

# Signatures of Primordial Black Holes in Cosmology

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ICTS, “Less travelled path of Dark Matter”  
10.11.2020

## PBHs are great Dark Matter candidates

- Postulated 40 years ago, they can be created by large density contrast in the early universe;

*Carr&Hawking, MNRAS, vol. 168, pp. 399–415, 1974*

*Carr, ApJ., vol. 201, pp. 1–19, 1975*

- Do not emit light; Non-relativistic; Nearly collisionless; Formed before BBN;

- Solve small scales issues of DM!

*S. Clesse, J. Garcia-Bellido, Phys. Rev. D 92, 023524 (2015)*

- Can be the seeds of SMBH at the center of galaxies;

*Carr&Silk, 1801.00672*

- They can be probed in many ways, hence subject to many observational constraints ...

*Sasaki++, 1801.05235*

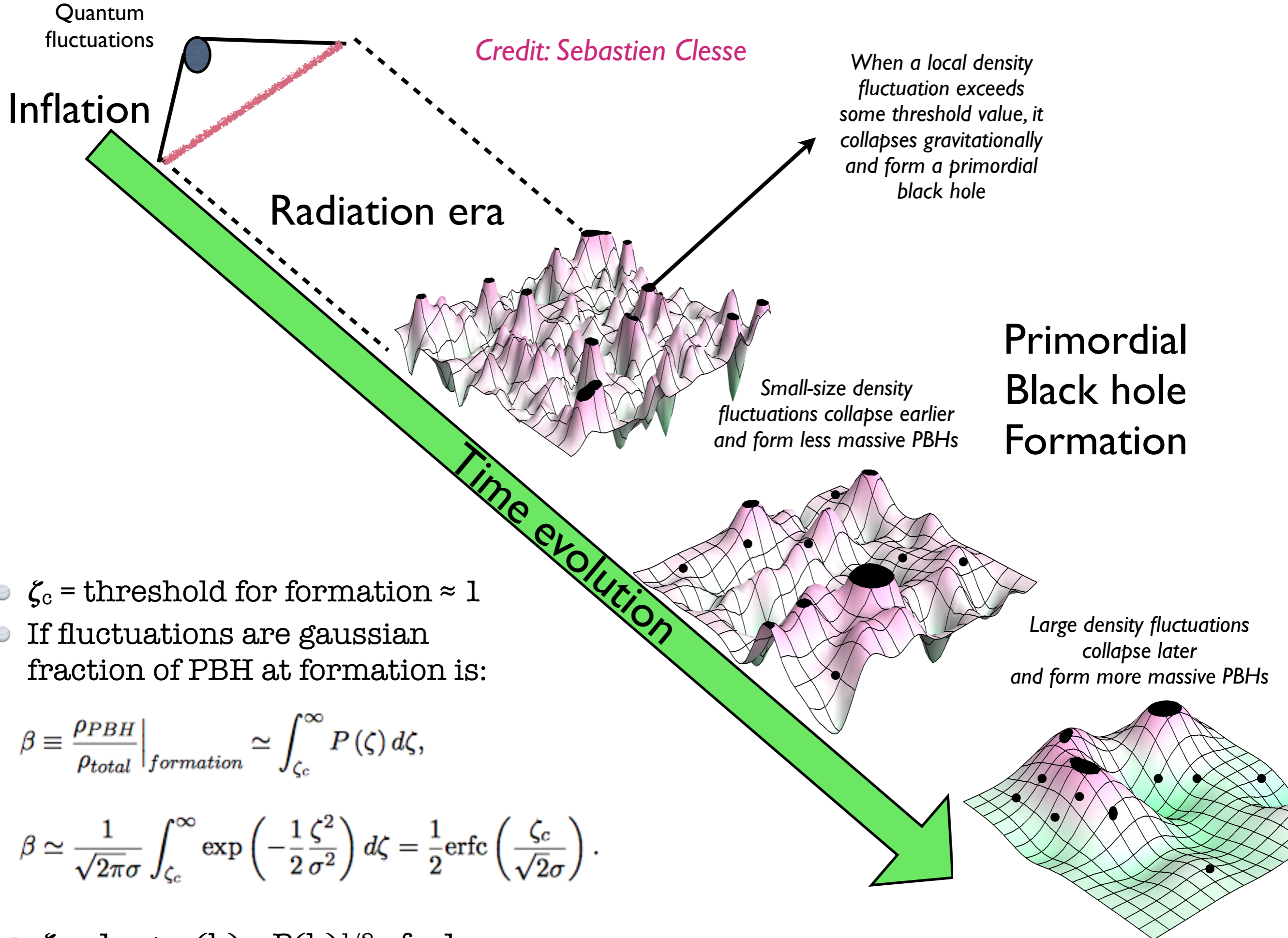
Today I will discuss (some of the) cosmological constraints.

## Table of content

- Briefly: constraints on PBH formation and the primordial power spectrum
- Constraints on disk accreting PBH ( $1 < M/M_{\text{sun}} < 10^{\text{xxx}}$ )
- A new hope: the 21cm signal
- Constraints on mixed (PBH+else) DM models
- Constraints on evaporating PBH ( $3 * 10^{13} < M/g < 10^{17}$ )

## For more details

- B. Carr, K. Kohri, Y. Sendouda, and J. Yokoyama, 2002.12778
- B. Carr and F. Kuhnel, 2006.02838
- M. Sasaki, T. Suyama, T. Tanaka, and S. Yokoyama, 1801.05235
- Green and Kavanagh 2007.10722

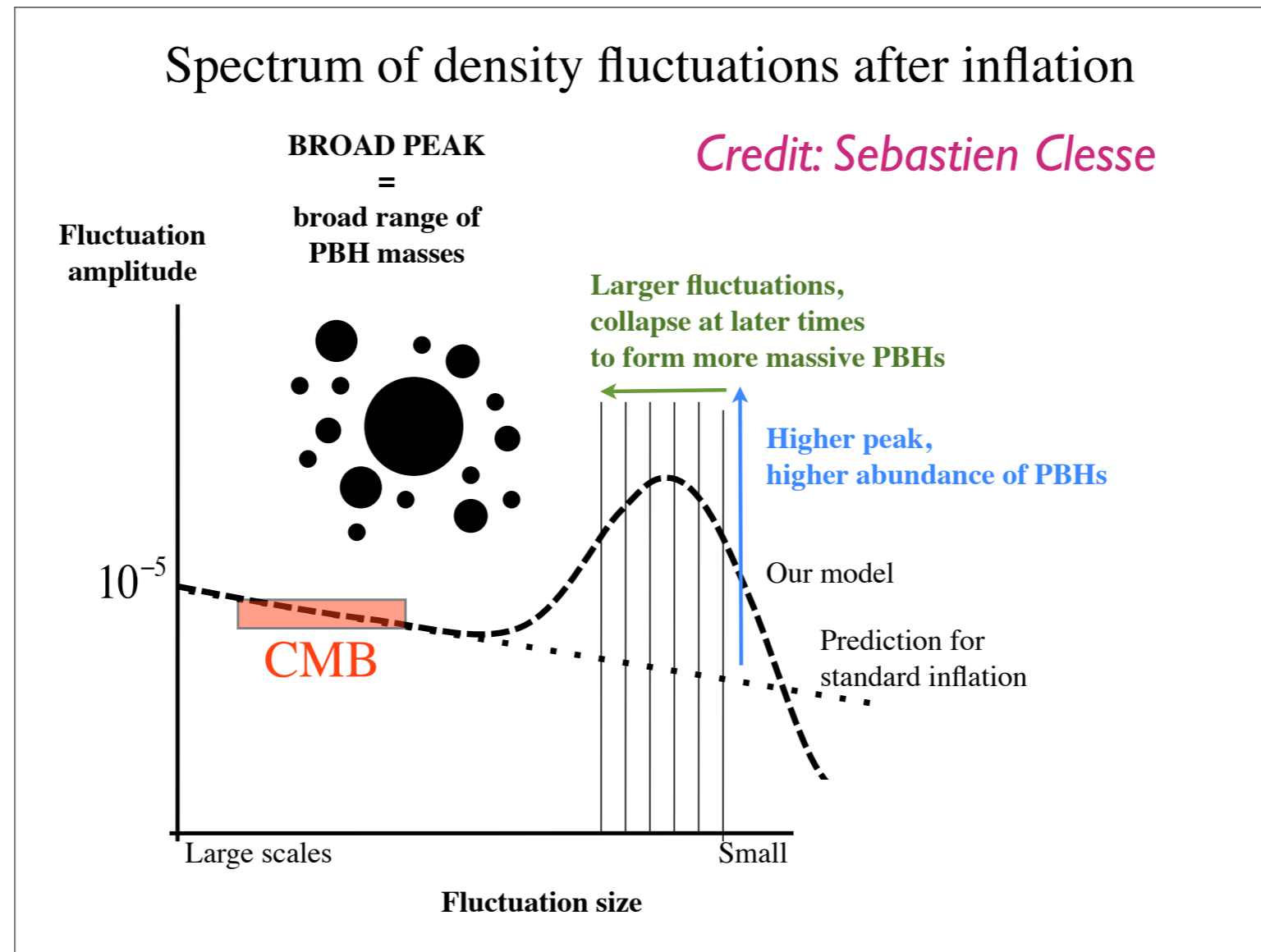


- $\zeta_c =$  threshold for formation  $\approx 1$
- If fluctuations are gaussian fraction of PBH at formation is:

$$\beta \equiv \frac{\rho_{PBH}}{\rho_{total}} \Big|_{formation} \simeq \int_{\zeta_c}^{\infty} P(\zeta) d\zeta,$$

$$\beta \simeq \frac{1}{\sqrt{2\pi}\sigma} \int_{\zeta_c}^{\infty} \exp\left(-\frac{1}{2}\frac{\zeta^2}{\sigma^2}\right) d\zeta = \frac{1}{2} \operatorname{erfc}\left(\frac{\zeta_c}{\sqrt{2}\sigma}\right).$$

- $\zeta_c \approx 1 \implies \sigma(k) = P(k)^{1/2}$  of  $\approx 1$



Many more models in the literature, e.g.

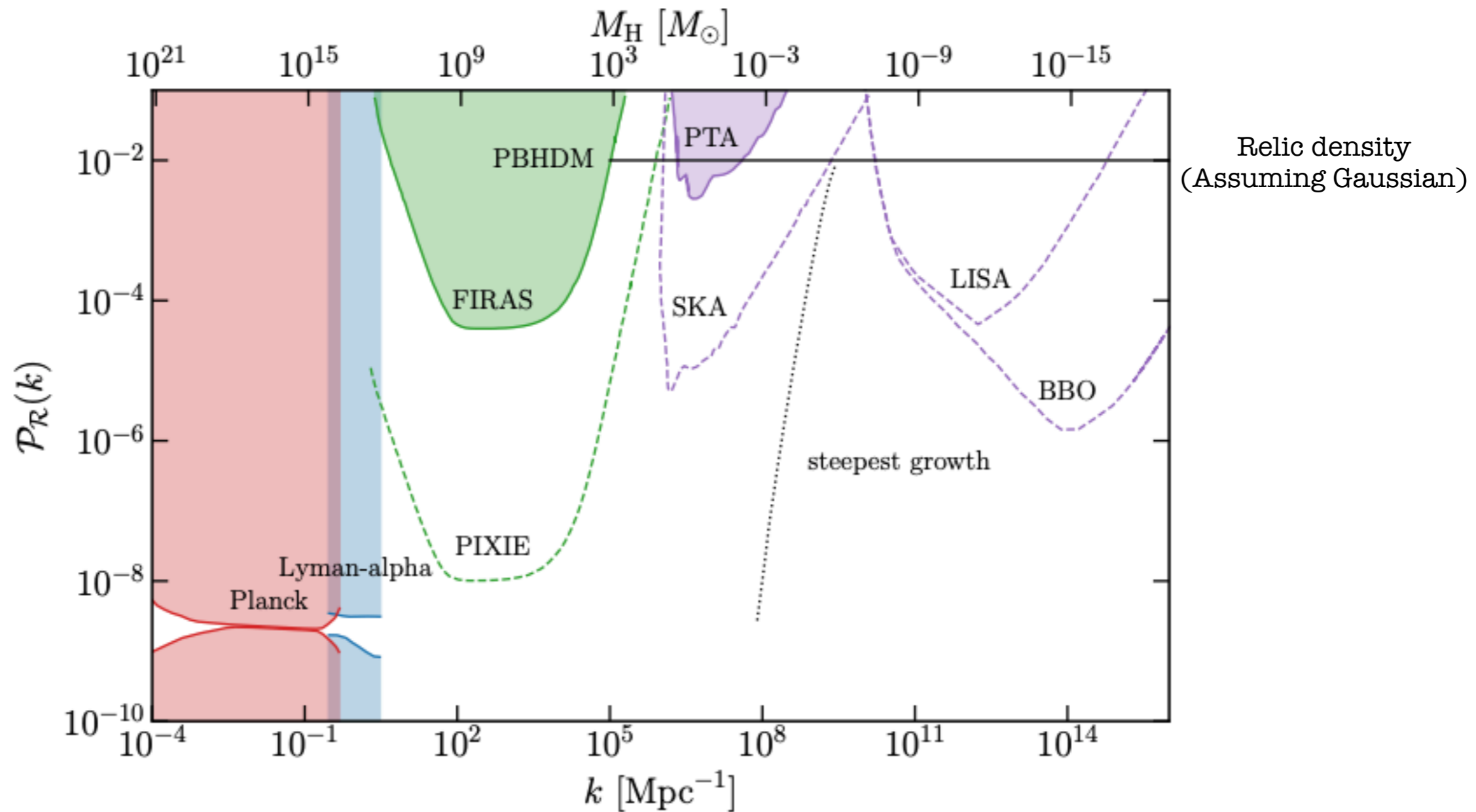
- From extended inflation models (Hybrid, curvaton, multi-fields ...): Typically the field driving inflation needs to slow down to create a peak in  $P(k) \propto H^2/\epsilon$ . ( $\epsilon$  = slow-roll parameter).

*See very complete review by Sasaki++ 1801.05235*

- 1st and 2nd order phase transitions: lowers the threshold

*Jedamzik & Nemeyer, PRD59, p. 124014, 1999; Rubin et al., JETP, vol. 91, pp. 921–929, 2001*

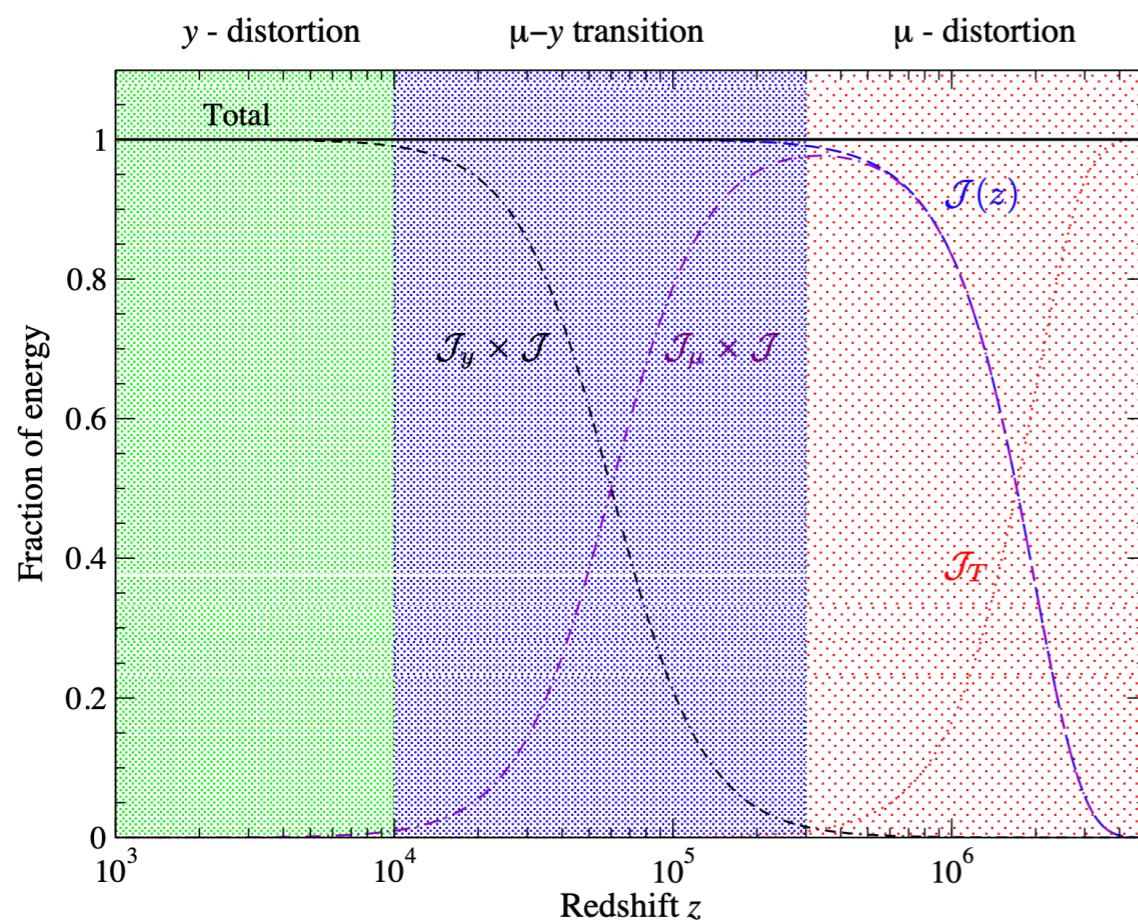
# Constraints on the (primordial) amplitude of density fluctuations



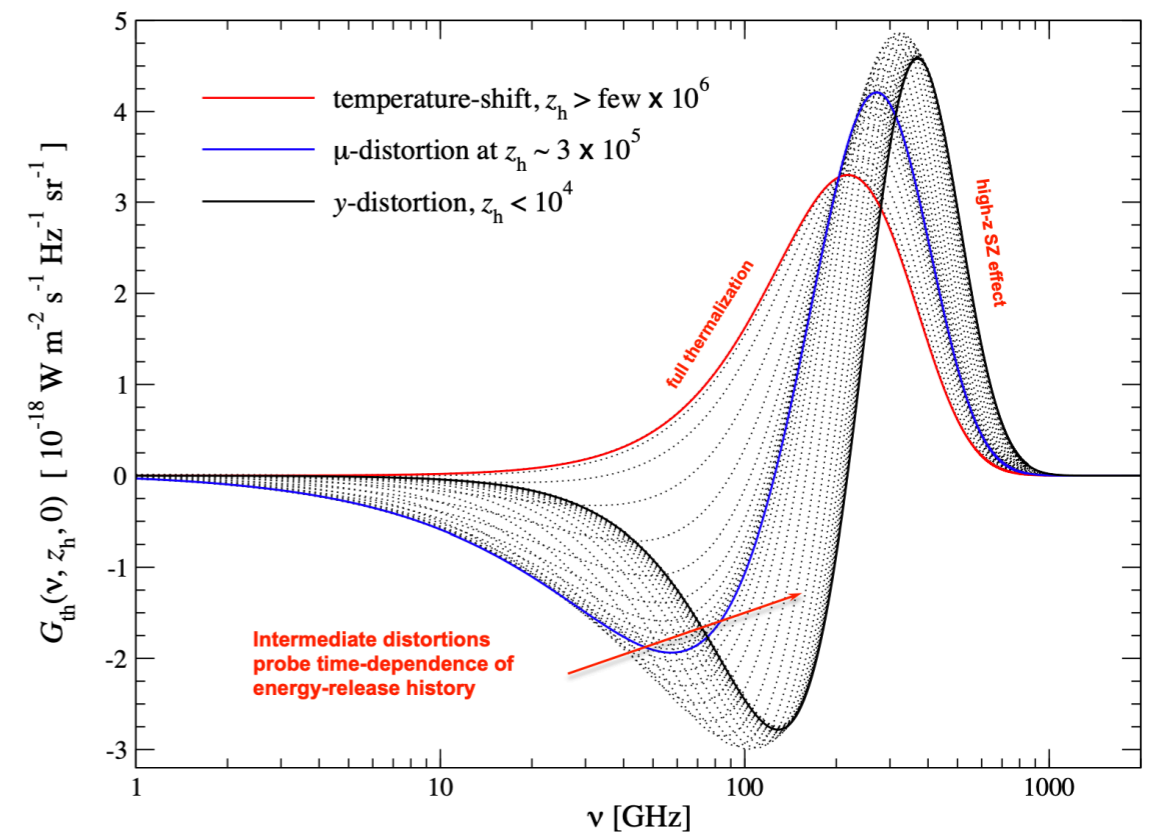
- These constraints can affect specific scenarios of PBH formation
- They can be sensitive to the 'statistics' of the fluctuation

