

**I:** Non-Standard Neutrino Interactions  
**II** Sterile neutrinos and Short Baseline Anomalies

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“Understanding the Universe through neutrinos”  
ICTS, Bengaluru  
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# Helpful General References on Part I, NSI

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Neutrino Non-Standard Interactions: A Status Report, P. S. Bhupal Dev et al, 1907.00991

Neutrino oscillations and Non-Standard Interactions, Y Farzan and M Tortola, 1710.09360

Non standard neutrino interactions: current status and future prospects, O Miranda and H Nunokawa, 1505.06254

Status of non-standard neutrino interactions, T Ohlsson, 1209.2710

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$$\sin^2(\theta_{12}) = 0.307 \pm 0.013$$

$$\Delta m_{21}^2 = (7.53 \pm 0.18) \times 10^{-5} \text{ eV}^2$$

$$\sin^2(\theta_{23}) = 0.539 \pm 0.022 \quad (S = 1.1) \quad (\text{Inverted order}) \quad \leftarrow$$

$$\sin^2(\theta_{23}) = 0.546 \pm 0.021 \quad (\text{Normal order})$$

$$\Delta m_{32}^2 = (-2.536 \pm 0.034) \times 10^{-3} \text{ eV}^2 \quad (\text{Inverted order}) \quad \leftarrow$$

$$\Delta m_{32}^2 = (2.453 \pm 0.033) \times 10^{-3} \text{ eV}^2 \quad (\text{Normal order})$$

$$\sin^2(\theta_{13}) = (2.20 \pm 0.07) \times 10^{-2}$$

$$\delta, CP \text{ violating phase} = 1.36^{+0.20}_{-0.16} \pi \text{ rad}$$

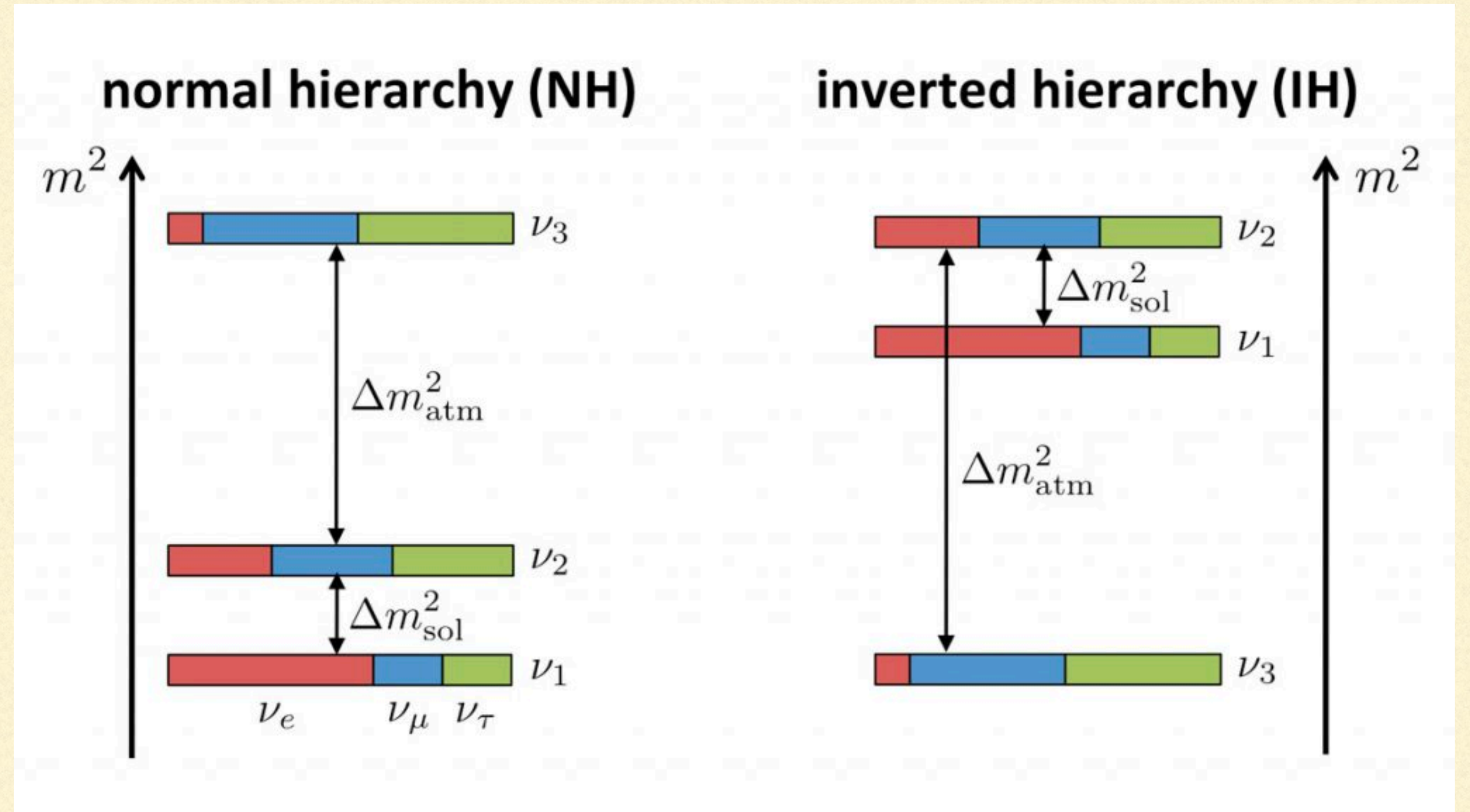
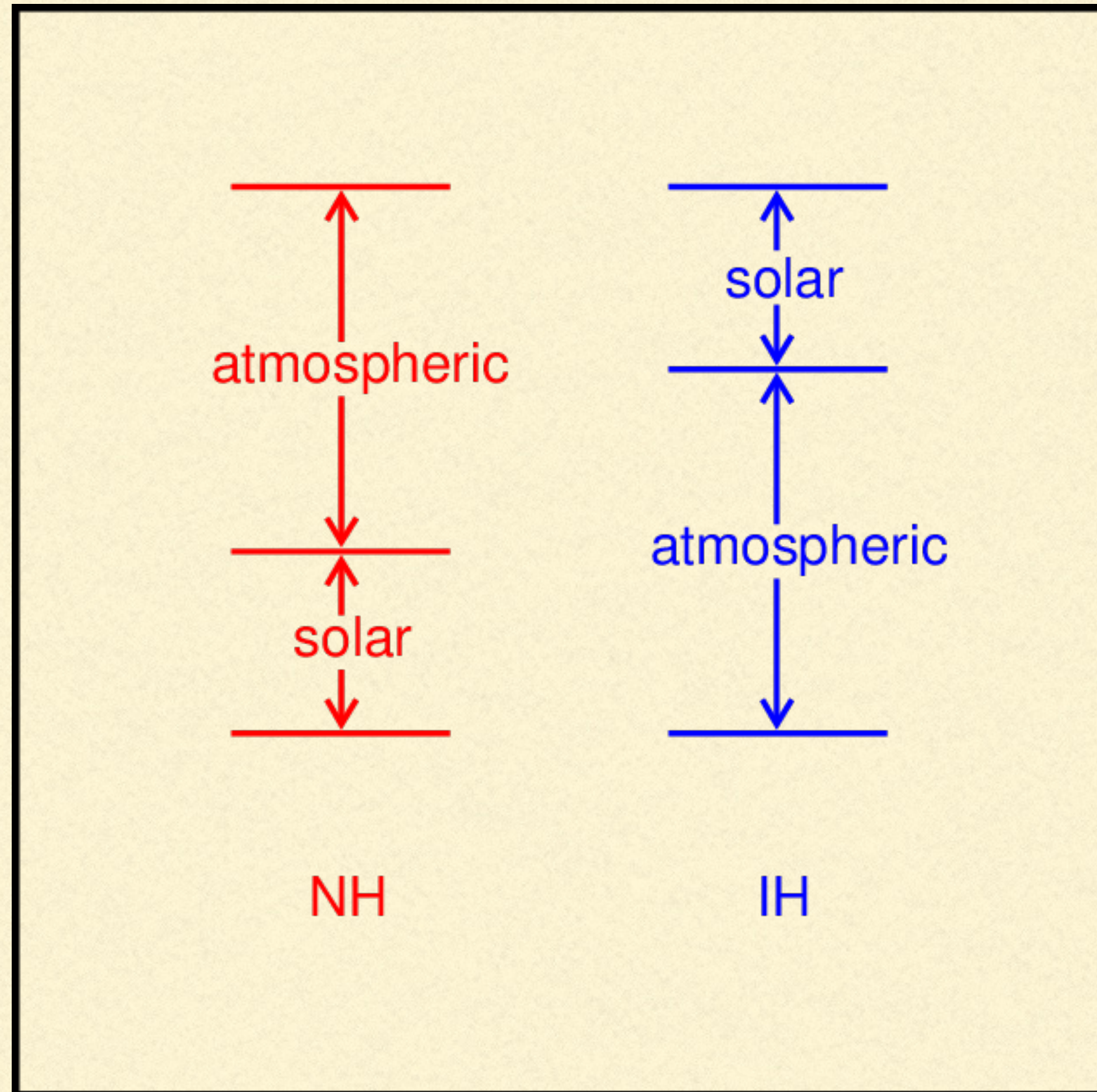
★ Atmospheric, solar, reactor, accelerator based neutrino experiments have helped us determine neutrino mass and mixing parameters to within uncertainties.

★ While it is fairly clear that oscillations are dominantly responsible for observed excesses and deficits seen in the neutrino fluxes in these experiments, subdominant effects that have not yet been ruled out include NSI, decoherence and neutrino decay.

- ★ Are neutrinos Dirac or Majorana particles?
- ★
- ★ Is there CP violation in the leptonic sector?
- ★
- ★ Is the neutrino mass hierarchy normal or inverted?
- ★

- ★ What are the absolute masses of neutrinos?
- ★
- ★ Are there additional light sterile neutrinos?
- ★

# Mass hierarchy of neutrinos



## Decoherence

One of the most essential ingredients in making the oscillation scenario work is that the spread in energy  $\Delta E$  of the neutrino "beam" is not too wide.

If  $\Delta E$  of the neutrino "beam" is wide then by the time the neutrinos arrive at the detector the oscillation patterns for neutrinos of different energies get sufficiently out of phase to dampen potentially observable oscillations

## Decay

In transit, a neutrino may decay invisibly, e.g into sterile neutrinos which do not produce a signal in a detector.

It could decay visibly, say to another SM neutrino, which gets picked up in a downstream detector.

**Both these "non-oscillation" mechanisms could modify neutrino signatures in oscillation experiments**

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# Motivations for studying NSI.....

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Generically, new interactions and couplings of neutrinos beyond those in the SM are termed and "Non-Standard Interactions" (NSI).

Such interactions could be present in the production, propagation and detection of neutrinos.

They could affect oscillation probability predictions and measurements made by existing and future experiments.

They could impact our efforts to answer the important unanswered questions about neutrinos listed earlier..

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# Motivations for studying NSI.....

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It is important to emphasize that NSI are not necessarily some strange new beasts, but may very well arise from known BSM physics theories, like supersymmetry, GUT models, left-right models, compositeness, extra dimensional theories.....The term "NSI" is thus an umbrella categorization

It is also important to realise that existing neutrino data are quite well-described by the standard 3 family oscillation picture and the currently measured mass-squared differences and mixings. Hence additional interactions are very likely small effects, sub-dominant to the present understanding of SM interactions of neutrinos.

Despite their smallness and sub-dominance, it is important to study them because they could point towards physics beyond the SM.

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# Standard Neutrino oscillations.....in matter

$$i \frac{d\nu}{dt} = \frac{1}{2E} [MM^\dagger + \text{diag}(A, 0, 0)] \nu \equiv H\nu,$$

E is the neutrino energy,

$A = 2\sqrt{2}GFN_e$  is the effective matter potential

$$GF = (1.1663787 \pm 0.00000006) \times 10^{-5} \text{ GeV}^{-2}$$

$N_e$  is the electron number density in matter

$M = U \text{Diag}\{m_1, m_2, m_3\} U^\dagger$  is the neutrino mass matrix,

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix},$$

U relates the weak interaction eigenstates and the mass eigenstates through the leptonic mixing parameters  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ,  $\delta$  (the Dirac CP-violating phase)

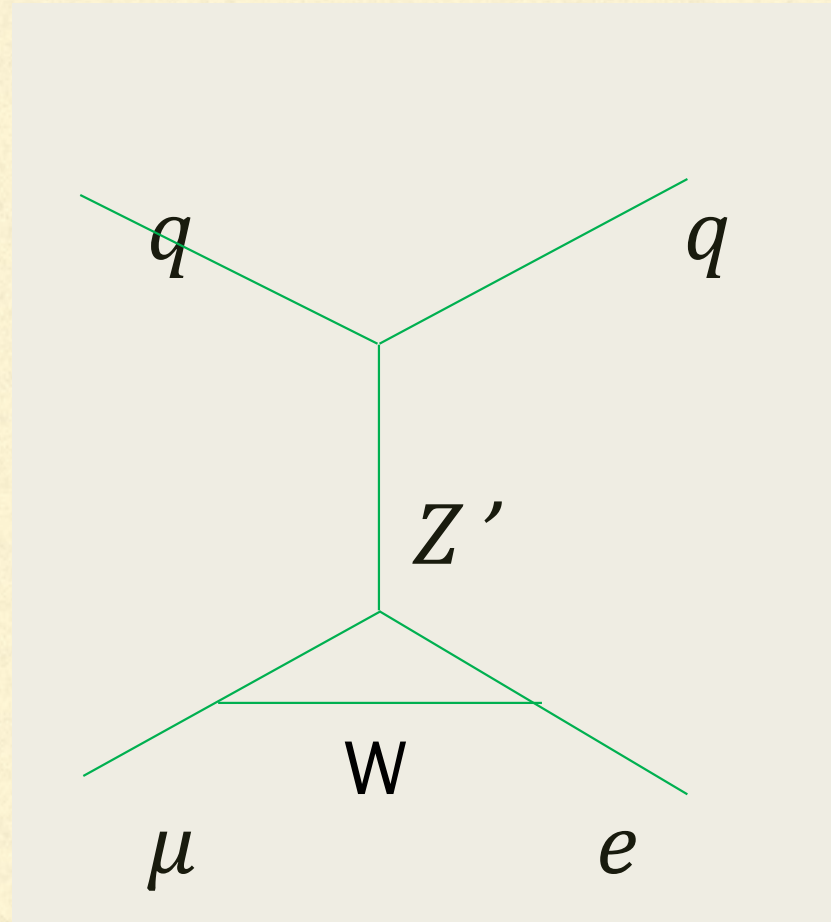


# Adding NSI..... (3 flavours)

$$\mathcal{L}_{\text{NC-NSI}} = -2\sqrt{2}G_F \epsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$

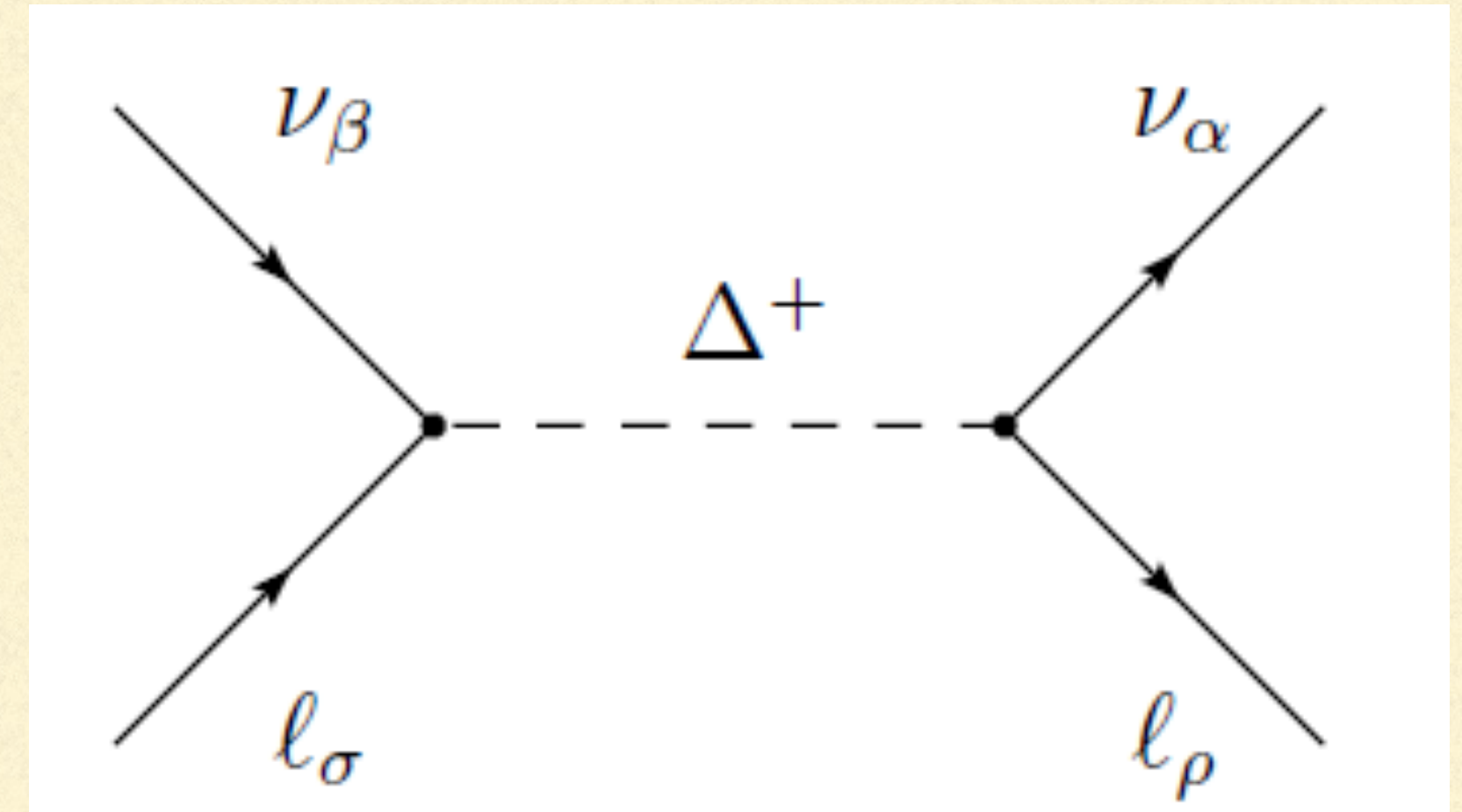
$$\mathcal{L}_{\text{CC-NSI}} = -2\sqrt{2}G_F \epsilon_{\alpha\beta}^{ff'X} (\bar{\nu}_\alpha \gamma^\mu P_L \ell_\beta) (\bar{f}' \gamma_\mu P_X f)$$

$$P_{R,L} = (1 \pm \gamma_5)/2.$$



Neutral current NSI with matter fields

Charged current NSI with matter fields f, f'



$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} U^\dagger + A \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} .$$

# Standard Two flavour oscillations in matter

# Standard Two flavour oscillations in matter with NSI

$$P_M(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta^M \sin^2 \left( \Delta m_M^2 \frac{L}{4E} \right)$$

$$P(\nu_e \rightarrow \nu_\tau) = \sin^2 2\theta_M \sin^2 \left( \frac{\Delta m_M^2 L}{4E} \right)$$

$$\Delta m_M^2 \equiv \Delta m^2 \sqrt{\sin^2 2\theta + (\cos 2\theta - A)^2}$$

$$\left( \frac{\Delta m_M^2}{2EA} \right)^2 \equiv \left( \frac{\Delta m^2}{2EA} \cos 2\theta - (1 + \epsilon_{ee} - \epsilon_{\tau\tau}) \right)^2 + \left( \frac{\Delta m^2}{2EA} \sin 2\theta + 2\epsilon_{e\tau} \right)^2$$

$$\sin^2 2\theta^M \equiv \frac{\sin^2 2\theta}{\sin^2 2\theta + (\cos 2\theta - A)^2}$$

$$\sin 2\theta_M \equiv \frac{\Delta m^2 \sin 2\theta + 4EA\epsilon_{e\tau}}{\Delta m_M^2}$$

$$\mathcal{H}_M = \frac{\Delta m_M^2}{4E} \begin{pmatrix} -\cos 2\theta^M & \sin 2\theta^M \\ \sin 2\theta^M & \cos 2\theta^M \end{pmatrix} \quad \text{if } \epsilon_{ee}, \epsilon_{e\tau}, \epsilon_{\tau\tau} \rightarrow 0,$$

Gives us back the standard matter oscillations

We thus see that measurements of mass-squared differences and mixing angles can be affected by the presence of NSI when we study neutrino oscillations in matter.

# Three flavour oscillations in matter with NSI

$$P(\nu_\alpha \rightarrow \nu_\alpha; L) = 1 - 4 \sum_{i>j} |\tilde{U}_{\alpha i} \tilde{U}_{\alpha j}^*|^2 \sin^2 \left( \frac{\Delta \tilde{m}_{ij}^2 L}{4E} \right),$$

$$P(\nu_\alpha \rightarrow \nu_\beta; L) = -4 \sum_{i>j} \text{Re} \left( \tilde{U}_{\alpha i}^* \tilde{U}_{\beta i} \tilde{U}_{\alpha j} \tilde{U}_{\beta j}^* \right) \sin^2 \left( \frac{\Delta \tilde{m}_{ij}^2 L}{4E} \right) - 8\mathcal{J} \prod_{i>j} \sin \left( \frac{\Delta \tilde{m}_{ij}^2 L}{4E} \right)$$

$$\mathcal{J}^2 = |\tilde{U}_{\alpha i}|^2 |\tilde{U}_{\beta j}|^2 |\tilde{U}_{\alpha j}|^2 |\tilde{U}_{\beta i}|^2 - \frac{1}{4} \left( 1 + |\tilde{U}_{\alpha i}|^2 |\tilde{U}_{\beta j}|^2 + |\tilde{U}_{\alpha j}|^2 |\tilde{U}_{\beta i}|^2 - |\tilde{U}_{\alpha i}|^2 - |\tilde{U}_{\beta j}|^2 - |\tilde{U}_{\alpha j}|^2 - |\tilde{U}_{\beta i}|^2 \right)^2.$$

D. Meloni, T. Ohlsson, and H. Zhang, arXiv:0901.1784  
T Ohlsson, 1209.2710

# Three flavour oscillations in matter with NSI

$$\tilde{U}_{e2} \simeq \frac{\alpha s_{12} c_{12}}{\hat{A}} + c_{23} \varepsilon_{e\mu} - s_{23} \varepsilon_{e\tau},$$

$$\tilde{U}_{e3} \simeq \frac{s_{13} e^{-i\delta}}{1 - \hat{A}} + \frac{\hat{A}(s_{23} \varepsilon_{e\mu} + c_{23} \varepsilon_{e\tau})}{1 - \hat{A}},$$

$$\tilde{U}_{\mu 2} \simeq c_{23} + \hat{A} s_{23}^2 c_{23} (\varepsilon_{\tau\tau} - \varepsilon_{\mu\mu}) + \hat{A} s_{23} (s_{23} \varepsilon_{\mu\tau} - c_{23}^2 \varepsilon_{\mu\tau}^*),$$

$$\tilde{U}_{\mu 3} \simeq s_{23} + \hat{A} [c_{23} \varepsilon_{\mu\tau} + s_{23} c_{23}^2 (\varepsilon_{\mu\mu} - \varepsilon_{\tau\tau}) - s_{23}^2 c_{23} (\varepsilon_{\mu\tau} + \varepsilon_{\mu\tau}^*)],$$

$$\tilde{m}_1^2 \simeq \Delta m_{31}^2 (\hat{A} + \alpha s_{12}^2 + \hat{A} \varepsilon_{ee}),$$

$$\tilde{m}_2^2 \simeq \Delta m_{31}^2 \left[ \alpha c_{12}^2 - \hat{A} s_{23}^2 (\varepsilon_{\mu\mu} - \varepsilon_{\tau\tau}) - \hat{A} s_{23} c_{23} (\varepsilon_{\mu\tau} + \varepsilon_{\mu\tau}^*) + \hat{A} \varepsilon_{\mu\mu} \right],$$

$$\tilde{m}_3^2 \simeq \Delta m_{31}^2 \left[ 1 + \hat{A} \varepsilon_{\tau\tau} + \hat{A} s_{23}^2 (\varepsilon_{\mu\mu} - \varepsilon_{\tau\tau}) + \hat{A} s_{23} c_{23} (\varepsilon_{\mu\tau} + \varepsilon_{\mu\tau}^*) \right],$$

D. Meloni, T. Ohlsson, and H. Zhang, arXiv:0901.1784  
T Ohlsson, 1209.2710

# NSI at production at the neutrino source.....

$$\pi^+ \rightarrow \mu^+ + \nu_\mu, \quad \mu^+ \rightarrow e^+ + \bar{\nu}_\mu + \nu_e, \quad n \rightarrow p + e^- + \bar{\nu}_e$$

Examples of standard production,

$$\pi^+ \rightarrow \mu^+ + \nu_e, \quad \mu^+ \rightarrow e^+ + \bar{\nu}_\mu + \nu_\mu, \quad n \rightarrow p + e^- + \bar{\nu}_\mu$$

Examples of non-standard production,

# NSI at detection .....

$$\nu_\mu + n \rightarrow p + \mu^-$$

Example of standard detection

$$\nu_e + n \rightarrow p + \mu^-$$

Example of non-standard detection

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Such interactions, which lead to non-standard effects during the production or the detection of a neutrino involve charged current processes, and may arise from a term such as

$$\mathcal{L}_{\text{CC-NSI}} = -2\sqrt{2}G_F \epsilon_{\alpha\beta}^{ff'X} (\bar{\nu}_\alpha \gamma^\mu P_L \ell_\beta) (\bar{f}' \gamma_\mu P_X f) \quad \text{Here } f \text{ and } f' \text{ are different fermions}$$

On the other hand, coherent effects over long baselines which affect oscillation probabilities stem from a term that produces NSI in neutral currents,

$$\mathcal{L}_{\text{NC-NSI}} = -2\sqrt{2}G_F \epsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$

$$P_{R,L} = (1 \pm \gamma_5)/2.$$

# Examining NSI parameters as stemming from an underlying gauge theory

$$H = \frac{1}{2E} \left[ U_{\text{PMNS}} \begin{pmatrix} 0 & & \\ & \Delta m_{21}^2 & \\ & & \Delta m_{31}^2 \end{pmatrix} U_{\text{PMNS}}^\dagger + a \begin{pmatrix} 1 + \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix} \right]$$

$$\varepsilon_{\alpha\beta} = |\varepsilon_{\alpha\beta}| e^{i\phi_{\alpha\beta}} .$$

While the diagonal terms are real, the off diagonal parameters can be complex, and thus interfere in  $CP$  measurements.

One would like to associate an underlying gauge structure for NSIs, as opposed to simply parametrising them effectively as we have done so far.

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# Example of a simple underlying gauge theory for NSI

$$-2\sqrt{2}G_F \epsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f)$$

Consider a new  $Z'$  with mass  $M_X$  associated with a new  $U(1)$  group with gauge coupling  $g_X$

Then  $\epsilon \sim g_X^2 m_W^2 / m_X^2$ .

$$Z'_\mu \bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta$$

$$Z'_\mu \bar{f} \gamma^\mu P_X f$$

Note that if the new gauge boson is much heavier than the electroweak scale, the couplings may become unobservably small.

$$m_X \ll m_W \text{ and } g_X \ll 1$$

Where weak couplings and a low mass gauge boson are combined, one may have observability.

$$\epsilon \sim g_X^2 m_W^2 / m_X^2$$

Hence, for new physics appearing at 1 (10) TeV, one expects

$$\epsilon_{\alpha\beta} \sim 10^{-2} (10^{-4})$$



## Putting constraints on NSI.....

Requiring that the new theory preserve  $SU(2)_L \times U(1)$  gauge invariance at high energies immediately leads to strong constraints

Consider the 6-dimensional operator in effective theory which yields an effective NSI parameter leading to, say

$$\epsilon_{e\mu}^{ee}$$

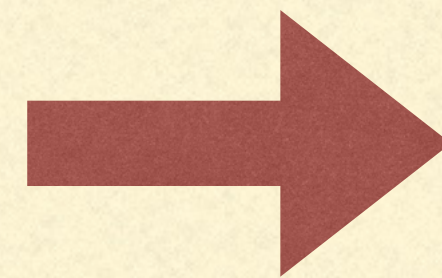
$$\frac{1}{\Lambda^2} (\bar{\nu}_\alpha \gamma^\rho P_L \nu_\beta) (\bar{\ell}_\gamma \gamma_\rho \ell_\delta)$$

To preserve gauge invariance, this must be part of a general  $SU(2) \times U(1)$  operator

$$\frac{1}{\Lambda^2} (\bar{L}_\alpha \gamma^\rho L_\beta) (\bar{L}_\gamma \gamma_\rho L_\delta)$$

Applying this to an interaction with 4 charged leptons, where constraints are tight,

$$\mu \rightarrow 3e: \quad \text{BR}(\mu \rightarrow 3e) < 10^{-12}$$



$$\epsilon_{e\mu}^{ee} < 10^{-6}$$

## Putting constraints on NSI.....atmospheric neutrinos

Atmospheric neutrinos are very sensitive to matter NSIs, since they travel over long distances inside the Earth before being detected, and  $\nu_\mu \leftrightarrow \nu_\tau$  oscillations are important.

