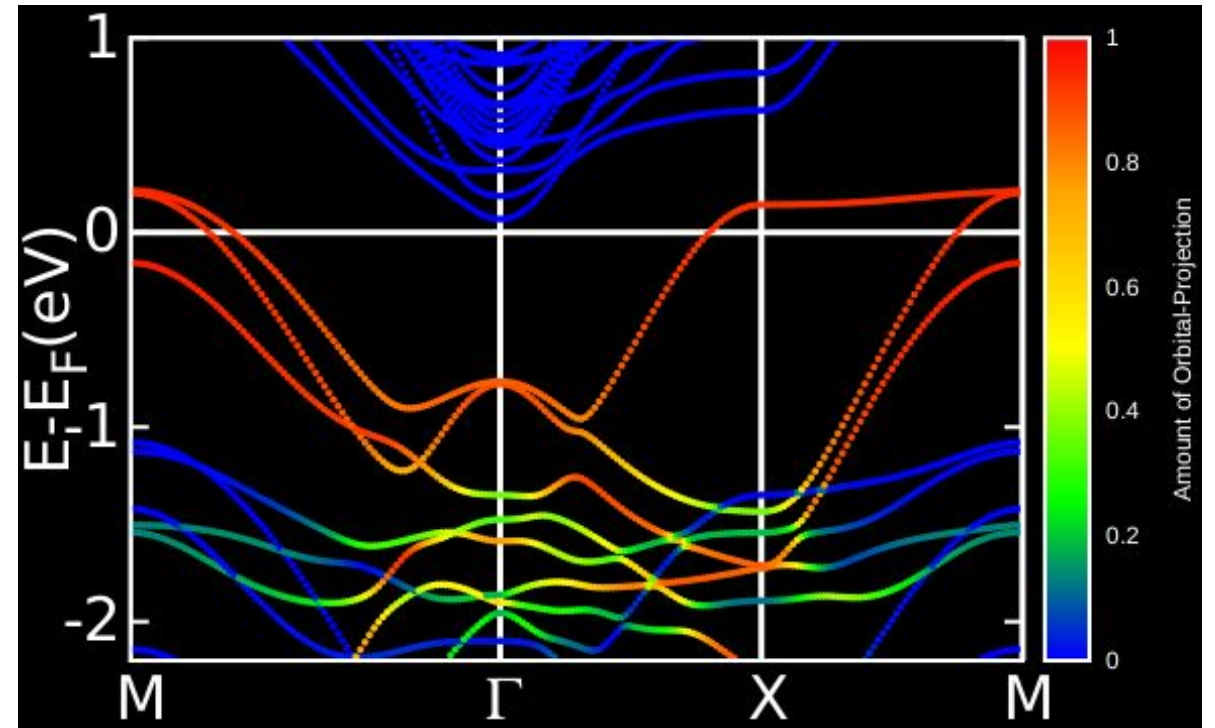
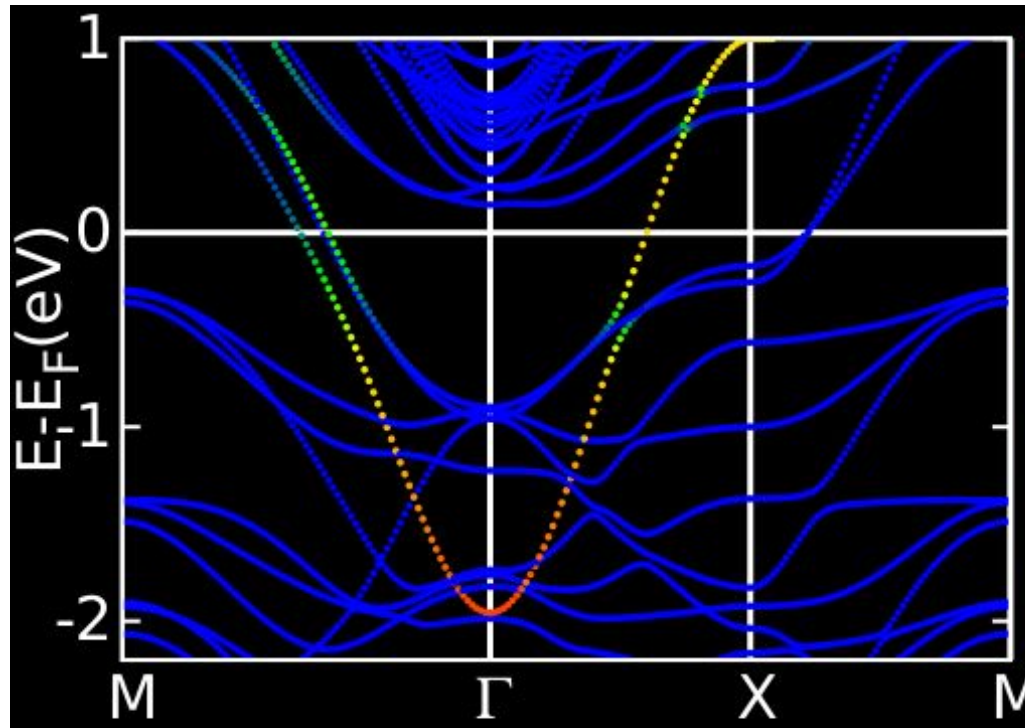
- CBM of surface TaO_2 layer move up in energy.
- Free e^- density at KTO surface decreases.

2DEG
formation

LVO/KTO being polar/polar, both LVO & KTO donate e^- to the interface
higher e^- density compared to polar/non-polar LAO/STO interface.

- Valence bands of surface VO_2 layer move up in energy & cross Fermi level.
- Creation of holes.

Orbital Projected Band Dispersion



Projected on interfacial Ta-5d_{xy} orbitals **spin ↑**

Projected on surface VO₂ layer **spin ↓**

- **Parabolic band** confirms existence of **free electrons** forming 2DEG; primarily **hosted by interfacial Ta-5d_{xy} orbitals**.
- Surface valence V-3d and O-2p states cross the Fermi level: they **donate electrons to the interface**.