- Power at small scales is damped via Compton scattering- the so-called “**Silk Damping**”: this ‘thermalization’ affect the black-body distribution
- Most important processes to thermalise any energy injection are **Bremsstrahlung, Compton and Double-Compton scattering**.
- If those processes go out of equilibrium, **SD can occur**.

$$\Delta I(\nu) = I_{\text{true}}(\nu) - I_{\text{bb}}(\nu)$$



$$\Delta I_\nu \approx \int G_{\text{th}}(\nu, z') \frac{d(Q/\rho_\gamma)}{dz'} dz'$$

**Intensity signal for different heating redshifts**

*Chluba++ 1505.01834*

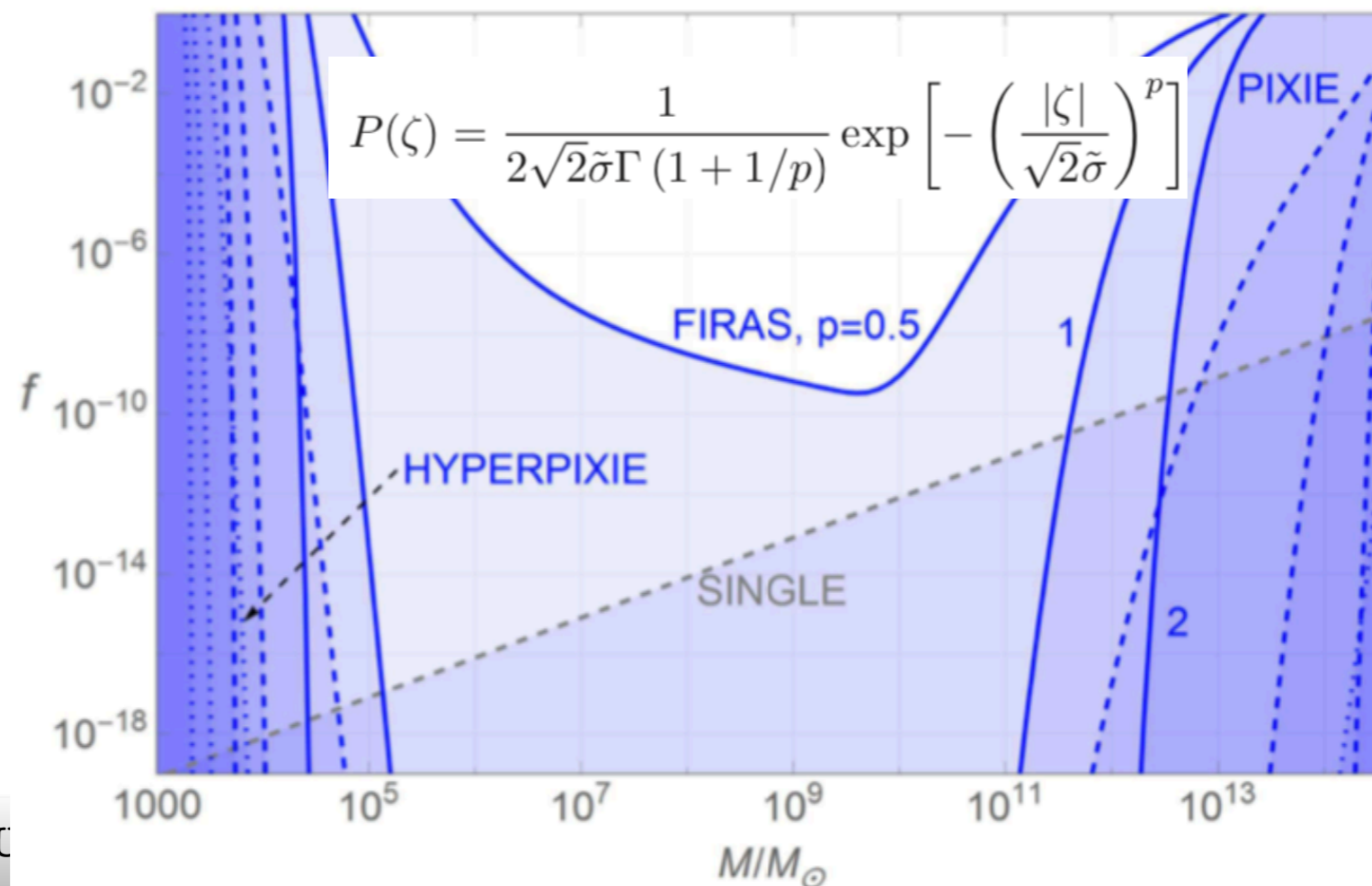
Firas:  $\mu < 9 \times 10^{-5}$ ,  $y < 1.5 \times 10^{-5}$

*Fixsen++ ApJ 1996*

## Spectral Distortions

$$\frac{d(Q_{ac}/\rho_\gamma)}{dz} \approx -2A^2 \frac{d}{dz} \int \frac{k^2 dk}{2\pi^2} P_{\mathcal{R}}(k) e^{-2k^2/k_D^2} \quad r_d^2(\tau) \equiv \left(\frac{2\pi}{k_d}\right)^2 \sim \int_{\tau_{\text{ini}}}^{\tau} \frac{d\tau}{an_e\sigma_T}$$

- Range probe:  $30 \text{ Mpc}^{-1} < k < 5000 \text{ Mpc}^{-1}$ , which corresponds to the **PBH mass range of  $10^5 M_\odot < M < 10^{10} M_\odot$**
- Caveat: **the constraints depend on the statistics**. It relaxes largely for non-gaussian ( $p \neq 2$ ) distribution.



*Kohri++ 1405.5999*  
*Nakama++ 1710.06945*

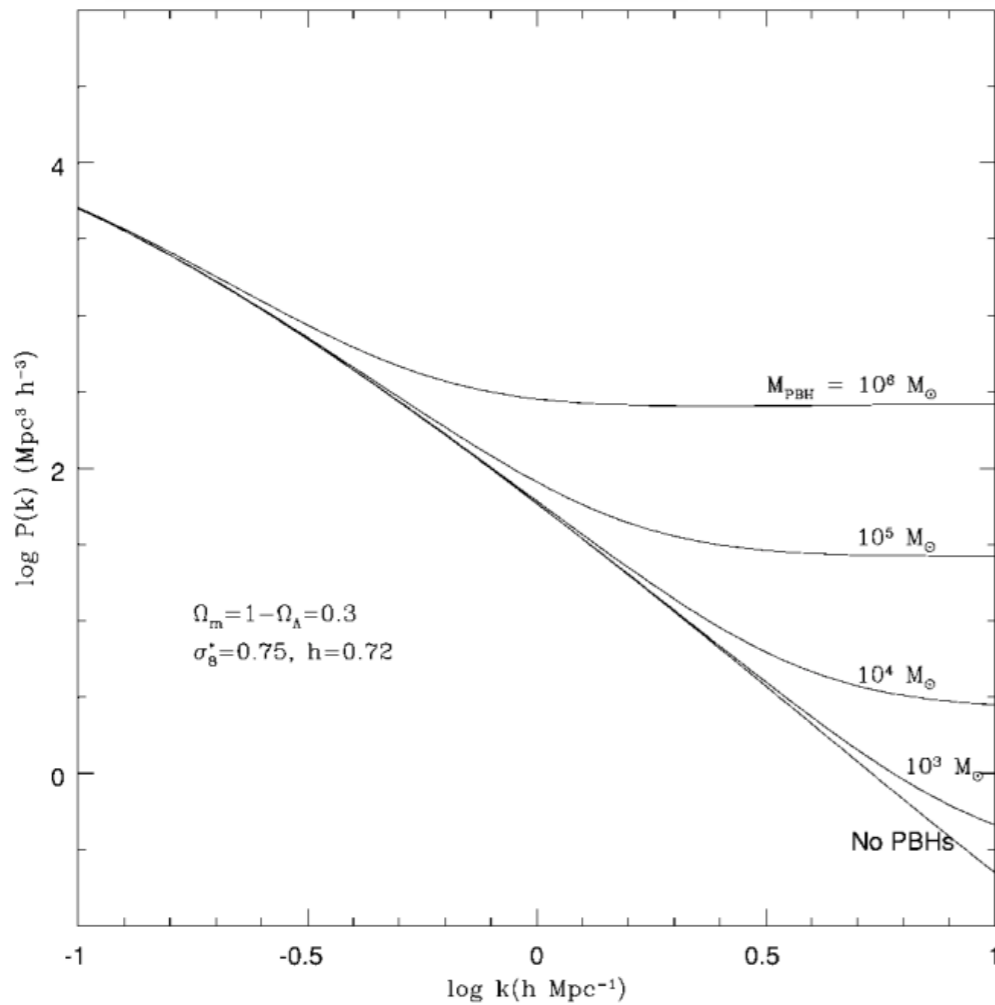
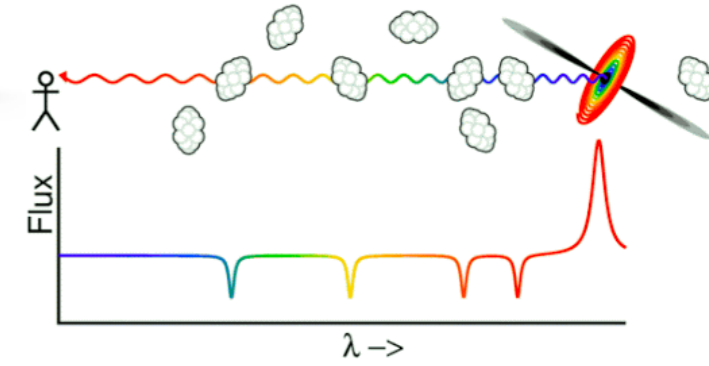


# Constraints from Ly- $\alpha$

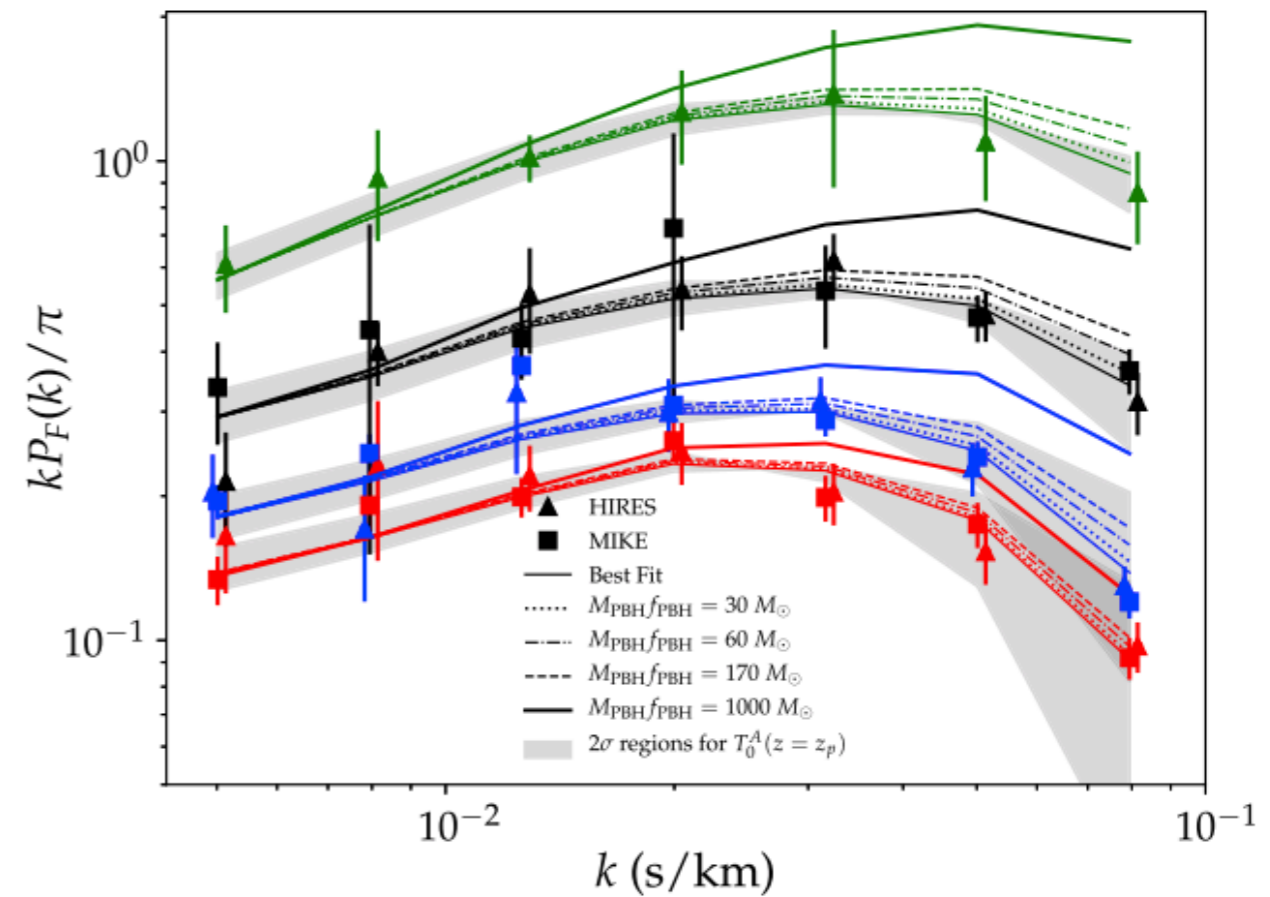
- Poisson-fluctuation in the density field leads to power enhancement

$$P_{\text{PBH}}(k) = \langle |\delta_{\text{PBH}}(k)|^2 \rangle = \frac{1}{n_{\text{PBH}}}$$

- Ly- $\alpha$  constrains the fraction of PBH to satisfy:  $f_{\text{PBH}} M_{\text{PBH}} \lesssim 60 M_{\odot} (2\sigma)$ .