Further, a two flavour approximation can be made,

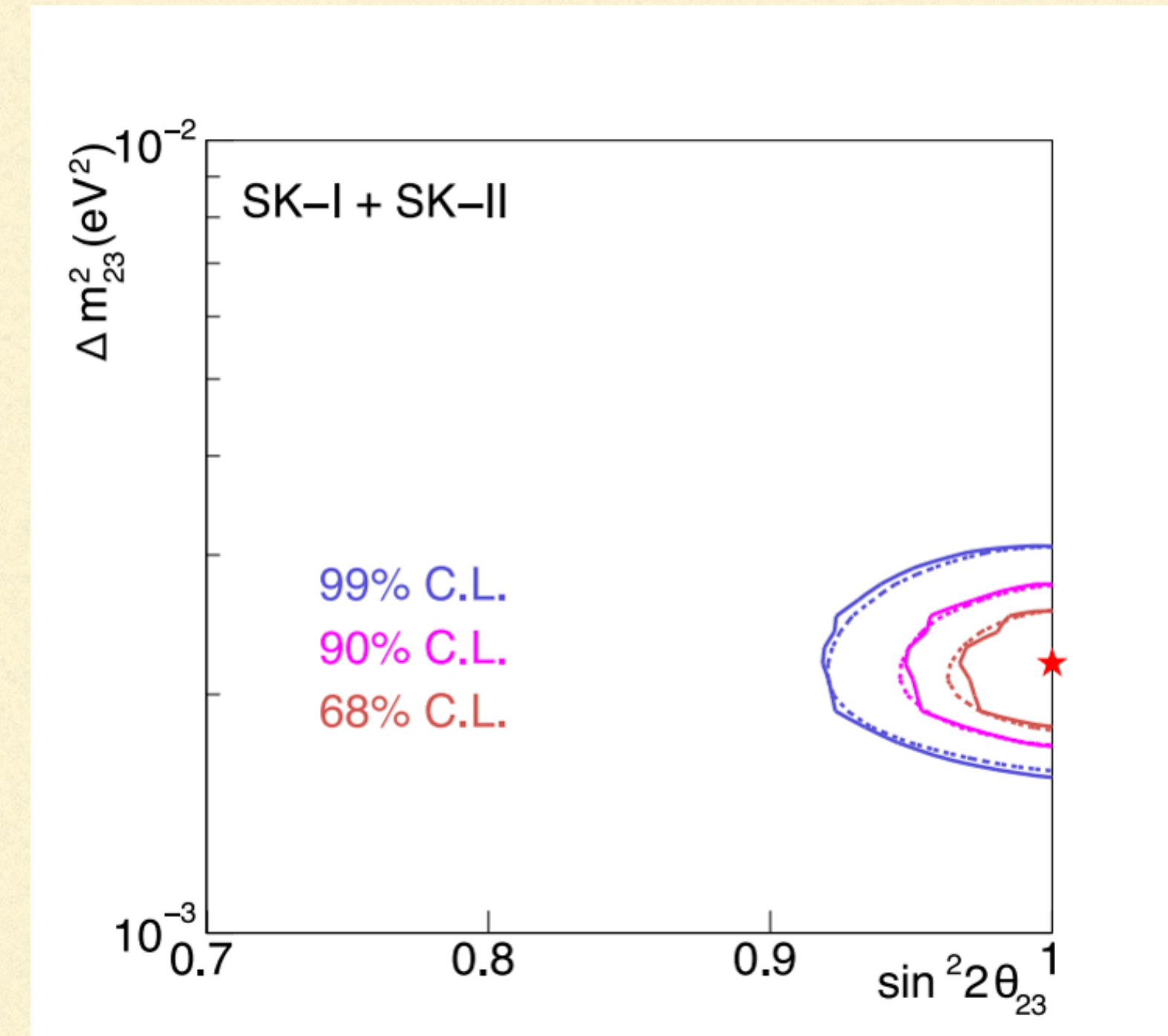
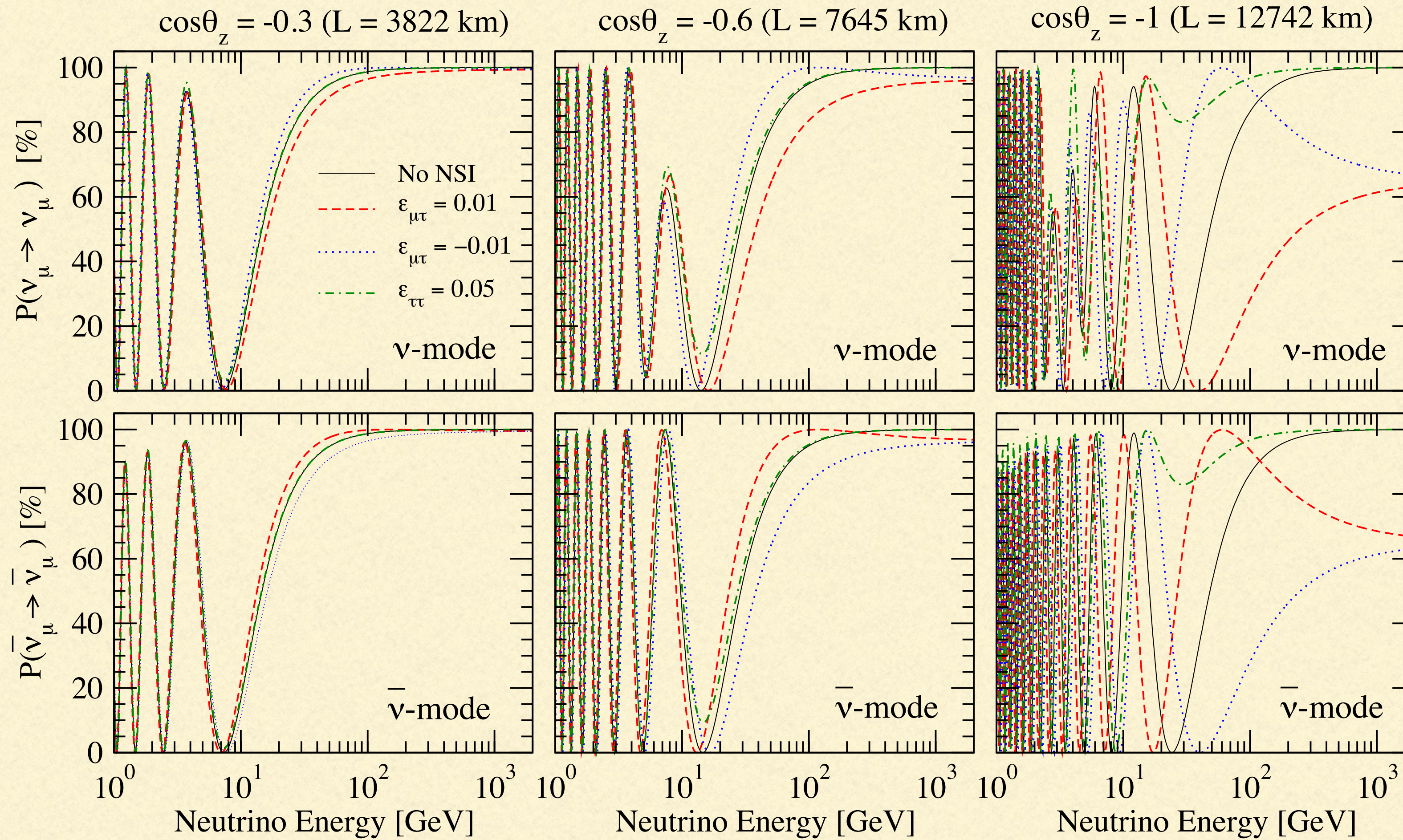
$$i \frac{d}{dL} \begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} = \frac{1}{2E} \left[ U \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} U^\dagger + A \begin{pmatrix} 1 + \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{\mu\tau} & \varepsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix} .$$

$$P(\nu_\mu \rightarrow \nu_\mu; L) = 1 - P(\nu_\mu \rightarrow \nu_\tau; L) = 1 - \sin^2(2\Theta) \sin^2 \left( \frac{\Delta m^2 L}{4E} R \right) ,$$

$$\sin^2(2\Theta) = \frac{1}{R^2} [\sin^2(2\theta) + R_0^2 \sin^2(2\xi) + 2R_0 \sin(2\theta) \sin(2\xi)] ,$$
$$R = \sqrt{1 + R_0^2 + 2R_0 [\cos(2\theta) \cos(2\xi) + \sin(2\theta) \sin(2\xi)]}$$

$$R_0 = \sqrt{2} G_F N_e \frac{4E}{\Delta m^2} \sqrt{|\varepsilon|^2 + \frac{\varepsilon'^2}{4}} ,$$
$$\xi = \frac{1}{2} \arctan \left( \frac{2\varepsilon}{\varepsilon'} \right) .$$

# Putting constraints on NSI.....atmospheric neutrinos



Solid lines: with NSI  
Dashed lines: Normal oscillations

**Figure 1.** Survival probabilities of  $\nu_\mu \rightarrow \nu_\mu$  (upper panels) and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$  (lower panels) as a function of the neutrino energy for different zenith angle of incoming neutrinos,  $\cos\theta_z = -0.3$  (left panels),  $-0.6$  (middle panels) and  $-1$  (right panels). The corresponding distances traveled by neutrinos are indicated in the plots. The normal mass ordering was assumed.

# Putting constraints on NSI.....detection of reactor neutrinos

Nuclear reactor experiments usually have short or medium baselines, and hence effects of matter and NSI in propagation can be neglected.

Their energy is around a few MeV,

NSI may thus arise only at source (production) or in the detector.

Constraints will arise based on the deviation in the survival probability standard (known) reactor flux and standard oscillations, i.e. measurements of  $\bar{\nu}_e e^- \rightarrow \bar{\nu}_e e^-$  are done at source (LHS) and detector (RHS) and compared to expectations.

Thus at reactors, the relevant NSI parameters which can be constrained are  $\epsilon_{e\mu}^f$ ,  $\epsilon_{e\tau}^f$ ,  $\epsilon_{ee}^f$

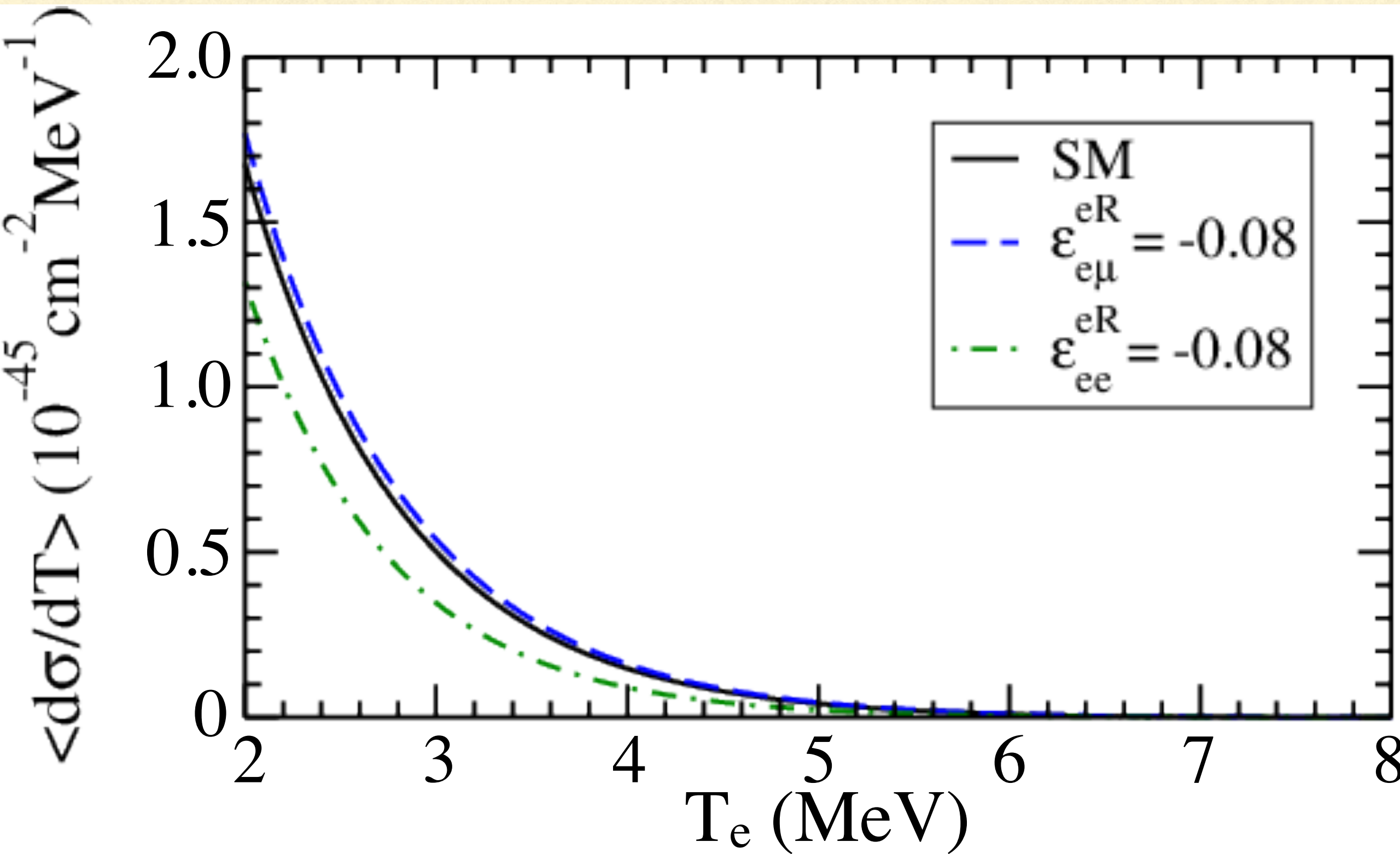
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# Putting constraints on NSI.....detection of reactor neutrinos $\bar{\nu}_e e^- \rightarrow \bar{\nu}_e e^-$

$$T_e \equiv E_e - m_e$$

$$\frac{d\sigma}{dT_e} = \frac{2G_F^2 m_e}{\pi} \left[ (g_R + \varepsilon_{ee}^R)^2 + \sum_{\alpha \neq e} |\varepsilon_{\alpha e}^R|^2 + \left\{ (g_L + \varepsilon_{ee}^L)^2 + \sum_{\alpha \neq e} |\varepsilon_{\alpha e}^L|^2 \right\} \left(1 - \frac{T_e}{E_\nu}\right)^2 \right.$$

$$\left. - \left\{ (g_L + \varepsilon_{ee}^L)(g_R + \varepsilon_{ee}^R) + \sum_{\alpha \neq e} |\varepsilon_{\alpha e}^L| |\varepsilon_{\alpha e}^R| \right\} m_e \frac{T_e}{E_\nu^2} \right]. \quad (26)$$

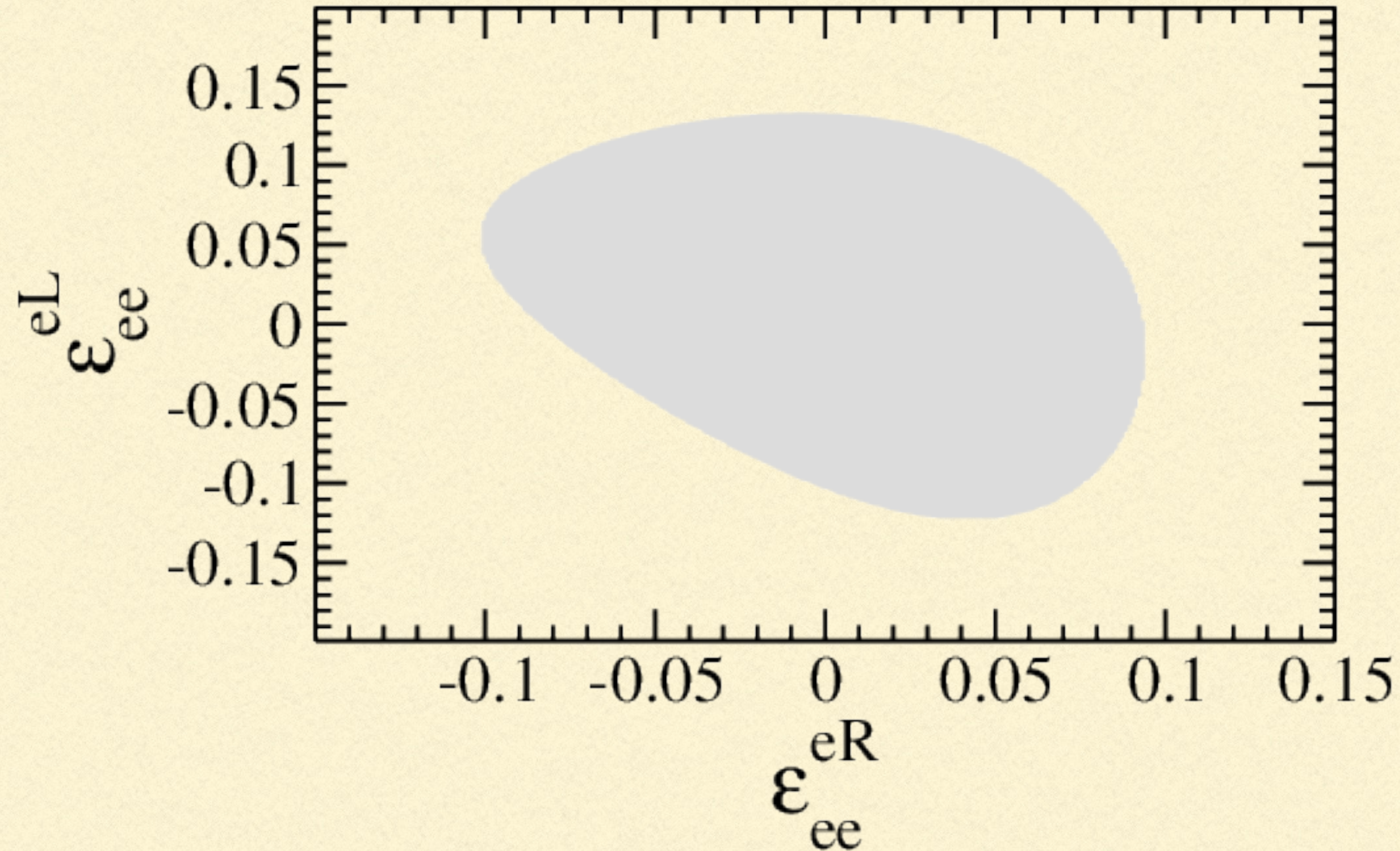


Flavour diagonal NSI give linear corrections,

Flavour changing NSI give quadratic corrections,

**Figure 4.** Averaged differential cross section for the electron anti-neutrino scattering off electrons for the SM case (black solid line), for a flavor changing NSI (blue dashed line), and for a flavor conserving NSI (green dashed dotted line). The reactor antineutrino flux has been considered in order to integrate the anti-neutrino cross section over the appropriate neutrino energy range.

# Putting constraints on NSI.....detection of reactor neutrinos



**Figure 5.** Allowed region, at 90 % CL, for diagonal NSI parameters,  $\varepsilon_{ee}^{L,R}$ , from a combined analysis of TEXONO reactor anti-neutrino and LSND neutrino electron scattering off electrons.

The NSI parameters for this reaction can be constrained by considering, for example, the data from the TEXONO collaboration, which use  $\bar{\nu}_{ee}$  scattering as the detection signal

Usually one combines more than one experiment to get better constraints

## NSI at low energies.....

The conventional formalism studied so far mainly looks at new physics in neutrinos at high energies.

It assumes heavy particles and loop contributions which can be integrated out and cast in the

form  $\epsilon \sim g_X^2 m_W^2 / m_X^2$ .

The absence of new physics discoveries at the LHC have spiked interest in searching for new physics at low energies. Such physics must be weakly (feebly) coupled. It could involve Low energy NSI of neutrinos and may show itself in anomalies at neutrino and DM detectors.

Such NSI of neutrinos may not be easily cast into the formalism studied here.....

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## Conclusions.....

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Among anomalous observations at neutrino experiments, their flavour transitions are the most studied, using oscillations and matter effects.

At present, a fairly accurate picture of SM neutrino interactions explains all the oscillation data well across many experiments, giving us a handle on their mixings and mass-squared differences.

Given the uncertainties, however, NSI could be playing a subdominant role in oscillations and neutrino scattering. Exploring NSI thus opens a window to new physics at high energy scales.

It could play a subdominant role in the physics of production, detection and propagation of neutrinos in matter.

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## Conclusions.....cont'd

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Analytic expressions for both 2 and 3 flavour standard oscillations in matter can be recast to include NSI. This underlines the fact that measurements made in experiments may hide subdominant effects of new physics.

Unravelling these effects would require the help of multiple experiments due to degeneracies.

Existing neutrino experiments can be used to constrain NSI parameters, e.g. data from atmospheric, reactor, coherent elastic neutrino-nucleus scattering long-baseline accelerator experiments etc.

If BSM physics resides at low energies, a somewhat different approach from the standard NSI parameters discussed here may be necessary.

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Short Baseline Anomalies and Sterile Neutrinos:  
Status and Perspectives

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## Initial remarks.....

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Generically, any neutral lepton that is a singlet under the gauge groups of the Standard Model (SM) is loosely referred to as a sterile neutrino. Their mass can range from light to very heavy. Heavier ones are generically called HNLs (heavy neutral leptons)

Sterile neutrinos have come to play an increasingly important role in present-day attempts to take our current understanding beyond the standard model (BSM).

Sterile neutrinos which are heavy ( $\sim \gg \text{GeV}$  or more) have been invoked to explain the smallness of neutrino masses via the seesaw mechanism.

➔ Over the past couple of decades, a number of anomalous results have been observed in experiments which involve the production and detection of neutrinos over short baselines ( $< 1 \text{ km}$ ). Sterile neutrino oscillations of mass  $\sim \text{eV}$  have been invoked to understand them and comprise a major proposed solution to resolve them.

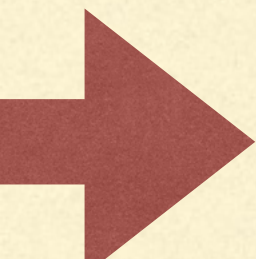
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## Initial remarks..... (Contd)

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Sterile neutrinos which are heavy (mass  $\gg 100$  GeV) have been invoked to explain the baryon asymmetry of the Universe.

Sterile neutrinos which have masses in  $\sim$ keV or higher range have also been considered as dark matter candidates. (e.g Dodelson-Widrow mechanism)

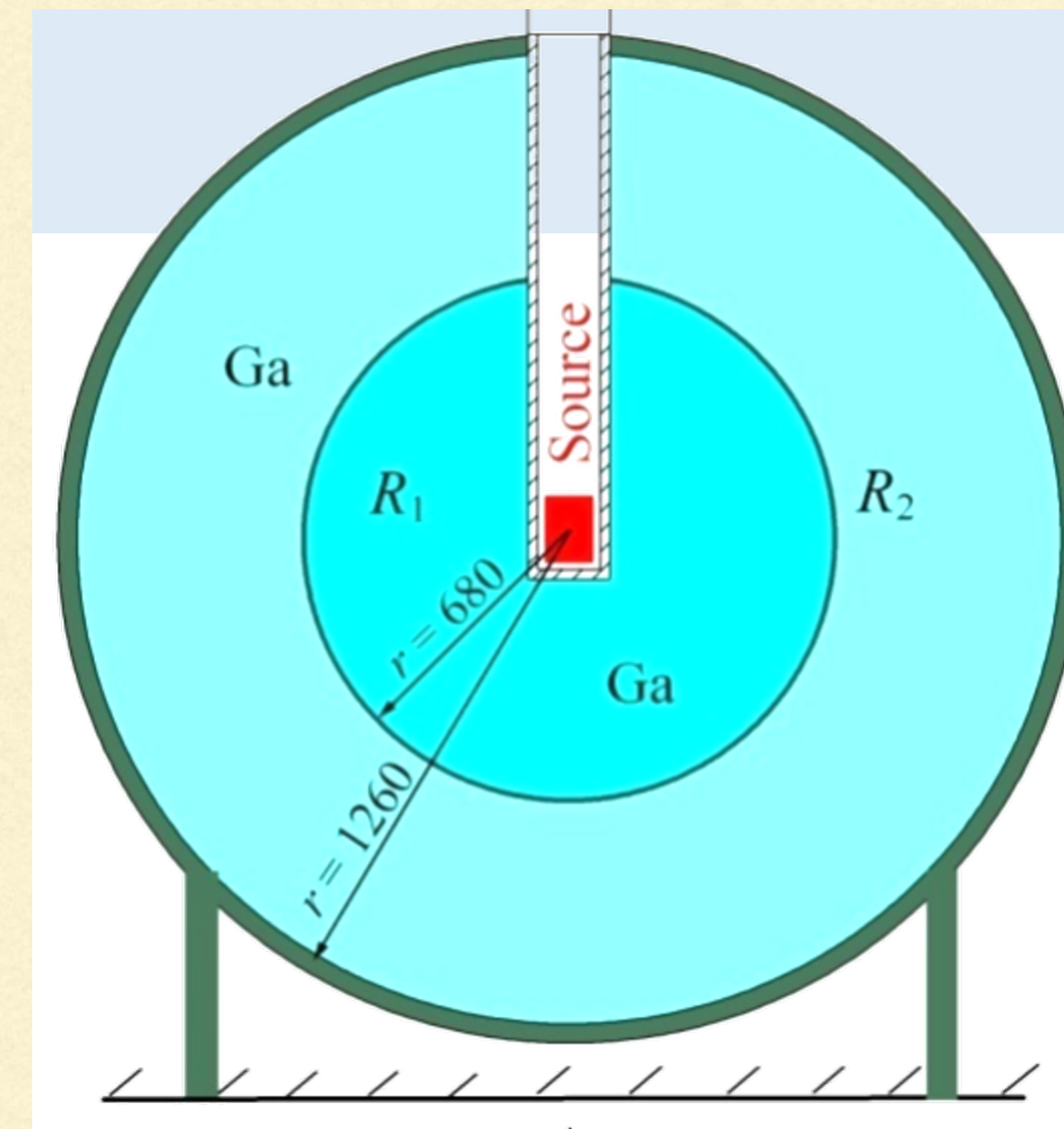
 Finally, most recently, HNLs play an important role in explaining the short baseline anomalies via new physics (non-oscillation) mechanisms.

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# Anomalies at Short Baselines.....1) The Gallium source Anomaly

Intense radioactive sources (e.g. Cr, Ar) with well-determined neutrino spectra are used. These neutrinos are captured by  $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$

Baselines over which the decay neutrinos propagate are very short,  $\sim 1$  m. However, in the latest experiment (BEST) 2 target zones are created, to see evidence of oscillations.

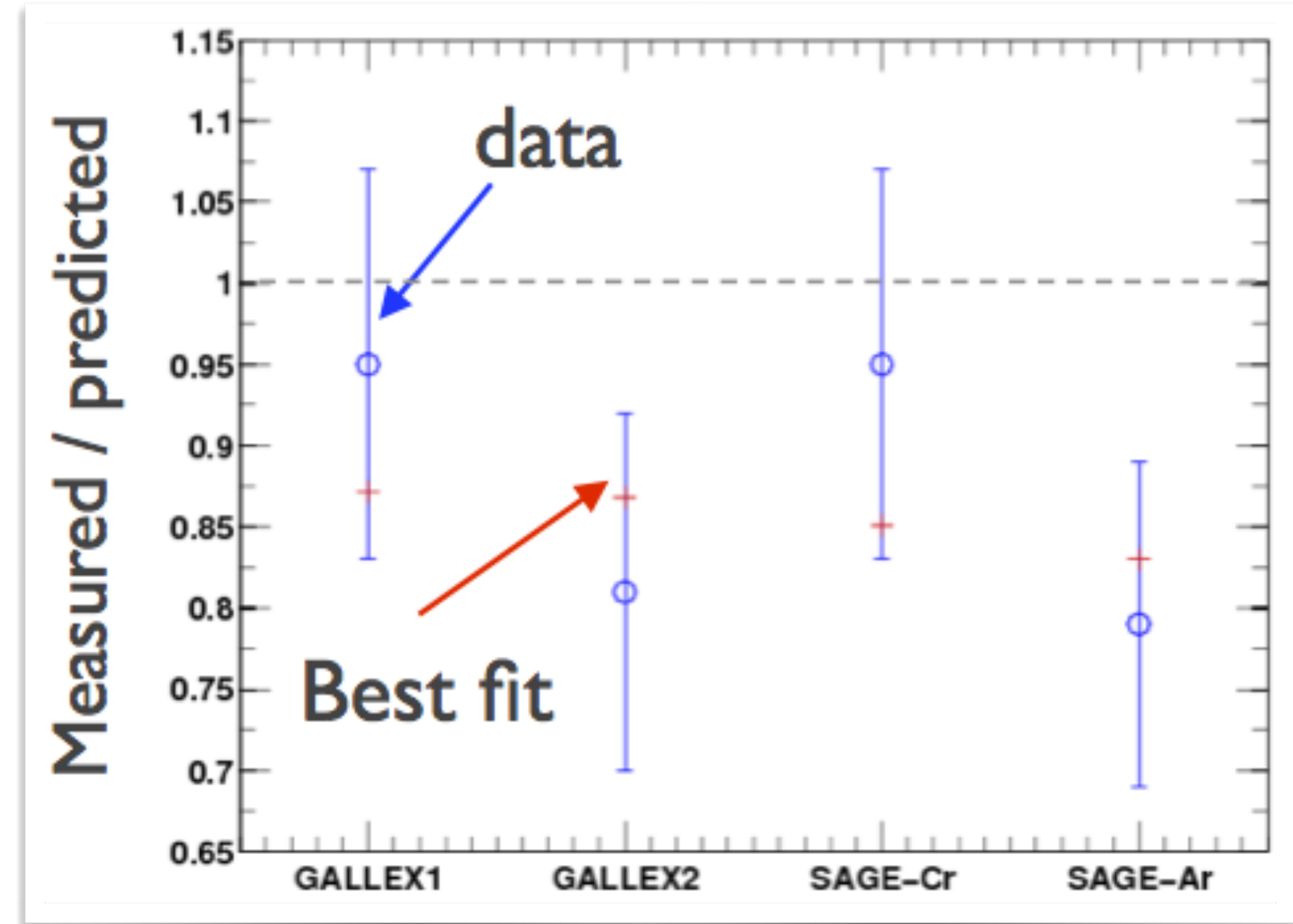
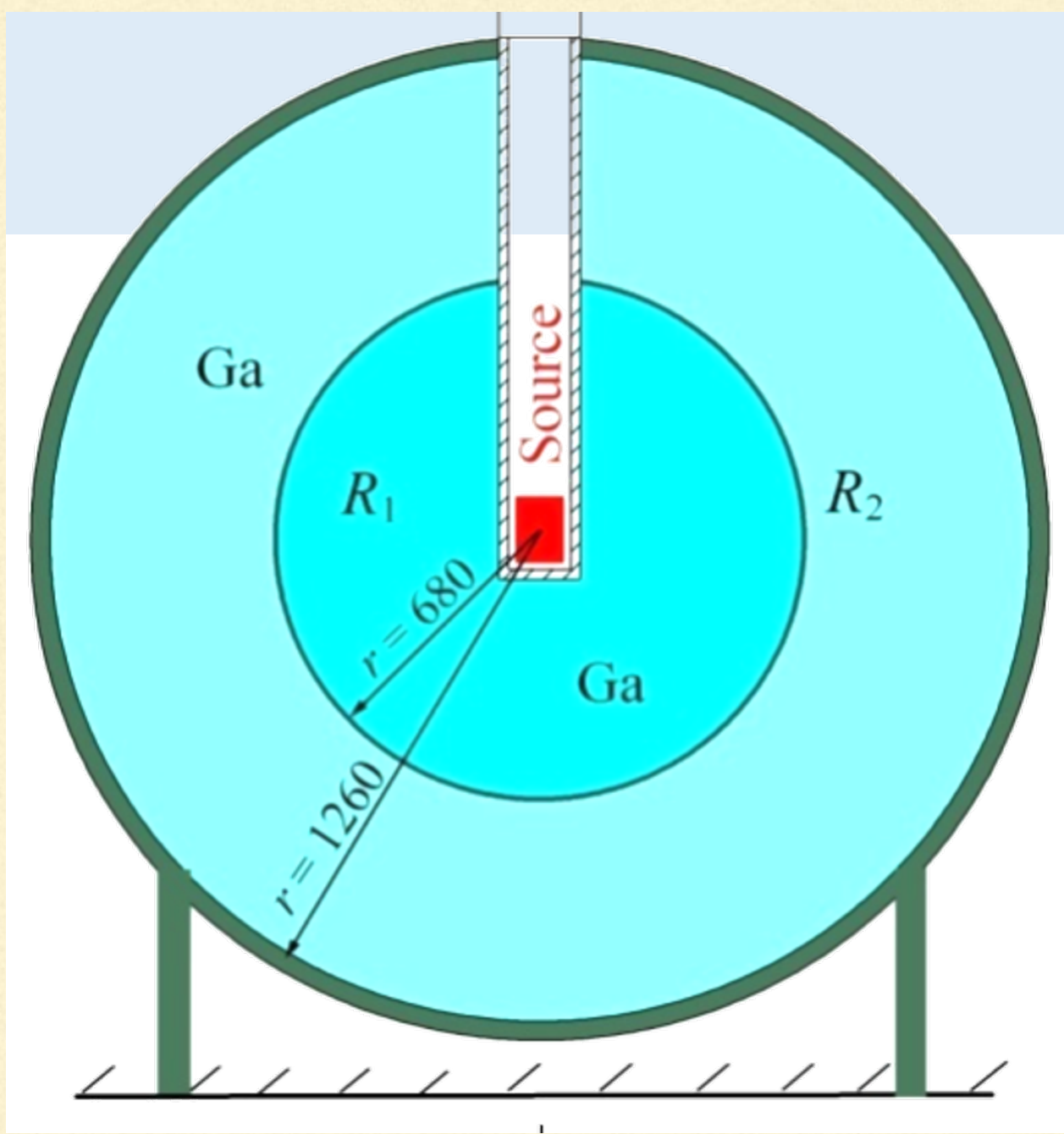


- Radio chemistry for extraction and counting of the  ${}^{71}\text{Ge}$  was developed in SAGE solar measurements. and is well understood

# Anomalies at Short Baselines.....2) The Gallium source Anomaly

Earlier experiments, SAGE and Gallex, had reported a deficit in the neutrino flux. ( $R = 0.87 \pm 0.05$ )