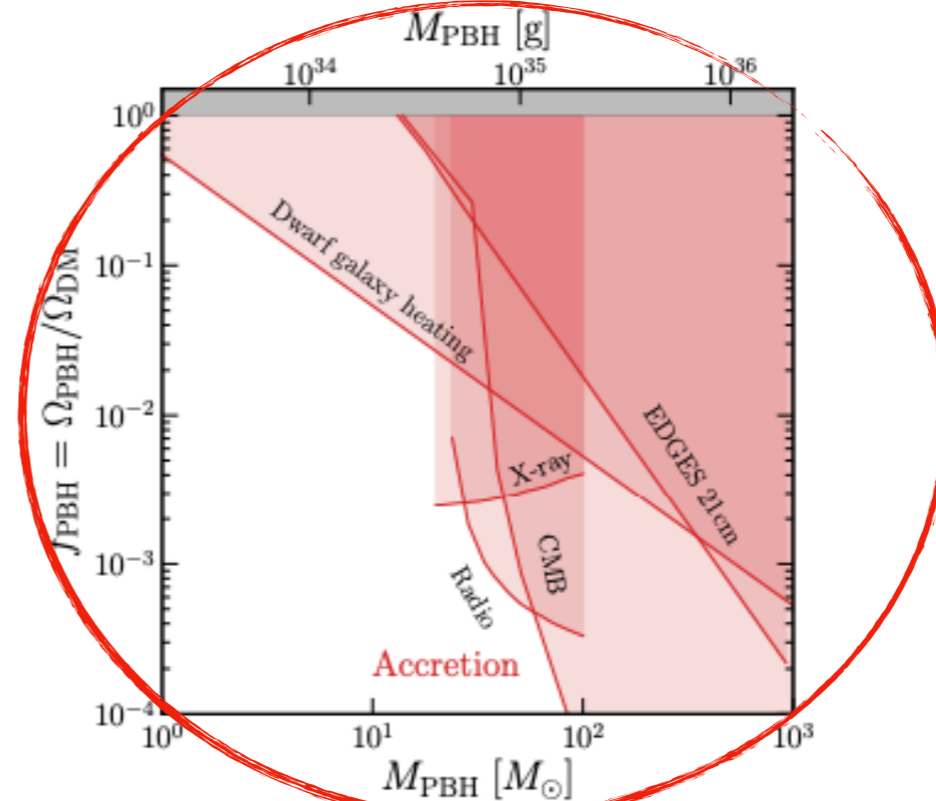
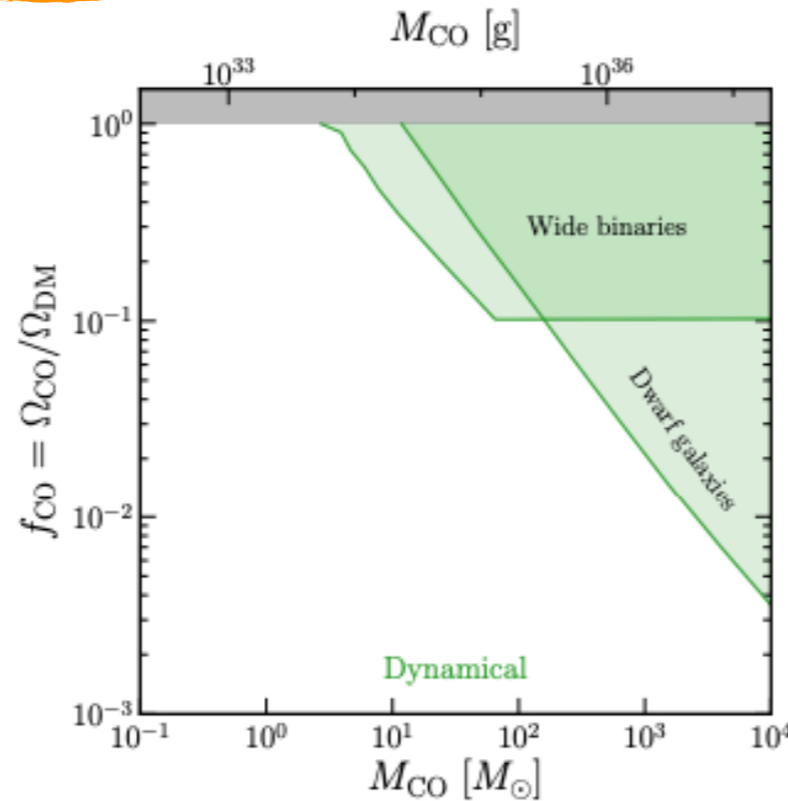
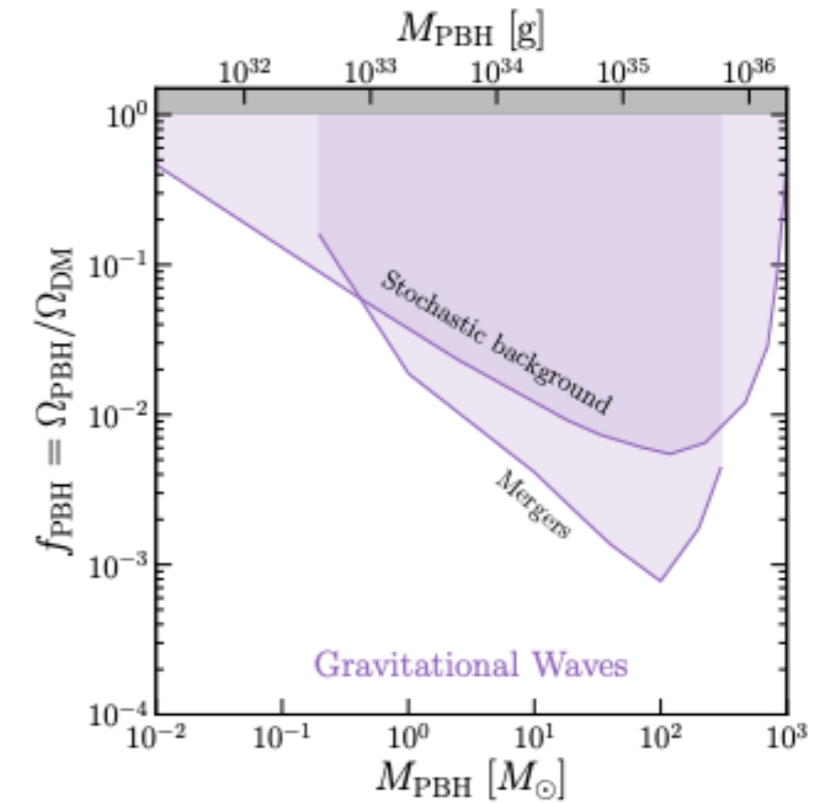
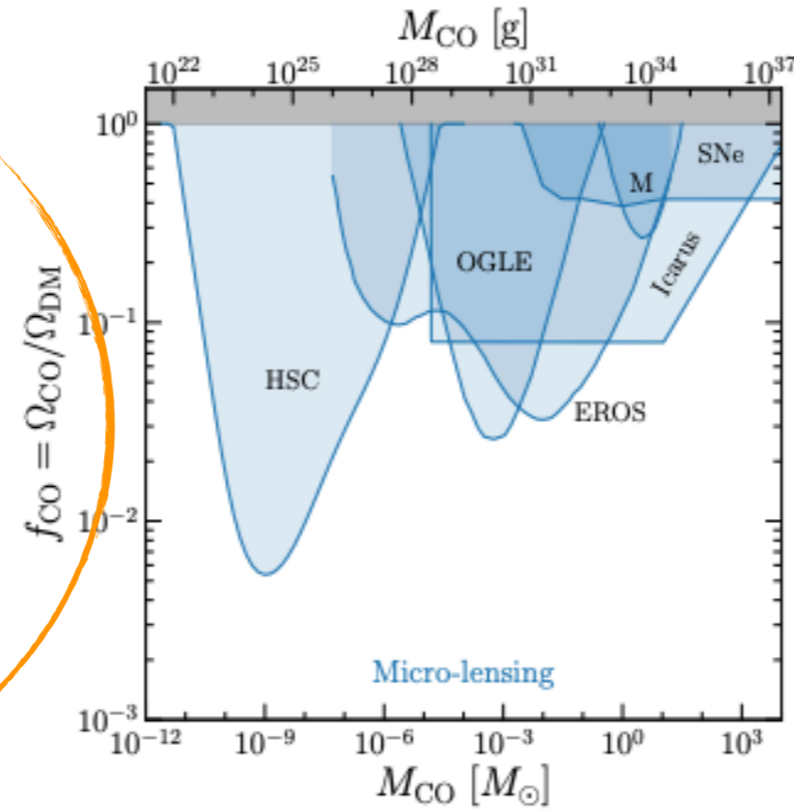
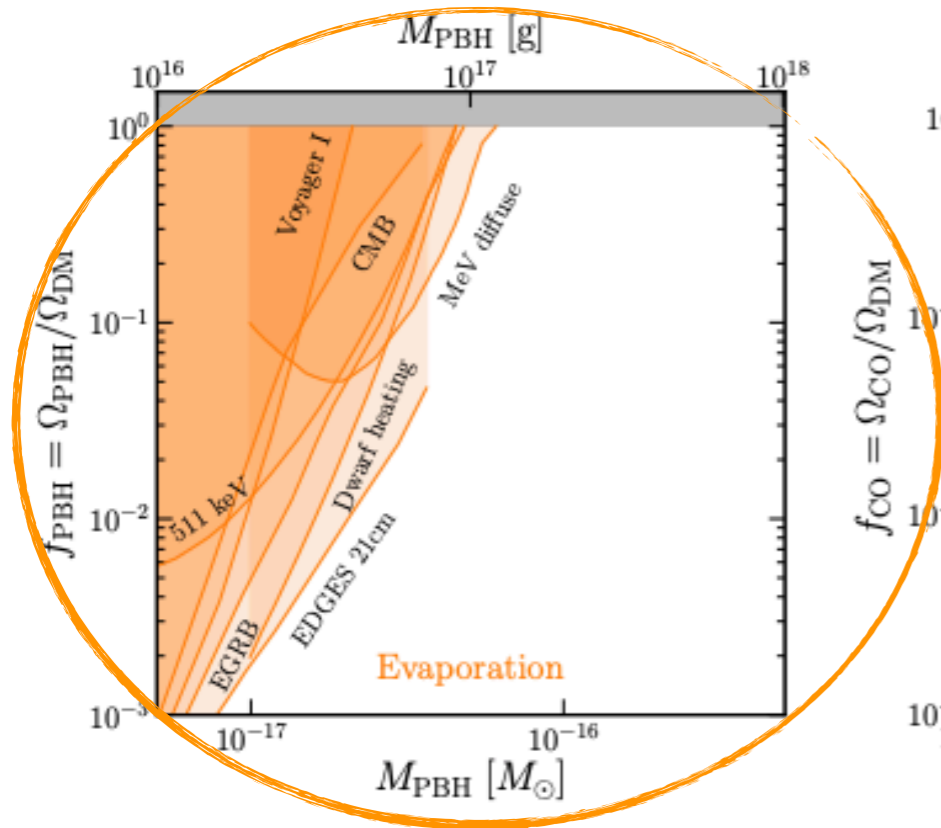


Ashfordi, McDonald, Spergel, JCAP 2003



Murgia++ 1903.10509

Green and Kavanagh 2007.10722



- Today: Accretion and Evaporation with the CMB and 21 cm signal

# How do e.m. energy injection affect the CMB?

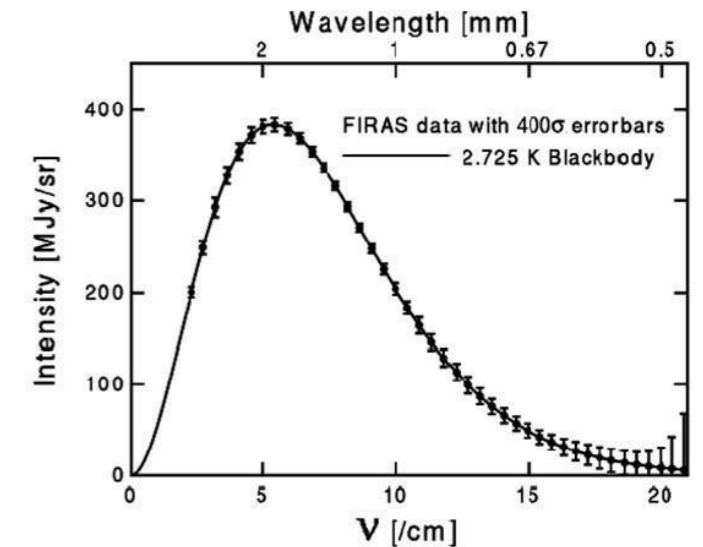
I) Generate spectral distortions  $\approx \frac{\Delta\rho_\gamma}{\rho_{\gamma,\text{cmb}}} < 10^{-5}$ .

Problem:  $\rho_{\gamma,\text{cmb}}$  is huge when interactions are switched on ( $z > 1000$ ).

*see e.g. Chluba & Sunyaev, MNRAS 419 (2012) 1294-1314*

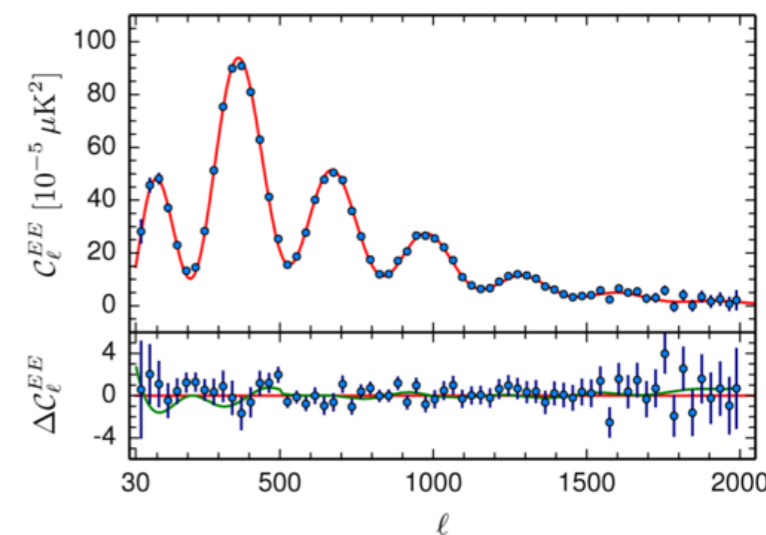
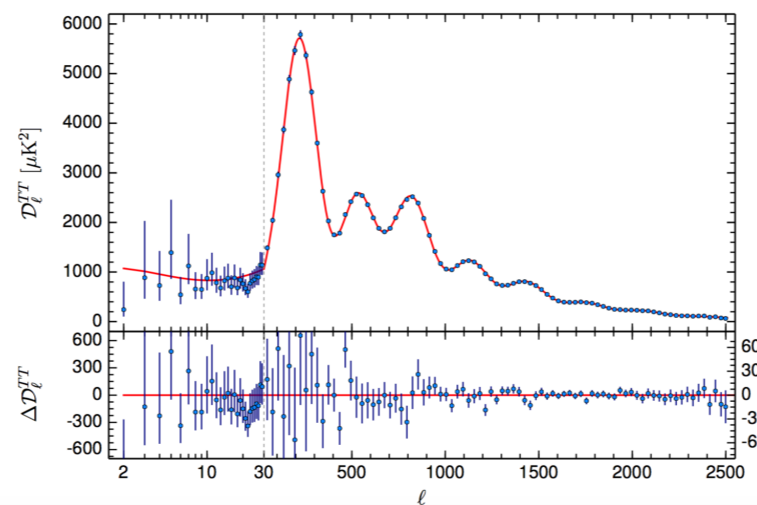
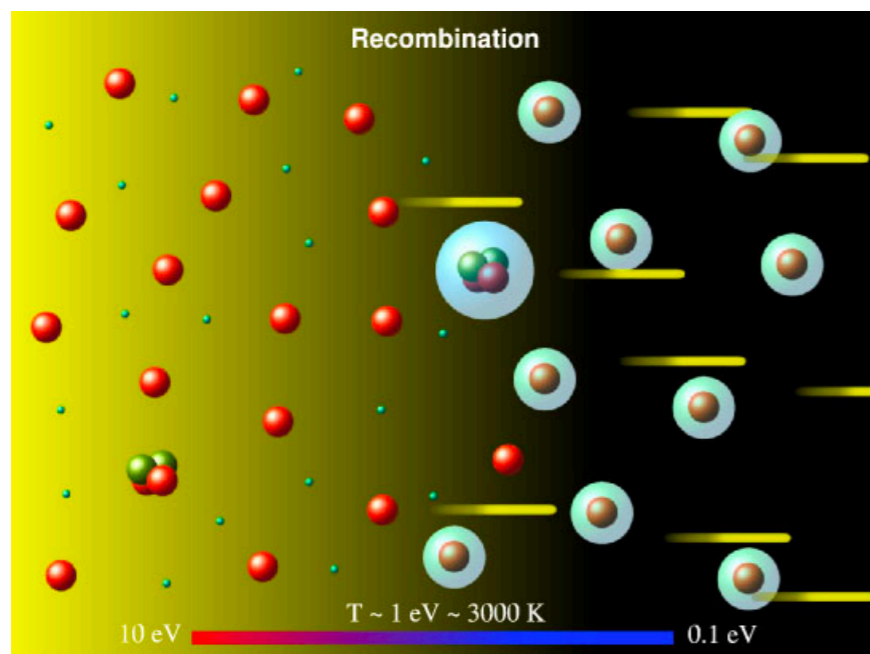
SD distortions from the PBH are weak.

*Ali-Haimoud & Kamionkowski, PRD95, no. 4, p. 043534, 2017.*



*(COBE/Firas 1990)*

II) Affect baryons, which in turn affects CMB decoupling and CMB anisotropies



Main impact of e.m. energy injection: modification of recombination era

The recombination era at  $T \approx 0.2 \text{ eV}$

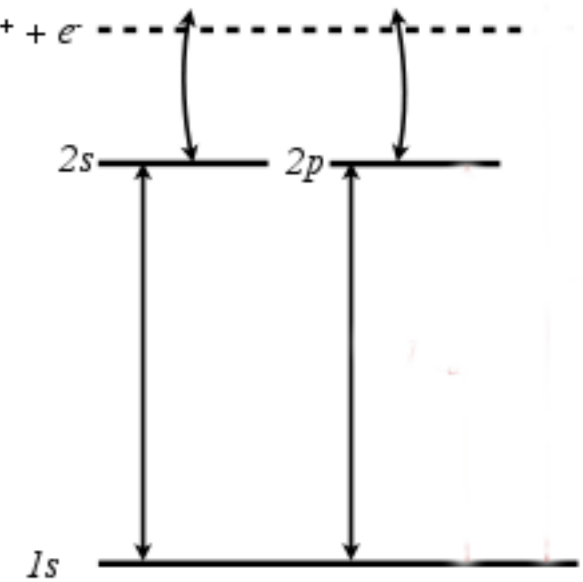
$$\frac{dx_e}{dz} = \frac{1}{(1+z)H(z)} [R_s(z) - I_s(z)]$$

$$[R_s(z) - I_s(z)] = C \times \left[ x_e^2 (n_H + n_p) \alpha_\beta - \beta_B (1 - x_e) e^{-\frac{h\nu_\alpha}{k_b T}} \right]$$

e-p recombination term

$\gamma_{\text{CMB}} H$  ionization term

$$\frac{dT_M}{dz} = \frac{1}{1+z} \left[ 2T_M + \gamma(T_M - T_{\text{CMB}}) \right]$$



*The « three levels atom »  
Zeldovich Kurt Sunyaev 1968  
Peebles 1968*

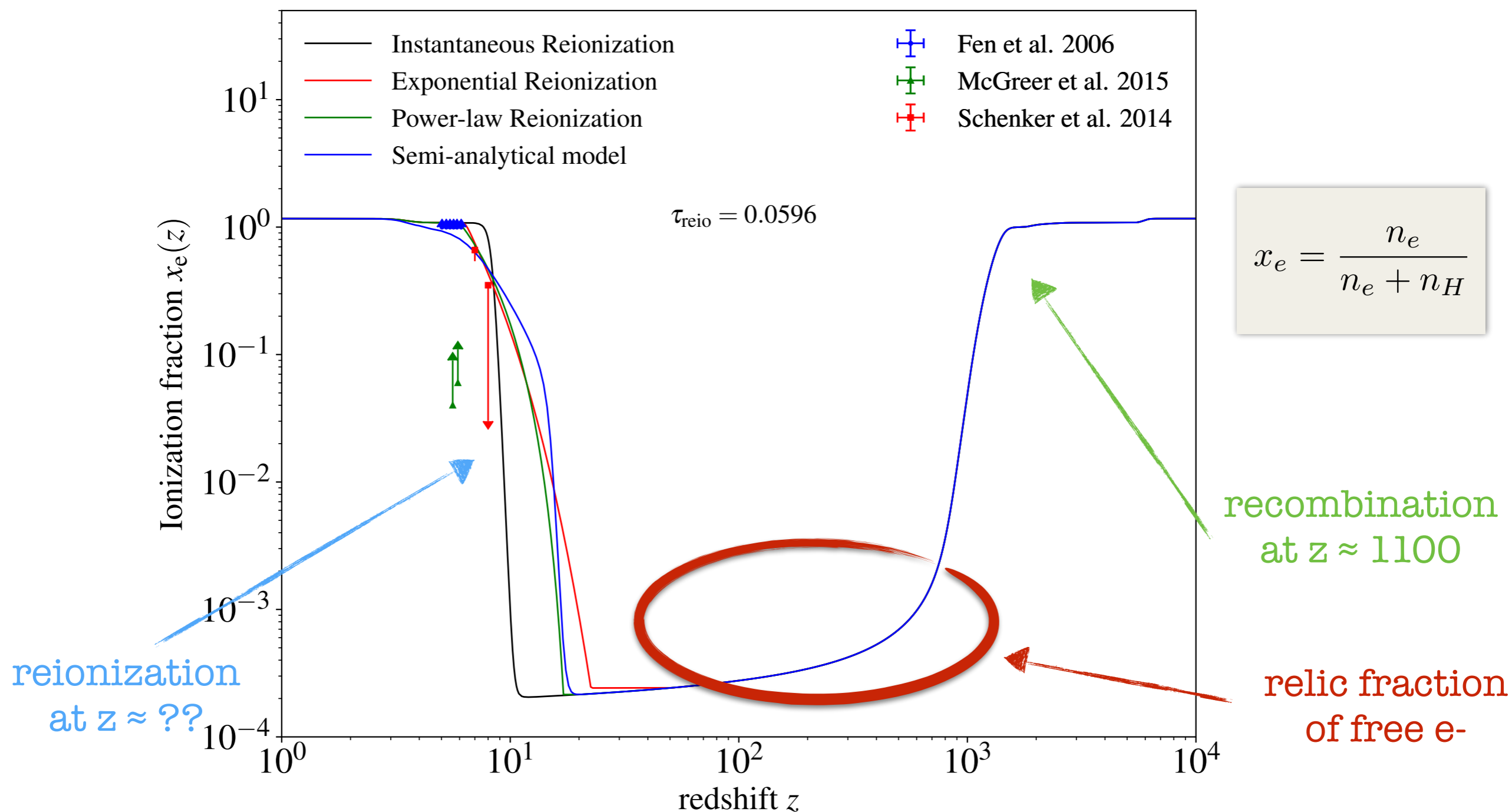
$$x_e = \frac{n_e}{n_e + n_H}$$

For cosmology, **sub % precision** is needed!