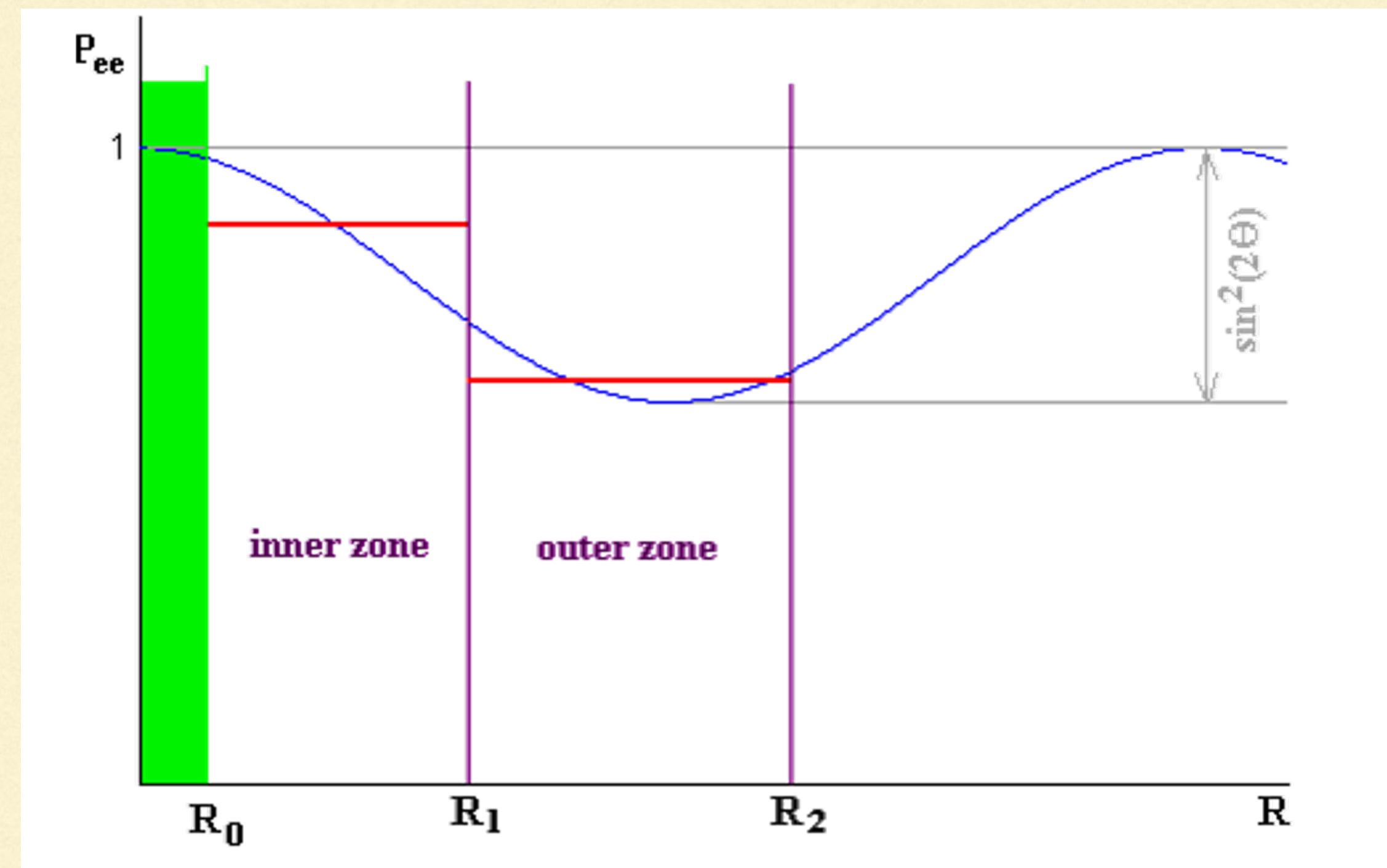
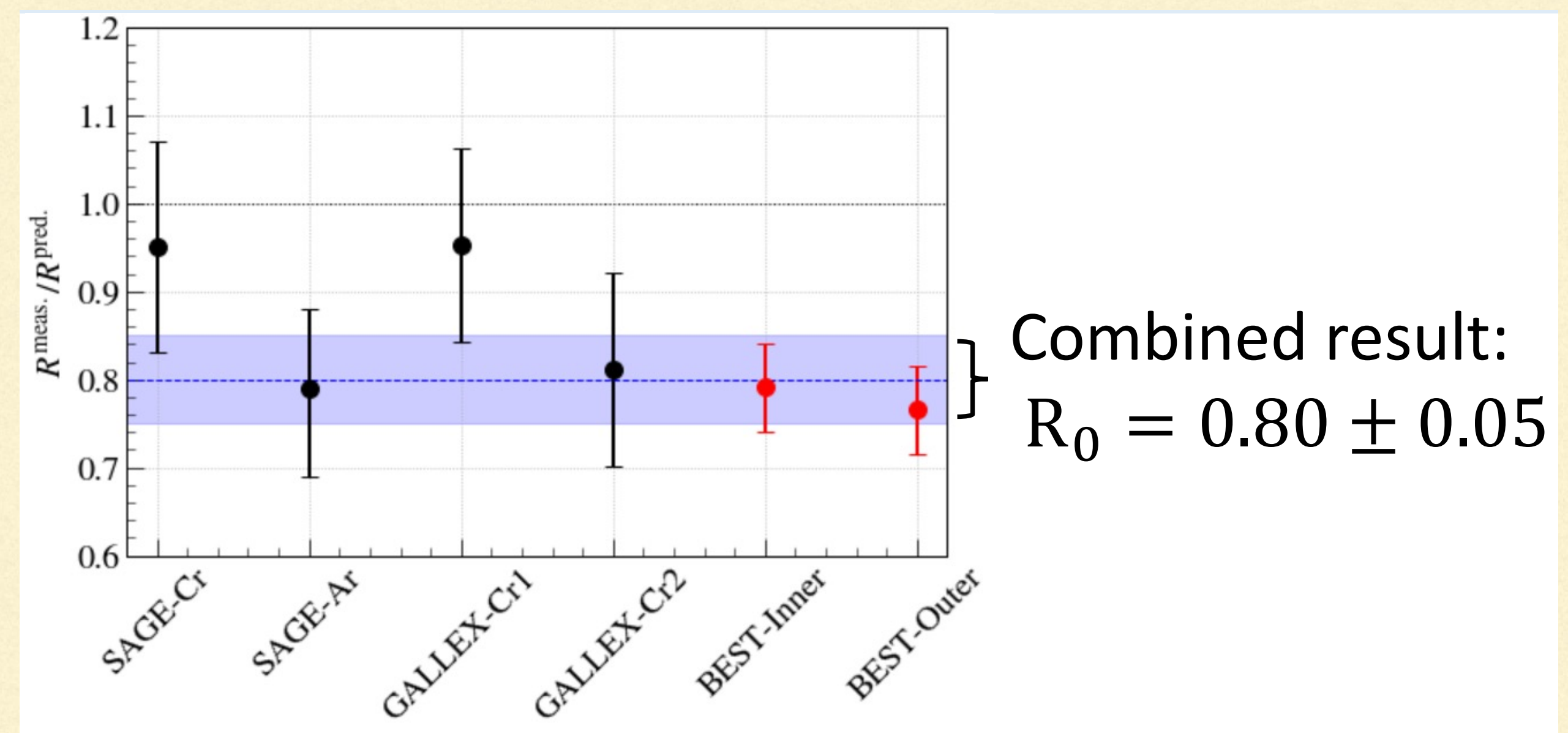
More recently, the BEST experiment was conducted to test this anomaly (2011-2021)



Giunti and Laveder, 1006.3224

# Anomalies at Short Baselines.....1) The Gallium source Anomaly

If one were to understand the SAGE and Gallex results in terms of sterile neutrino oscillations, one would expect these results (shown adjacent) in BEST



BEST confirms (with higher statistical precision) ( $4\sigma$ ) the presence of a deficit in overall flux consistent with earlier SAGE/GALLEX results

# Anomalies at Short Baselines.....1) The Gallium source Anomaly

However, while results can be accommodated in the sterile/active oscillation space, BEST did not observe any variation with distance.

Smoking gun for oscillations is missing

Note that large mixing is required

Possible non-oscillation reasons for the observed deficit could be inaccuracies in 1) xsecs, 2) source strength, 3) counting efficiency 4) extraction efficiency.

No clear answer at present.

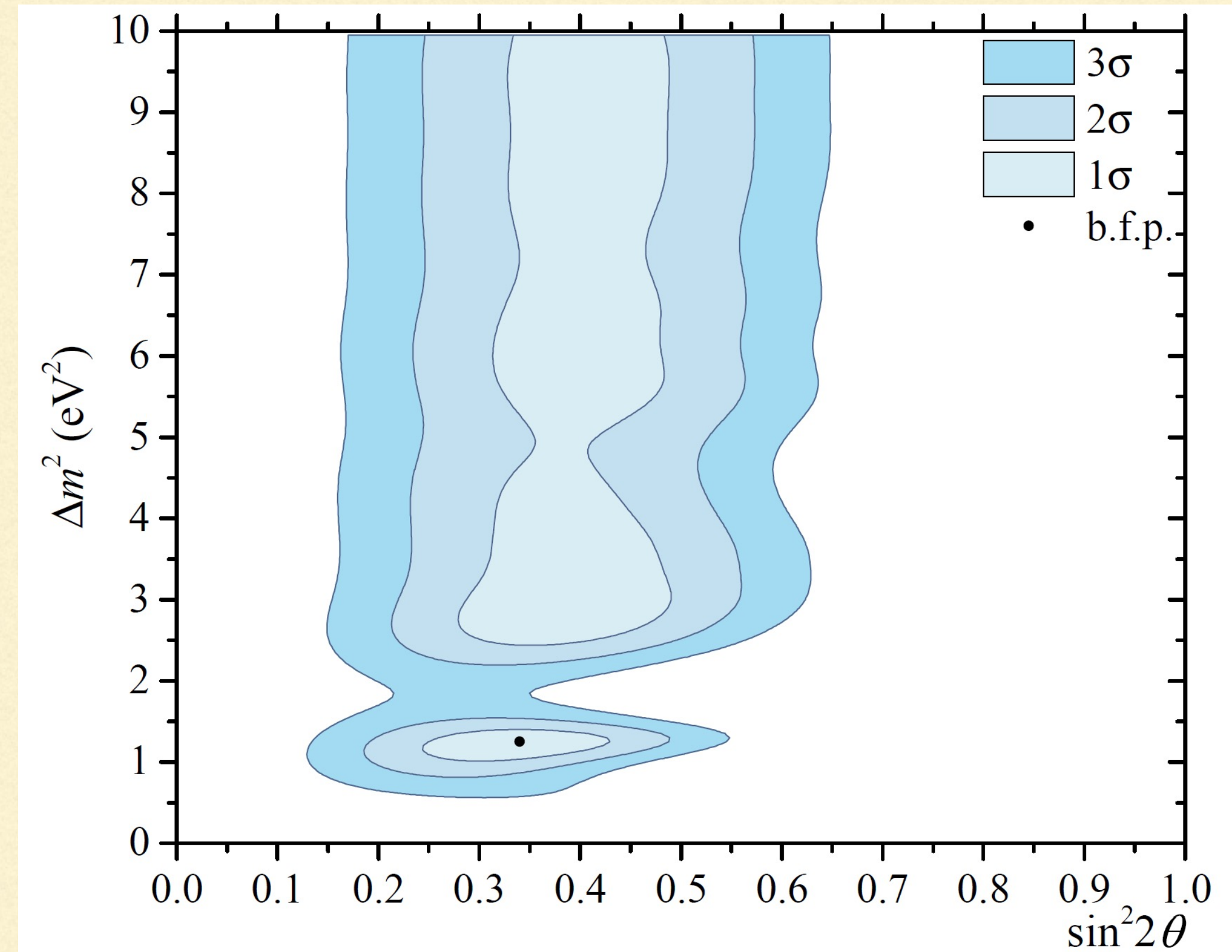


FIG. 8. Allowed regions for two GALLEX, two SAGE and two BEST results. The best-fit point is  $\sin^2 2\theta = 0.33$ ,  $\Delta m^2 = 1.25 \text{ eV}^2$  and is indicated by a point.



# Anomalies at Short Baselines.....Reactor Antineutrino Anomaly (RAA)

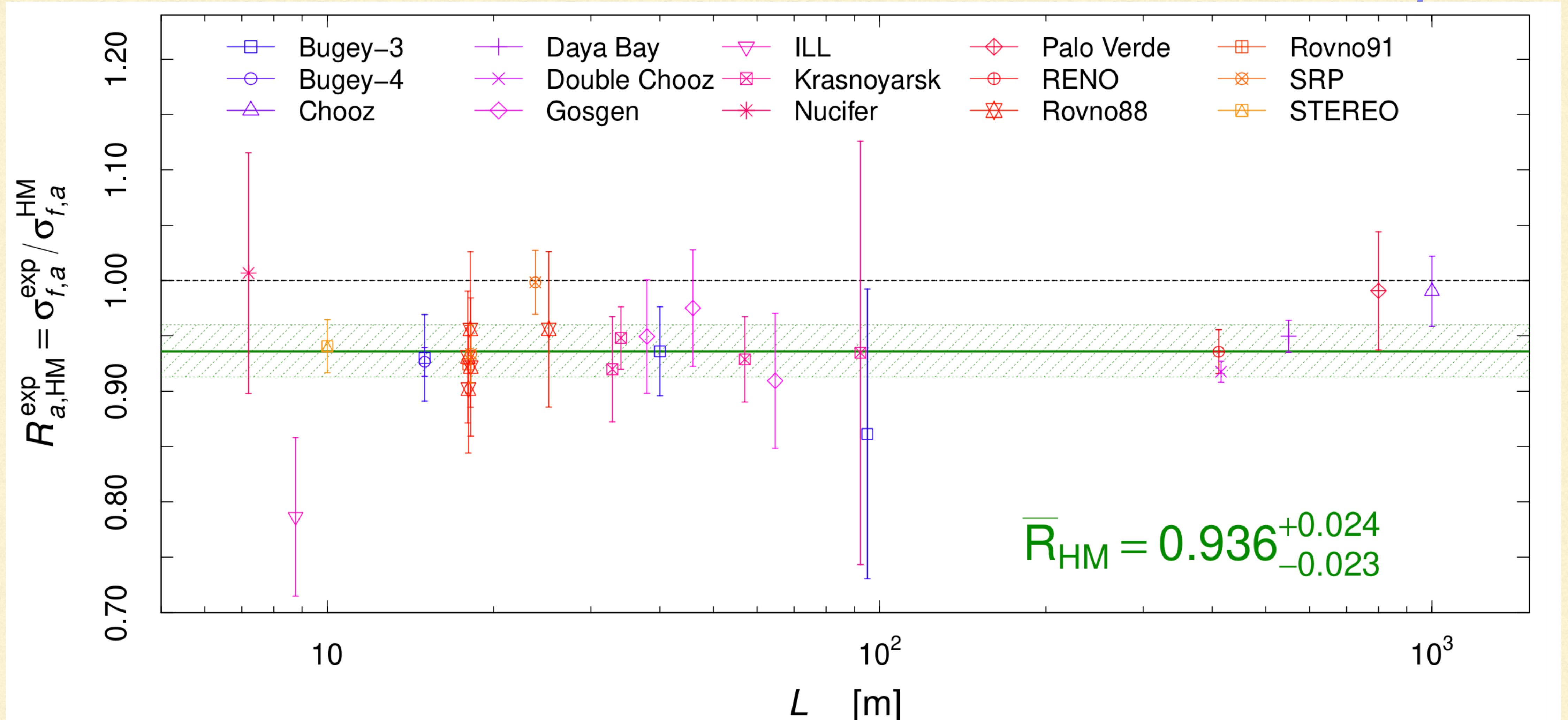
Reactor antineutrinos are produced from beta decays of neutron-rich fission fragments generated by the heavy isotopes  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Pu}$

The most important antineutrino fluxes are those produced by the fissions of  $^{235}\text{U}$  and  $^{239}\text{Pu}$ .

The flux measurement from various reactors, was, until recently, on the average, about 3.5% ( $\sim 3\sigma$ ) lower than predicted from careful calculations done by several groups.

Mueller et al. 1101.2663, Huber 1106.0687, Giunti et al. 2110.06820

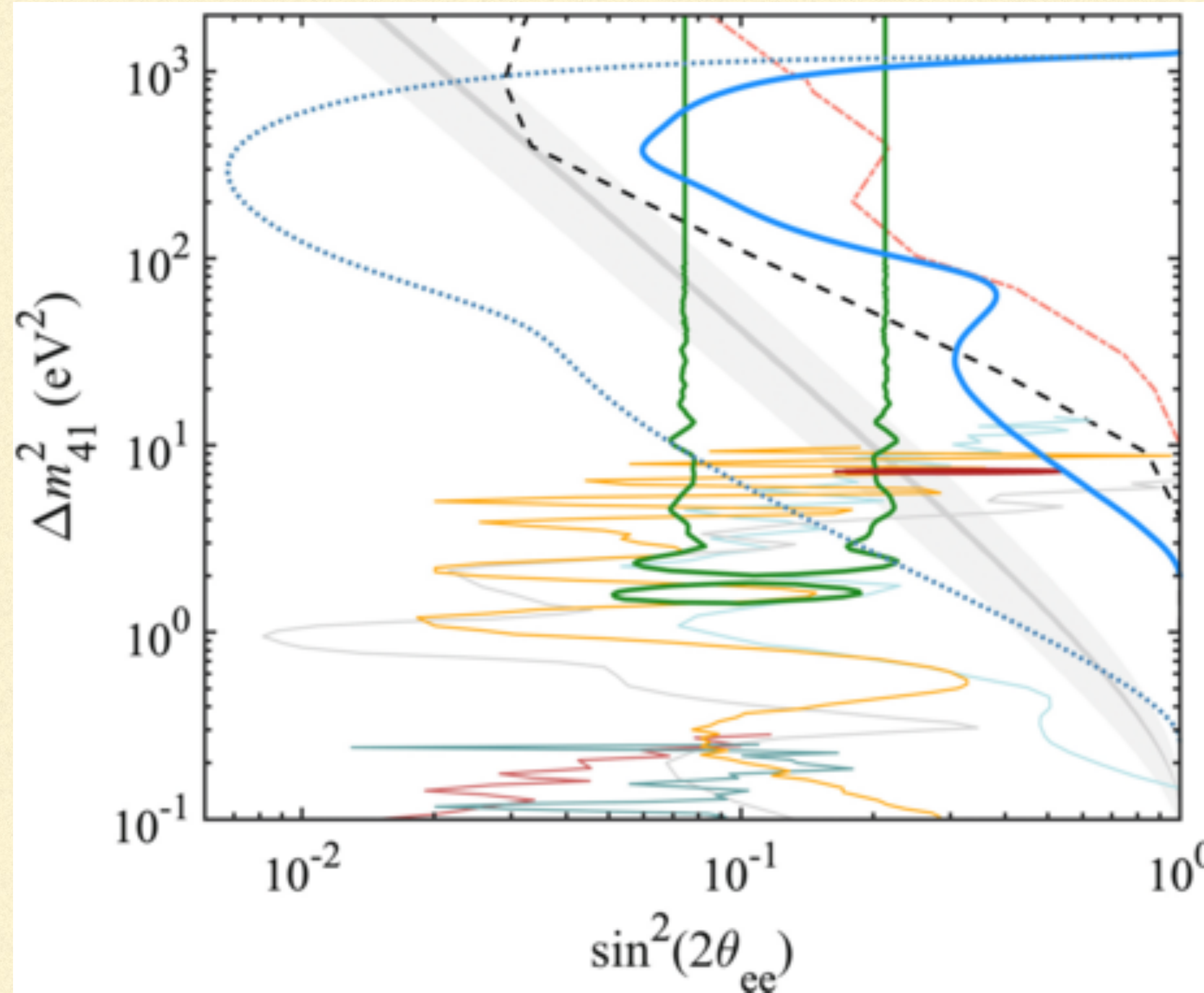
# Anomalies at Short Baselines.....Reactor Antineutrino Anomaly (RAA)



Mueller et al. 1101.2663, Huber 1106.0687, Giunti et al. 2110.06820

This raised the possibility that the deficit was due to active-sterile oscillations .

# Anomalies at Short Baselines.....Reactor Antineutrino Anomaly (RAA)



- Mainz 95% C.L.
- - - Troitsk 95% C.L.
- Prospect 95% C.L.
- DANSS 95% C.L.
- Daya Bay 90% C.L.
- Double Chooz 95% C.L.
- STEREO 95% C.L.
- RAA + GA 95% CL
- Neutrino-4  $2\sigma$
- KATRIN 95% C.L.
- ..... Projected KATRIN final sensitivity 95% C.L.
- $0\nu\beta\beta$  NH 90% C.L.
- $0\nu\beta\beta$  IH 90% C.L.

Allowed oscillation regions for RAA in strong tension with many exclusion curves of reactors.

**Figure 1.** Exclusion contours of all reactor experiments in the plane of  $[\sin^2(2\theta_{ee}), \Delta m_{41}^2]$  alongside the allowed contours of the RAA and Gallium anomaly as well as Neutrino-4. KATRIN's current and expected exclusion limits are shown in addition. Reprinted from [29] under CC BY 4.0.

# Anomalies at Short Baselines.....Reactor Antineutrino Anomaly (RAA)

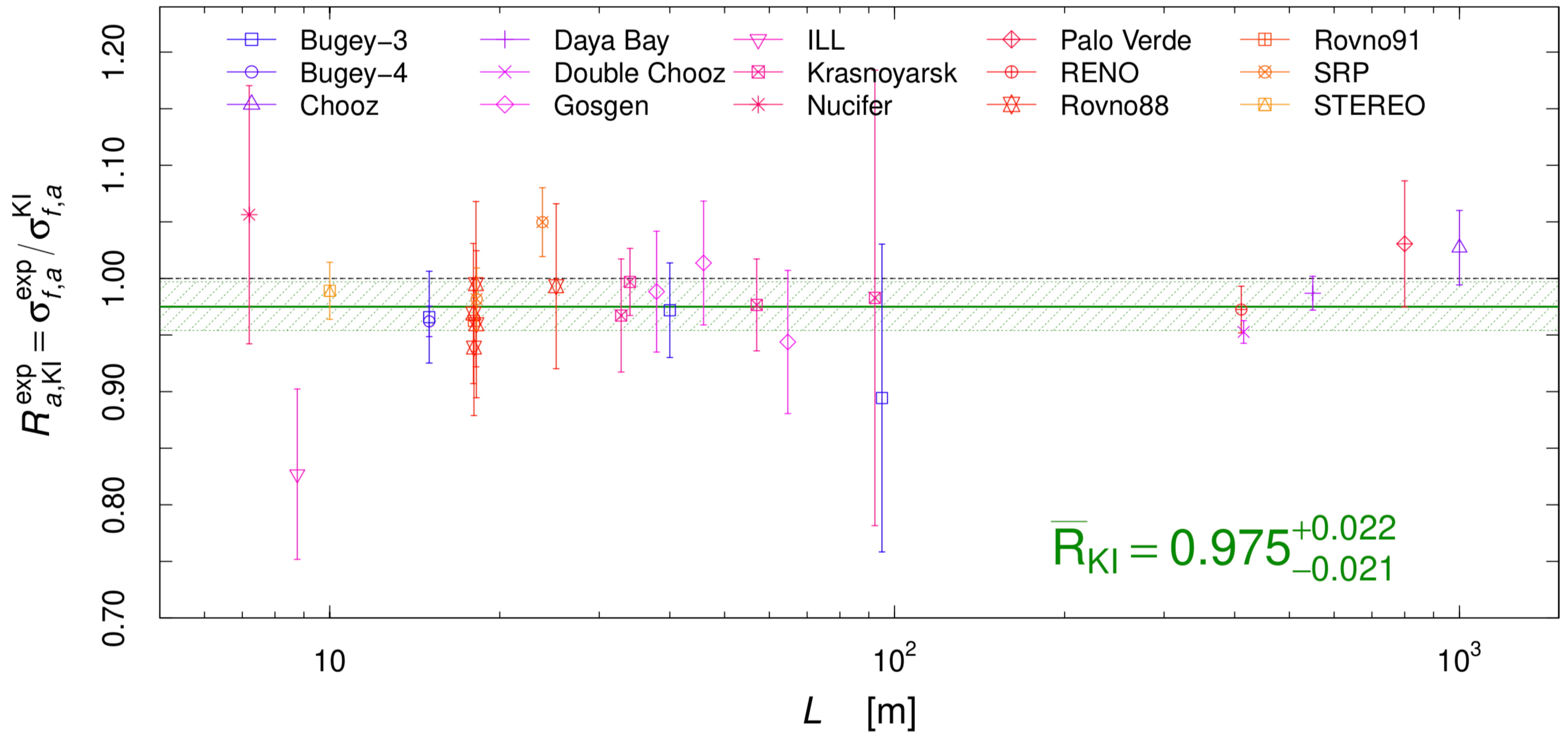
Nuclear databases have been improved in recent years, especially through the application of the Total Absorption Gamma-ray Spectroscopy (TAGS) technique for a better identification of the  $\beta$  decay branches.

This new information was used by Fallot et al [18] (EF model) (1904.09358), and Silaeva et al, 2012.09917 to obtain a  $^{235}\text{U}$  reactor antineutrino flux that is smaller than that of the earlier models.

This has led to improved agreement with measured fluxes, and there is now a belief in the community that the RAA has been understood to be a flux calculation/data issue (as opposed to a neutrino deficit issue).

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# Anomalies at Short Baselines.....Reactor Antineutrino Anomaly (RAA)

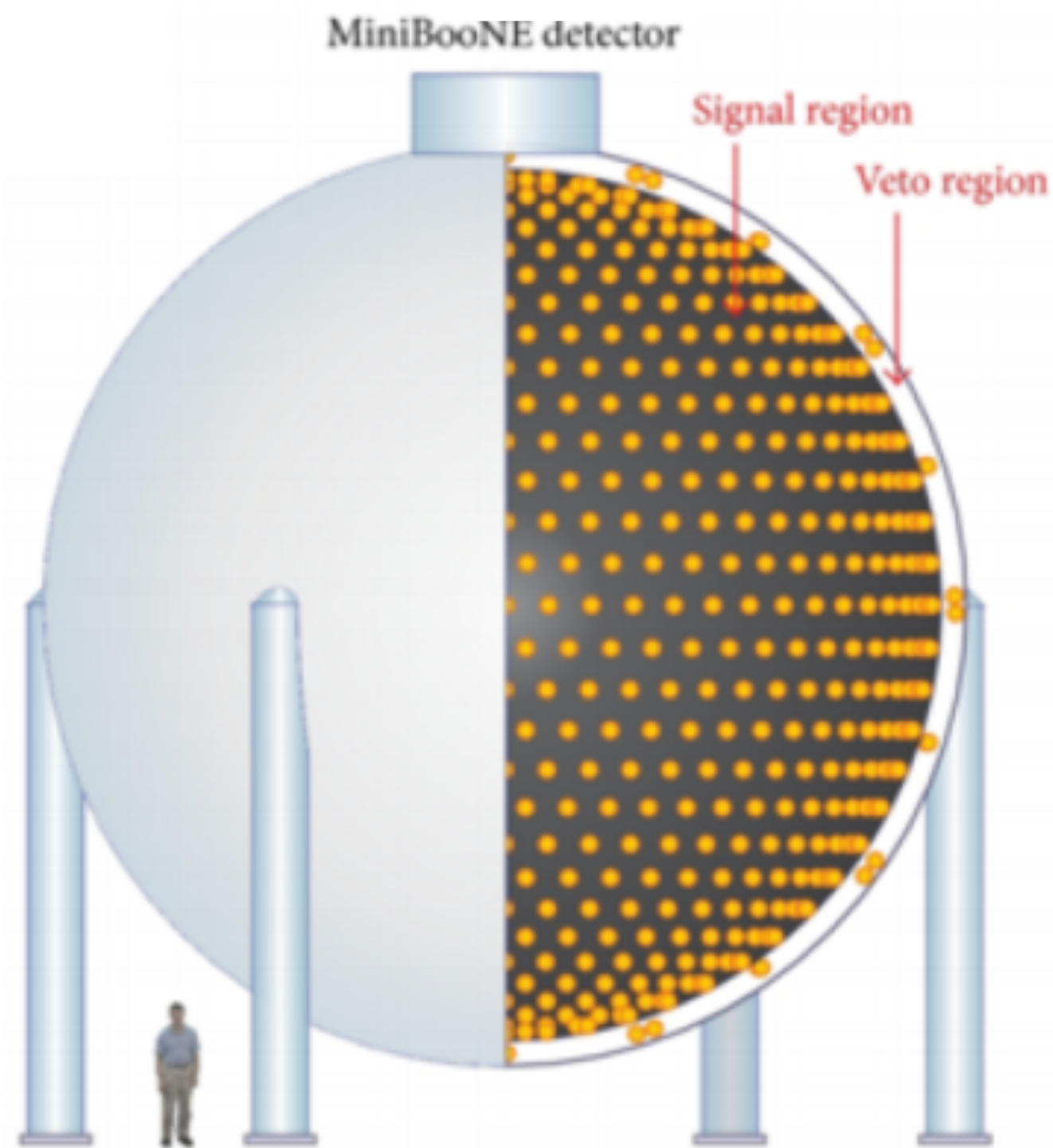


Kopeikin et al 2103.01684, Berryman et al 2005.01756, Giunti et al 2110.06820

# Anomalies at Short Baselines.....MiniBooNE (2002-2017)

## MiniBooNE

(4.8 $\sigma$ !)

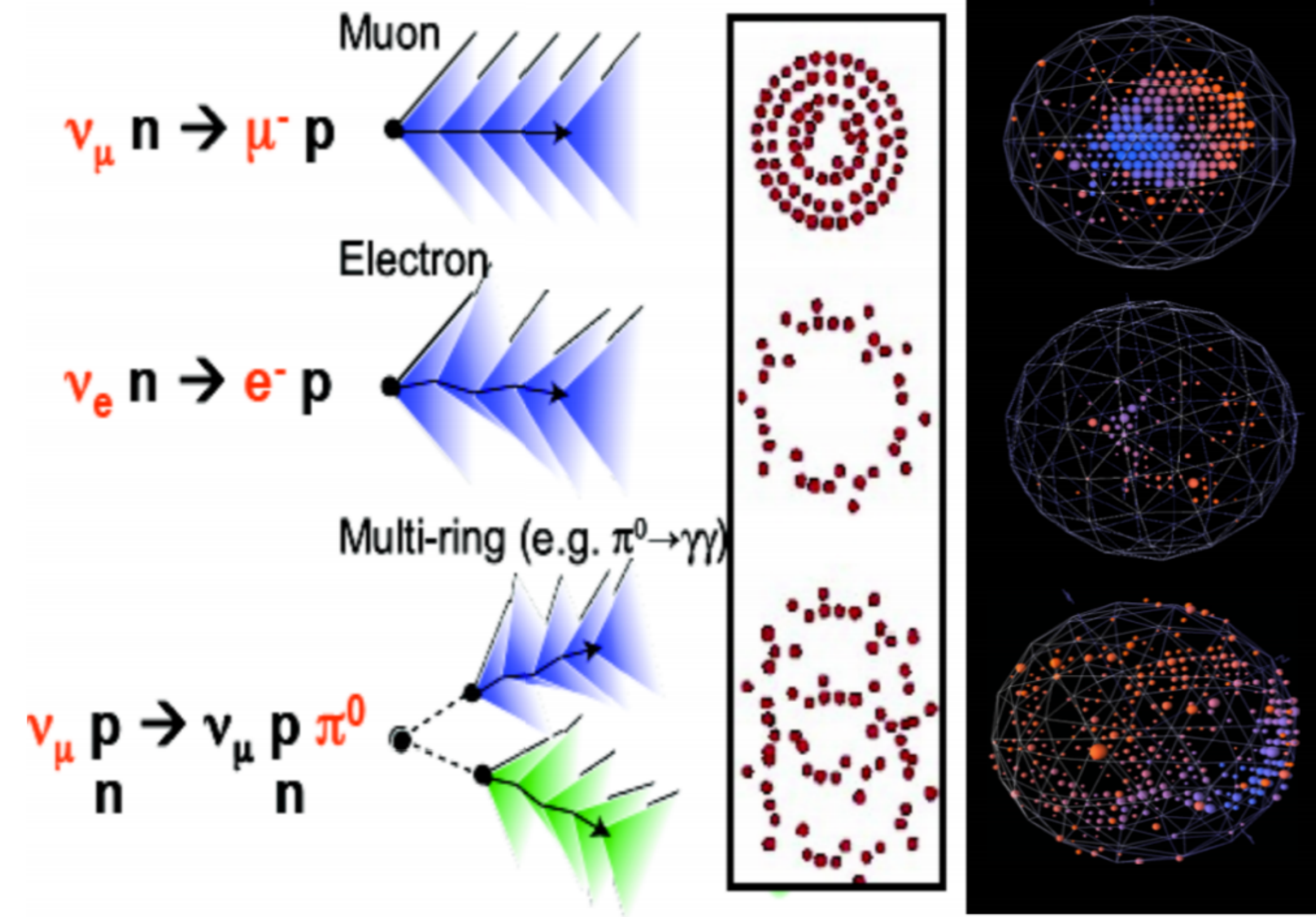


Mineral oil detector, 541 m baseline, 600 MeV ( $\nu_\mu$ ) and 400 MeV ( $\nu_\mu^-$ ) peak fluxes.

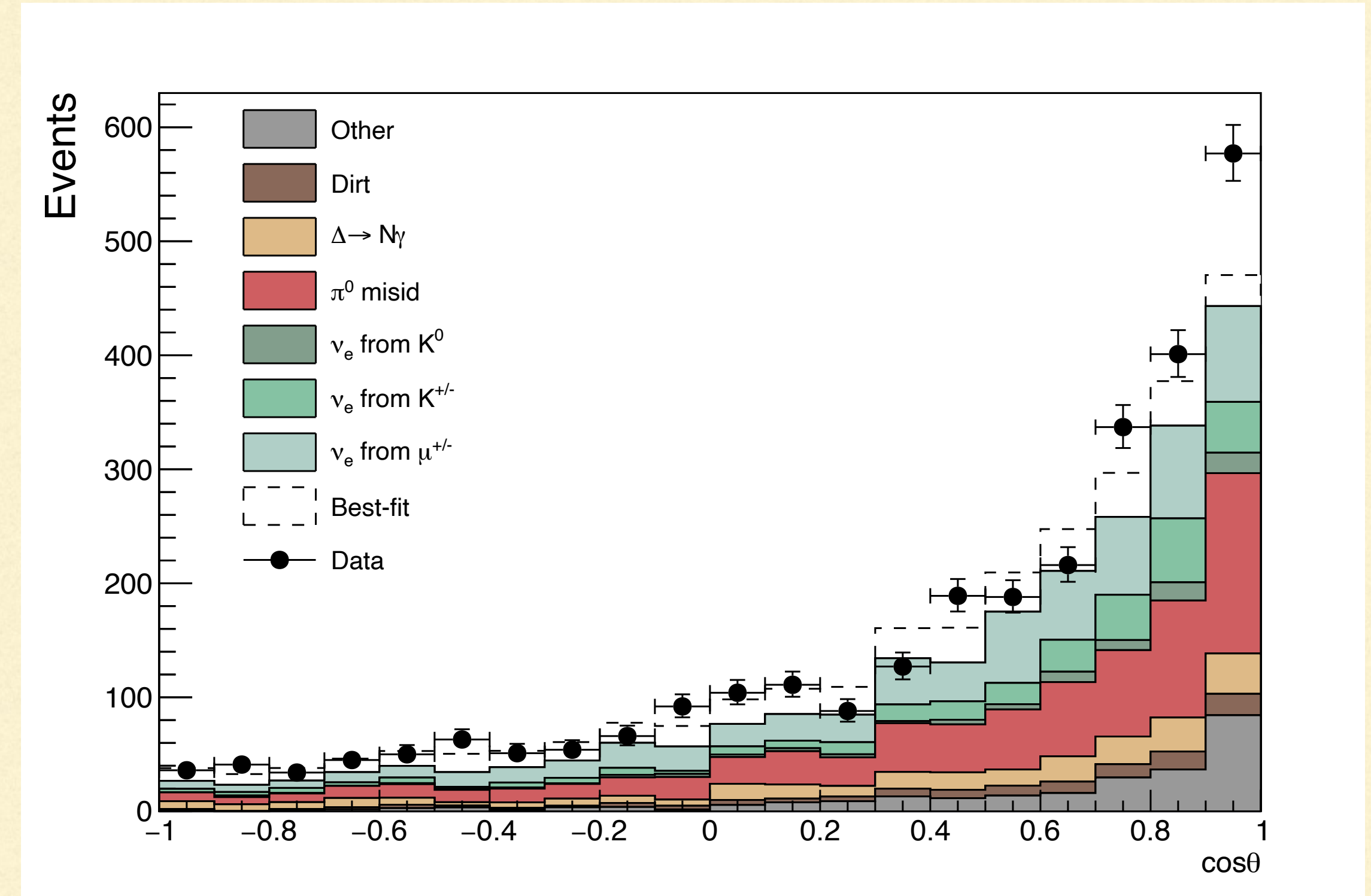
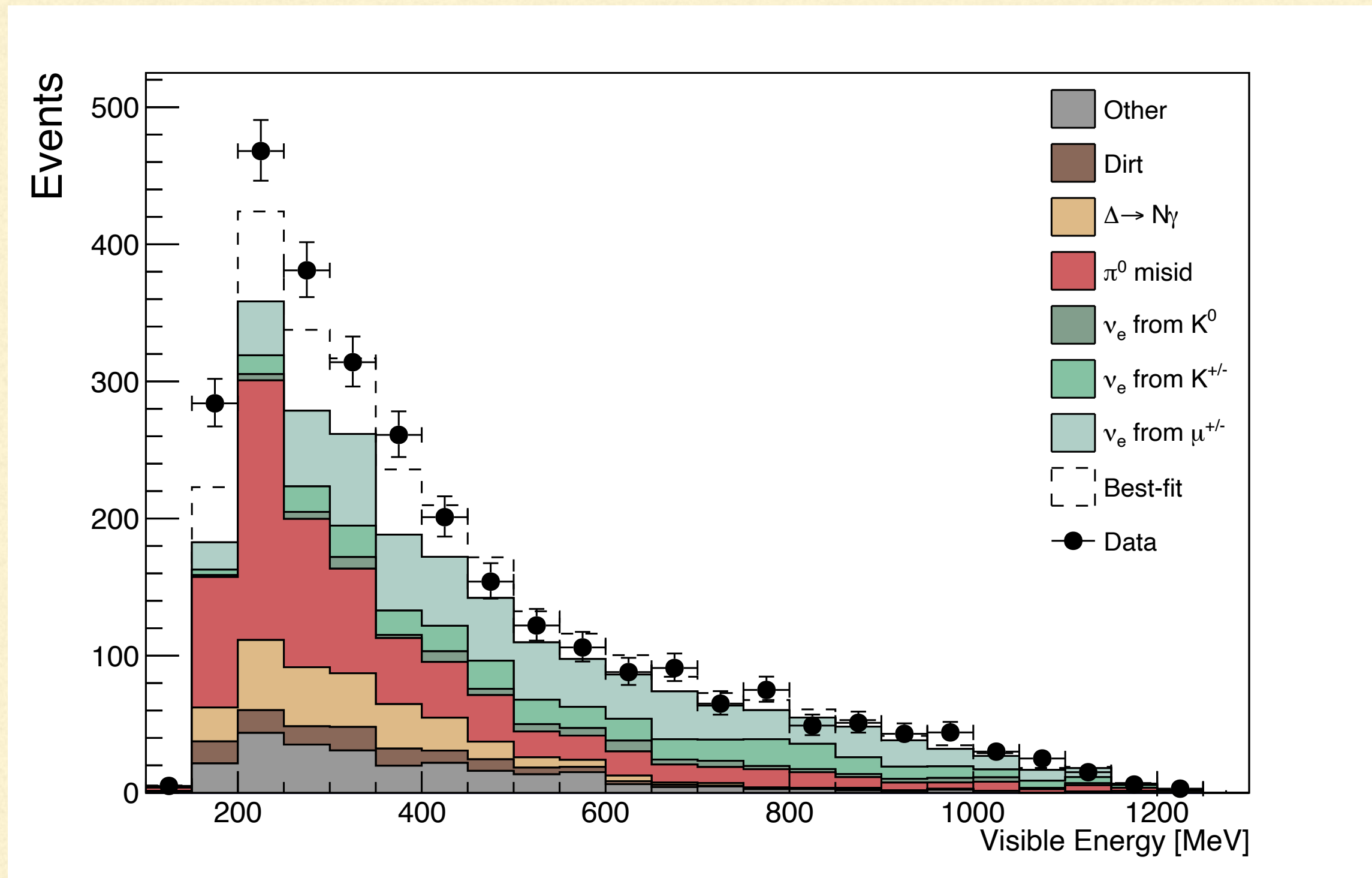
Was specifically built to test the LSND anomaly

Three typical event signatures:

- Muon-neutrino CCQE produces sharp photon ring on PMTS,
- Electron-neutrino CCQE events produces fuzzy ring,
- Muon-neutrino NC can produce  $\pi_0$ : two gammas -> two fuzzy rings.



# Anomalies at Short Baselines.....MiniBooNE



- The observation of a  $4.8\sigma$  excess in electron-like events for neutrino and antineutrino modes in the MiniBooNE (MB) detector is observed

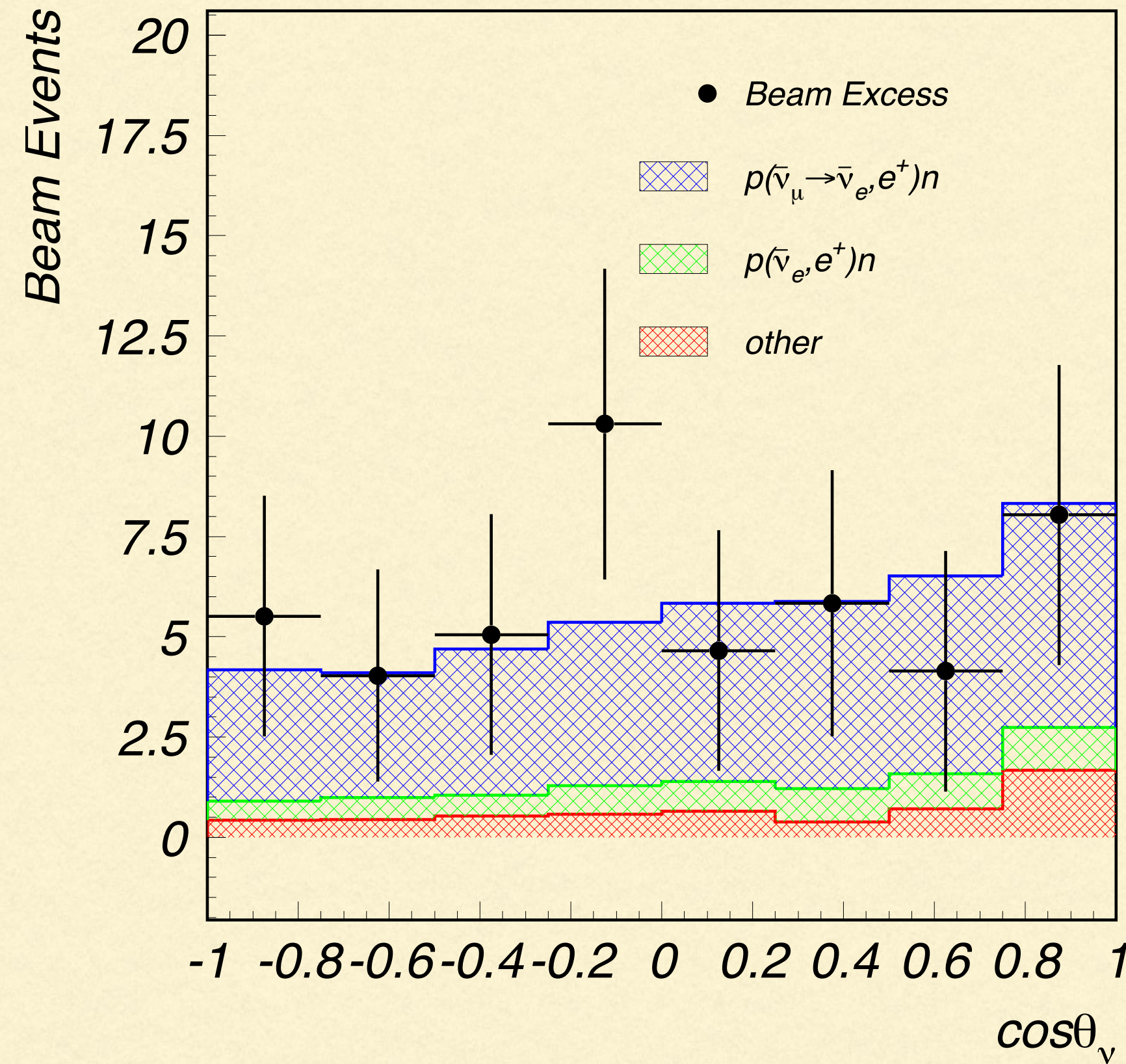
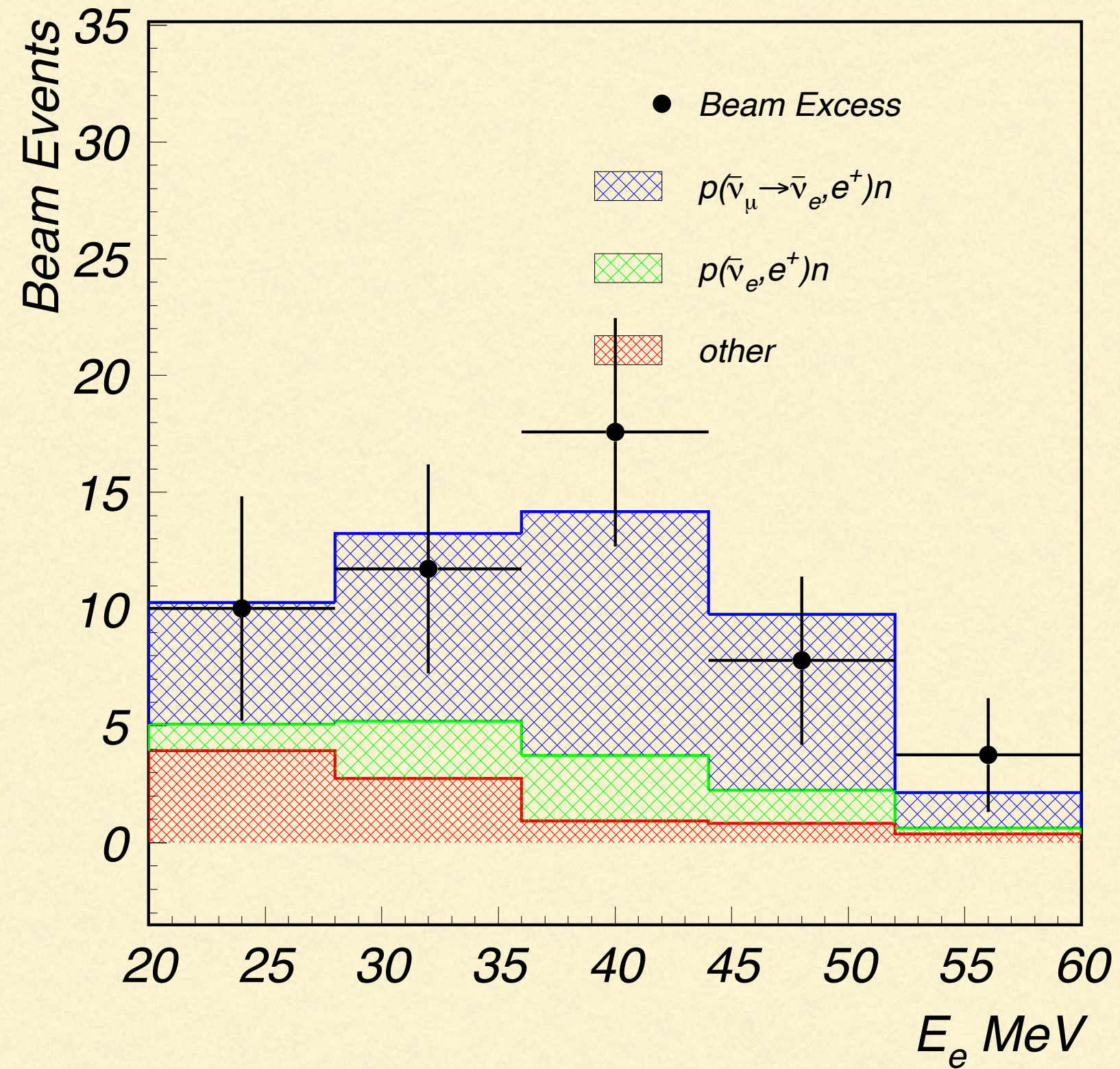
- SM: 2309 events  
Data: 2870  
Excess: 560

Excess is not small.  
Note it is at level of  
important SM  
backgrounds

Distinctive energy  
and angular  
distribution

Dashed line is oscillation fit.  
Not a good fit at low energies  
or forward angles where most  
events present

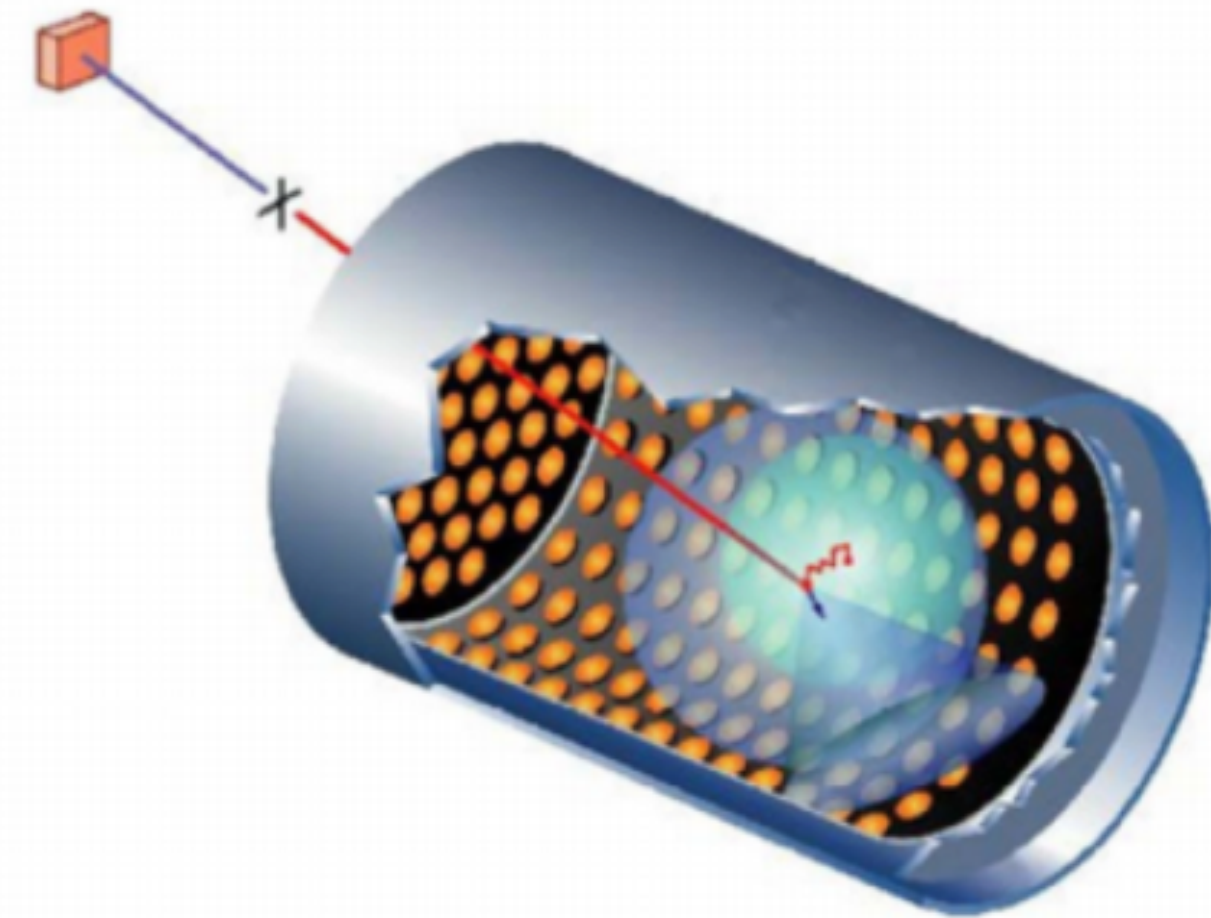
# Anomalies at Short Baselines.....LSND (1993-1998)



- Observation of unexplained electron-like excesses in the LSND at a level of  $3.8\sigma$  above SM backgrounds.

Blue hatched region is oscillation fit.

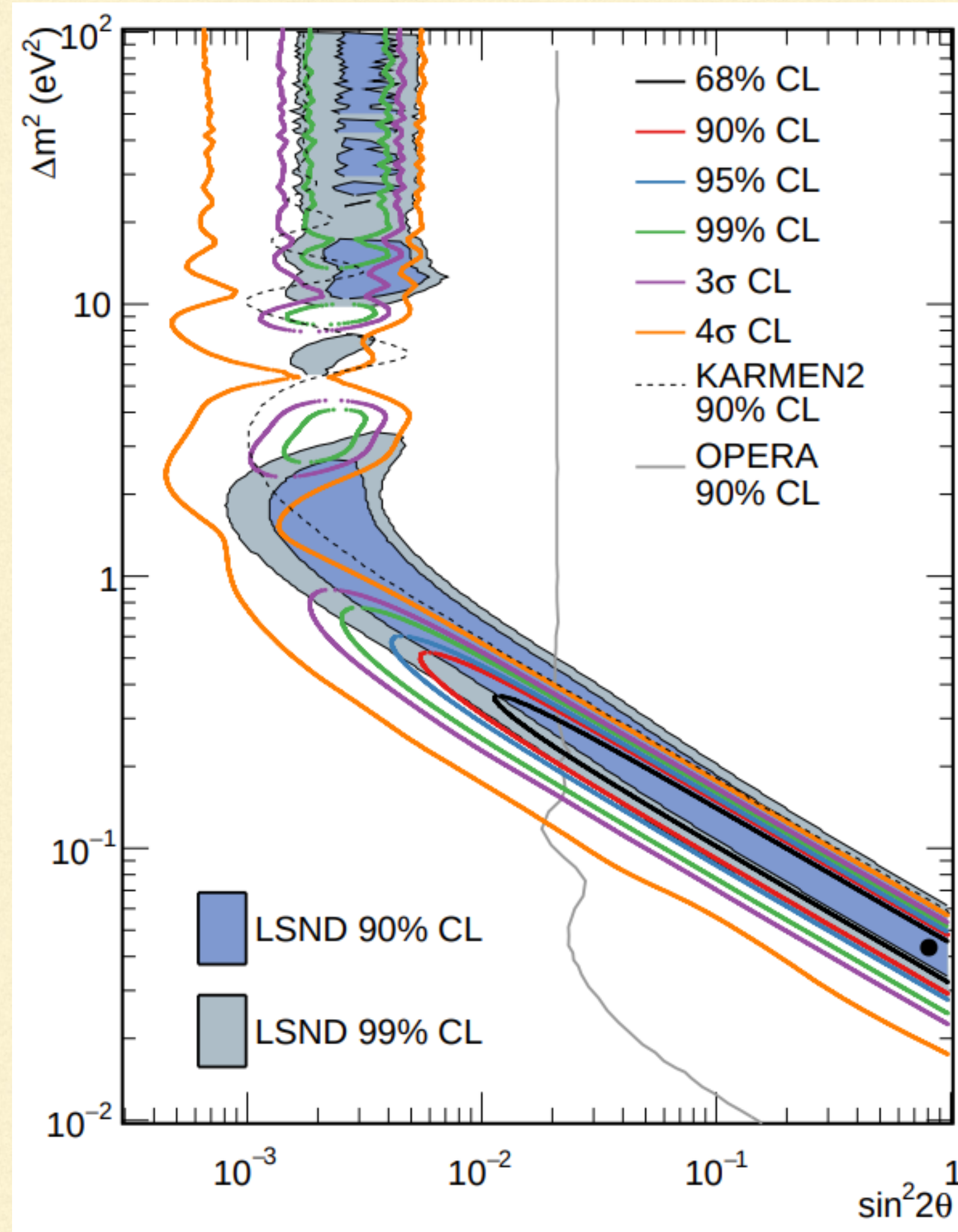
**LSND**  
**( $3.8\sigma$ !)**



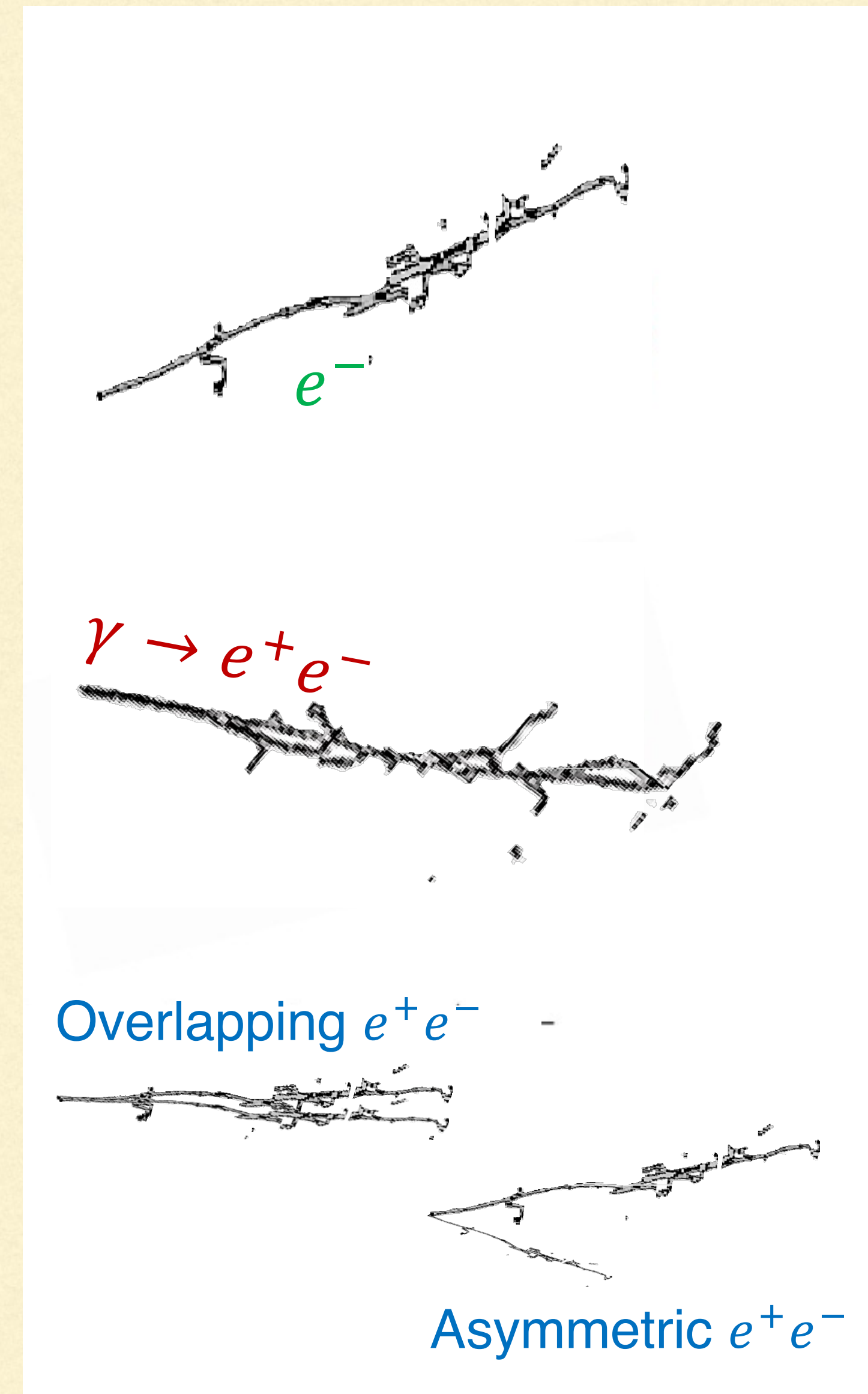
- Note that unlike MB, both energy and angular distributions are relatively flat



# Anomalies at Short Baselines.....LSND and MiniBooNE

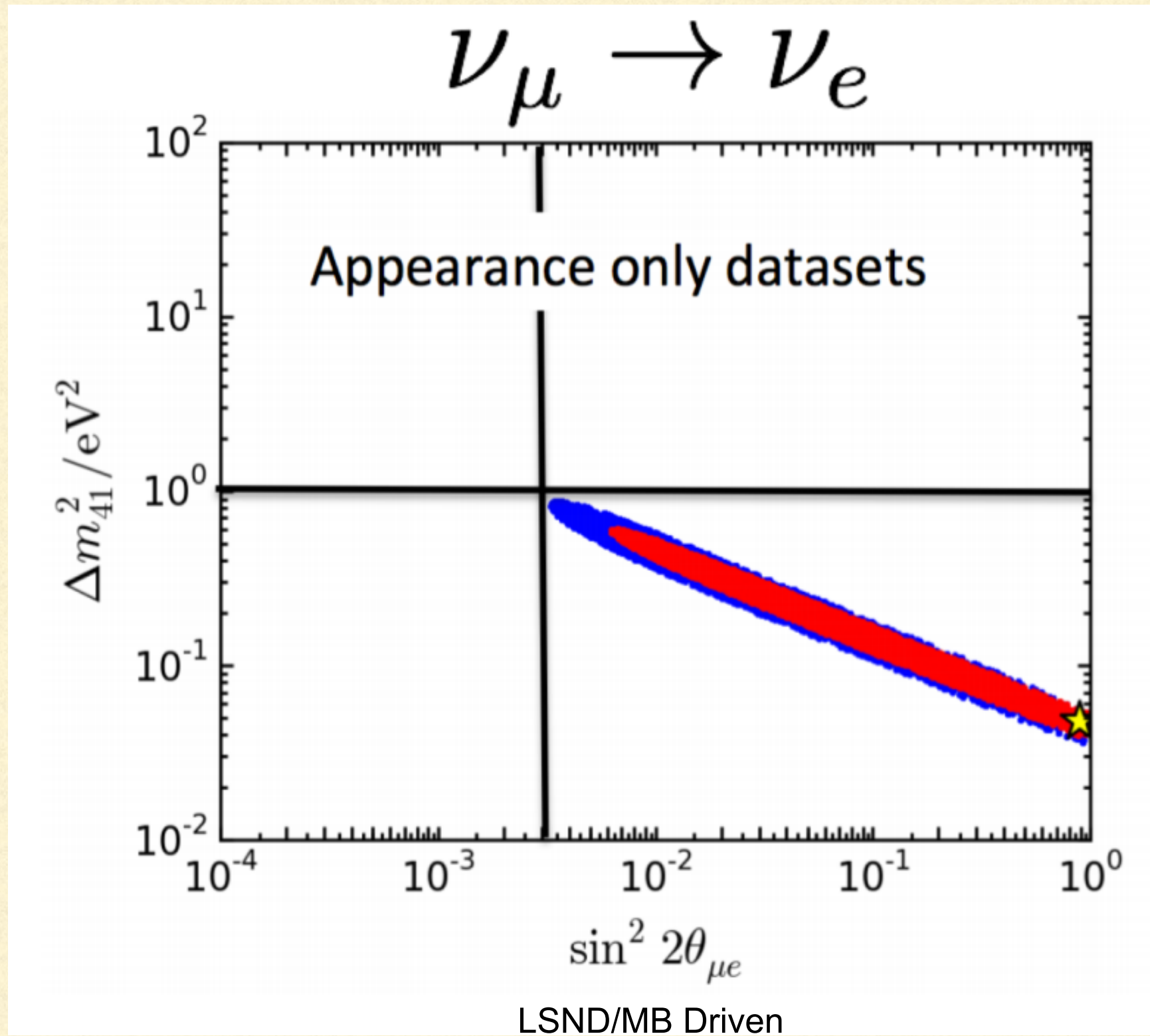


- Elongated bullet shaped regions in the above are MB preferred regions. KARMEN2 and OPERA exclude much of these.