- multilevel atoms in non-equilibrium
- radiative transfer effects
- H and He feedbacks

Numerical codes used: **CosmoRec, HyRec and « fudged » Recfast**

# Standard ionization history



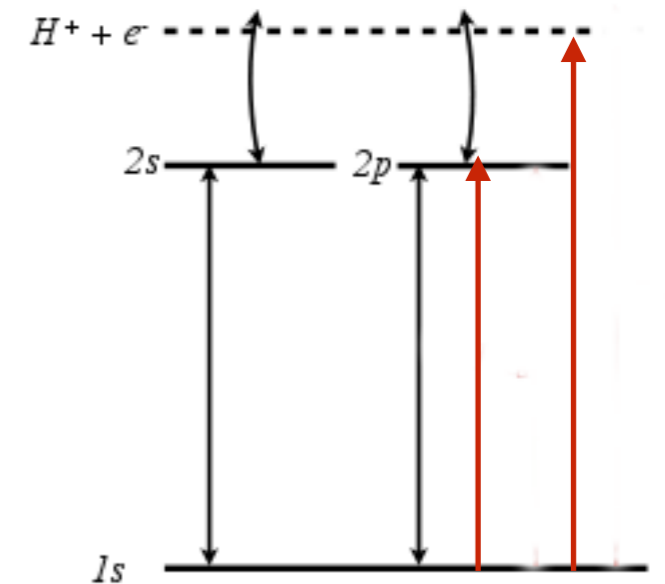
Huge uncertainty in the **reionization modelling!**  
Does not matter that much for CMB analysis. 21cm!!

## E.m. energy injection can modify the ionization and temperature history

$$\frac{dx_e}{dz} = \frac{1}{(1+z)H(z)} [R_s(z) - I_s(z) - I_X(z)]$$

$$\frac{dT_M}{dz} = \frac{1}{1+z} \left[ 2T_M + \gamma(T_M - T_{\text{CMB}}) + K_h \right]$$

$$I_X(z) \text{ and } K_h(z) \propto \left. \frac{dE}{dV dt} \right|_{\text{dep,c}}$$



*The « three levels atom »*

Typical parametrization through the  $f_c(z, x_e)$  functions :

$$\left. \frac{dE}{dV dt} \right|_{\text{dep,c}}(z) = f_c(z, x_e) \left. \frac{dE}{dV dt} \right|_{\text{inj}}(z)$$

Plasma Properties

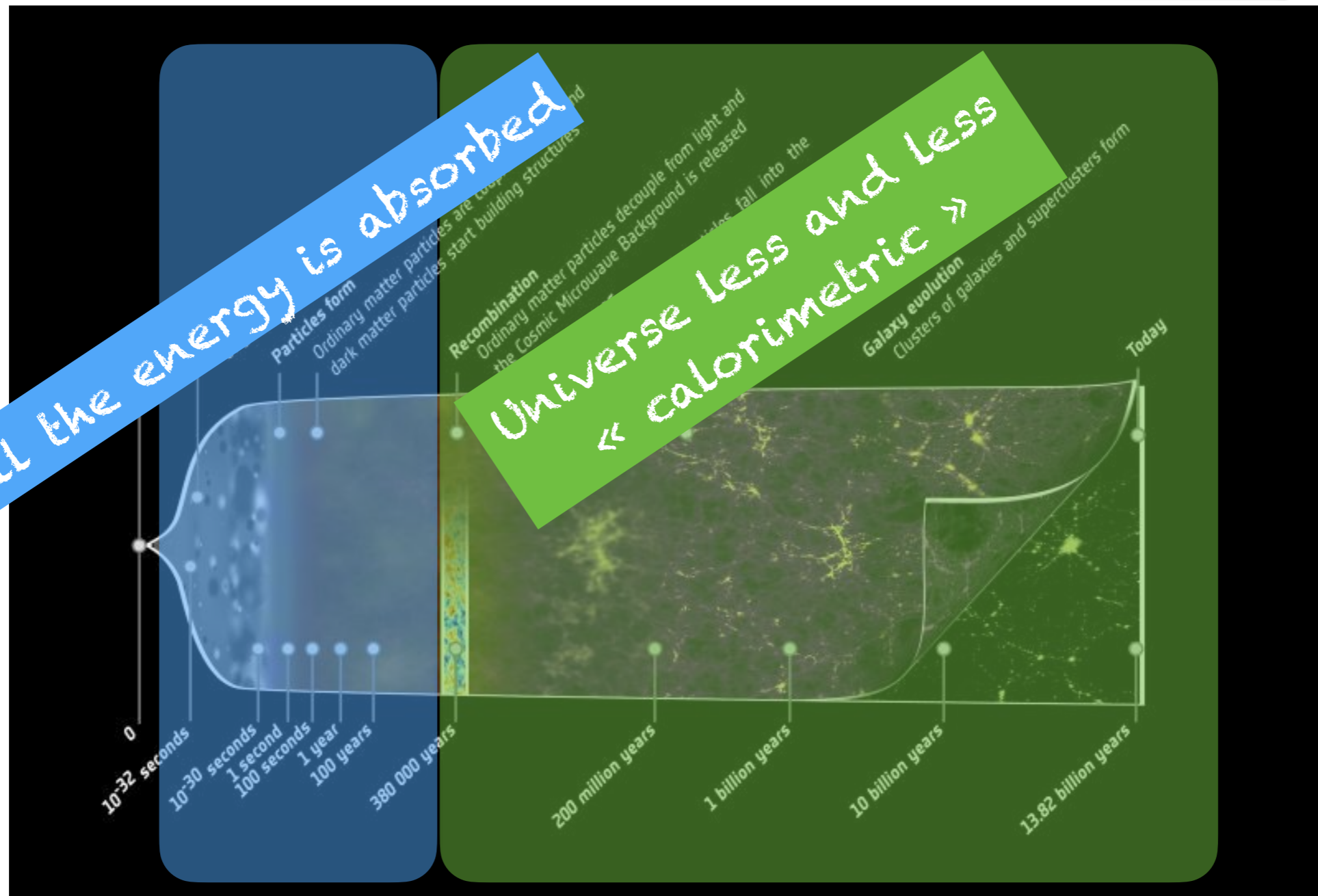
Particle/Astro-Physics

$f_c(z, x_e)$  is the key quantity, it encodes:

- What fraction of the injected energy is **left to interact with the IGM**
- How this energy is distributed among each channel: **'heat', 'ionization', 'excitation'**

We inject  $\gamma$  in a plasma with  $n_\gamma \gg n_b$

Q : What happens to the photon distribution?



$\rightarrow \infty$

$\approx 1$

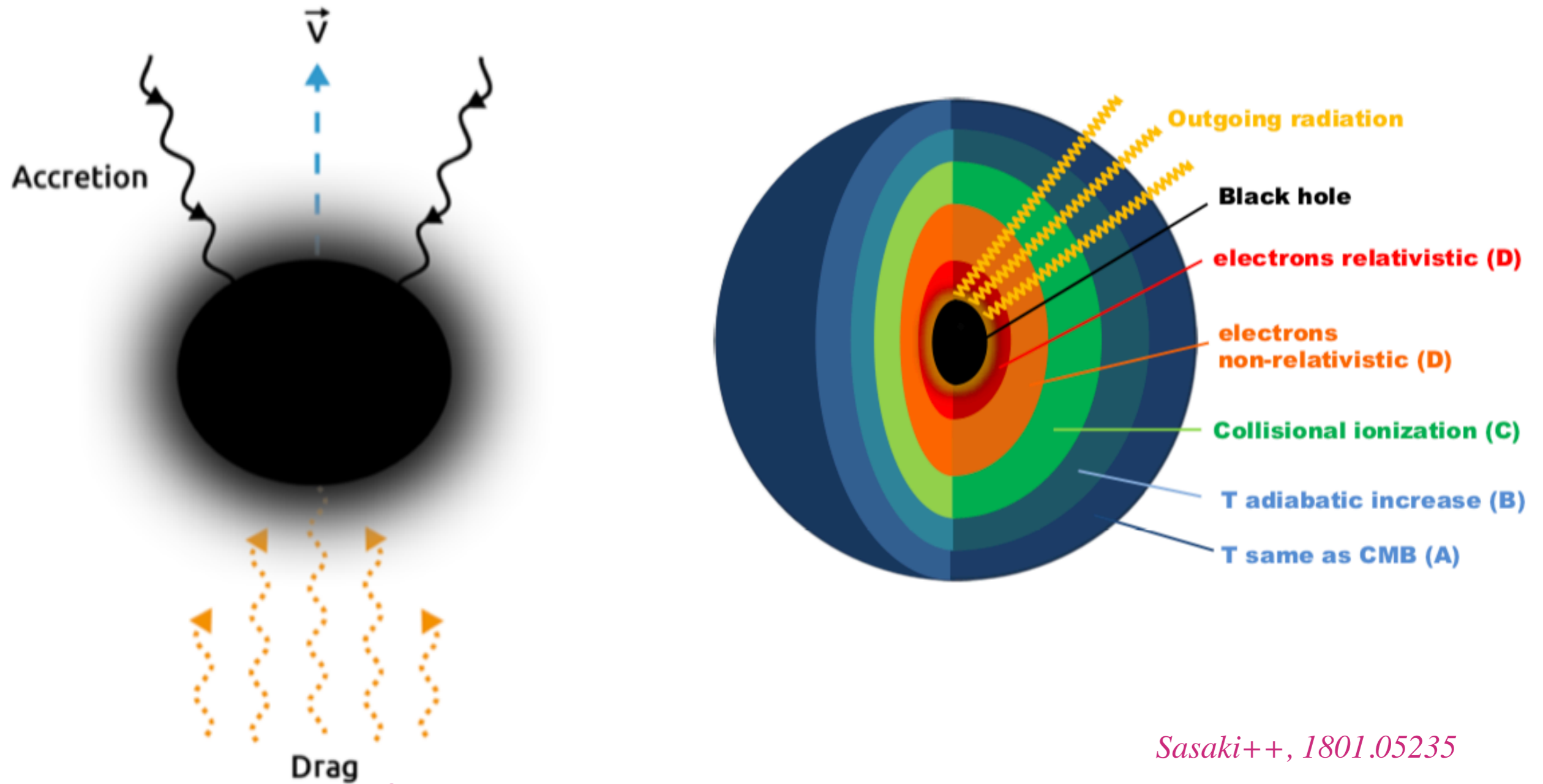
$\rightarrow 0$

$t_H/t_{cool}$

Slatyer, PRD93 2016, Liu++ PRD 2020

# High mass BH accrete the surrounding medium

This heats the gas and leads to the emission of x-ray emission that can affect the CMB.



© A. De Sousa

*Sasaki++, 1801.05235*



## Essential on PBH accretion

See Yacine Ali-Haimoud's lecture

- Problematic of accretion onto a point mass  $M$  is old: seminal papers focused on accretion by **star in an infinite gas cloud**.

Hoyle & Lyttleton, 1939, 1940; Bondy & Hoyle 1944; Bondi 1952

- Famous result by Bondi derived in the context of **spherical accretion**

$$\dot{M}_{\text{HB}} \equiv 4\pi\lambda\rho_{\infty}v_{\text{eff}}r_{\text{HB}}^2 \equiv 4\pi\lambda\rho_{\infty}\frac{(GM)^2}{v_{\text{eff}}^3}$$

- This is a « geometrical » result: Mass passing through a sphere of radius  $r_{\text{HB}} = GM/v_{\text{eff}}^2$

- what is  $v_{\text{eff}}$ ? No exact calculation exists... Proxy:  $v_{\text{eff}}^2 = c_{s,\infty}^2 + v_{\text{rel}}^2$

Sound speed in the gas

Relative velocity between gas & BH

- $\lambda \approx 1$ : accretion eigenvalue. Take into account gas pressure, interaction with CMB...
- The accreted matter gets heated  $T_s \approx 10^9$ - $10^{11}$ K: bremsstrahlung emission.

$$L = \epsilon\dot{M}_{\text{HB}}c^2 \quad \epsilon \simeq 10^{-3} - 10^{-5} \dot{M}/\dot{M}_{\text{ed}} \quad L_{\nu} \propto \nu^{-0.5} \exp(-\nu/T_s) \quad \textit{Shapiro 1973, 1974}$$

- This formalism is applied to disk accretion with appropriate values:

$$\lambda \simeq 10^{-1} - 10^{-2} \quad \epsilon \simeq 10^{-1} - 10^{-3} \dot{M}/\dot{M}_{\text{ed}} \quad \textit{Review: Narayan \& Yuan 2014}$$

## A major unknown: the geometry of the accretion

- CMB constraints strongly depend on the **geometry of the accretion: spherical or disk?**
- This will then set the typical values required for  **$\lambda$  and  $\epsilon$** .
- Spherical accretion: Ricotti et al, 2007, Ali-Haimoud & Kamionkowski:  **$M > 100M_{\odot}$**  (conservative case).

*Ricotti et al., ApJ., vol. 680, p. 829, 2008.*

*Ali-Haimoud & Kamionkowski, PRD95, no. 4, p. 043534, 2017.*

## Is spherical accretion a good approximation ??

- If the accreted gas has a **high angular momentum**, it cannot fall straight onto the BH.
- Energy is dissipated but angular momentum is conserved ==> **Accretion disk forms**.
- How high should be the angular momentum?  
=> **Keplerian angular momentum** for a rotation around the BH at a distance  $r_D$ .

$$l_D \simeq r_D v_{\text{Kep}}(r_D) \simeq \sqrt{GM r_D}$$

*Shapiro & Lightman 1976; Ipser & Price 1977; Ruffert 1999; Agol & Kamionkowski 2002*

## A criterion for disk accretion

- Now the angular momentum is simply

$$l \simeq \left( \frac{\delta\rho}{\rho} + \frac{\delta v}{v_{\text{eff}}} \right) v_{\text{eff}} r_{\text{HB}}$$

Density gradients perp. to the BH motion      Typical velocity dispersion on small scales

- Hypothesis: a disk forms if the radius of the disk  $r_D \gg r_s = 2GM$

$$\left. \frac{\delta\rho}{\rho} \right|_{k \sim r_{\text{BH}}^{-1}} \gg 10^{-4}$$

$$\delta v \gg 1.5 \left( \frac{1+z}{1000} \right)^{3/2} \text{ m/s.}$$

*Agol&Kamionkowski 2002, VP++ 1707.04206*

- This is easily satisfied because of the enhanced power spectrum on small scales!

$$\text{At } z=1000, k_{\text{NL}} \approx 10^3 \text{ Mpc}^{-1} \ll k_{\text{BH}} \approx 10^5 \text{ Mpc}^{-1}$$

*Afshordi et al., ApJ. 594 (2003) L71-L74*

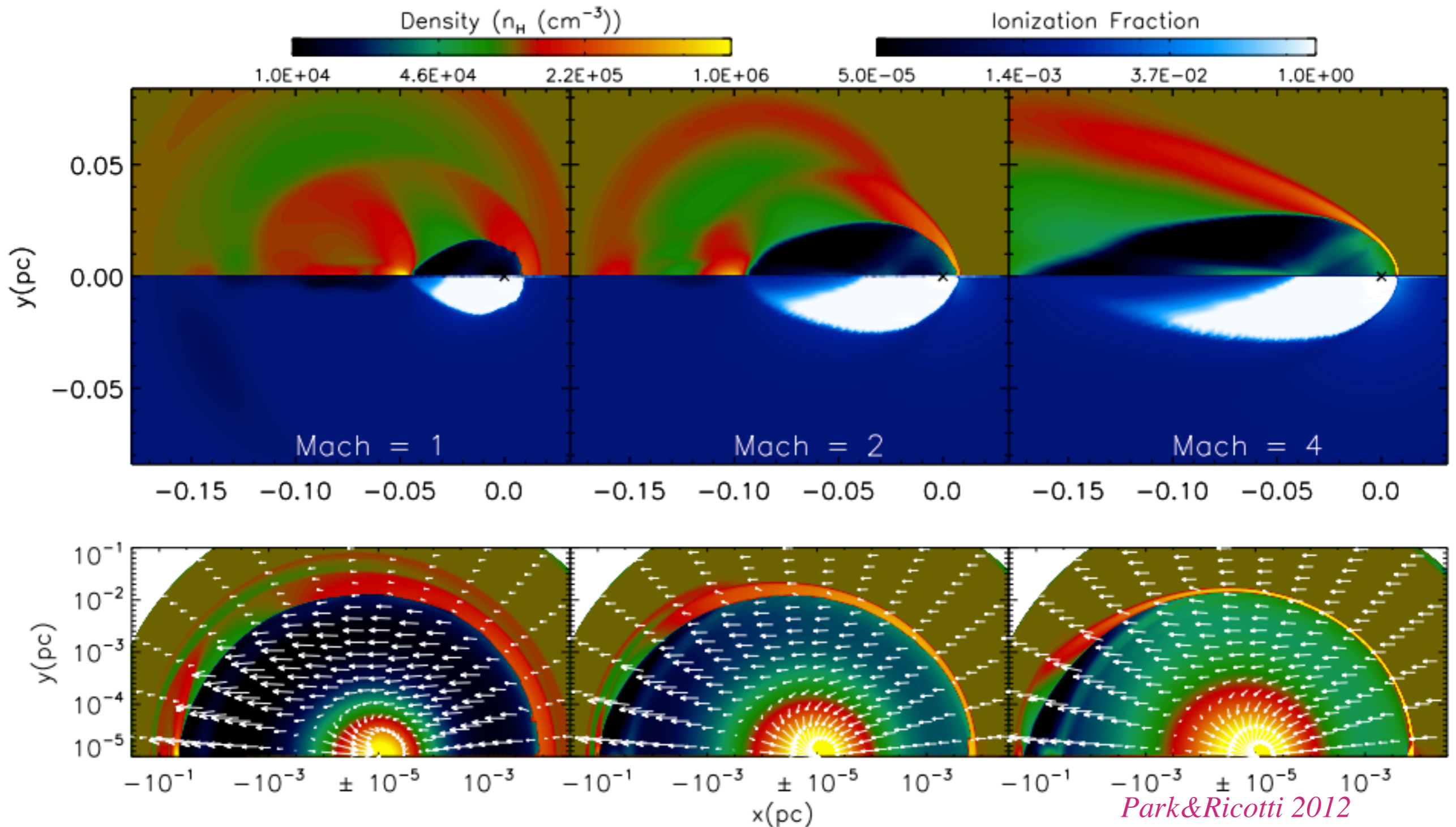
*Gong&Kitajima, 1704.04132*

- No exact computation possible because of non-linearity, but this is always true for binary BH:

$$\delta v = \omega r_{\text{HB}} = \sqrt{2GM/a^3} \text{ with } a \simeq d/2 \simeq (3M/(4\pi\rho_{\text{PBH}}))^{1/3} \Rightarrow M/M_{\odot} \gg ((1+z)/730)^3$$

- Spherical accretion leads to conservative constraints but in the early universe, it is possible that a disk forms!

- This is also favored by numerical simulations of supersonic moving black holes.
- Accretion transitions from spherical to “bow-shaped”.
- At  $z \lesssim 1000$ :  $v_{\text{rel}} \simeq 5c_s \sqrt{(1+z)/1000}$ .