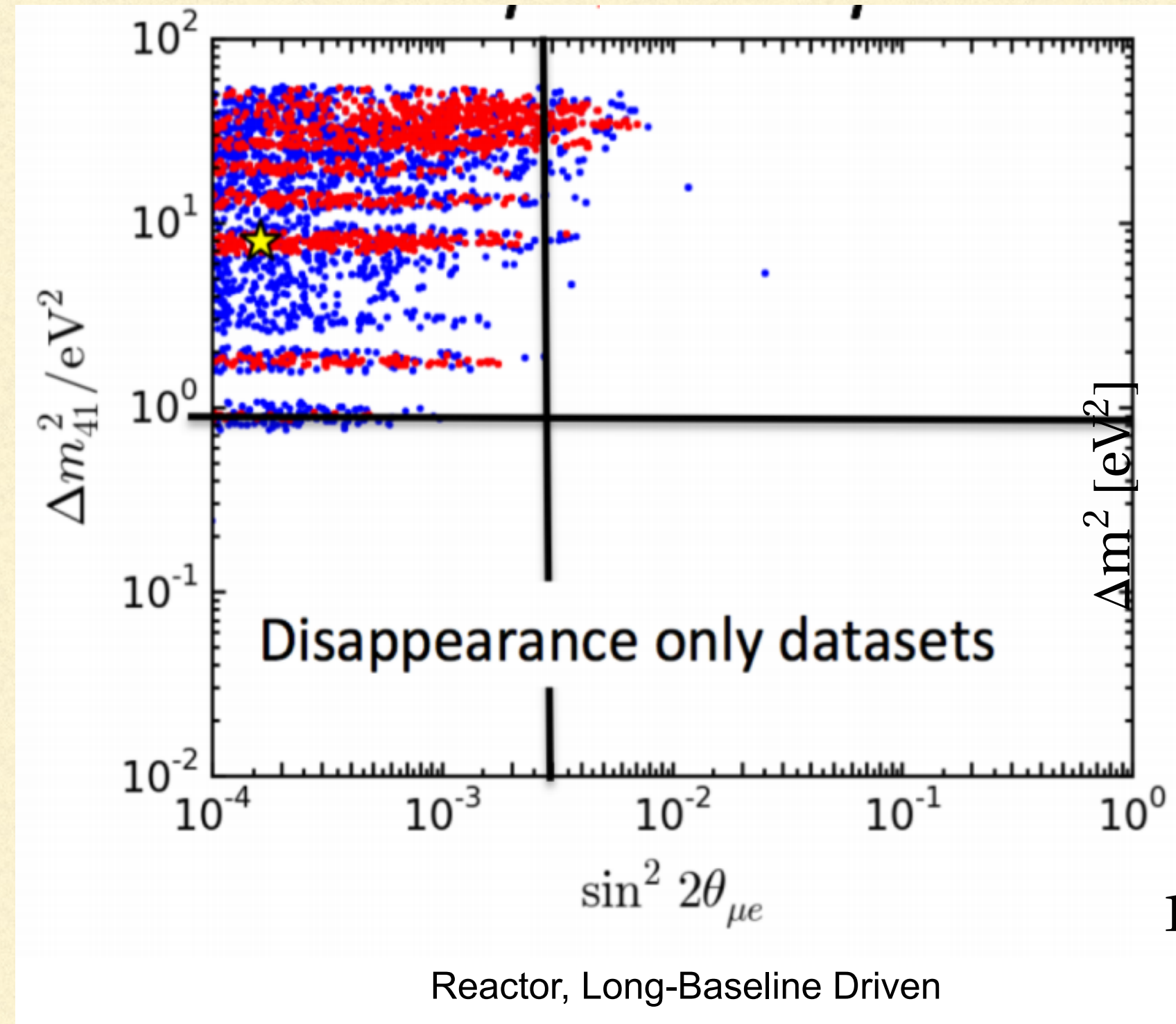


- Oscillations? or new physics?

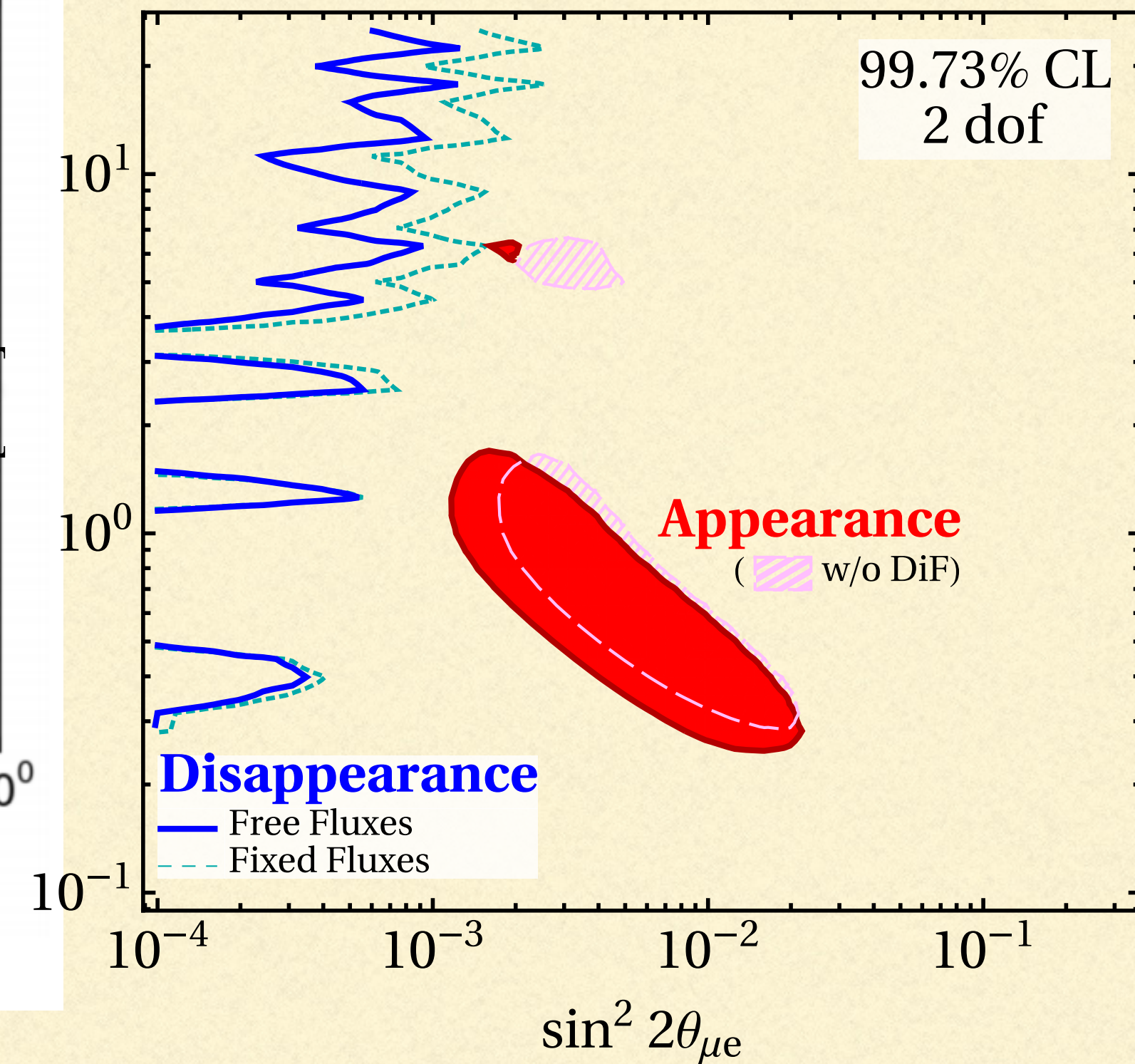
- An important point: Both are mineral oil detectors, unable to distinguish electrons from photons or  $e^+e^-$  pairs



Collin et al 1602.00671



$$\begin{aligned} \nu_e &\rightarrow \nu_e \\ \nu_\mu &\rightarrow \nu_\mu \end{aligned}$$



Dentler et al 1803.10661

- Combined analyses to test the active-sterile hypothesis for short baseline anomalies by various groups all reveal a common underlying problem: **Strong tension between appearance and disappearance data**

# Additionally, eV scale sterile neutrinos are constrained by Cosmology.....

Any relativistic neutrino species will contribute to the energy density of the Universe as radiation. Their total contribution may be parametrised by the parameter  $N_{\text{eff}}$

Cosmology is sensitive to neutrinos in a way that is complementary to laboratory searches. It is less sensitive to individual masses and mixings, but is more directly affected by the absolute mass scale,

$$\frac{\rho_r - \rho_\gamma}{\rho_\nu^{\text{std}}} = N_{\text{eff}},$$

where  $\rho_r$  is the total radiation energy density,  $\rho_\gamma$  is the photon contribution

$$\rho_\nu^{\text{std}} = 2 \times \frac{7}{8} \frac{\pi^2}{30} \left(\frac{4}{11}\right)^{4/3} T^4.$$

However,  $N_{\text{eff}} = 3.044 \pm 0.005$  in the SM, leaving no space for an additional sterile relativistic neutrino species

Also, from PLANCK data,

$$\sum m_\nu < 0.26 \text{ eV (95\%CL)}.$$

## Hence, so far, vis a vis sterile-active oscillations of eV neutrinos,

→ Situation with the Ga anomaly is somewhat unclear, since BEST confirmed earlier deficit seen by SAGE and GALLEX but did not see any variation with L.

→ It is likely that RAA is resolved by the new flux calculations using improved beta decay spectra now available.

→ Increasingly accurate cosmological observations put strong constraints on the mass and number of eV scale neutrinos, in tension with what is required to explain SBL anomalies by sterile active oscillations.

→ MiniBooNE and LSND appear to be statistically strong and long-standing anomalies which, when we try to explain using sterile-active appearance oscillations, are in strong contradiction with disappearance data from reactors and long baseline experiments.

This has led to a lot of efforts to explain MiniBooNE (and in some cases, LSND) by non-oscillation new physics mechanisms.

Many of these efforts also use sterile neutrinos which are significantly heavier than the eV scale.

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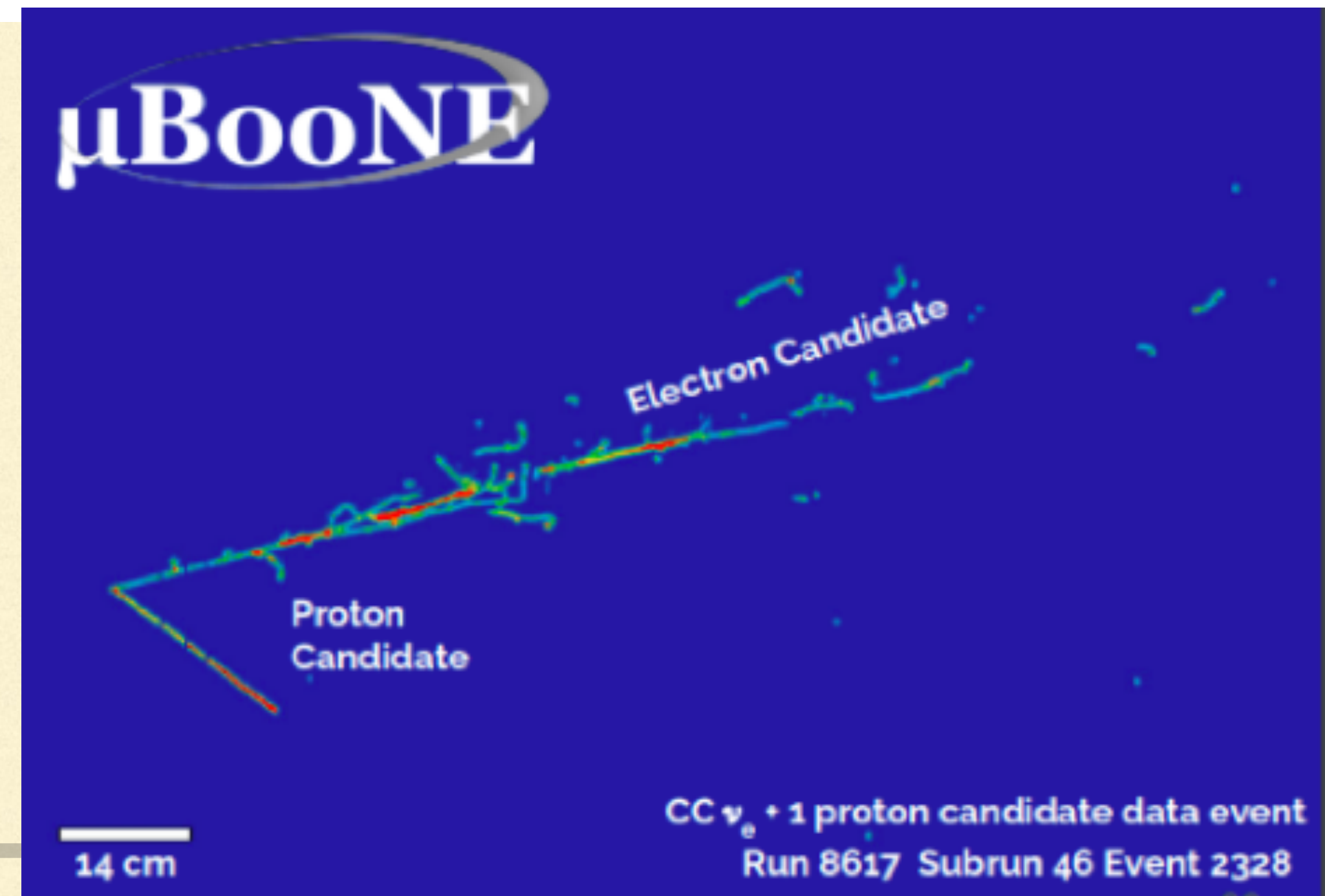
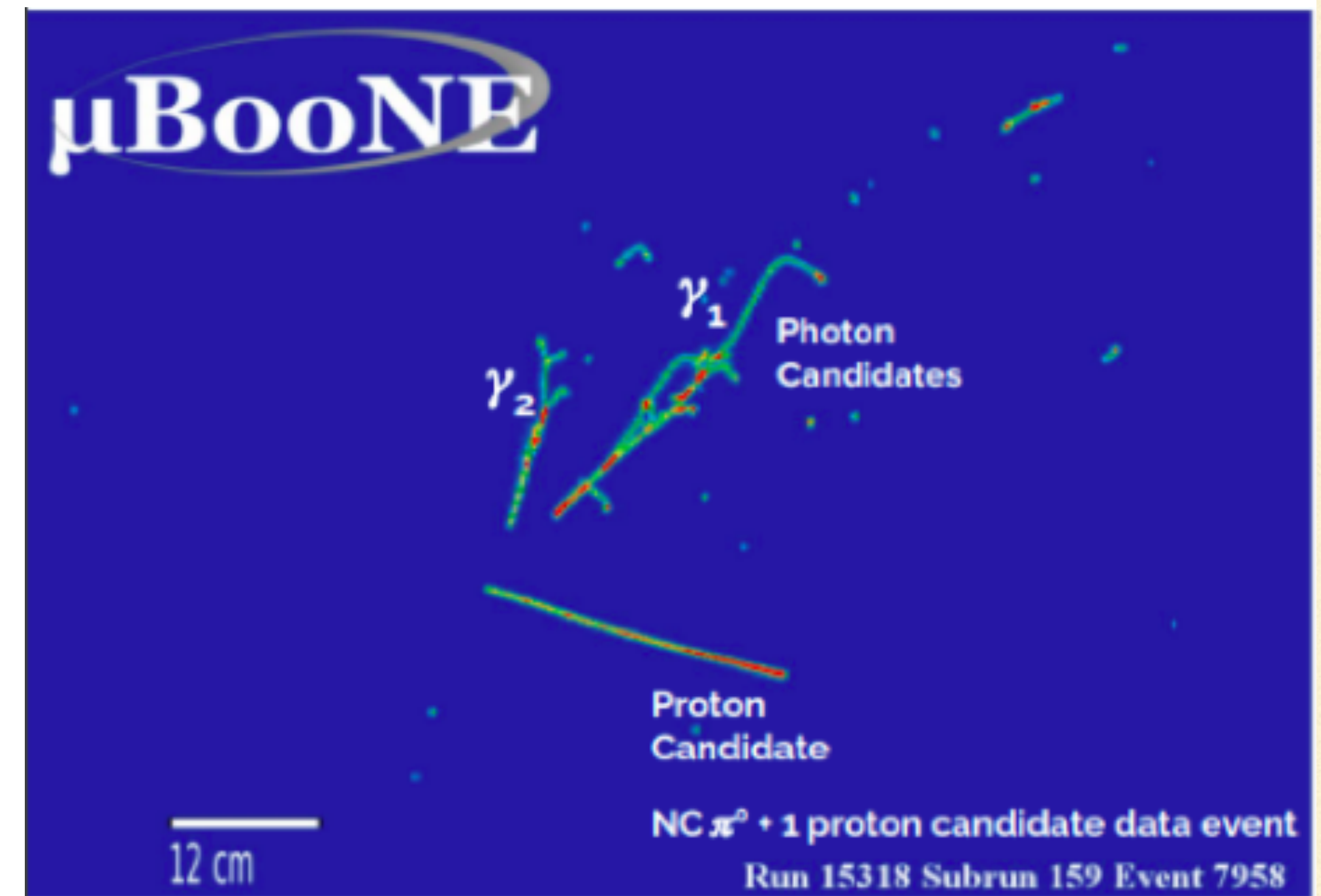
# MicroBooNE (to test MB)



80 ton LAr TPC

Excellent particle identification capabilities.

Can potentially distinguish electrons, protons and photons



- The combined significance of the LSND and MB results is  $6.1 \sigma$

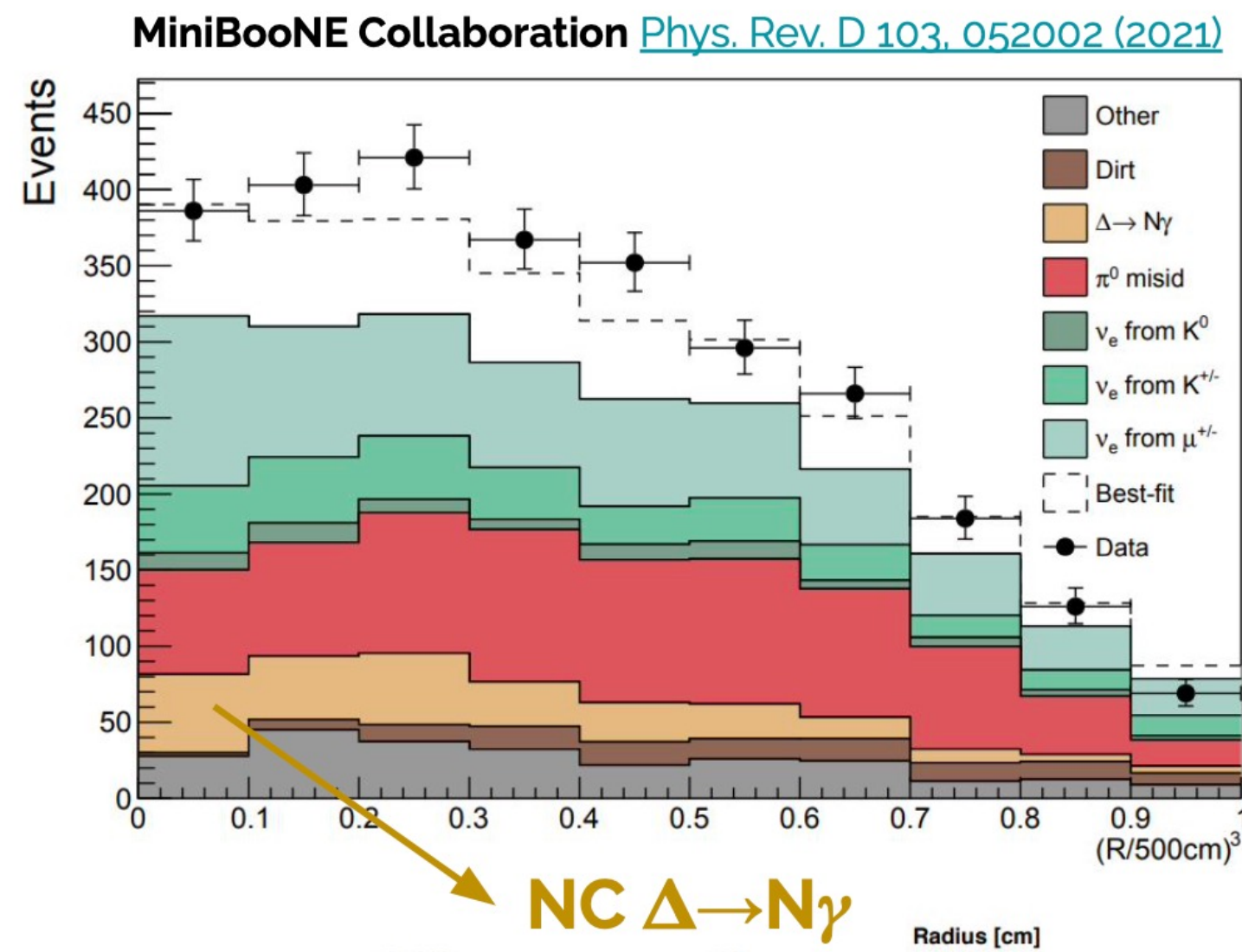
- Both are long-standing anomalies. The community has worked hard to check errors and background estimates over this period. Most recent efforts in this direction come from MicroBooNE

# Search for a single-photon excess

[Phys. Rev. Lett. 128, 111801](#)

Targeting NC  $\Delta$  resonance radiative decay ( $\Delta \rightarrow N\gamma$ )

- Standard model process
- Never been directly observed in neutrino scattering
- Previous best experimental limit at  $O(1 \text{ GeV})$  is orders of magnitude higher than the prediction

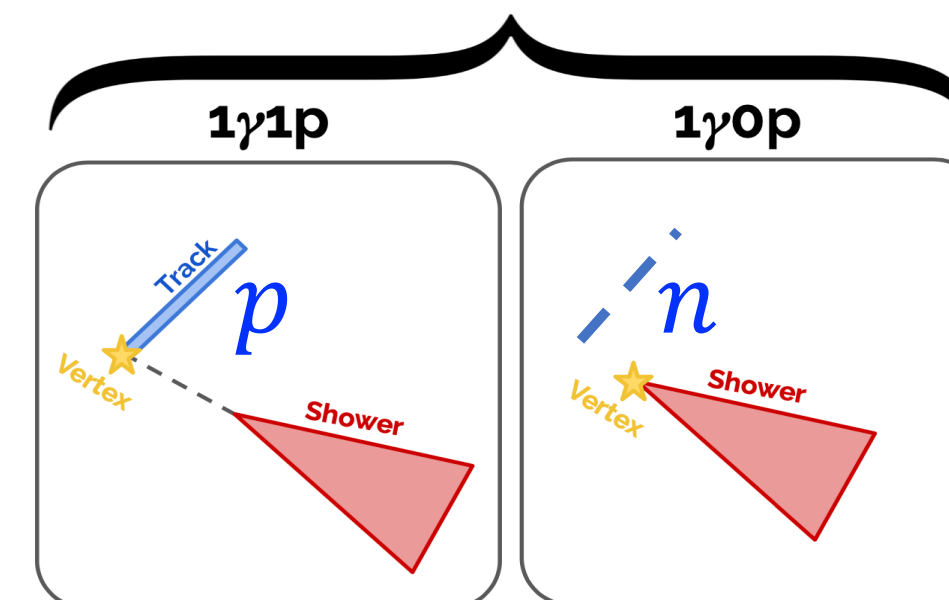
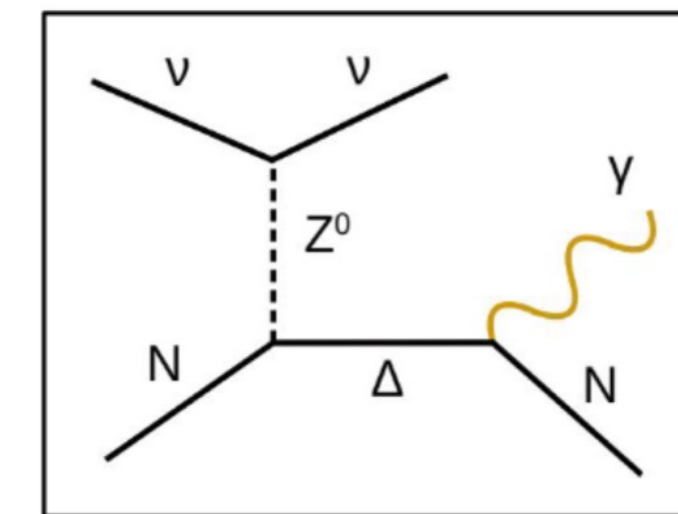


- An enhancement in NC  $\Delta \rightarrow N\gamma$  with a multiplicative factor of **x3.18** would give good agreement with the observed MiniBooNE LEE

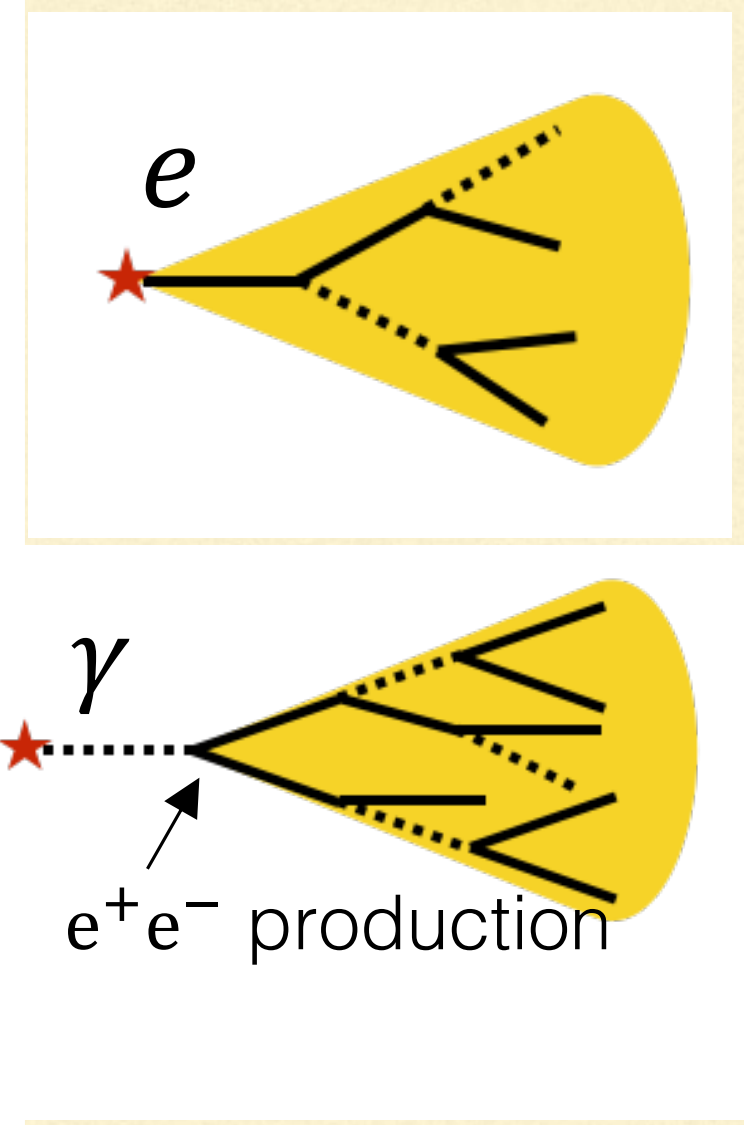
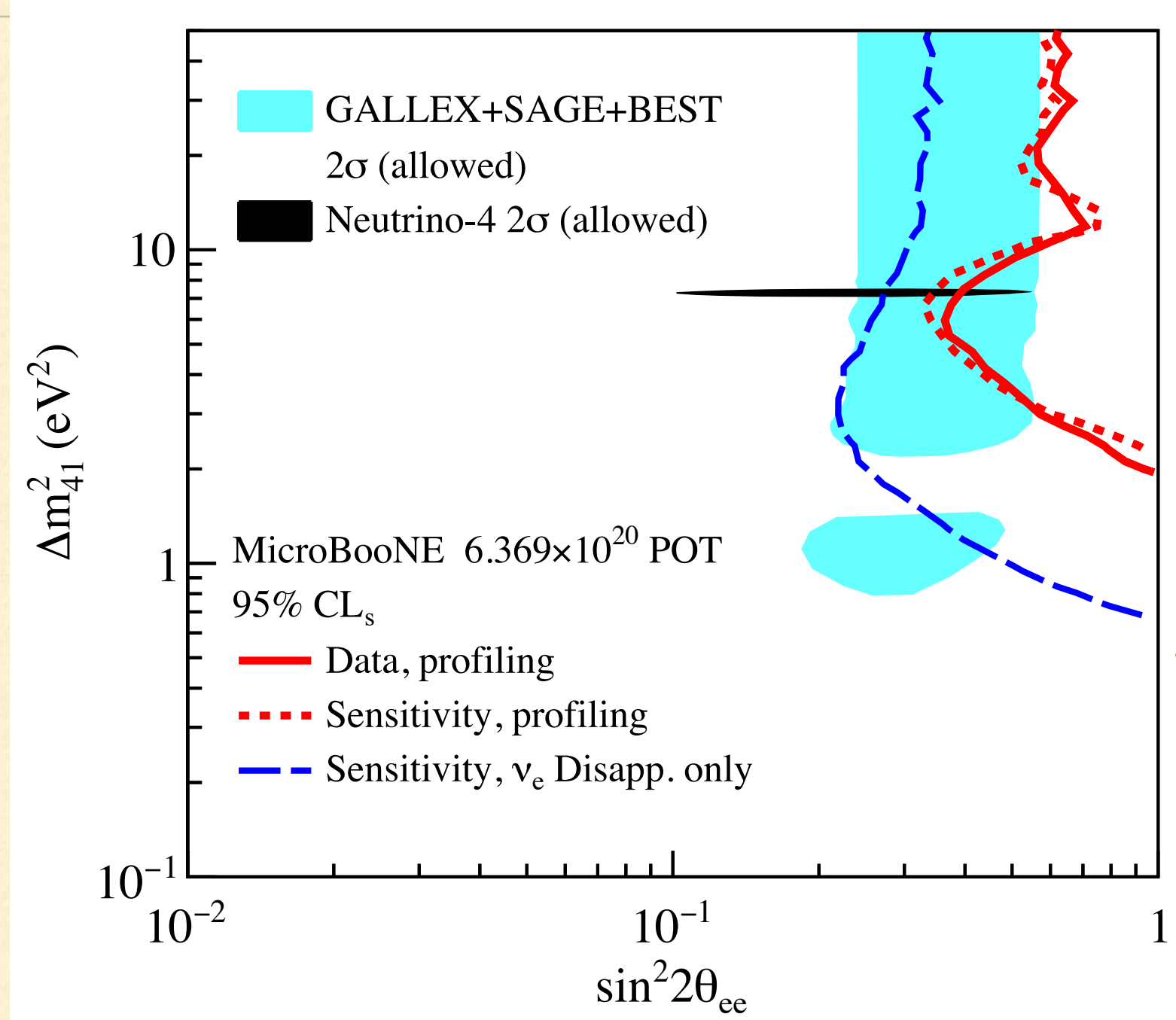
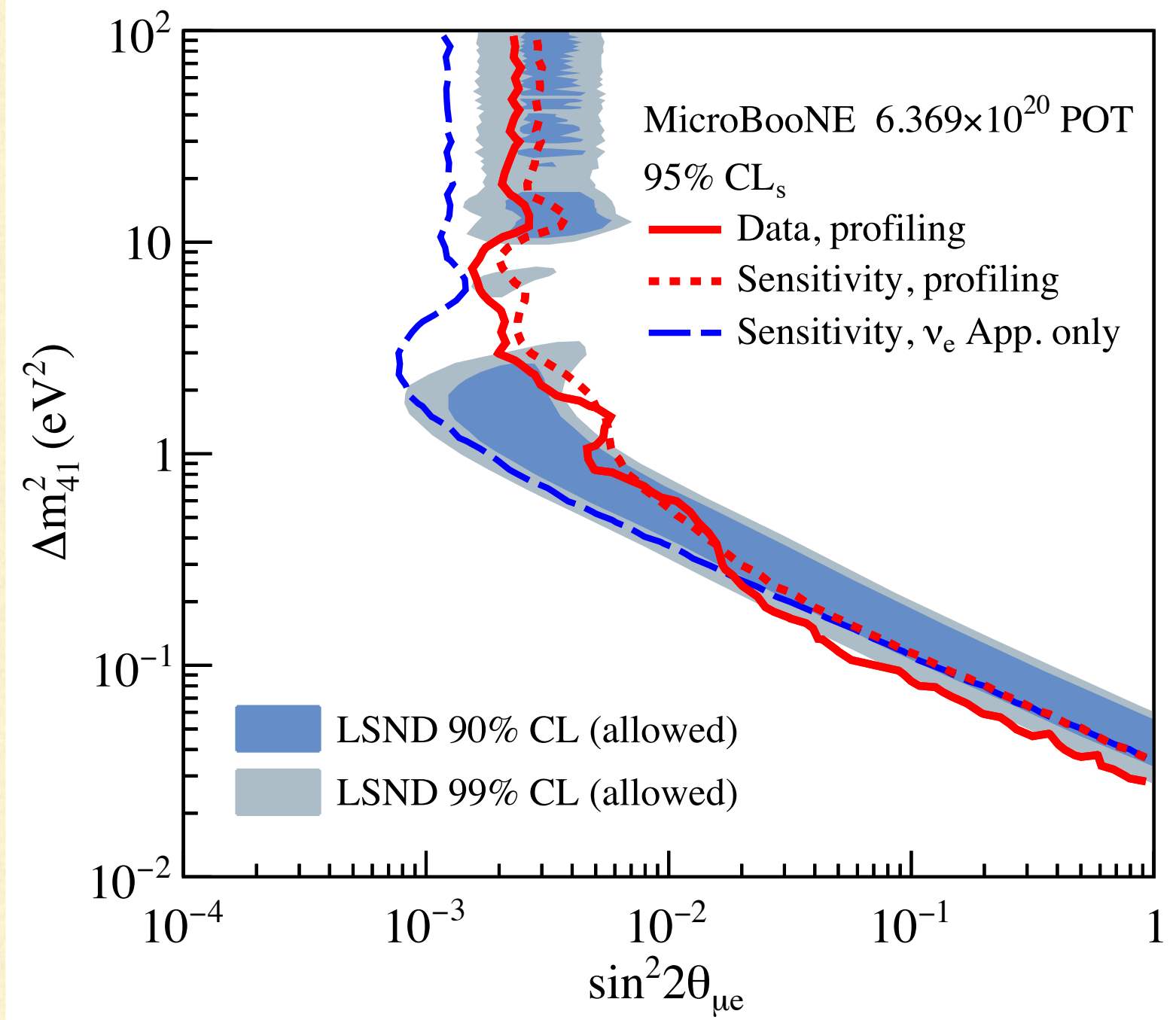
(From Hanyu Wei, Nu 2022 talk)