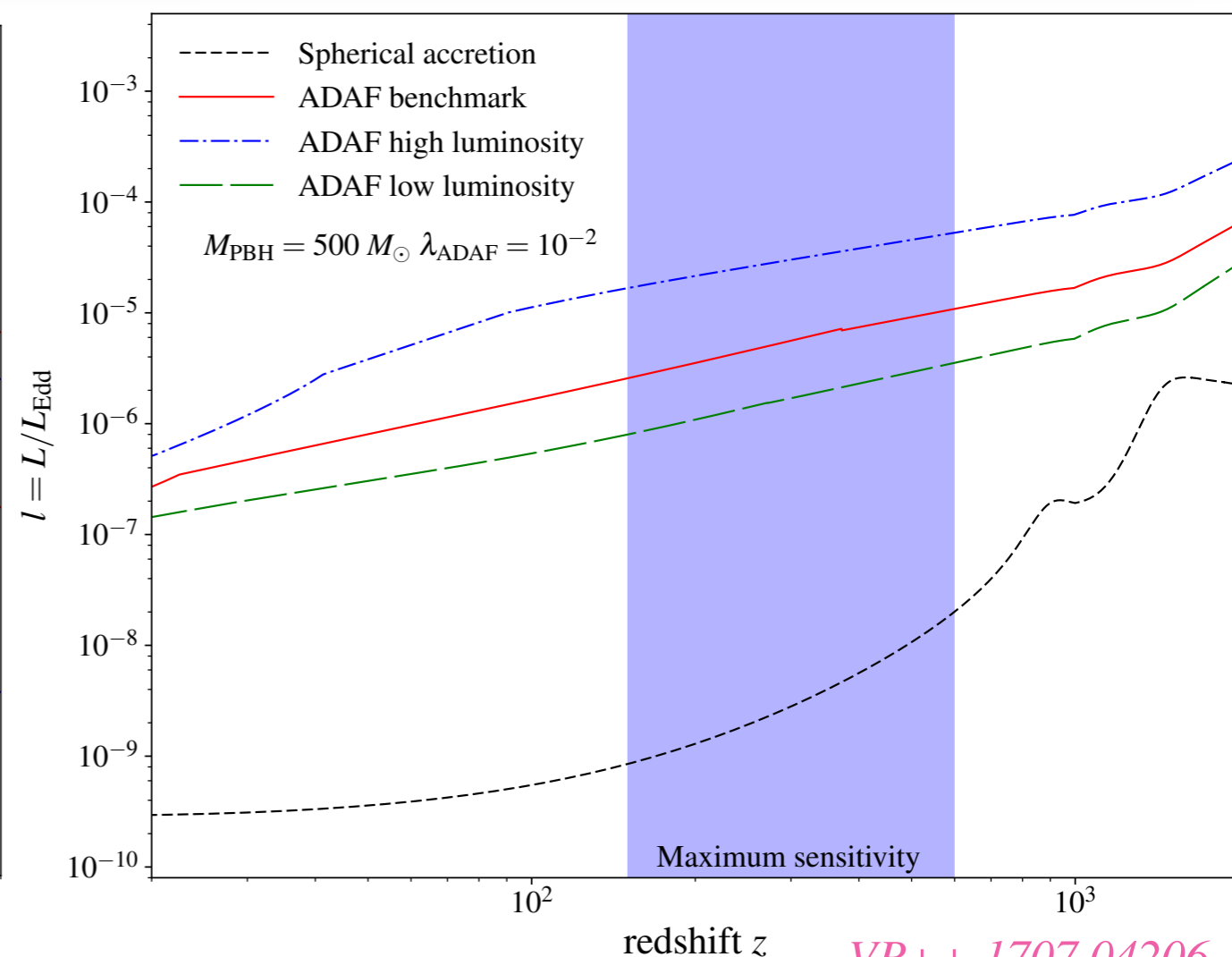
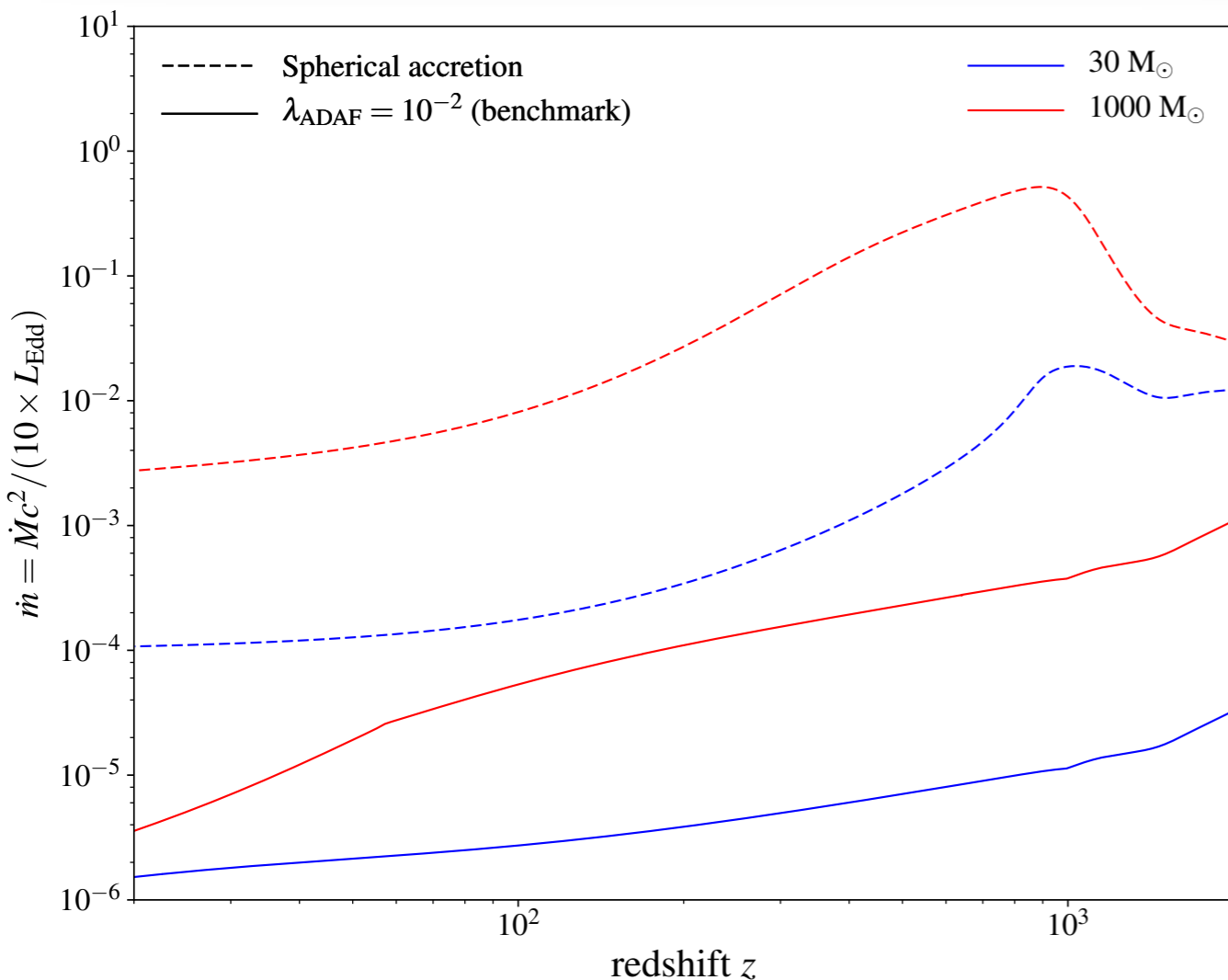


# What disk forms?

Review: Yuan&Narayan 1401.0586

- Optimistic: Cold, **Thin Disk**, high radiative efficiency  $\epsilon \sim 0.1$ , leads to the **strongest constraints**.  
*Shakura & Sunyaev 1973*

- More realistic and conservative: Hot, Thick disk with inefficient cooling – **ADAF** (Advection Dominated Accretion Flow).  
*Ichimaru 1977, Narayan&Yi 1994*
- Results of numerical simulations **confirmed by observations** (e.g. Sgr A\*).
- Relatively **low radiative efficiency and accretion rate**.  
*Xie&Yuan 2012*



*VP++ 1707.04206*

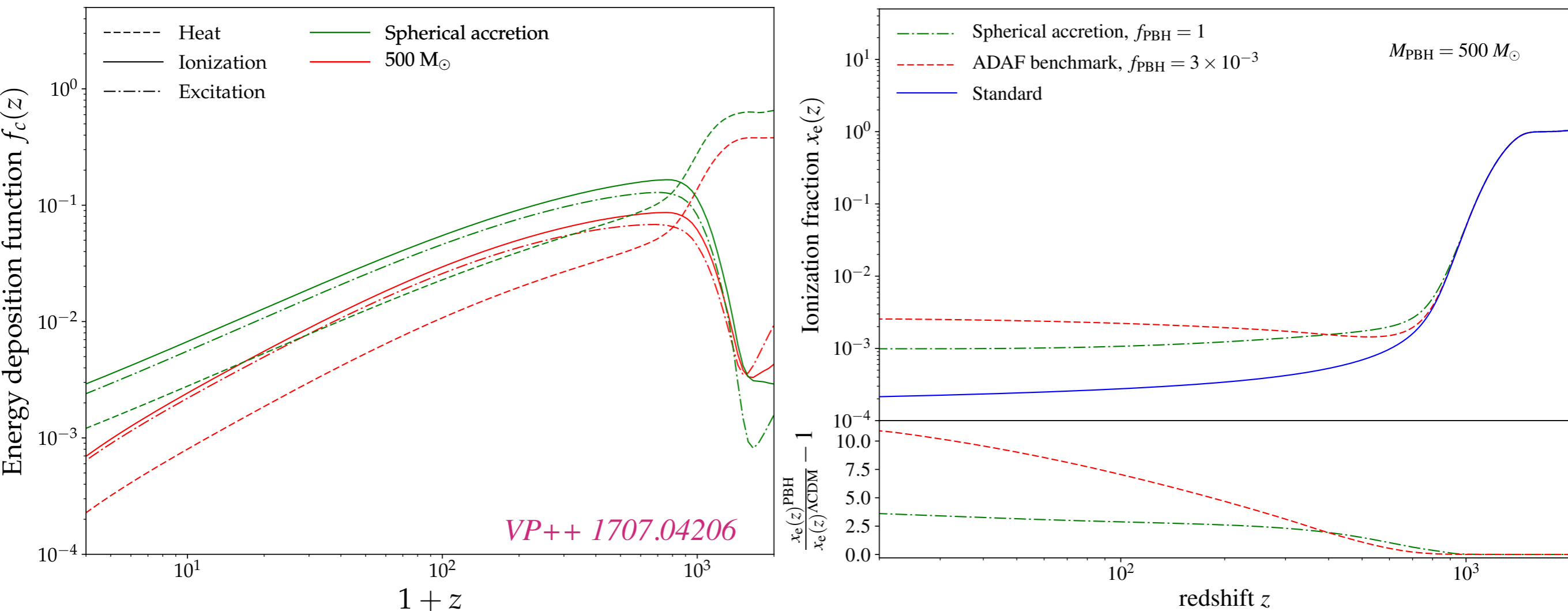
# Energy deposition function

- Power law shape up to 100 keV energies from synchrotron and bremsstrahlung.
- Depend on PBH mass and accretion rate.

Review: Narayan&Yuan 2014

$$L_\omega \propto \omega^{-a} \exp(-\omega/T_s)$$

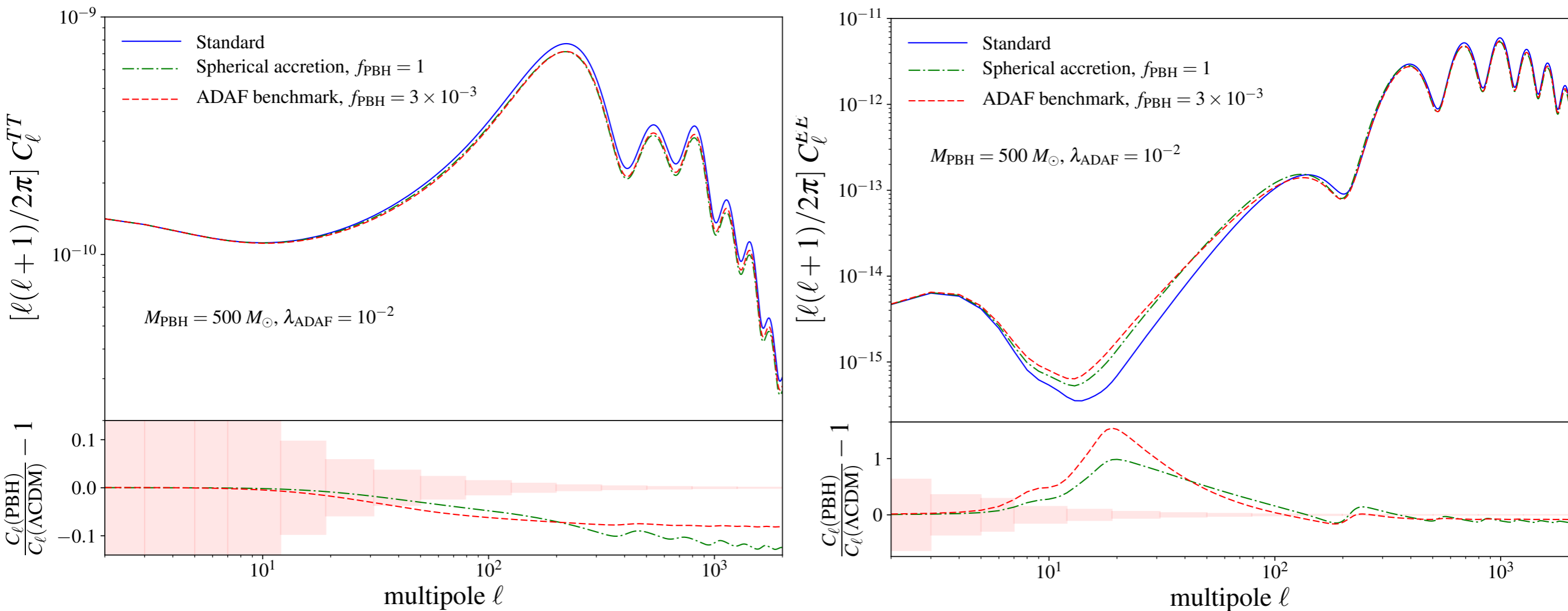
$$T_s \sim m_e, a \in [-1.3, -0.7]$$



- Delayed recombination, higher freeze-out plateau, early reionization

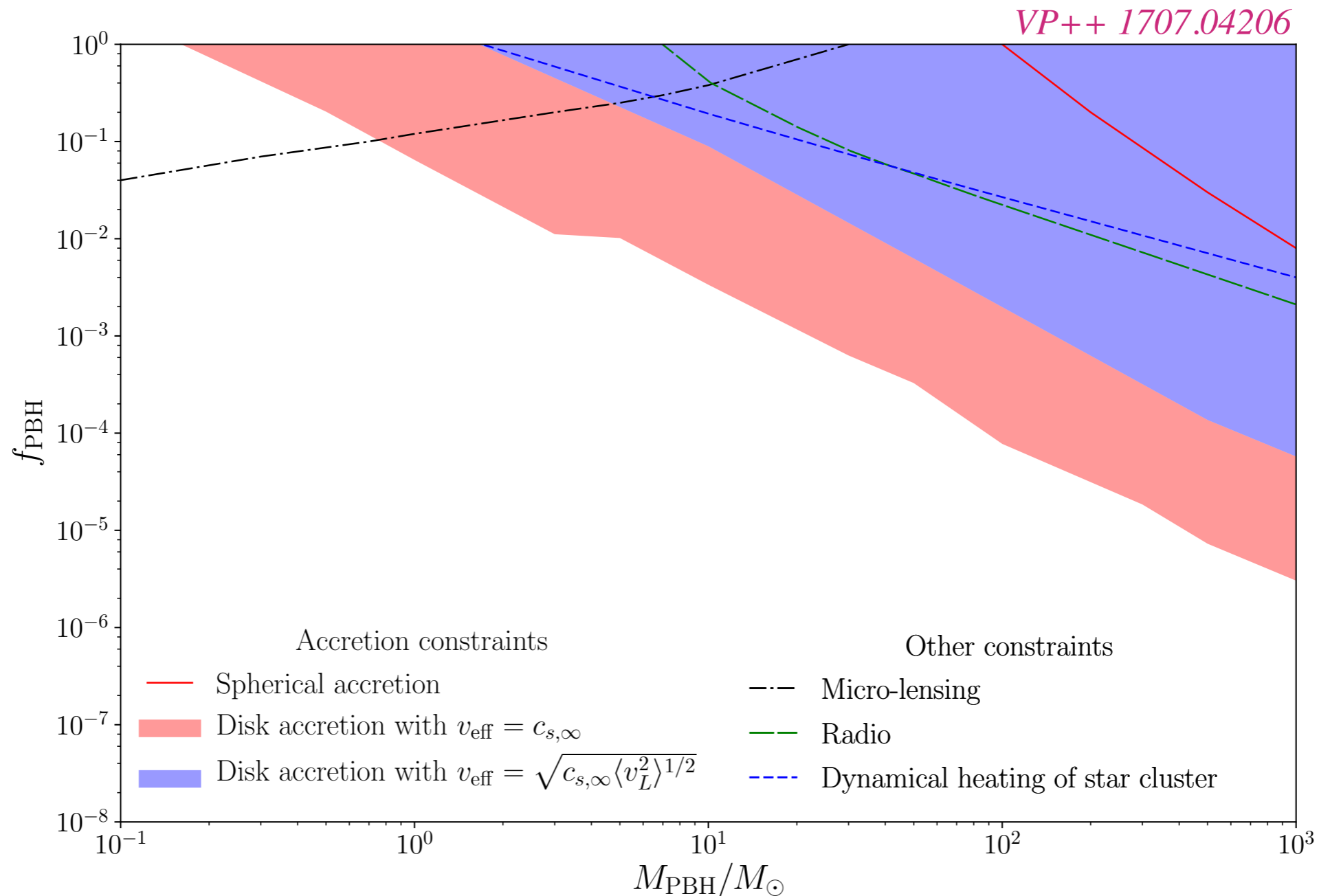
## Impact on the CMB power spectra

VP++ 1707.04206



- Recombination delay: shifts of the peak, more diffusion damping.
- Higher freeze-out plateau: reionization bump higher, higher optical depth.