## Event topologies

Two NC  $\Delta \rightarrow N\gamma$  rich single-photon selections



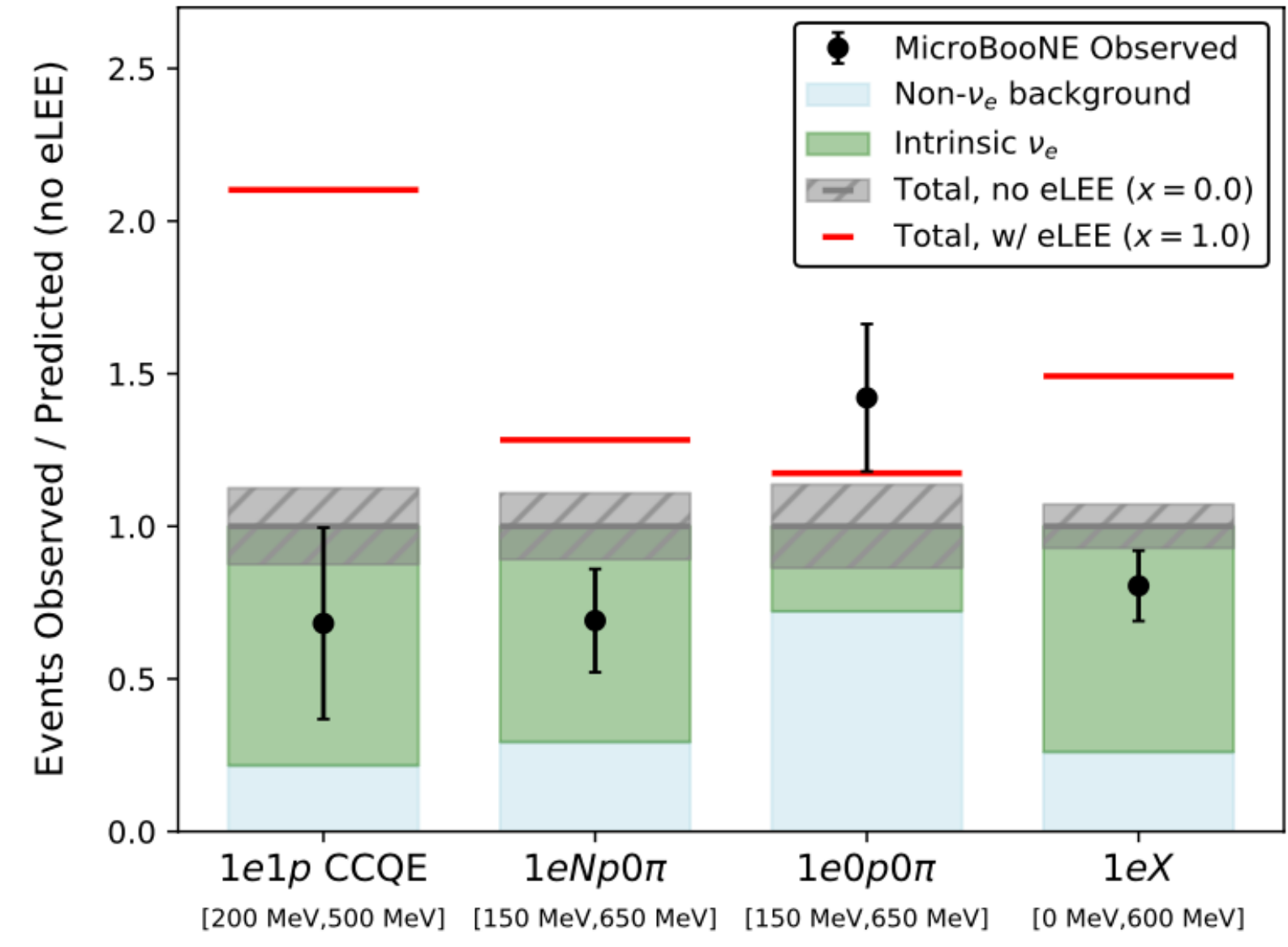
No evidence for any enhancement over SM prediction



(MicroBooNE 2210.10216)

- Finally, latest results from the MicroBoone detector strongly disfavour the sterile neutrino hypothesis of electron appearance as a solution to the LSND and MB excesses.

arXiv: 2110.14054 (PRL accepted)



“These results disfavor the hypothesis that the MiniBooNE low-energy excess originates solely from an excess of  $\nu_e$  interactions. Instead, one or more additional mechanisms [45–52] are required to explain the MiniBooNE observations. ”

(MicroBooNE Collab, 2210.10216)

- [45] [A.de Gouvêa, O.L.G. Peres, S. Prakash, and G.V. Stenico](#), arXiv:1911.01447 [hep-ph].  
(Sterile to active decay)
  - [46] [S. Vergani, N. W. Kamp, A. Diaz, C. A. Argüelles, J. M. Conrad, M. H. Shaevitz](#), arXiv:2105.06470 [hep-ph].  
( Mix of sterile osc and decay to active )
  - [47] [J. Asaadi, E. Church, R. Guenette, B. J. P. Jones, and A. M. Szelc](#), arXiv:1712.08019 [hep-ph].  
(New matter resonance effects)
  - [48] [D. S. M. Alves, W. C. Louis, and P. G. deNiverville](#), arXiv:2201.00876 [hep-ph].  
(New matter resonance effects)
  - [49] [E. Bertuzzo, S. Jana, P. A. N. Machado, and R. Zukanovich Funchal](#), arXiv:1807.09877 [hep-ph].  
(Up-scattering and additional Z')
  - [50] [P. Ballett, S. Pascoli, and M. Ross-Lonergan](#), arXiv:1808.02915 [hep-ph].  
(Up-scattering and additional Z')
  - [51] [W. Abdallah, R. Gandhi, and S. Roy](#), arXiv:2010.06159 [hep-ph].  
(Up-scattering and additional Z')
  - [52] [W. Abdallah, R. Gandhi, and S. Roy](#), arXiv:2006.01948 [hep-ph].  
(Up-scattering and Additional scalars)
-

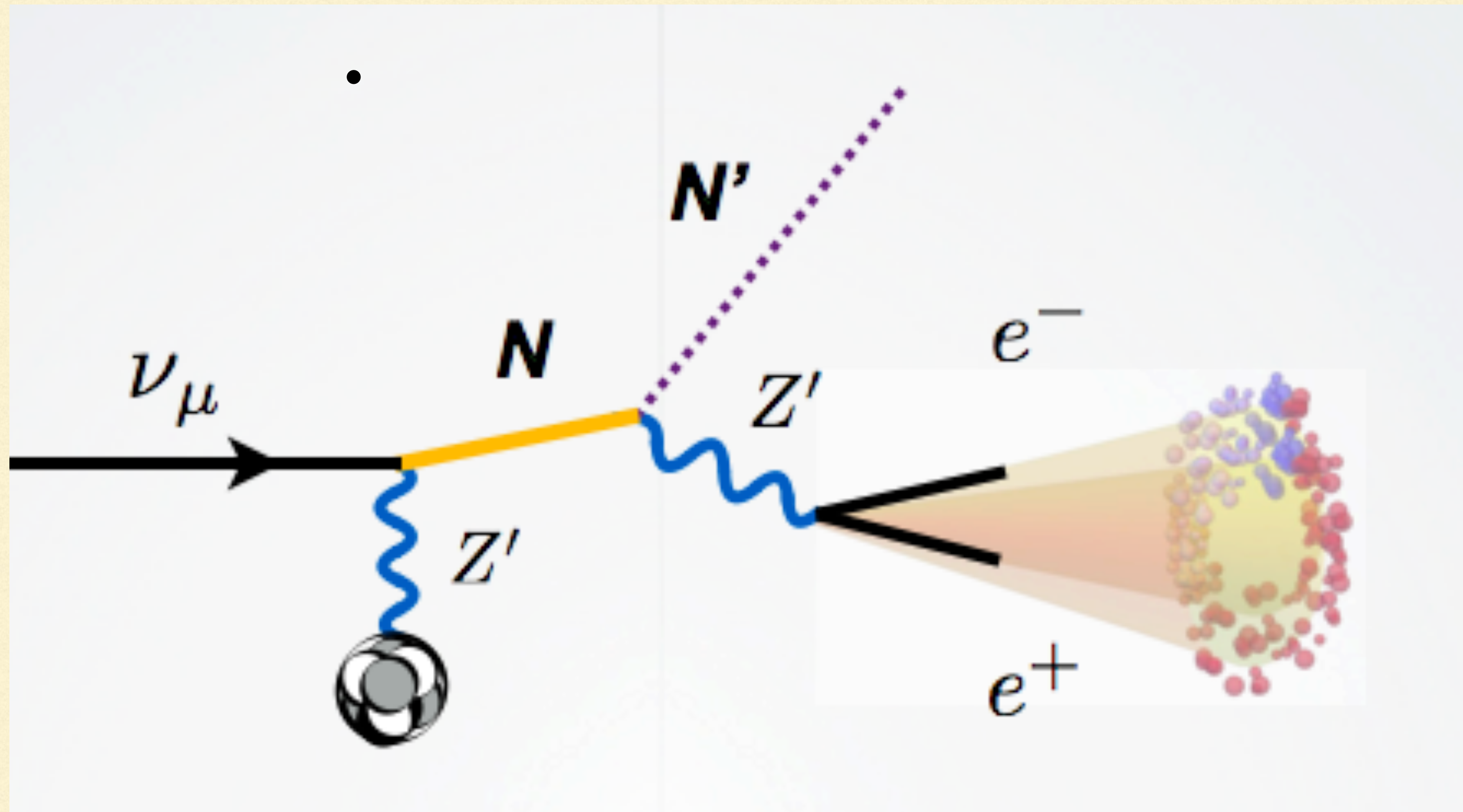


- 
- **Given the situation as summarised, it makes sense to consider new physics solutions to MB and LSND which involve sterile neutrinos, but not those at the eV scale and involved in active-sterile oscillations.**



# New Physics solutions to MB and LSND

- Generic new physics process



NSI, but  
at low  
energies

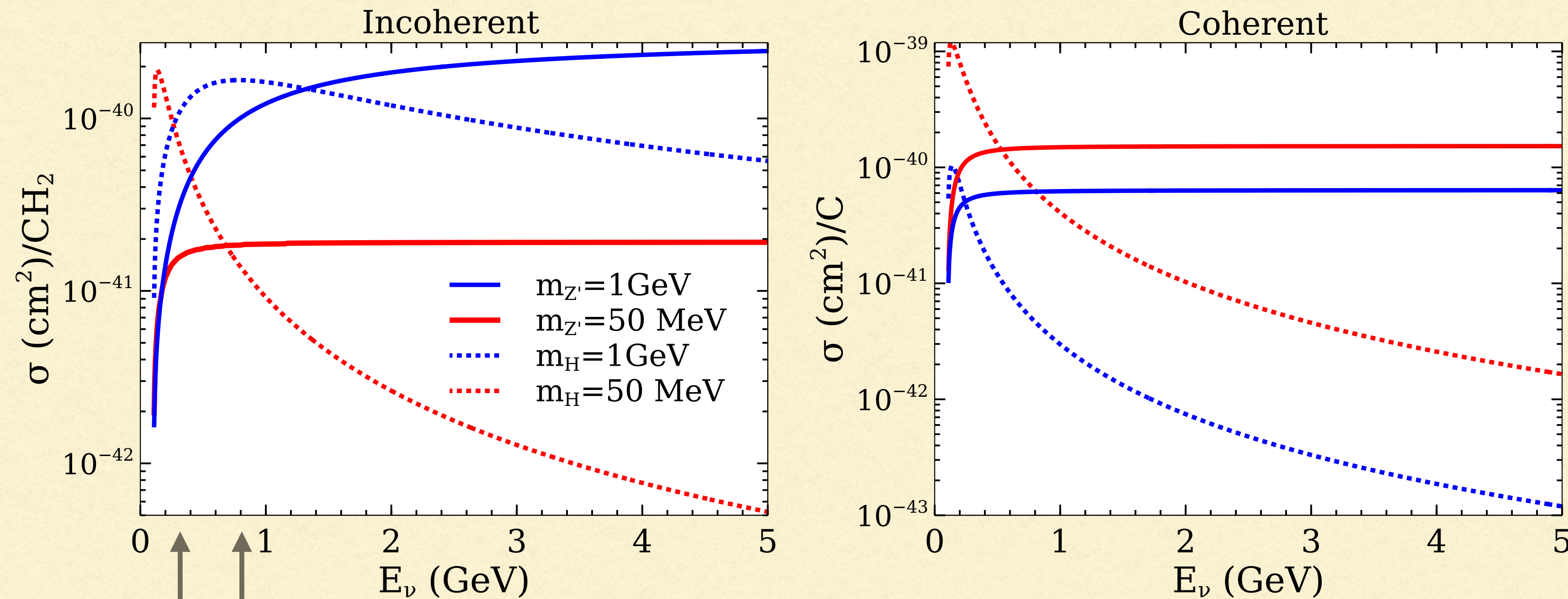
Using an additional  $Z'$  and heavier sterile neutrinos, it is possible to get good fits to the MB data

Bertuzzo, Jana, Machado & Funchal, 1807.09877;

Ballet, Pascoli, Ross-Lonergon 1808.02915;

Abdallah, RG and Roy 2006.01948)

However, it is very difficult to explain both LSND and MB simultaneously using these ingredients, because a vector mediator does not give enough events at LSND



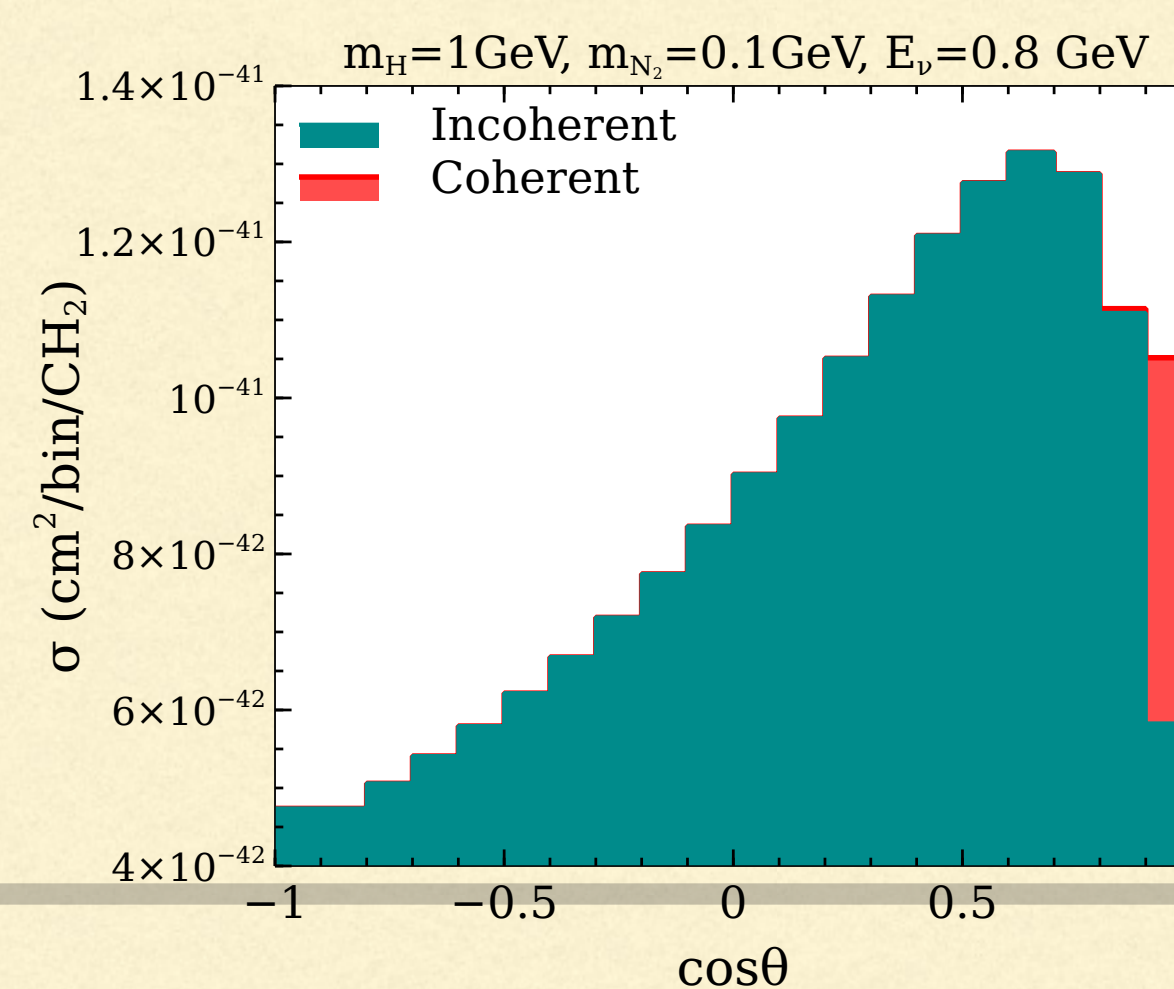
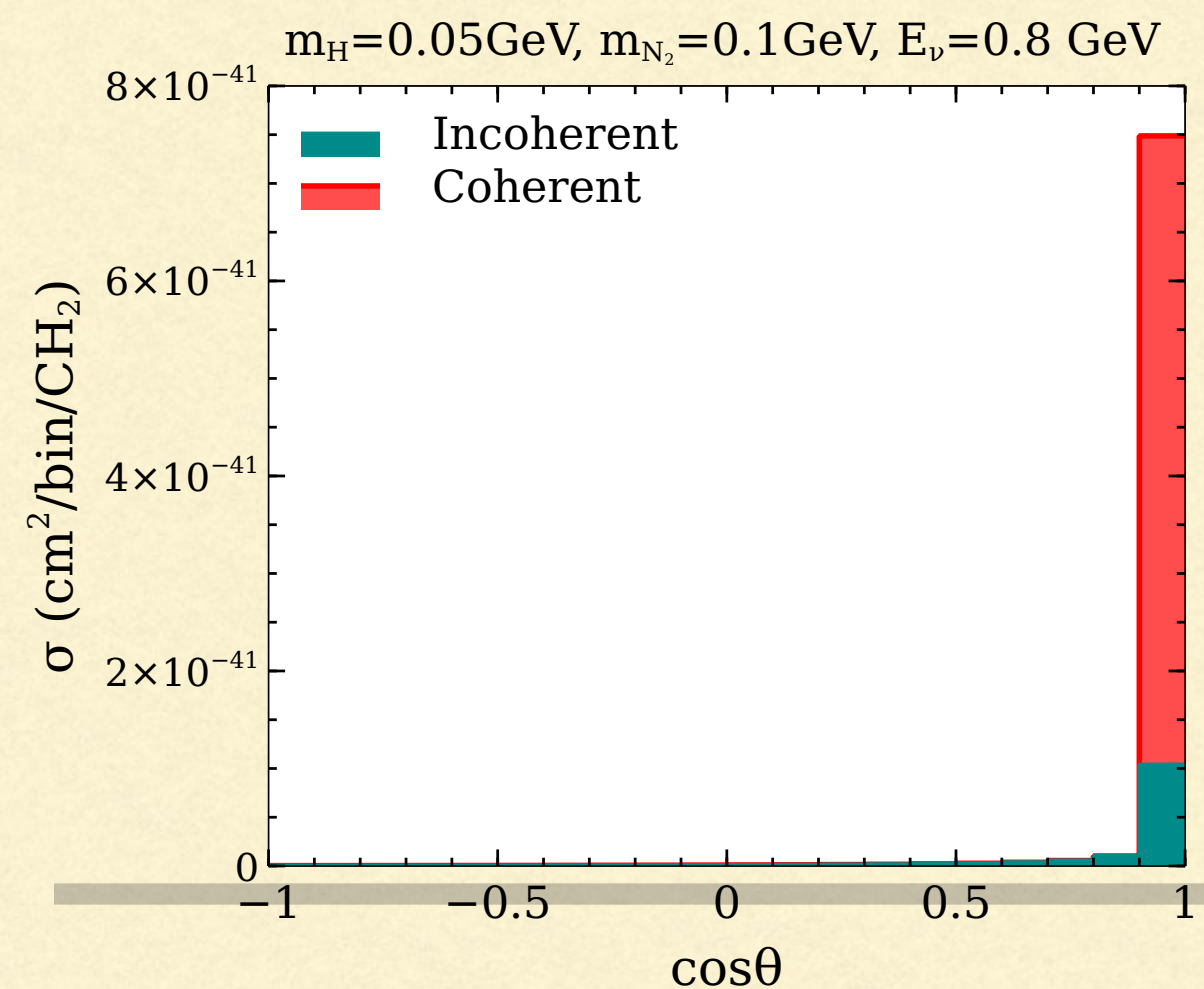
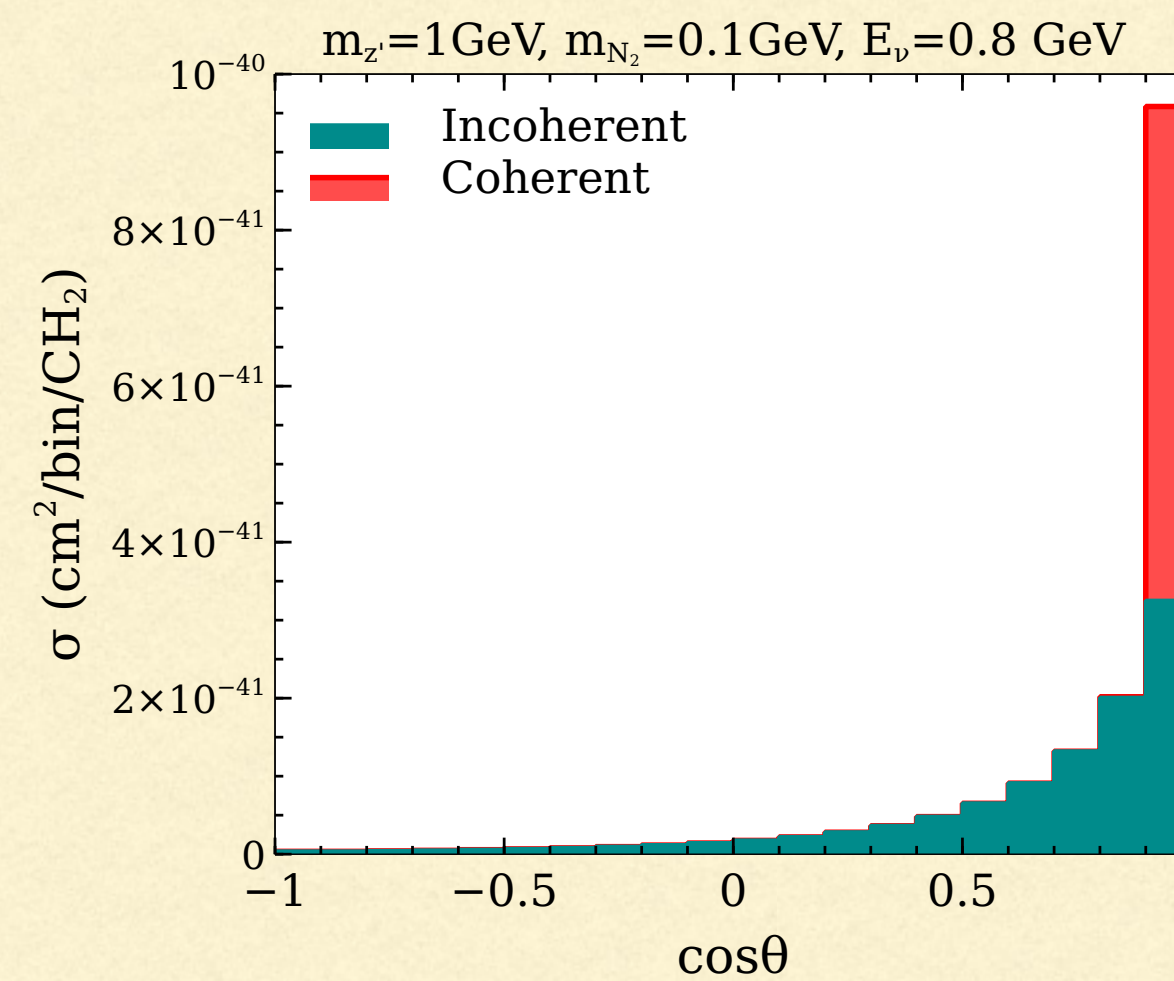
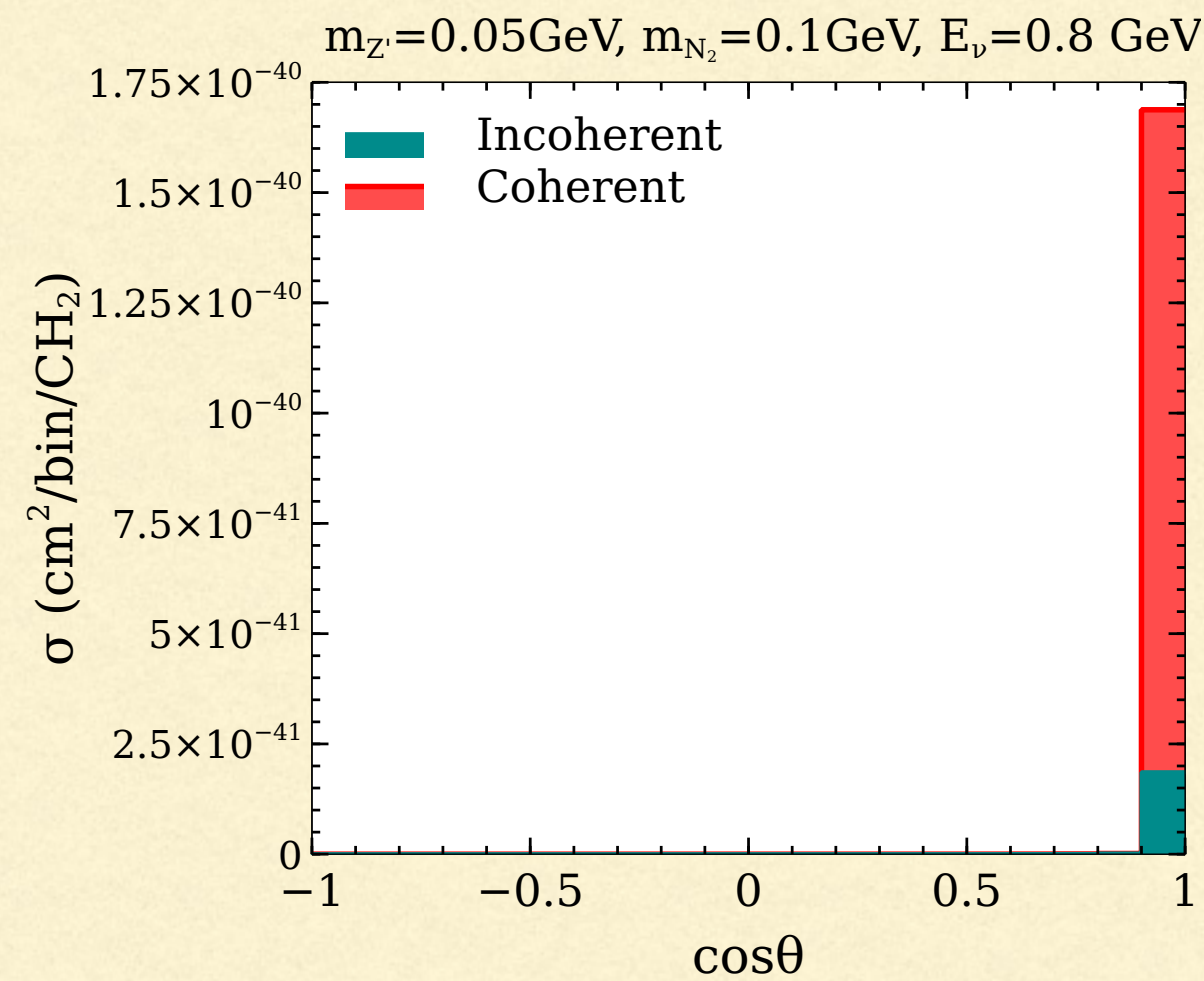
(Abdallah, RG and Roy 2202.09373)

LSND MB

Scalar mediators not only avoid HE constraints that vector mediators have difficulty avoiding, but also give enough events at LSND once you get the required number at MB.

Vector models, given the shape of the xsec, violate constraints by experiments with higher E, e.g. CHARM II ( $E_{\nu} \sim 20$  GeV) and MINERvA ( $E_{\nu} \sim 4-5$  GeV)

One learns something new if one demands that the new physics resolve both LSND and MB, as opposed to just MB.