# Constraints on disk-accreting PBH

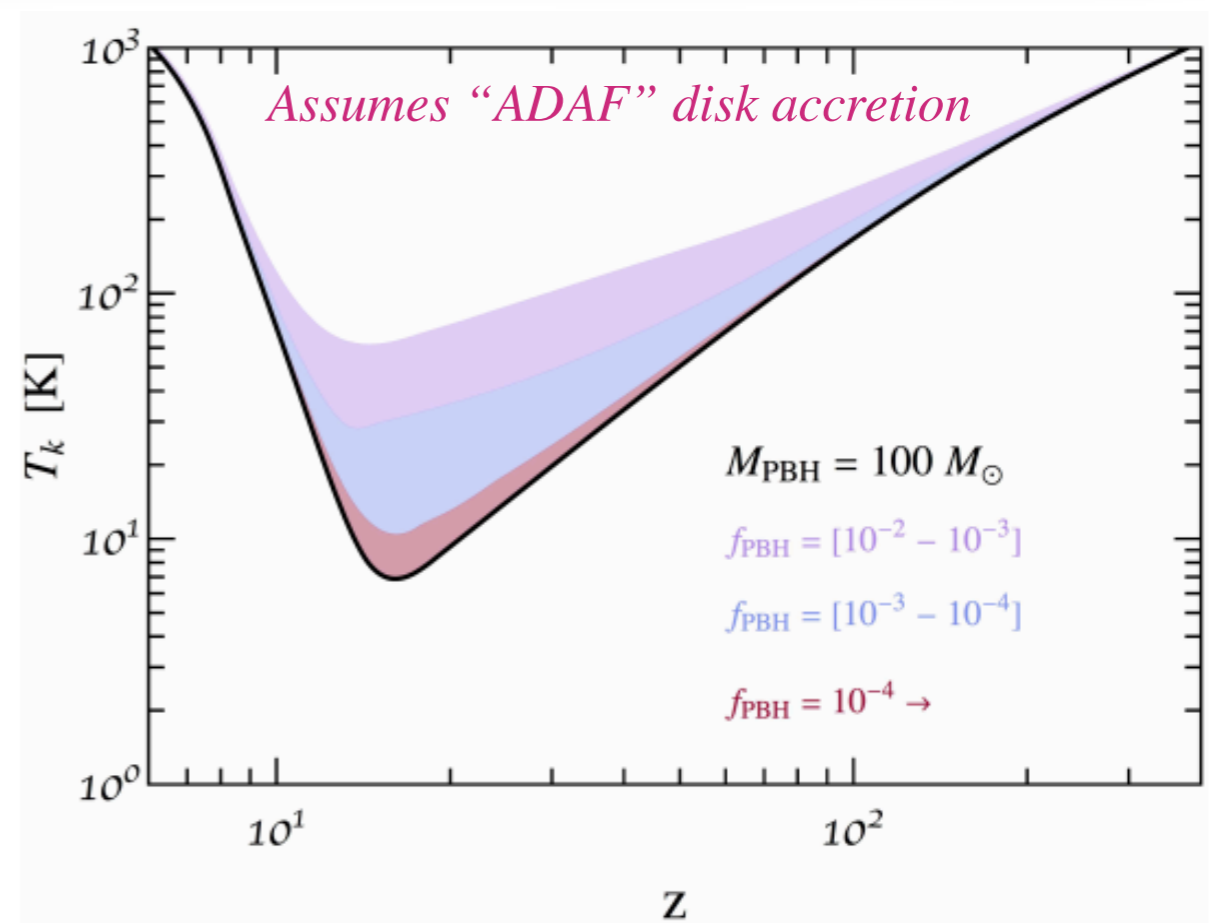
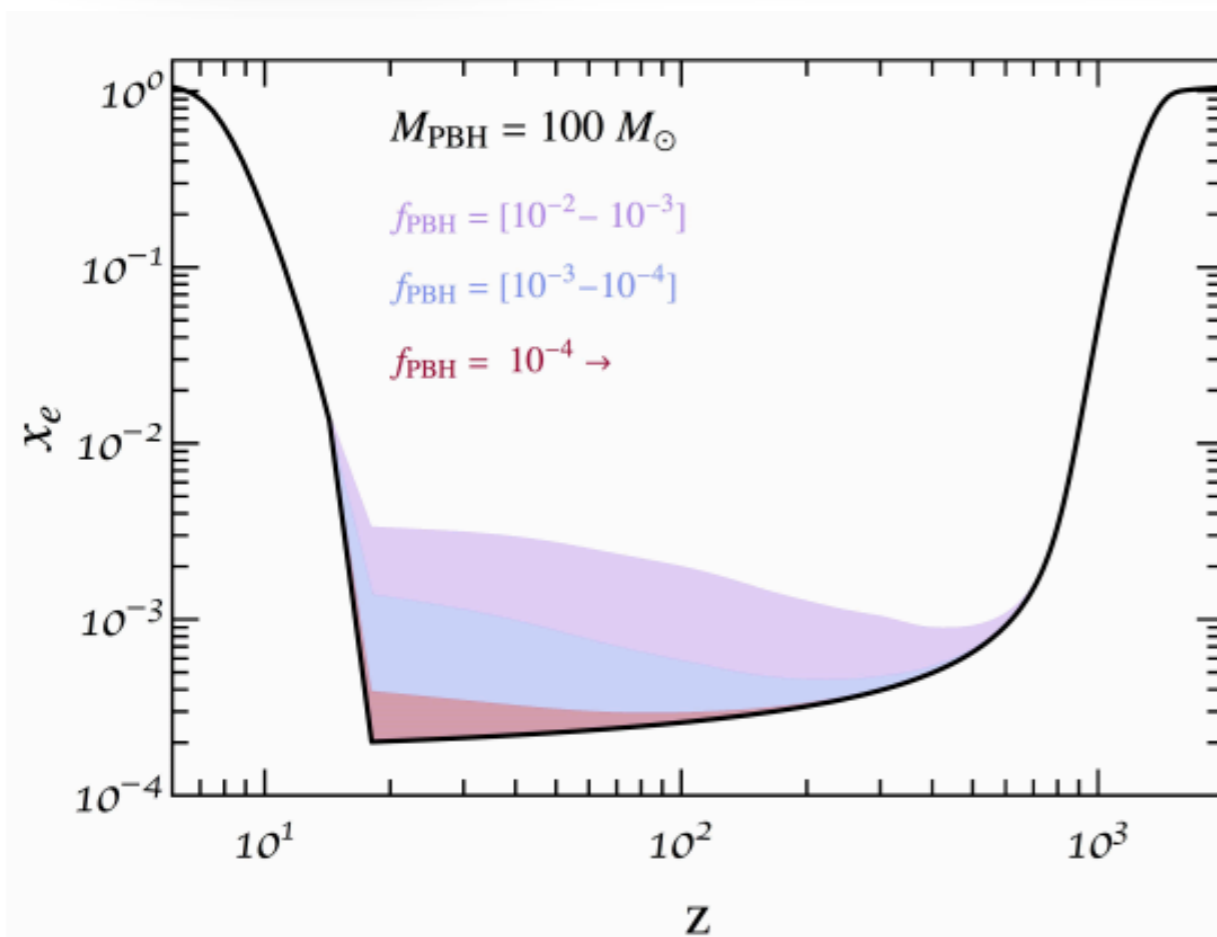


- Disk accretion constraints are **two to three orders of magnitude stronger**.
- Main uncertainty: relative velocities between PBH and baryons.
- Could be improved thanks to better understanding of PBH/baryons structures.



## 21 cm as a probe of PBH

- PBH accretion leads to increase in the ionization fraction and temperature of the IGM at late times



*Mena++ 1906.07735*

- The effect on  $x_e$  and  $T_k$  is much stronger at late times.
- This is particularly interesting for 21cm experiments!

# 21 cm as a probe of PBH

## Spin Temperature

$$\frac{n_1}{n_0} = 3e^{-E_{10}/k_B T_S}$$

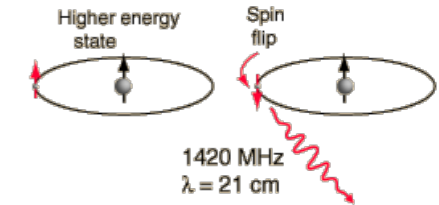
scattering with CMB

$$T_S^{-1} = \frac{T_{\text{CMB}}^{-1} + x_c T_K^{-1} + x_\alpha T_c^{-1}}{1 + x_c + x_\alpha}$$

collision within the gas

$$\delta T_b \propto n_H \left( 1 - \frac{T_\gamma}{T_s} \right)$$

interaction with UV from stars

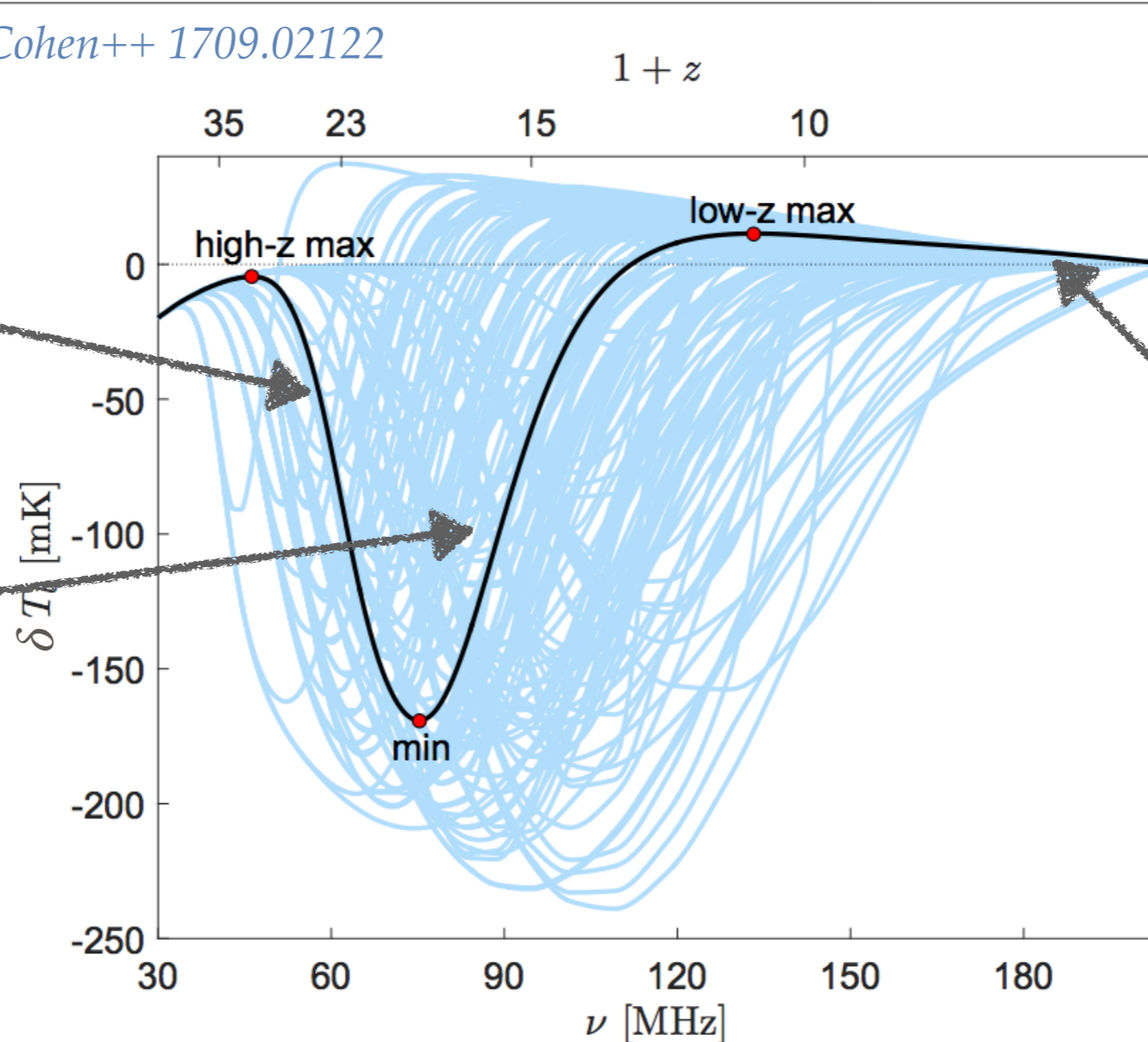


- 21cm theoretically “easy” from  $z \sim 1000$  to 30; then **huge astrophysical uncertainty.**

Cohen++ 1709.02122

First stars Ly- $\alpha$

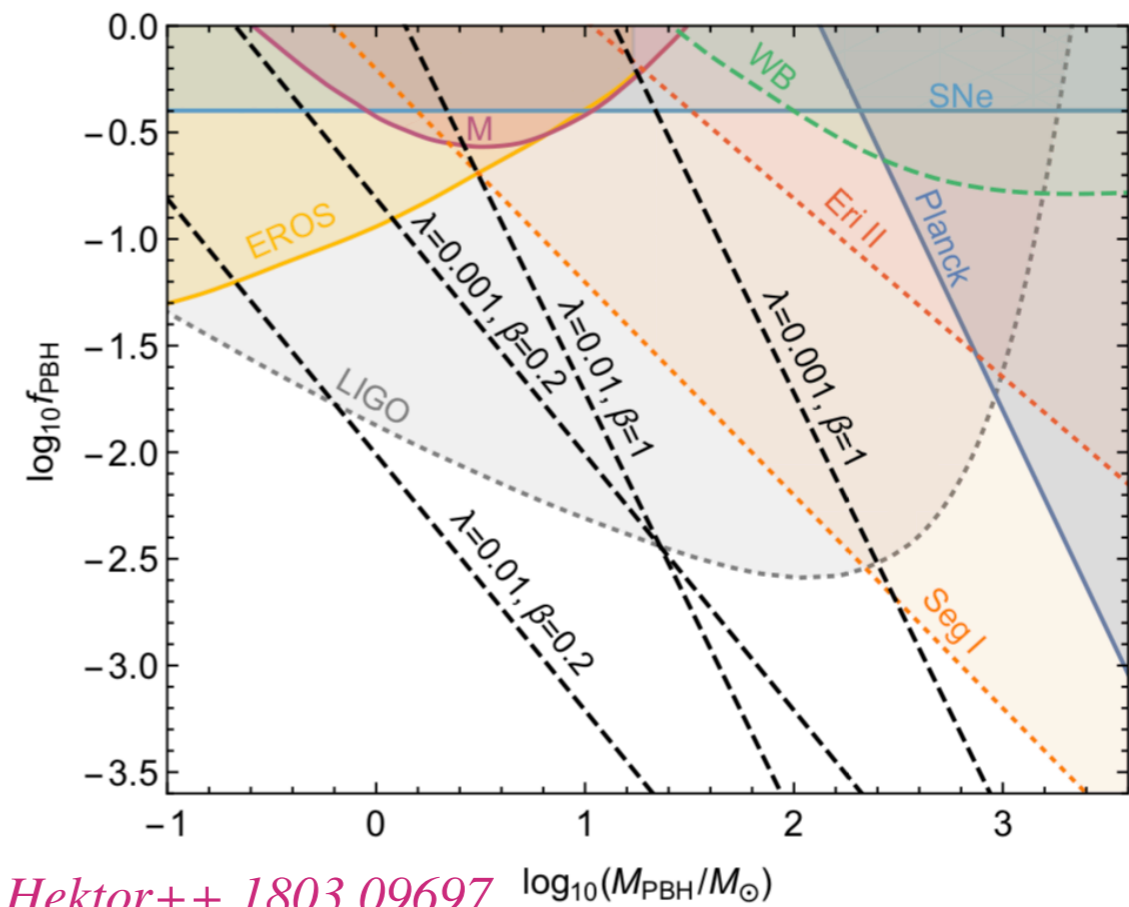
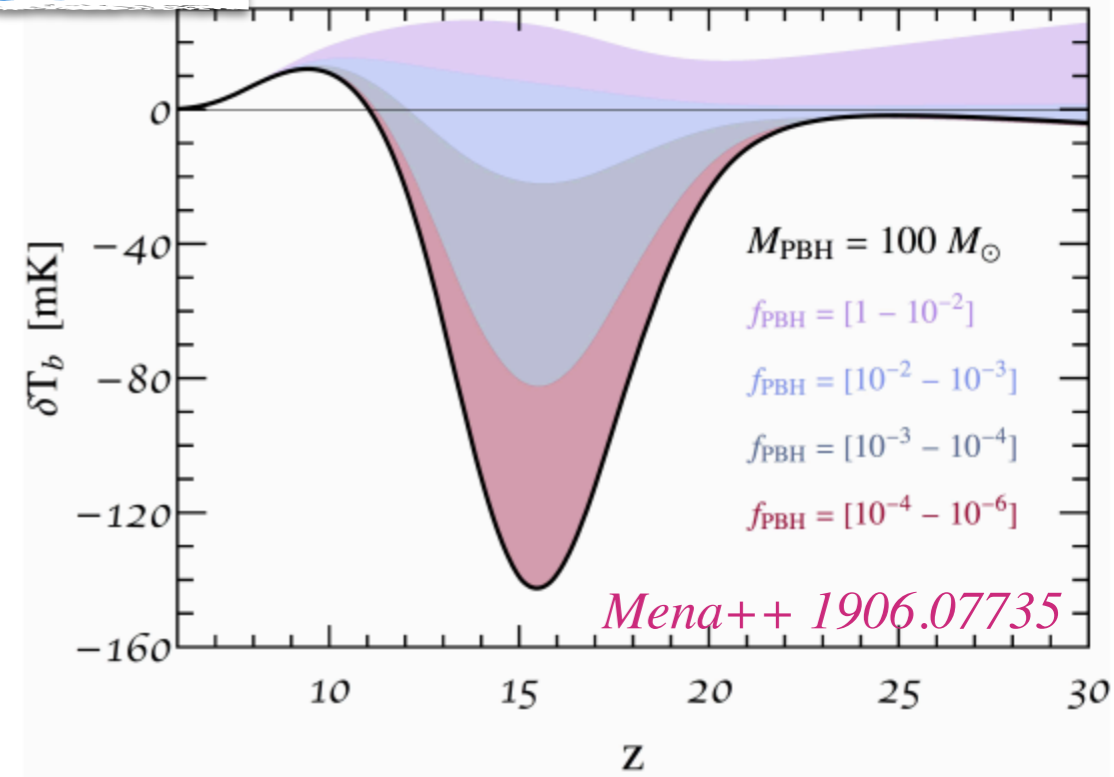
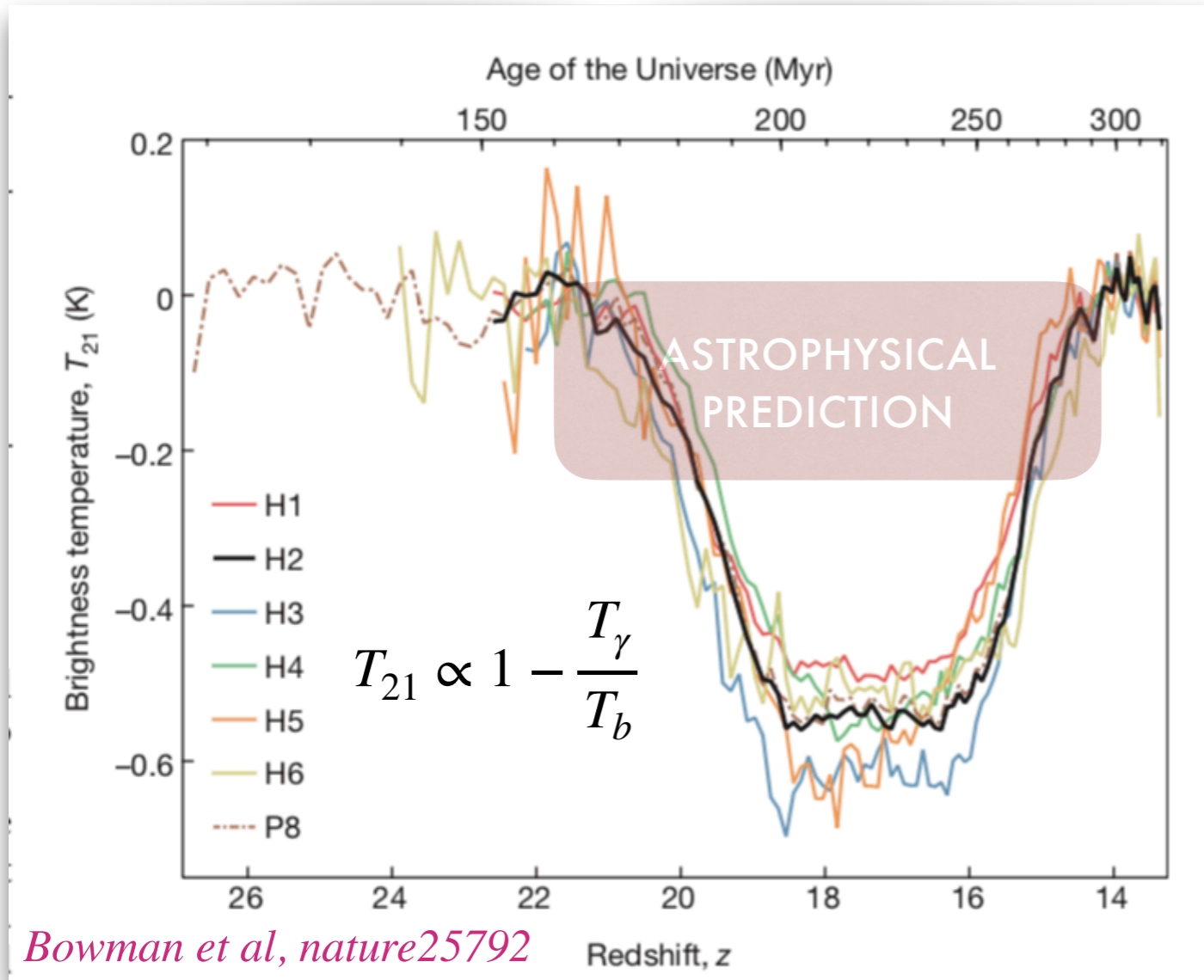
X-ray  
(SN, X-ray binary,  
quasars?)



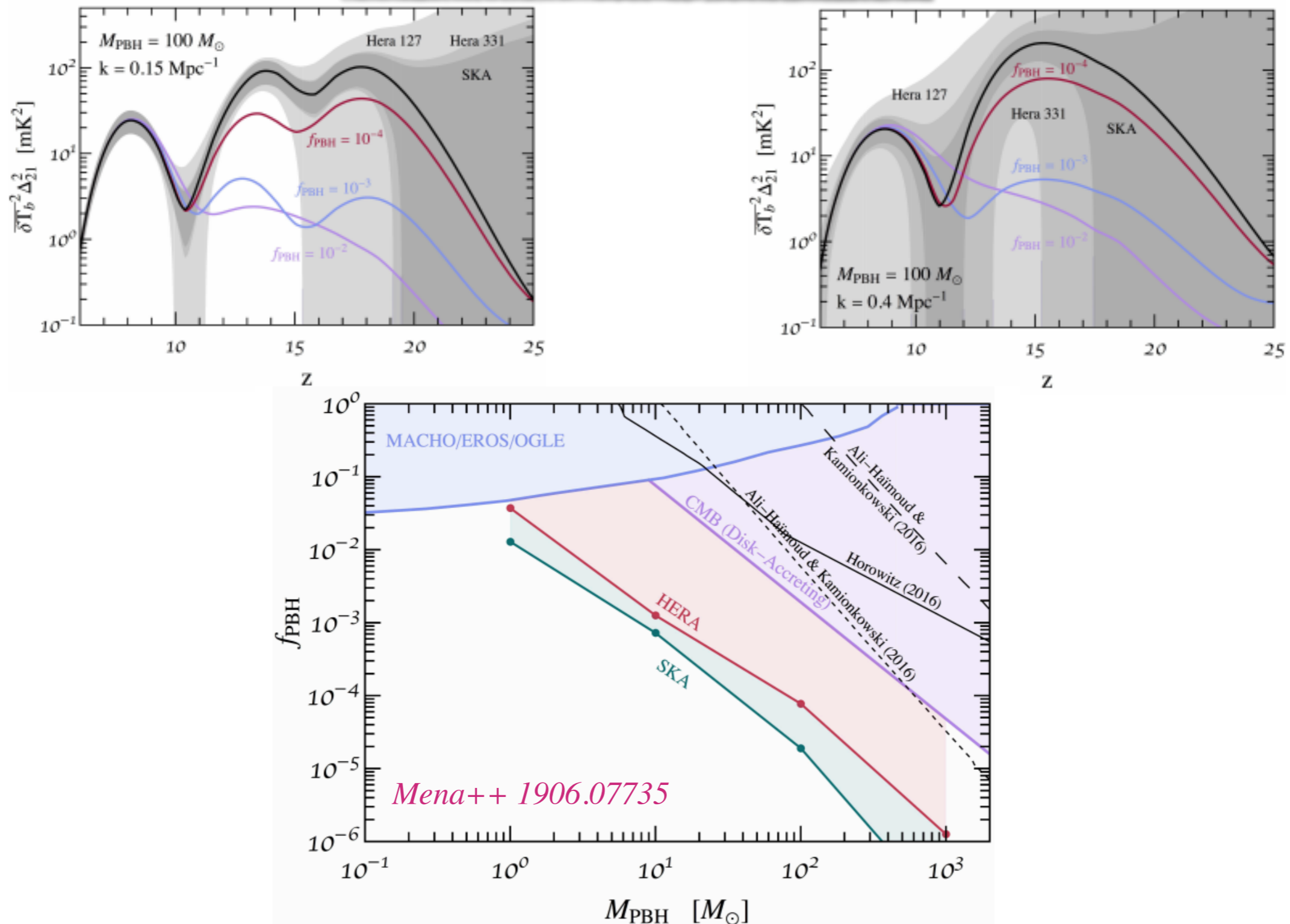
Ionization  
(stars? quasars?  
DM?)

# 21 cm as a probe of PBH

- From the global signal: energy injection increase the baryon temperature and thus **reduce the amplitude of the global signal.**



If true, the EDGES measurement would strongly constrain PBH in the universe



- PBH can also suppress the amplitude of fluctuations in the 21 cm power spectrum
- Huge discovery potential for future experiments (HERA, SKA)!

See also Bernal++ 1712.01311

# Signatures of Primordial Black Holes in Cosmology

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ICTS, “Less travelled path of Dark Matter”  
10.11.2020

## The SMBH problem

- SMBH have masses  $M_{\text{BH}} > 10^5 M_{\odot}$ . They sit at the center of almost every galaxies.  
*Marloni 1505.04940*
- Their accretion disk emission is known to saturate the X-ray background.
- SMBH with masses  $M_{\text{BH}} > 10^9$  have been observed at  $z \gtrsim 6$ .  
*Banados++ 2017*
- The mass accretion rate is limited to  $M \lesssim M_i \exp\left(\frac{1 - \epsilon}{\epsilon} \frac{t - t_i}{\tau_E}\right)$  with  $\epsilon \sim 0.1$  and  $\tau_E \sim 400$  Myrs
- Hence there is barely enough time for a stellar BH formed at  $z \sim 15$  with  $M \sim 100 M_{\odot}$  to grow to  $10^9 M_{\odot}$  by  $z \sim 6$ .  
*Volonteri 1003.4404*
- Several possibilities: super-Eddington accretion, mergers, direct collapse for heavy gas cloud... and SMBH from PBH!  
*Begelman++ astro-ph/0602363*
- Even if it seems complicated for PBH to form all of the Dark Matter,  $f_{\text{PBH}} \approx 10^{-8}$  with  $M_{\text{PBH}} \approx 10^5 M_{\text{sun}}$  **to explain SMBH at the center of galaxies.**

*Carr & Silk 1801.00672*

# The existence of a DM halo increases the accretion rate

- Extend bound to sub-fraction of the population: if DM is a particle, **what about the DM halo?**
- The presence of a DM halo can **act as to increase the effective mass of the BH** and hence the accretion rate.

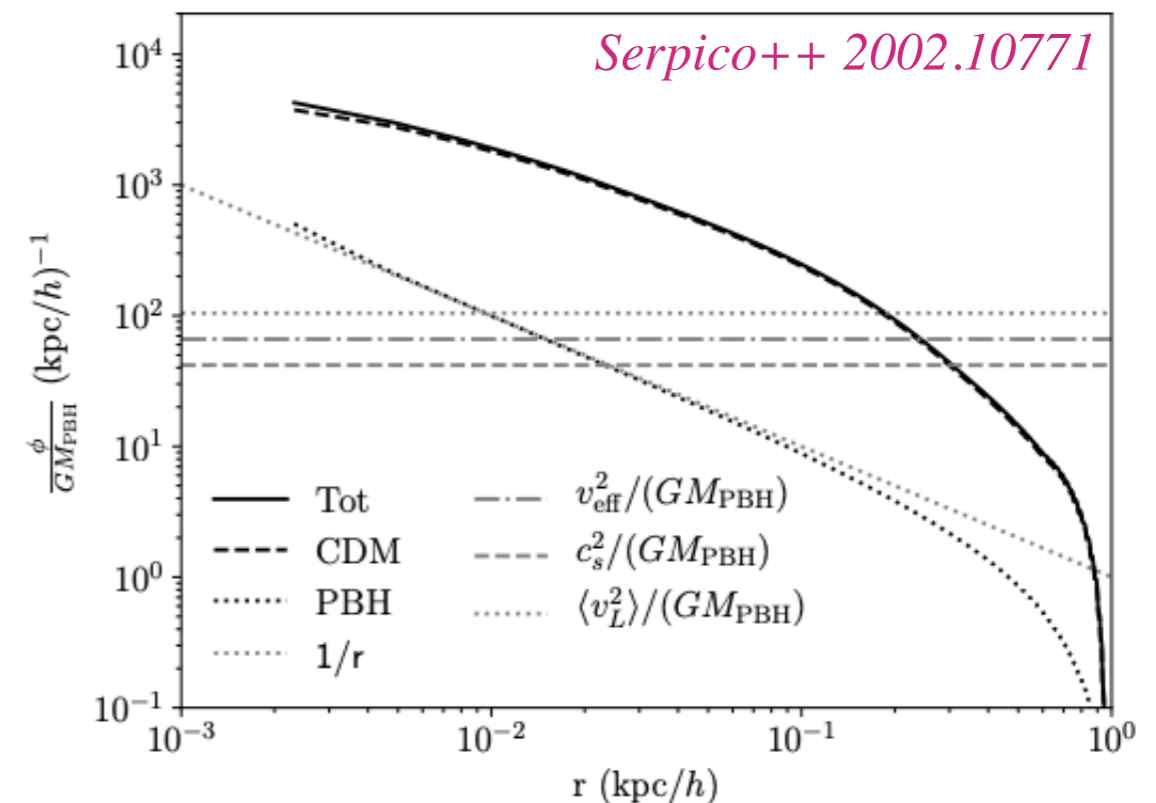
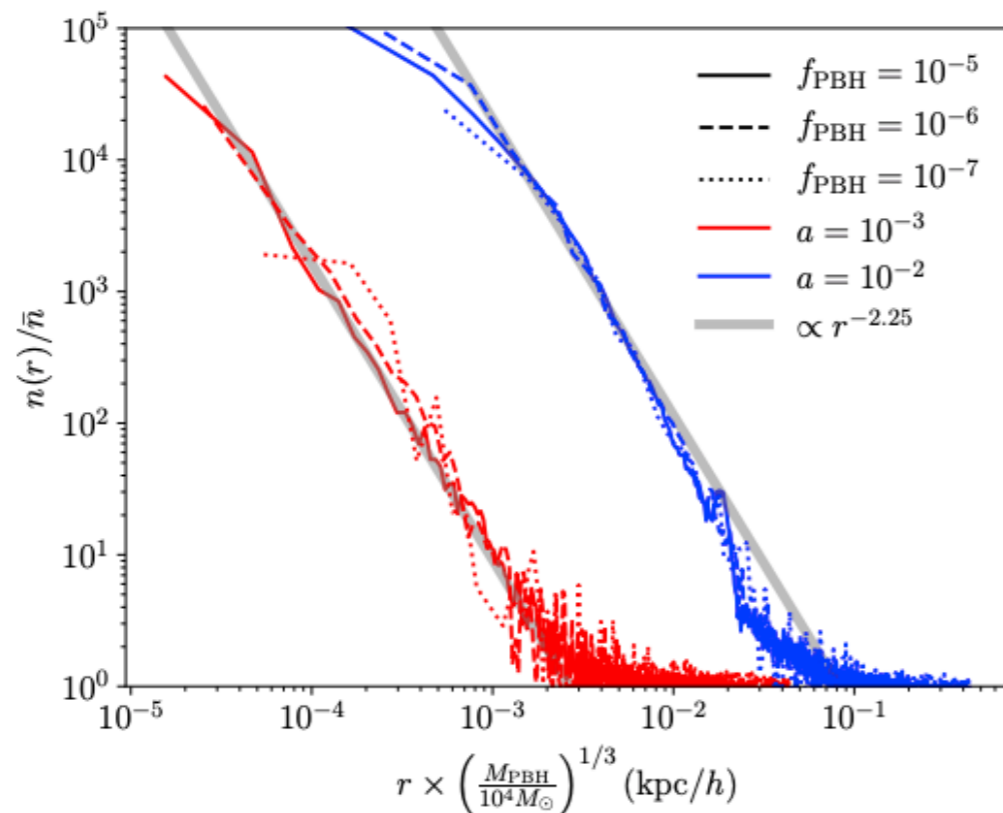
*Ricotti++ ApJ 2008, Park++ 1512.03434*

- First assuming that the DM particles **cannot** annihilate: what is the  $r_{b,\text{eff}}$ ?

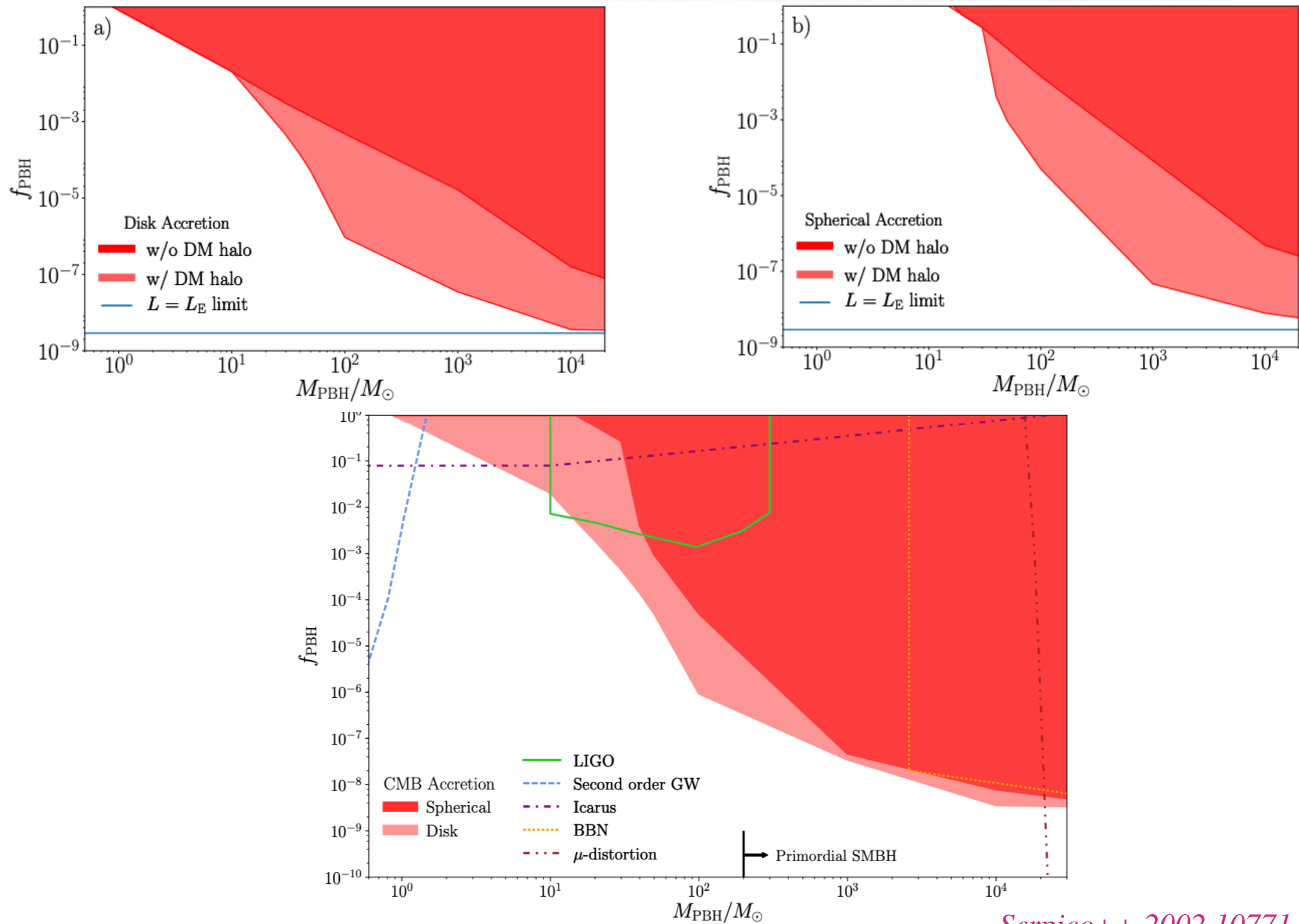
$$\frac{G_N M_{\text{PBH}}}{r_{B,\text{eff}}} - \Phi_h(M_{\text{PBH}}, r_{B,\text{eff}}, t) = v_{\text{eff}}^2(t)$$

$$\begin{cases} \rho \propto r^{-9/4}, & r \lesssim r_{\text{BH}} \\ \rho \propto r^{-3}, & r \gg r_{\text{BH}} \end{cases}$$