By studying the angular distribution at MB for both light and not so light scalar and vector mediators, one discerns the need for both a light and an intermediate mass mediator

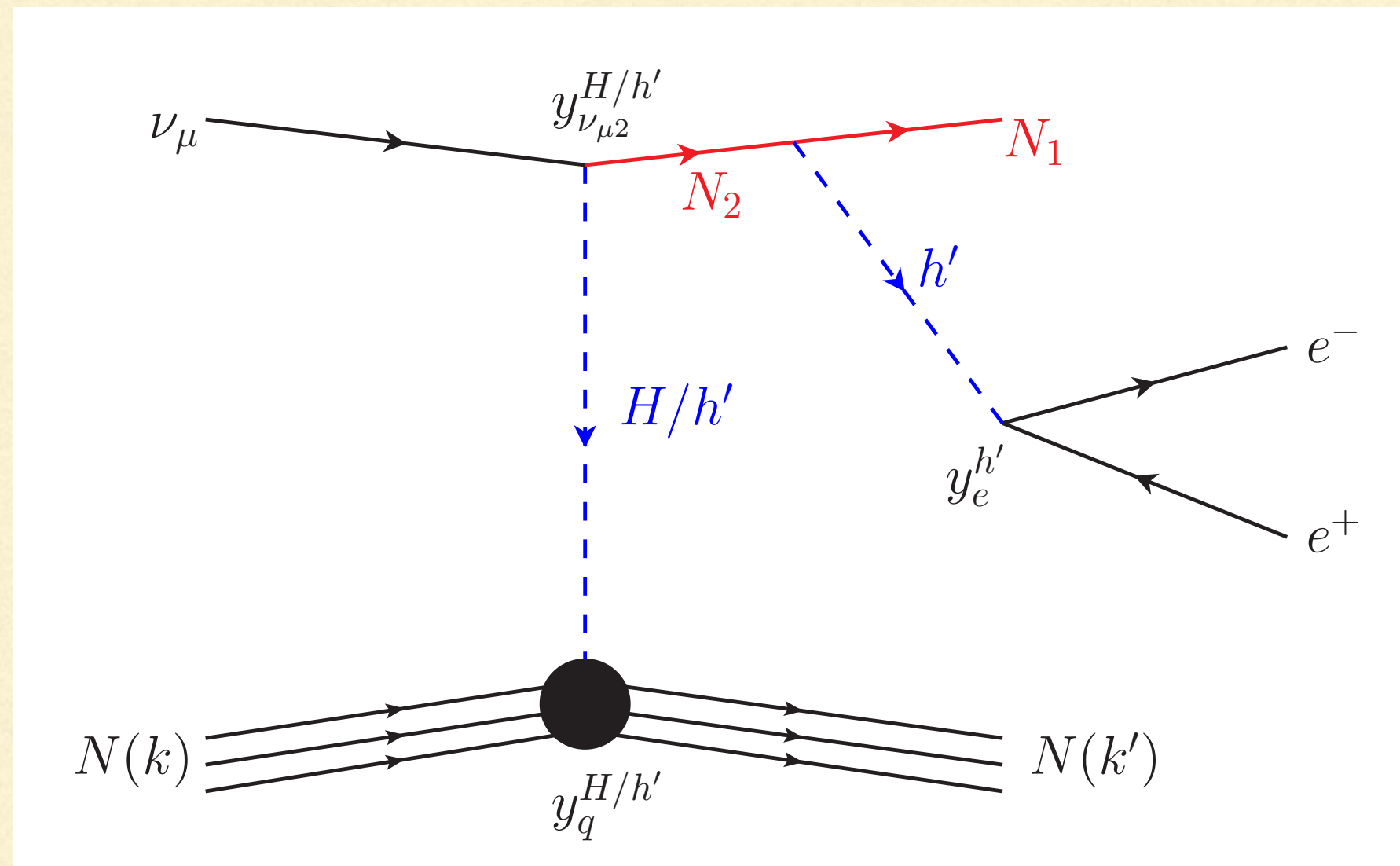
(Abdallah, RG and Roy 2202.09373)

## The model, .....

$$\begin{aligned}
 V = & |\phi_h|^2 \left( \frac{\lambda_1}{2} |\phi_h|^2 + \lambda_3 |\phi_H|^2 + \mu_1 \right) & (\phi_h, \phi_H, \phi_{h'}) \\
 & + |\phi_H|^2 \left( \frac{\lambda_2}{2} |\phi_H|^2 + \mu_2 \right) + \lambda_4 (\phi_h^\dagger \phi_H) (\phi_H^\dagger \phi_h) \\
 & + \phi_{h'}^2 (\lambda'_2 \phi_{h'}^2 + \lambda'_3 |\phi_h|^2 + \lambda'_4 |\phi_H|^2 + m' \phi_{h'} + \mu') \\
 & + \left[ \phi_h^\dagger \phi_H \left( \frac{\lambda_5}{2} \phi_h^\dagger \phi_H + \lambda_6 |\phi_h|^2 + \lambda_7 |\phi_H|^2 + \lambda'_5 \phi_{h'}^2 - \mu_{12} \right) \right. \\
 & \left. + \phi_{h'} (m_1 |\phi_h|^2 + m_2 |\phi_H|^2 + m_{12} \phi_h^\dagger \phi_H) + h.c. \right], \quad (1)
 \end{aligned}$$

Ingredients :

2nd Higgs  
doublet + one  
dark singlet ,  
and 3 RH  
neutrinos



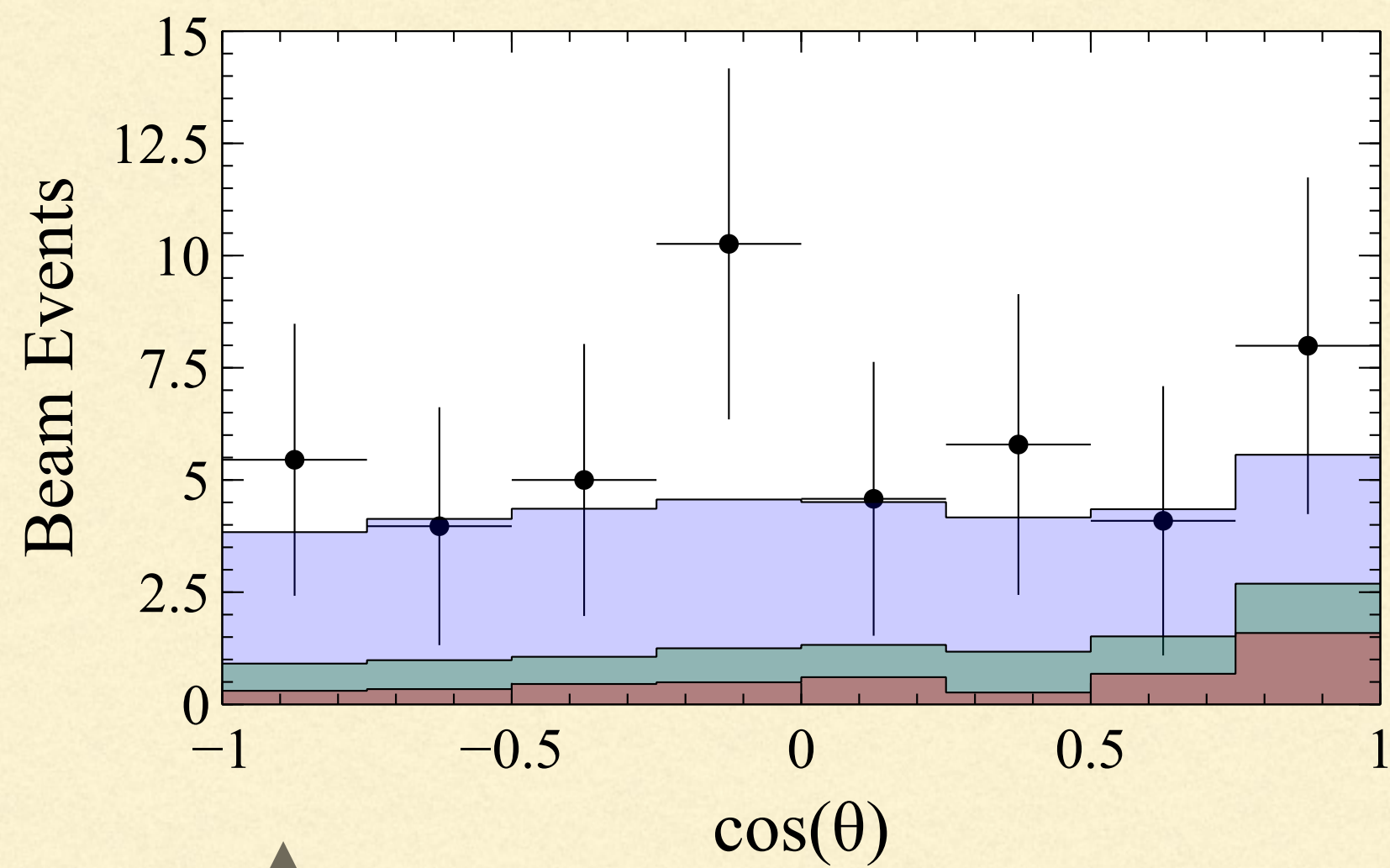
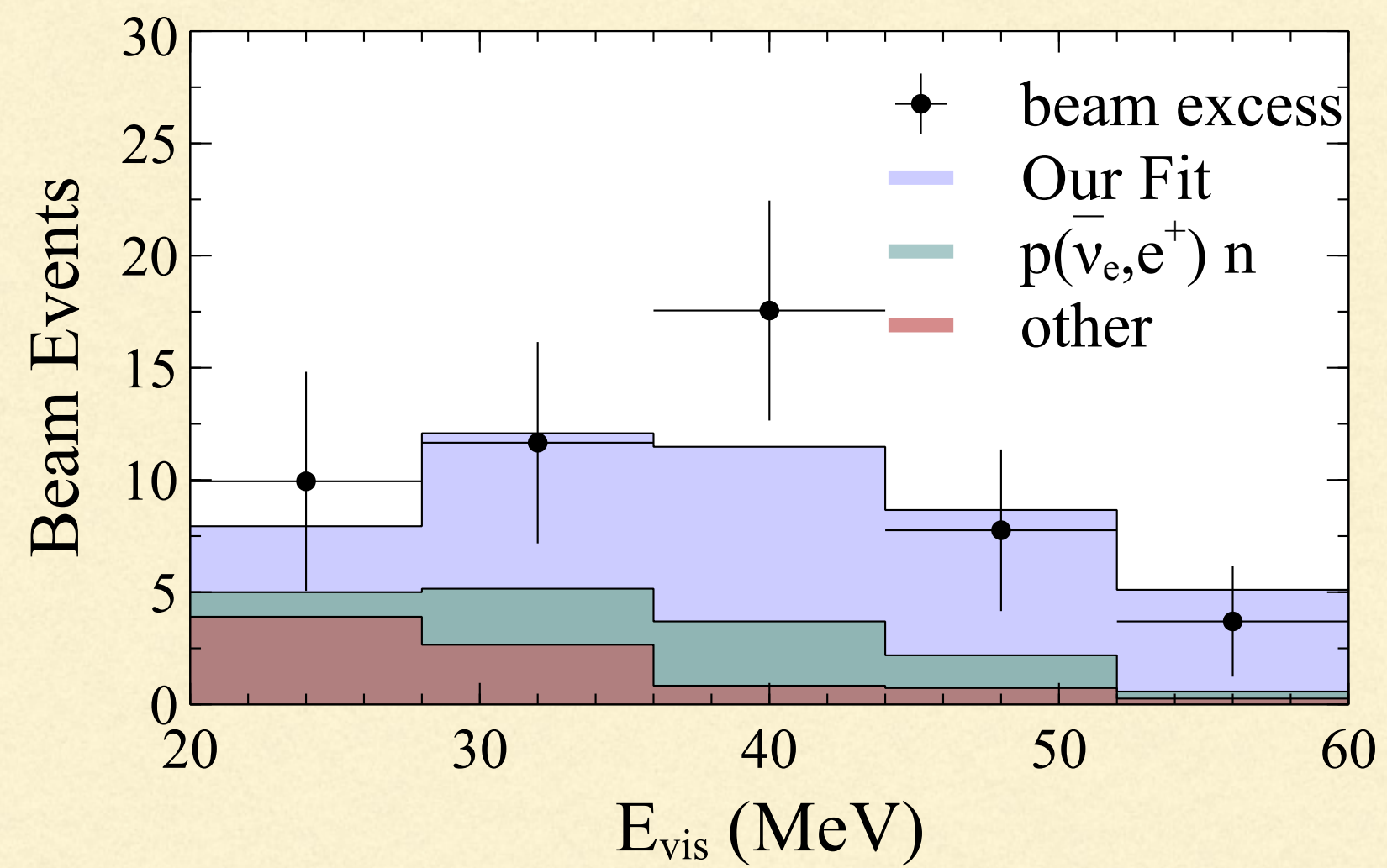
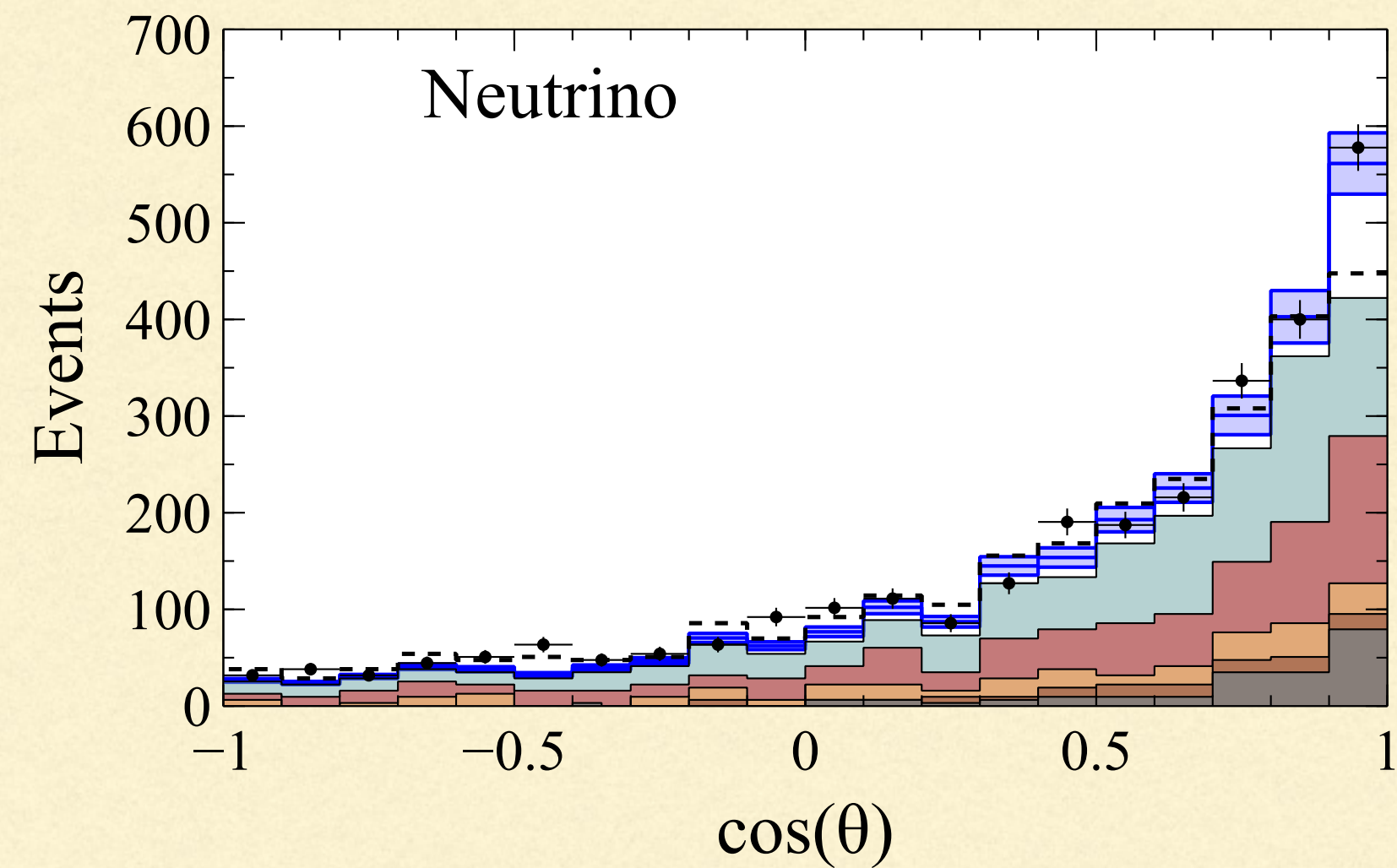
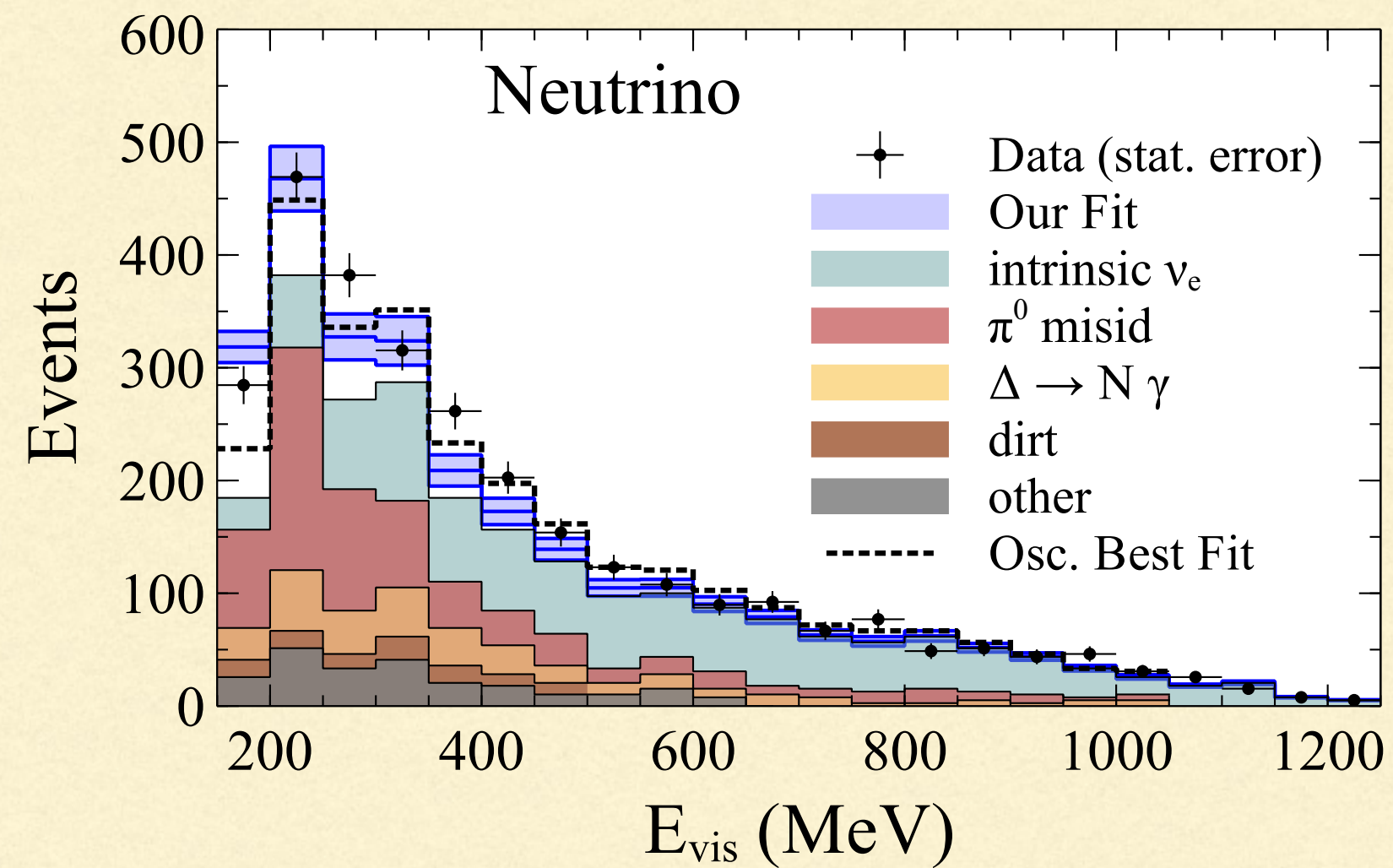
$m_{N_1}$	$m_{N_2}$	$m_{N_3}$	$y_u^{h'(H)} \times 10^6$	$y_{e(\mu)}^{h'} \times 10^4$	$y_{e(\mu)}^H \times 10^4$
85 MeV	130 MeV	10 GeV	0.8(8)	0.73(1.6)	7.25(15.9)
$m_{h'}$	$m_H$	$\sin \delta$	$y_d^{h'(H)} \times 10^6$	$y_{\nu_{12}}^{h'(H)} \times 10^3$	$\lambda_{N_{12}}^{h'(H)} \times 10^3$
15 MeV	750 MeV	0.1	0.8(8)	1.3(12.4)	7.5(74.4)

TABLE I: Benchmark parameter values used for event generation in LSND, MB and for calculating the muon  $g - 2$ .

(Abdallah, RG and Roy 2010.06159)

# Results.....

MB



LSND



(Abdallah, RG and Roy 2010.06159)

## Conclusions.....

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- Short baseline anomalies like the Ga source anomaly, the RAA, LSND and MB have reached a stage where a host of complementary experiments and theoretical inputs have helped gradually clarify the situation. No clear resolution has yet emerged, however.
- The situation with the Ga anomaly is unclear, given that the most recent experiment, BEST, verified the presence of the deficit but could not detect any L variation, which would have signalled active sterile oscillations
- Improved data on beta spectra and consequent improved flux calculations point to a disappearance of the RAA.
- Attempts to understand the anomalies using oscillations with eV scale neutrinos show a very strong tension between appearance and disappearance data.

The MB and LSND anomalies persist with a high combined statistical significance of 6.1 sigma

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## Conclusions.....

---

MicroBooNE has recently made important (but not conclusive) strides in helping establish that SM backgrounds are unlikely to be responsible for the MB signal, strengthening the case that MB and possibly LSND could be signals for new physics.

It is significant that sterile neutrinos (much ) heavier than  $\sim eV$  play a role in most new physics proposals put forward to explain the anomaly (anomalies)

A definitive resolution must await results from the Fermilab Short Baseline Program, with its 3 detectors , MicroBooNE, ICARUS and SBND.

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# Short Baseline Neutrino Program at Fermilab

Anne Schukraft talk at Neutrino 2022

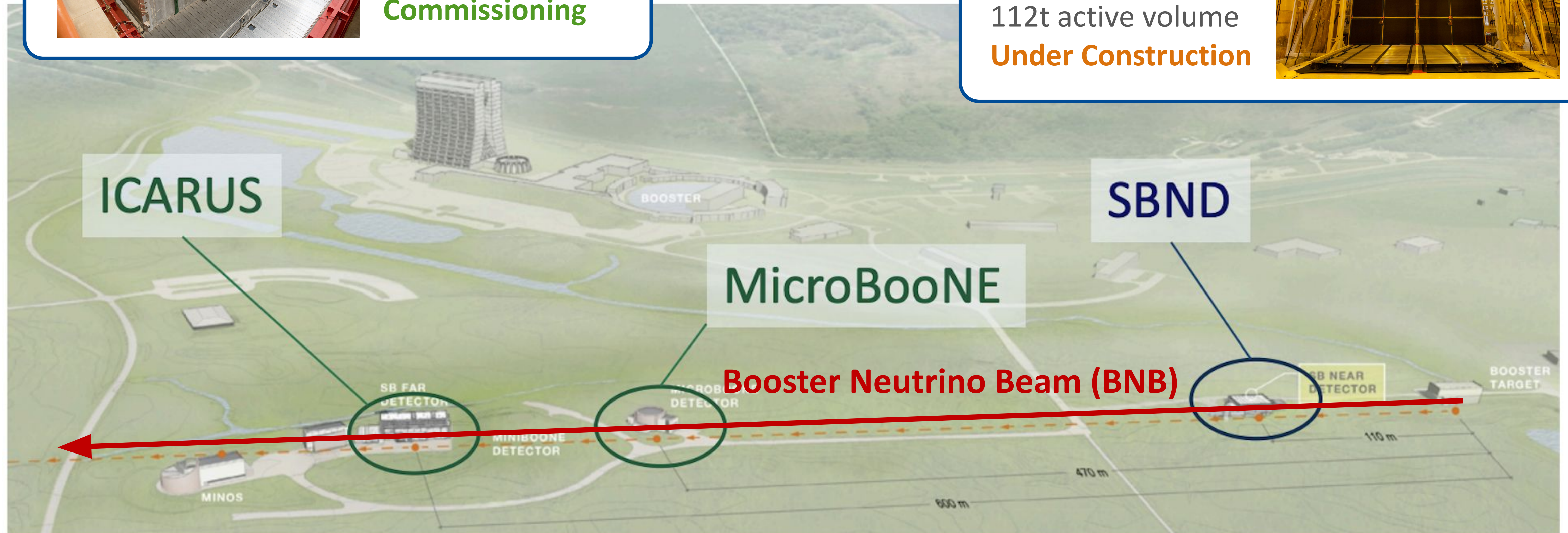
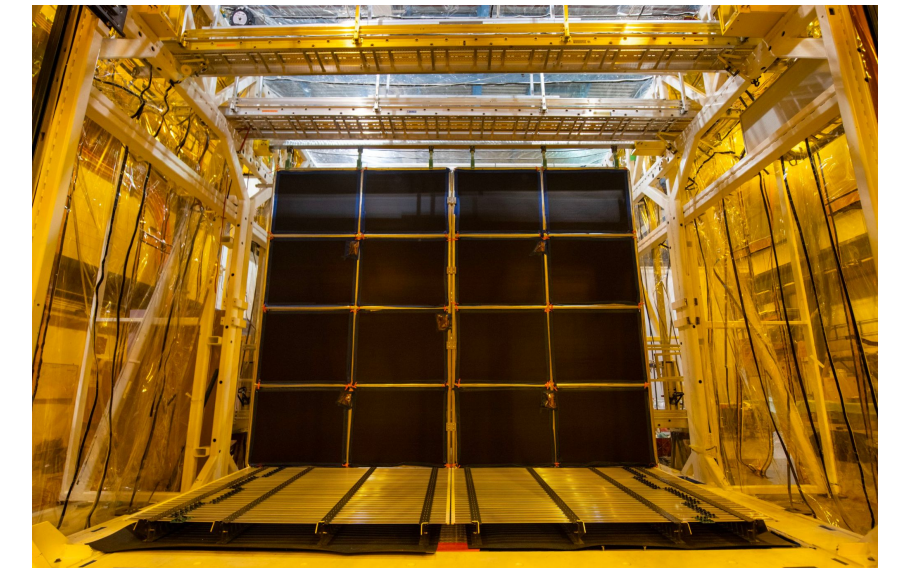


## ICARUS

600m baseline  
470t active volume  
**Commissioning**

## SBND

110m baseline  
112t active volume  
**Under Construction**



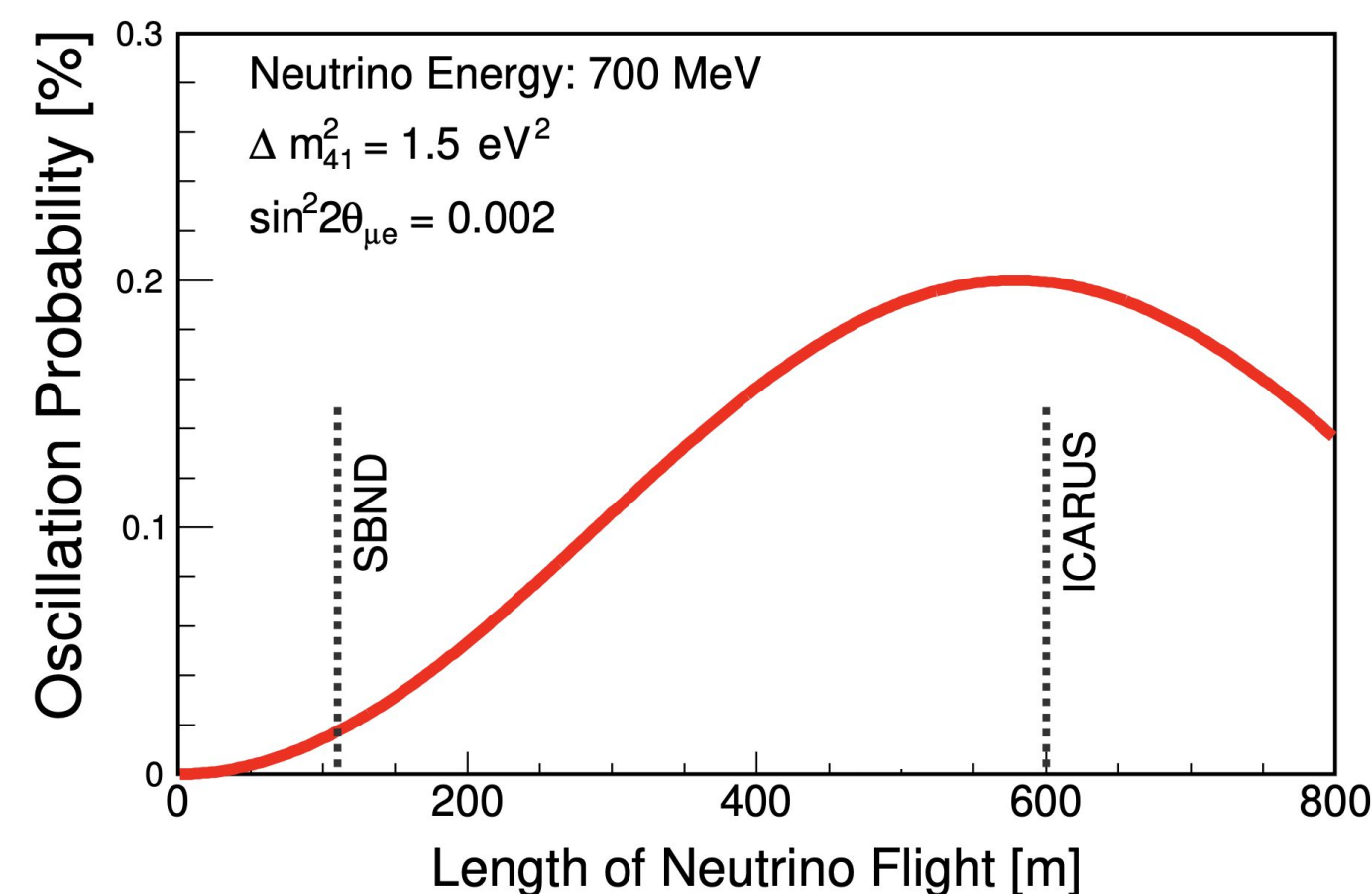
- Three detectors sampling the *same neutrino beam* at different distances

# SBN Oscillation Sensitivity

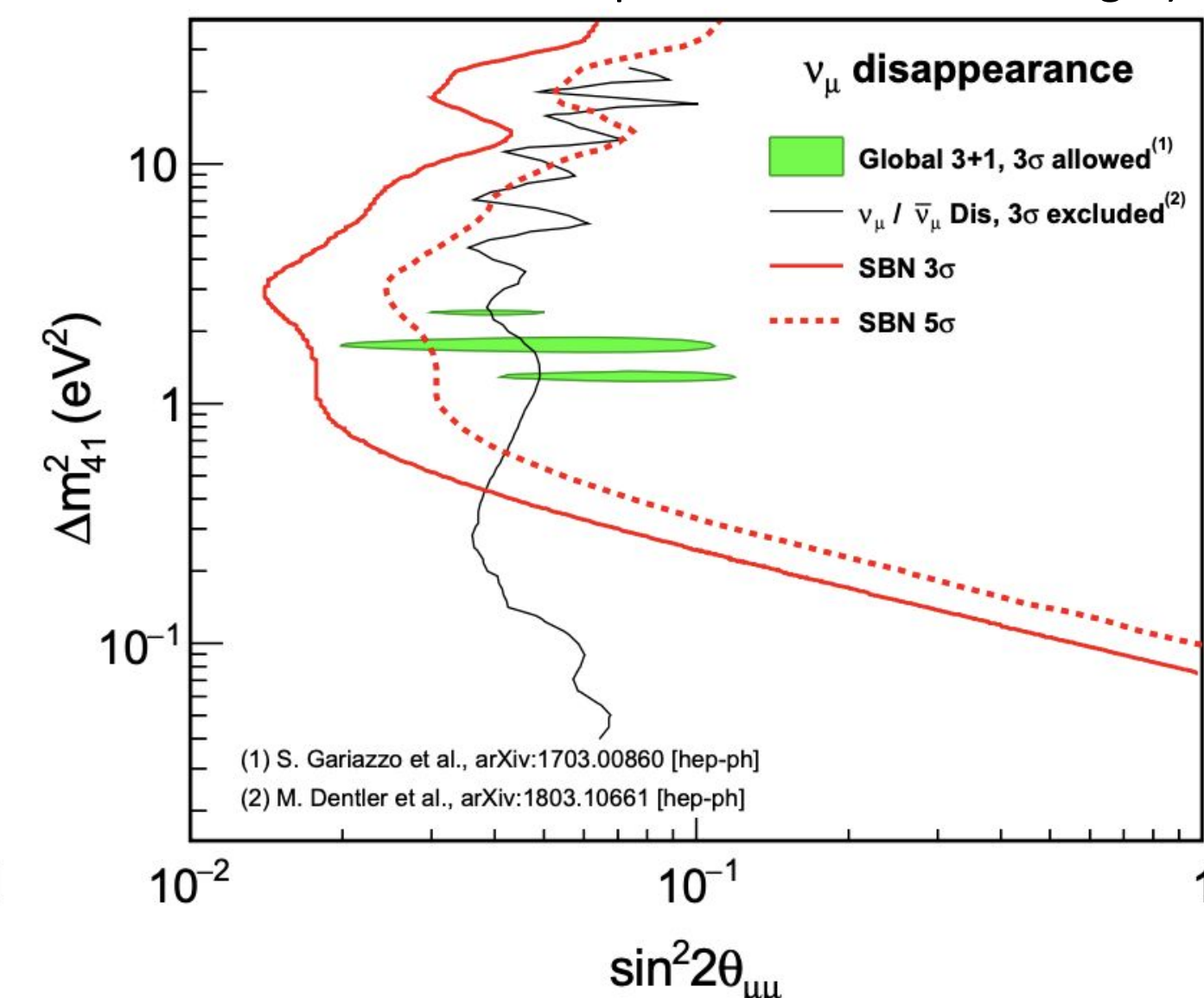
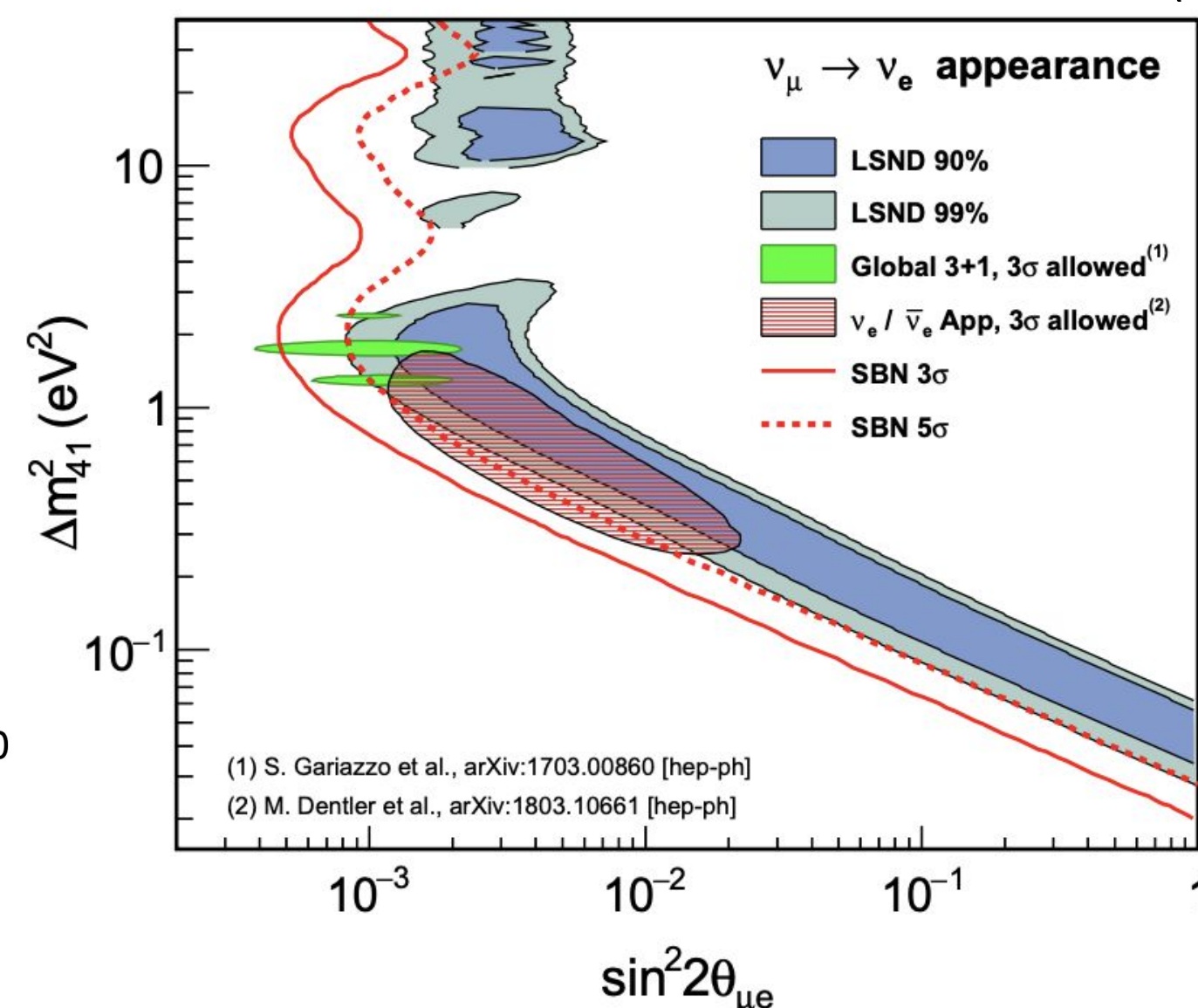
Anne Schukraft talk at Neutrino 2022

- SBND + ICARUS will test the sterile neutrino hypothesis
  - can cover the parameter space favored by past anomalies with  $5\sigma$  significance
- Observing neutrino flux at different distances from the beam target
- Effective systematics constraint through near detector (SBND) and same detector technology in near and far detector
- **Search for appearance of  $\nu_e$  and disappearance of  $\nu_\mu$  within the same experiment**
  - current results show a  $4.7\sigma$  tension between  $\nu_e$  appearance and  $\nu_\mu$  disappearance channels

(SBN sensitivities for  $6.6 \times 10^{20}$  protons on the BNB target)



P. Machado et al, arXiv:1903.04608V11



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Thank you for your attention!

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# Back-up Slides

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## Coherent neutrino Scattering and NSI.....

Coherent elastic neutrino-nucleus scattering (CEvNS), which has been recently observed by the COHERENT collaboration

$$\frac{d\sigma}{dT} = \frac{G_F^2 Q_{SM}^2 M}{4\pi} \left( 1 - \frac{T}{T_{\max}} \right),$$

$$Q_{SM}^2 = [N - (1 - 4s_W^2)Z]^2, \quad T_{\max} = \frac{2E_\nu^2}{M + 2E_\nu},$$

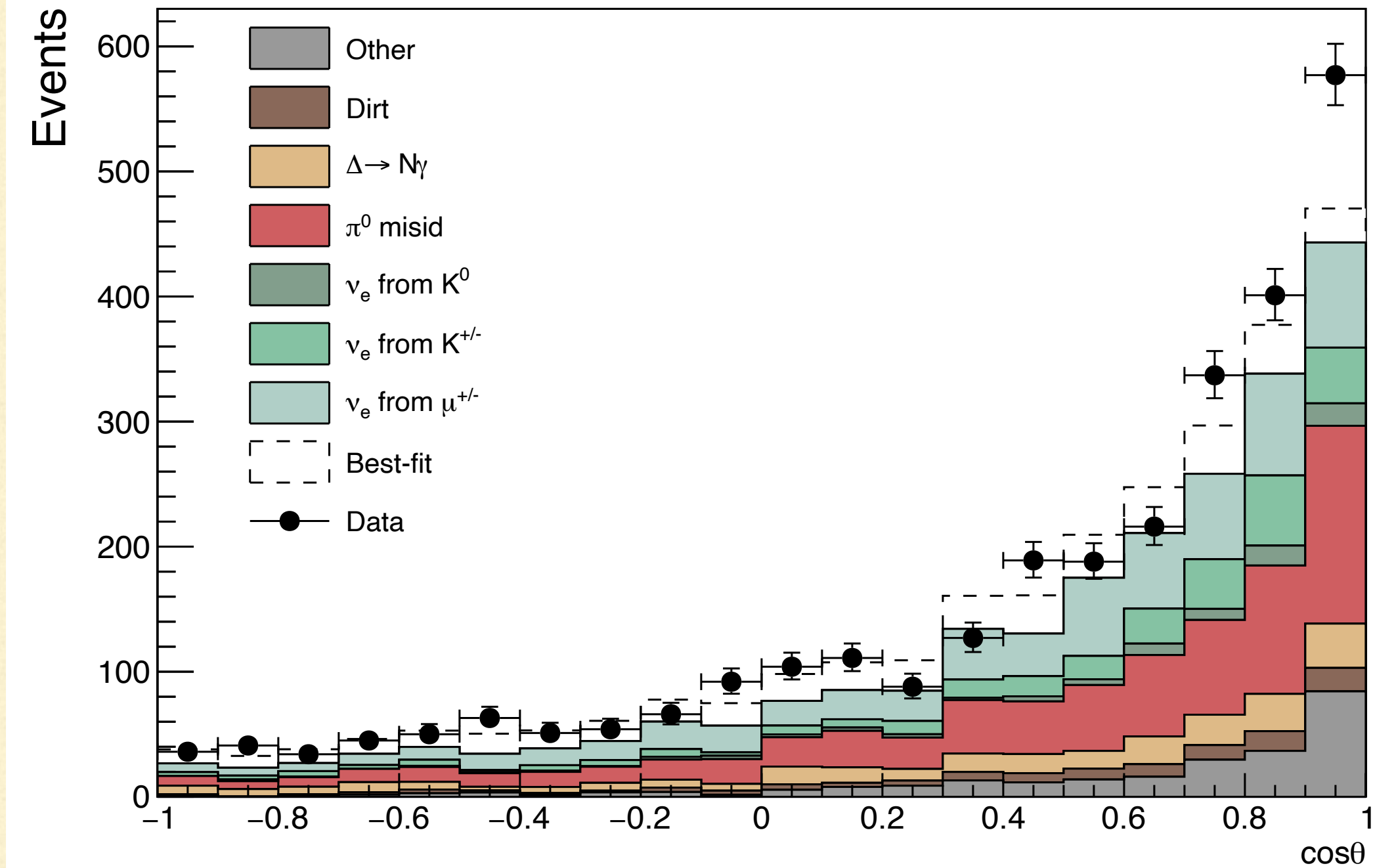
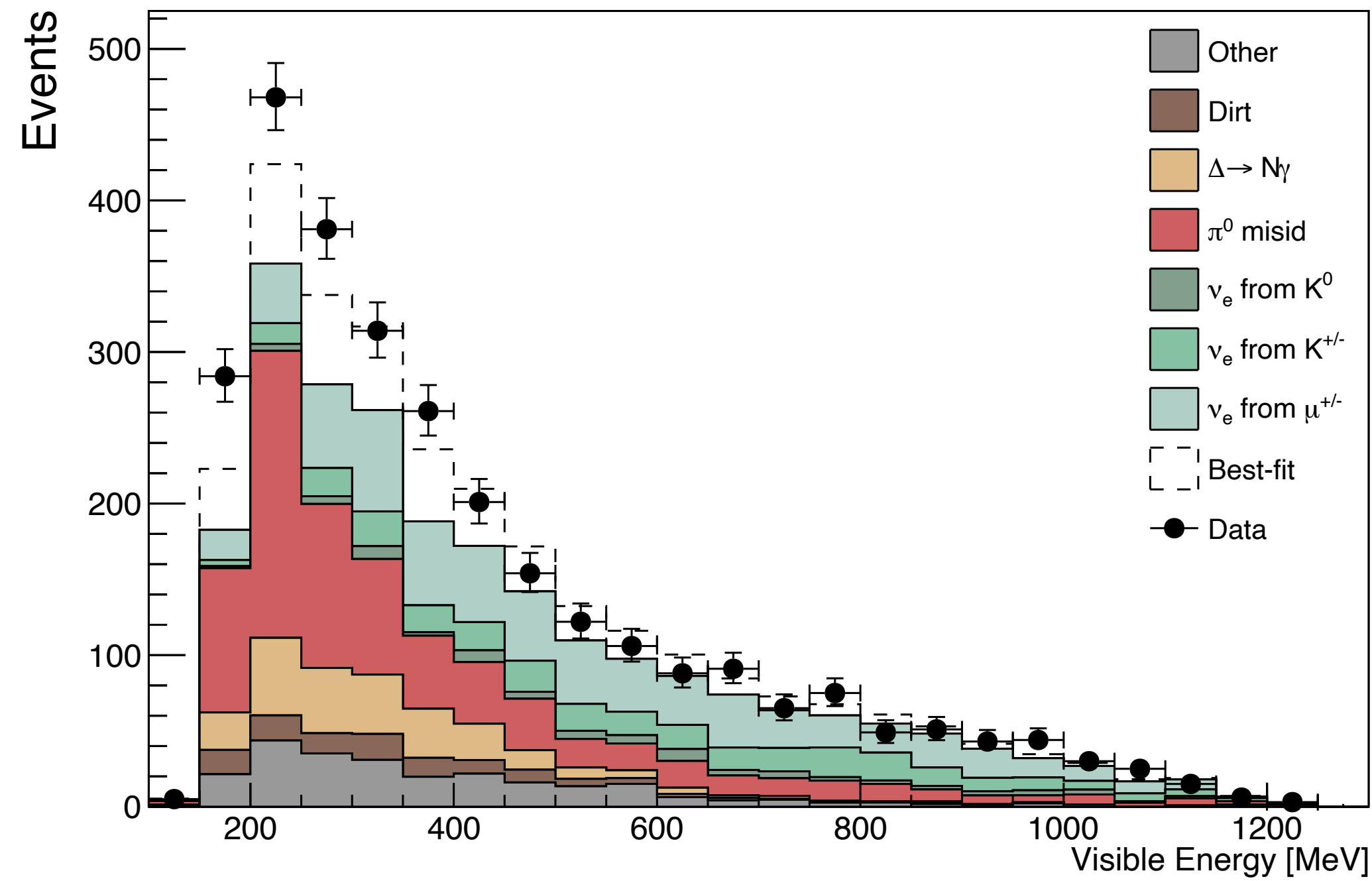
where  $M$  is the mass of the nucleus,  $T$  is the recoil energy of the nucleus,  $T_{\max}$  is the maximal recoil energy that can be generated for a certain value of neutrino energy,  $N$  and  $Z$  are the neutron and proton numbers of the nucleus.

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$$Q_{\text{NSI}}^2 \equiv 4 \left[ N\left(-\frac{1}{2} + \varepsilon_{ee}^{uV} + 2\varepsilon_{ee}^{dV}\right) + Z\left(\frac{1}{2} - 2s_W^2 + 2\varepsilon_{ee}^{uV} + \varepsilon_{ee}^{dV}\right) \right]^2 + 4 \sum_{\alpha=\mu,\tau} \left[ N\left(\varepsilon_{\alpha e}^{uV} + 2\varepsilon_{\alpha e}^{dV}\right) + Z\left(2\varepsilon_{\alpha e}^{uV} + \varepsilon_{\alpha e}^{dV}\right) \right]^2 .$$

For NSI, what CEvNS can measure is actually  $Q_{\text{NSI}}^2$ , which leads to a lot of degeneracy among those NSI parameters. From studies one can summarize the current COHERENT constraints on NSIs, which are that generally around  $O(0.5)$ . In the future, CEvNS experiments based on reactor sources can provide very strong constraints on NSIs, due to the potentially high statistics.

# An example .....MiniBooNE anomaly



- The observation of a  $4.8\sigma$  excess in electron-like events for neutrino and antineutrino modes in the MiniBooNE (MB) detector is observed

- SM: 2309 events  
Data: 2870  
Excess: 560

Excess is not small.  
Note it is at level of  
important SM  
backgrounds

Distinctive energy  
and angular  
distribution

Dashed line is oscillation fit.  
Not a good fit at low energies  
or forward angles where most  
events present

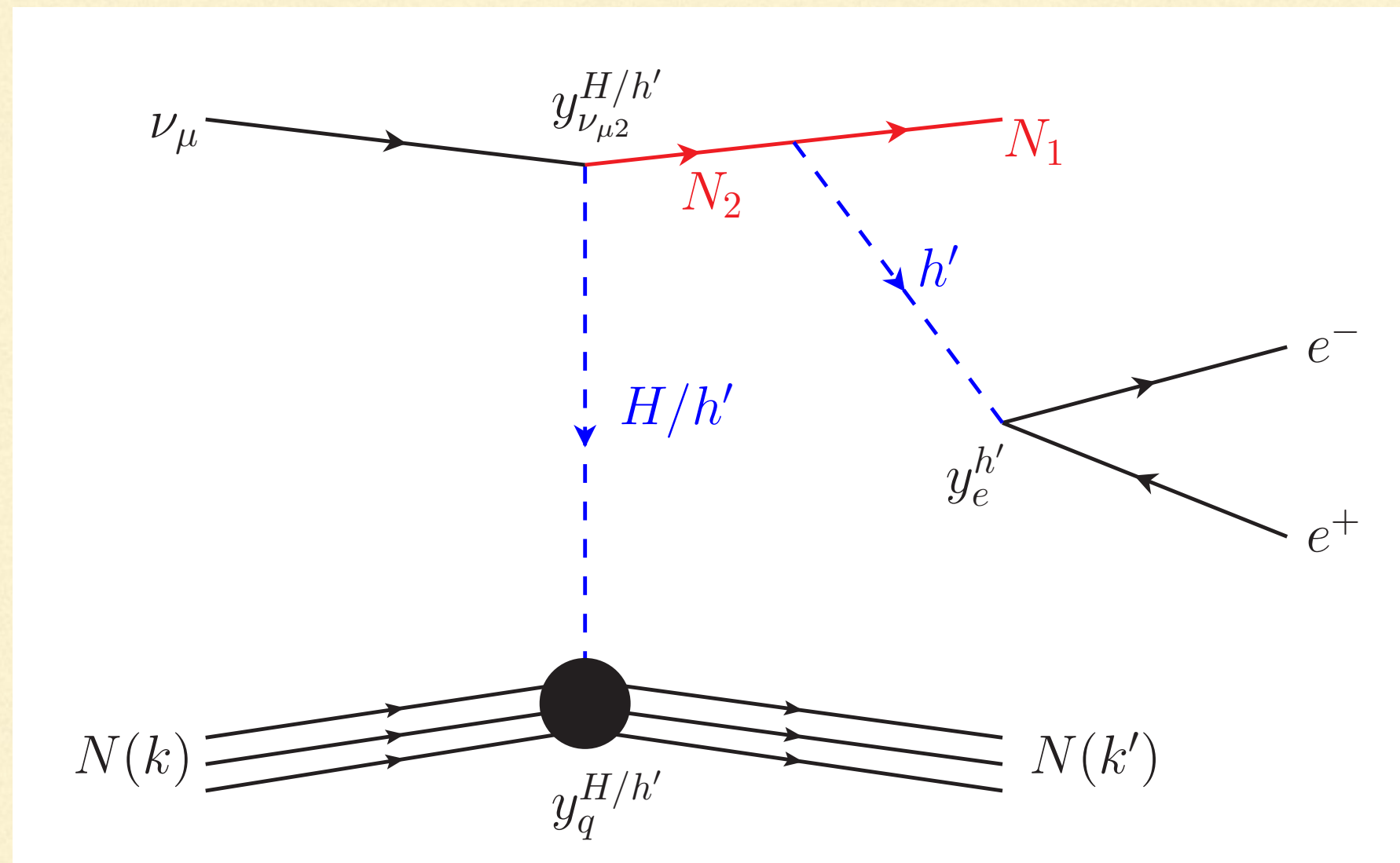
## The model, .....

$$\begin{aligned}
 V = & |\phi_h|^2 \left( \frac{\lambda_1}{2} |\phi_h|^2 + \lambda_3 |\phi_H|^2 + \mu_1 \right) & (\phi_h, \phi_H, \phi_{h'}) \\
 & + |\phi_H|^2 \left( \frac{\lambda_2}{2} |\phi_H|^2 + \mu_2 \right) + \lambda_4 (\phi_h^\dagger \phi_H) (\phi_H^\dagger \phi_h) \\
 & + \phi_{h'}^2 (\lambda'_2 \phi_{h'}^2 + \lambda'_3 |\phi_h|^2 + \lambda'_4 |\phi_H|^2 + m' \phi_{h'} + \mu') \\
 & + \left[ \phi_h^\dagger \phi_H \left( \frac{\lambda_5}{2} \phi_h^\dagger \phi_H + \lambda_6 |\phi_h|^2 + \lambda_7 |\phi_H|^2 + \lambda'_5 \phi_{h'}^2 - \mu_{12} \right) \right. \\
 & \left. + \phi_{h'} (m_1 |\phi_h|^2 + m_2 |\phi_H|^2 + m_{12} \phi_h^\dagger \phi_H) + h.c. \right], \quad (1)
 \end{aligned}$$

Ingredients :

2nd Higgs  
doublet + one  
dark singlet ,  
and 3 RH  
neutrinos

MeV energies,  
both for beam  
and particle  
masses



$m_{N_1}$	$m_{N_2}$	$m_{N_3}$	$y_u^{h'(H)} \times 10^6$	$y_{e(\mu)}^{h'} \times 10^4$	$y_{e(\mu)}^H \times 10^4$
85 MeV	130 MeV	10 GeV	0.8(8)	0.73(1.6)	7.25(15.9)
$m_{h'}$	$m_H$	$\sin \delta$	$y_d^{h'(H)} \times 10^6$	$y_{\nu_{i2}}^{h'(H)} \times 10^3$	$\lambda_{N_{12}}^{h'(H)} \times 10^3$
15 MeV	750 MeV	0.1	0.8(8)	1.3(12.4)	7.5(74.4)

TABLE I: Benchmark parameter values used for event generation in LSND, MB and for calculating the muon  $g - 2$ .

(Abdallah, RG and Roy 2010.06159)



# Standard Neutrino oscillations.....in the vacuum

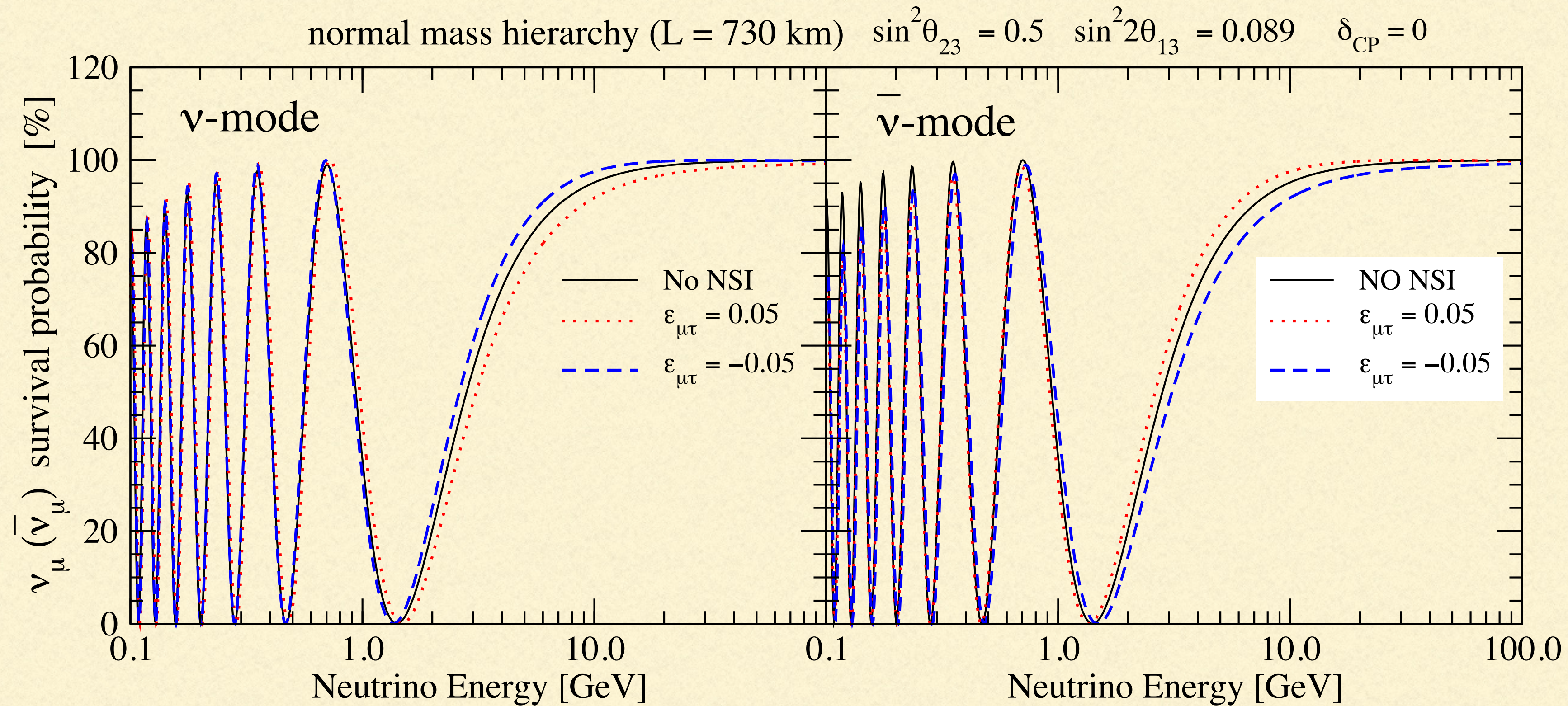
$$P(\nu_e \rightarrow \nu_\mu; L) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right),$$

$$P(\nu_e \rightarrow \nu_e) = 1 - P(\nu_e \rightarrow \nu_\mu) = 1 - P(\nu_\mu \rightarrow \nu_e) = P(\nu_\mu \rightarrow \nu_\mu),$$

$$P(\nu_\alpha \rightarrow \nu_\beta; L) = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re}(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2\left(\frac{\Delta m_{ij}^2 L}{4E}\right) \\ + 2 \sum_{i>j} \operatorname{Im}(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin\left(\frac{\Delta m_{ij}^2 L}{2E}\right),$$

$\alpha, \beta = e, \mu, \tau.$

# Putting constraints on NSI.....accelerator neutrinos, (MINOS)



**Figure 3.**  $\nu_\mu \rightarrow \nu_\mu$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$  survival probabilities as a function of neutrino energy for the MINOS baseline,  $L = 730$  km without the presence of NSI with and with NSI,  $\varepsilon_{\mu\tau} = \pm 0.1$ . The matter density was assumed to be constant,  $\rho = 3.2$  g/cm<sup>3</sup>.

$$-0.067 < \varepsilon_{\mu\tau} < 0.023 \text{ at } 90\% \text{ CL.}$$

# Standard Neutrino oscillations.....in the vacuum

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix},$$

$U$  relates the weak interaction eigenstates and the mass eigenstates through the leptonic mixing parameters  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ,  $\delta$  (the Dirac  $CP$ -violating phase), as well as  $\rho$  and  $\sigma$  (the Majorana  $CP$ -violating phases).

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} e^{i\rho} & 0 & 0 \\ 0 & e^{i\sigma} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

where  $c_{ij} \equiv \cos(\theta_{ij})$  and  $s_{ij} \equiv \sin(\theta_{ij})$ .

## Two flavour oscillations with NSI

$$i \frac{d}{dL} \begin{pmatrix} \nu_e \\ \nu_\tau \end{pmatrix} = \left[ \frac{1}{2E} U \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} U^\dagger + A \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\tau} \\ \epsilon_{e\tau} & \epsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\tau \end{pmatrix}$$

$$P(\nu_e \rightarrow \nu_\tau) = \sin^2 2\theta_M \sin^2 \left( \frac{\Delta m_M^2 L}{4E} \right)$$

$$\left( \frac{\Delta m_M^2}{2EA} \right)^2 \equiv \left( \frac{\Delta m^2}{2EA} \cos 2\theta - (1 + \epsilon_{ee} - \epsilon_{\tau\tau}) \right)^2 + \left( \frac{\Delta m^2}{2EA} \sin 2\theta + 2\epsilon_{e\tau} \right)^2$$

$$\sin 2\theta_M \equiv \frac{\Delta m^2 \sin 2\theta + 4EA\epsilon_{e\tau}}{\Delta m_M^2}$$

## Two flavour oscillations with NSI

$$\epsilon_{ee}, \epsilon_{e\tau}, \epsilon_{\tau\tau} \rightarrow 0,$$

, i.e. as NSI effects disappear, we get

Note that as

$$\begin{aligned} (\Delta\tilde{m}_0^2)^2 &= [\Delta m^2 \cos(2\theta) - A]^2 + [\Delta m^2 \sin(2\theta)]^2, \\ \sin(2\tilde{\theta}_0) &= \frac{\Delta m^2 \sin(2\theta)}{\Delta\tilde{m}_0^2}, \end{aligned}$$

Thus, we get back the SM MSW matter oscillations.

We thus see that measurements of mass-squared differences and mixing angles can be affected by the presence of NSI when we study neutrino oscillations in matter.

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# Putting constraints on NSI.....detection of reactor neutrinos

In general, somewhat complicated analytical expressions which mimic the form of SM probabilities are possible

$$\varepsilon_{e\alpha}^s = \varepsilon_{\alpha e}^{d*} = |\varepsilon_{e\alpha}| e^{i\phi_{e\alpha}}$$

$$\begin{aligned} \tilde{s}_{13}^2 = & s_{13}^2 + 2s_{13}c_{13} [s_{23} \cos(\delta - \phi_{e\mu}) |\varepsilon_{e\mu}| + c_{23} \cos(\delta - \phi_{e\tau}) |\varepsilon_{e\tau}| \\ & - s_{23} \cos(\delta - \phi_{ee} - \phi_{e\mu}) |\varepsilon_{ee}| |\varepsilon_{e\mu}| - c_{23} \cos(\delta - \phi_{ee} - \phi_{e\tau}) |\varepsilon_{ee}| |\varepsilon_{e\tau}|] \\ & + (s_{23}^2 c_{13}^2 - s_{13}^2) |\varepsilon_{e\mu}|^2 + (c_{23}^2 c_{13}^2 - s_{13}^2) |\varepsilon_{e\tau}|^2 \\ & + 2s_{23}c_{23}c_{13}^2 \cos(\phi_{e\mu} - \phi_{e\tau}) |\varepsilon_{e\mu}| |\varepsilon_{e\tau}| + \mathcal{O}(\varepsilon^3) , \end{aligned}$$

$$\begin{aligned} P(\bar{\nu}_e^s \rightarrow \bar{\nu}_e^d) = & 1 - \cos^4 \tilde{\theta}_{13} \sin^2 2\tilde{\theta}_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E} - \cos^2 \tilde{\theta}_{12} \sin^2 2\tilde{\theta}_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E} \\ & - \sin^2 \tilde{\theta}_{12} \sin^2 2\tilde{\theta}_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E} . \end{aligned}$$

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