*Bertshinger 1985*



# A halo of DM can strongly increase bounds on PBH



*Serpico++ 2002.10771*



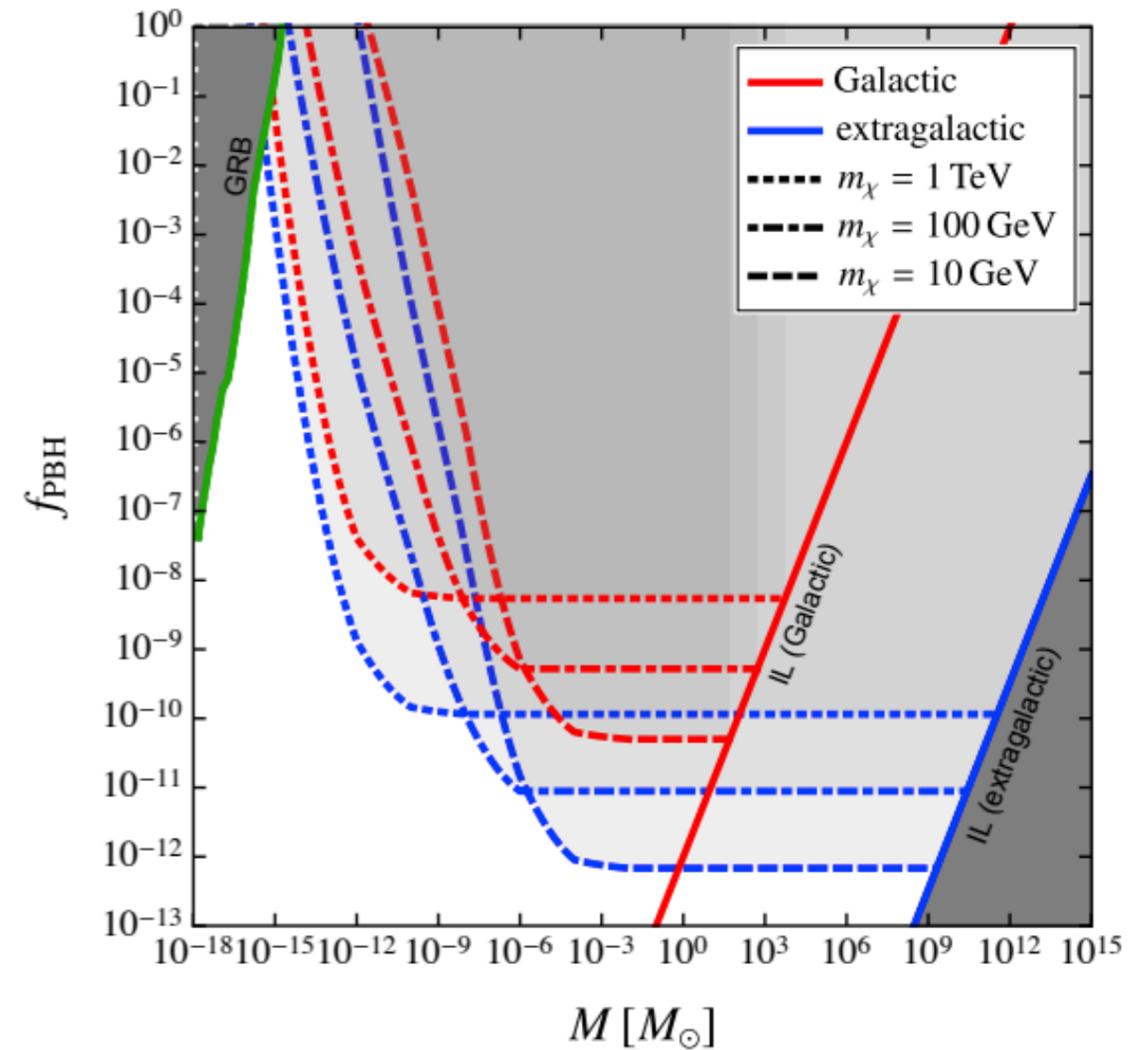
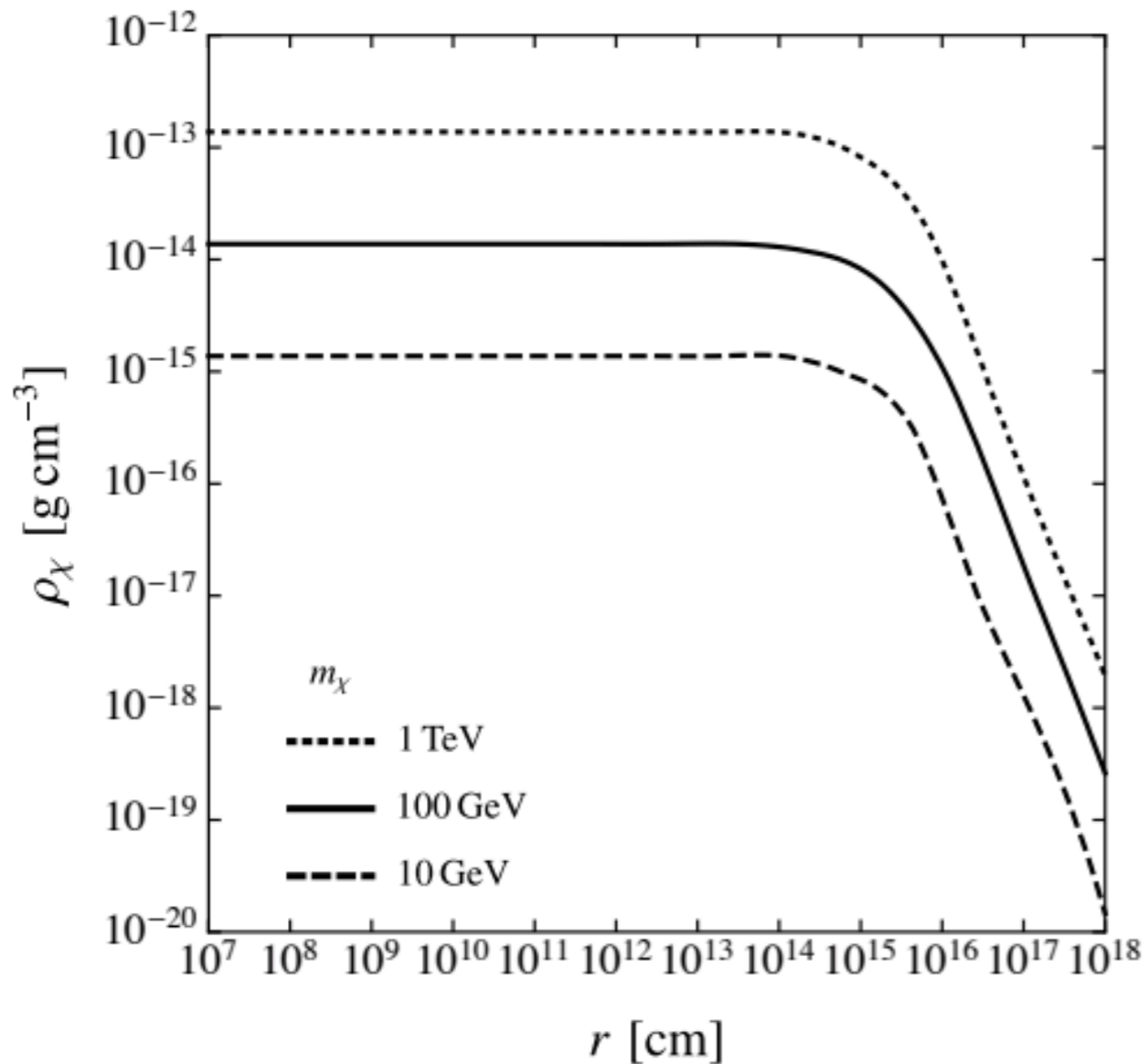
DM or WIMP: All or nothing

*Carr++ 2011.01930*

$$\rho_{\chi, \text{max}}(z) = f_{\chi} \frac{m_{\chi} H(z)}{\langle \sigma v \rangle_{\text{H}}}$$

$$\frac{r_{\text{cut}}}{\bar{r}} = \left[ \alpha_{\text{E}} \frac{\rho_{\text{eq}}}{2} \left( \frac{M}{M_{\odot}} \right)^{3/4} \frac{\langle \sigma v \rangle_{\text{H}}}{m_{\chi} H(z)} \right]^{4/9}$$

$$\Gamma_0 = \frac{\langle \sigma v \rangle_{\text{H}}}{m_{\chi}^2} \int dV \rho_{\chi}^2$$



*See also Lacki&Beacom 1003.3466, Adamek++ 1901.08528*

- Alternatively, detecting PBH would strongly constrain WIMP

*Bertone++ 1905.01238*

- BHs emit SM particles with a black body spectrum at a temperature

*Hawking, Nat. (1974)*

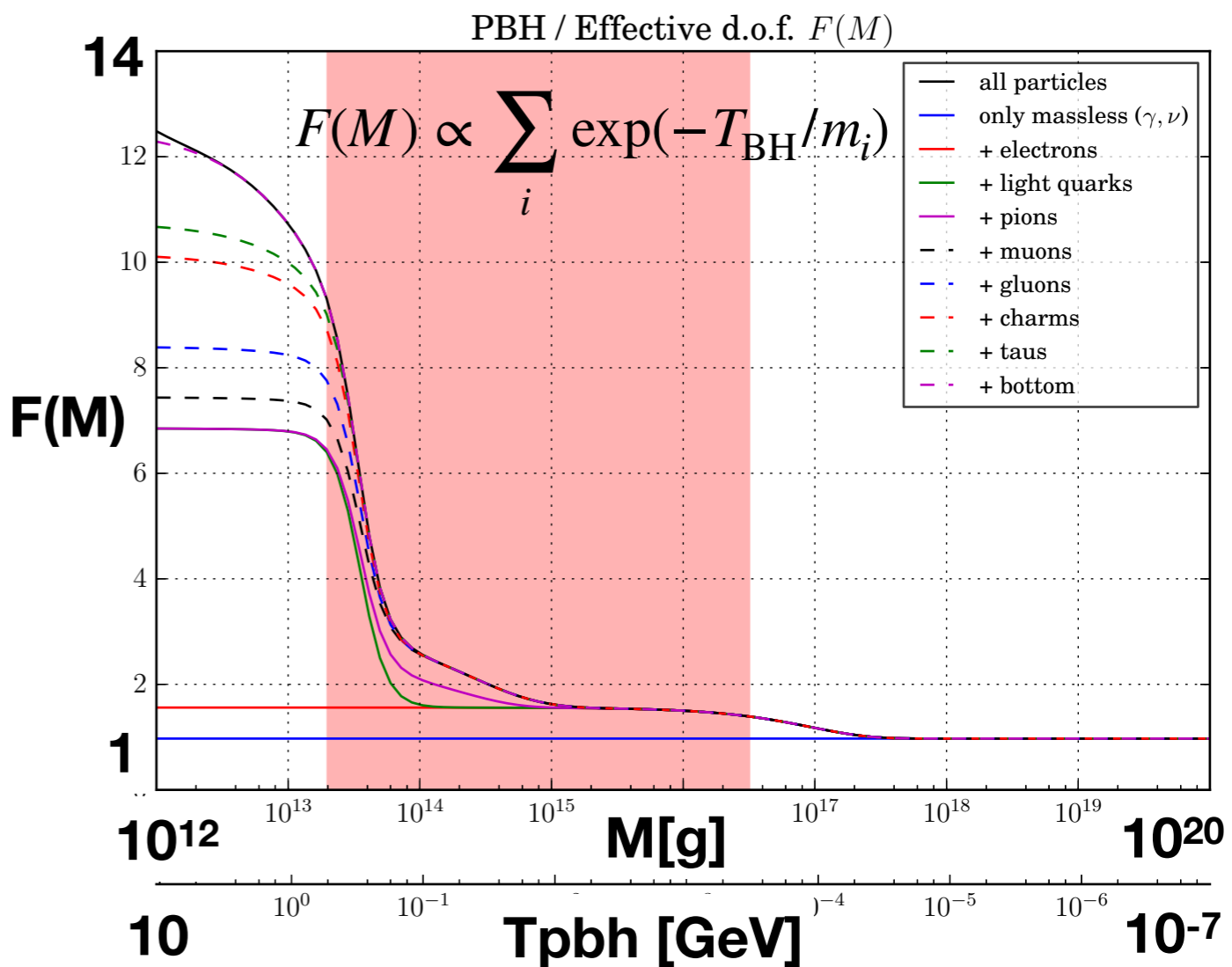
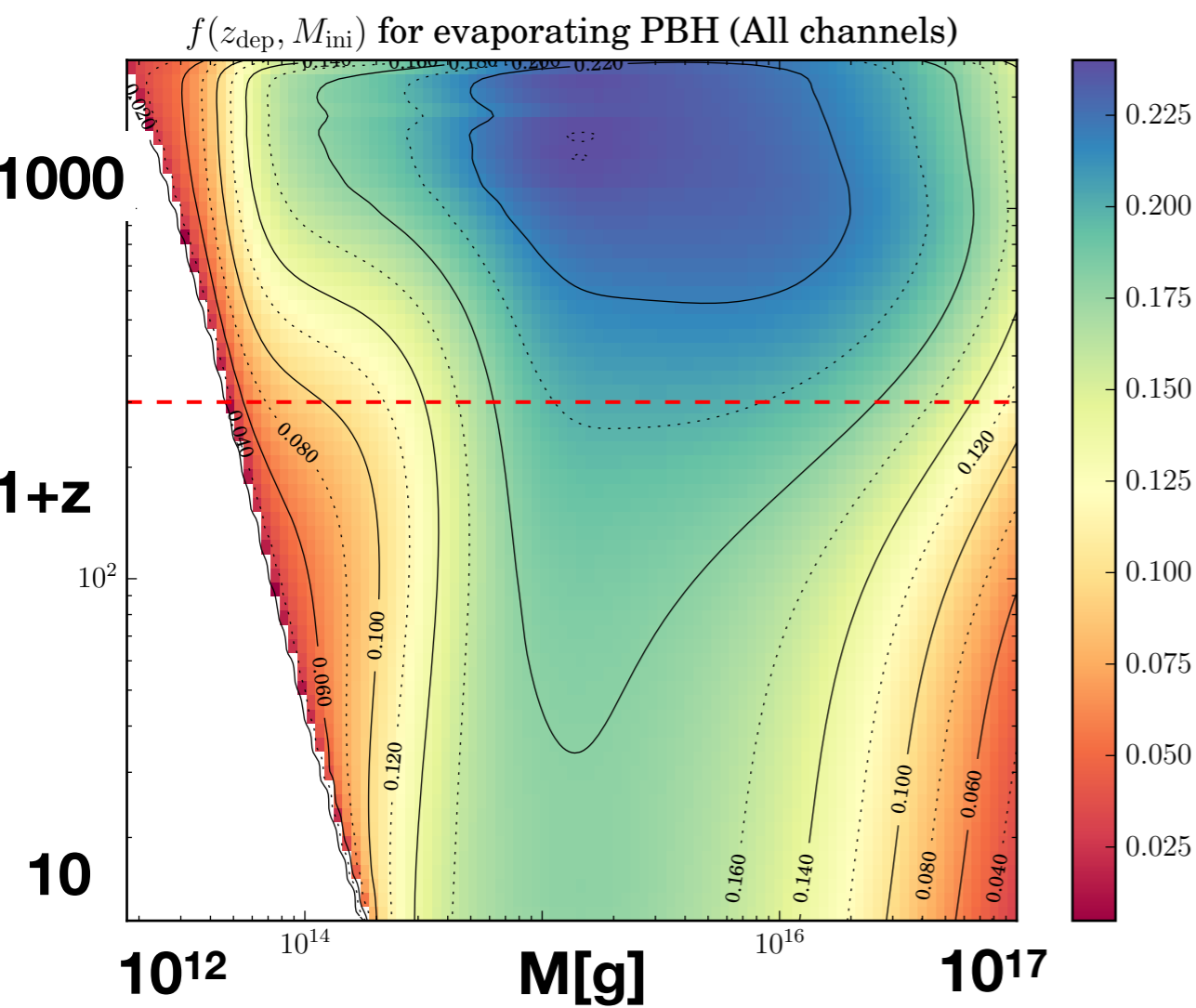
$$T_{\text{BH}} = \frac{1}{8\pi GM} \simeq 1.06 \left( \frac{10^{10} \text{g}}{M} \right) \text{TeV}$$

$$\tau_{\text{BH}} \simeq 13.8 \left( \frac{1.6}{\mathcal{F}(M)} \right) \left( \frac{M}{2 \times 10^{14} \text{g}} \right)^3 \text{Gyrs}$$

- Energy injection rate is proportional to the mass-loss rate

$$\left. \frac{dE}{dV dt} \right|_{\text{inj, PBH}} = \frac{\Omega_{\text{DM}} \rho_c c^2 (1+z)^3 f_{\text{PBH}} c^2}{M_{\text{PBH}}^{\text{ini}}} \left. \frac{dM}{dt} \right|_{\text{e.m.}}$$

$$\frac{dM}{dt} = - 5.34 \times 10^{25} \mathcal{F}(M) \left( \frac{\text{g}}{M} \right) \text{g/s}$$

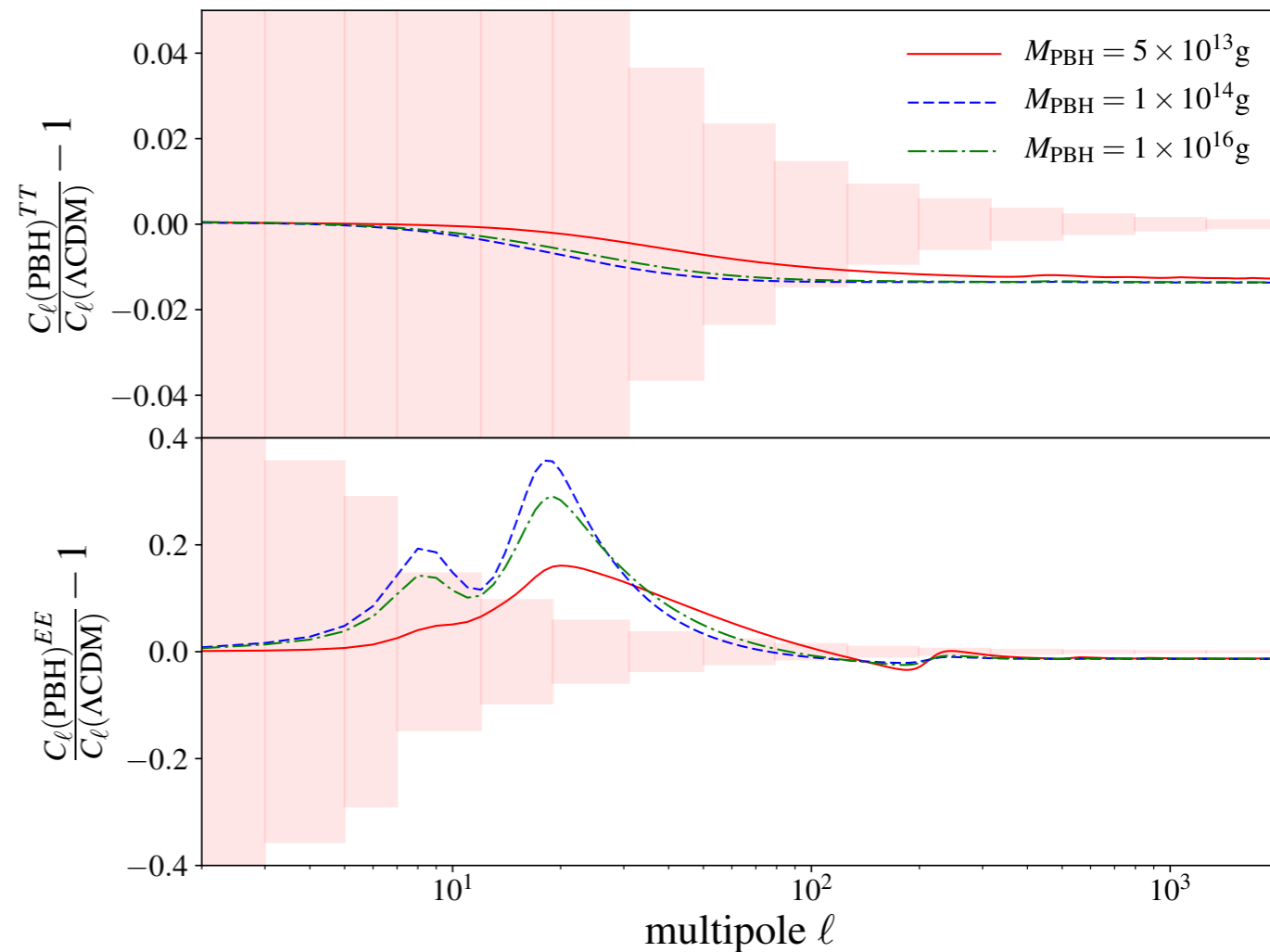
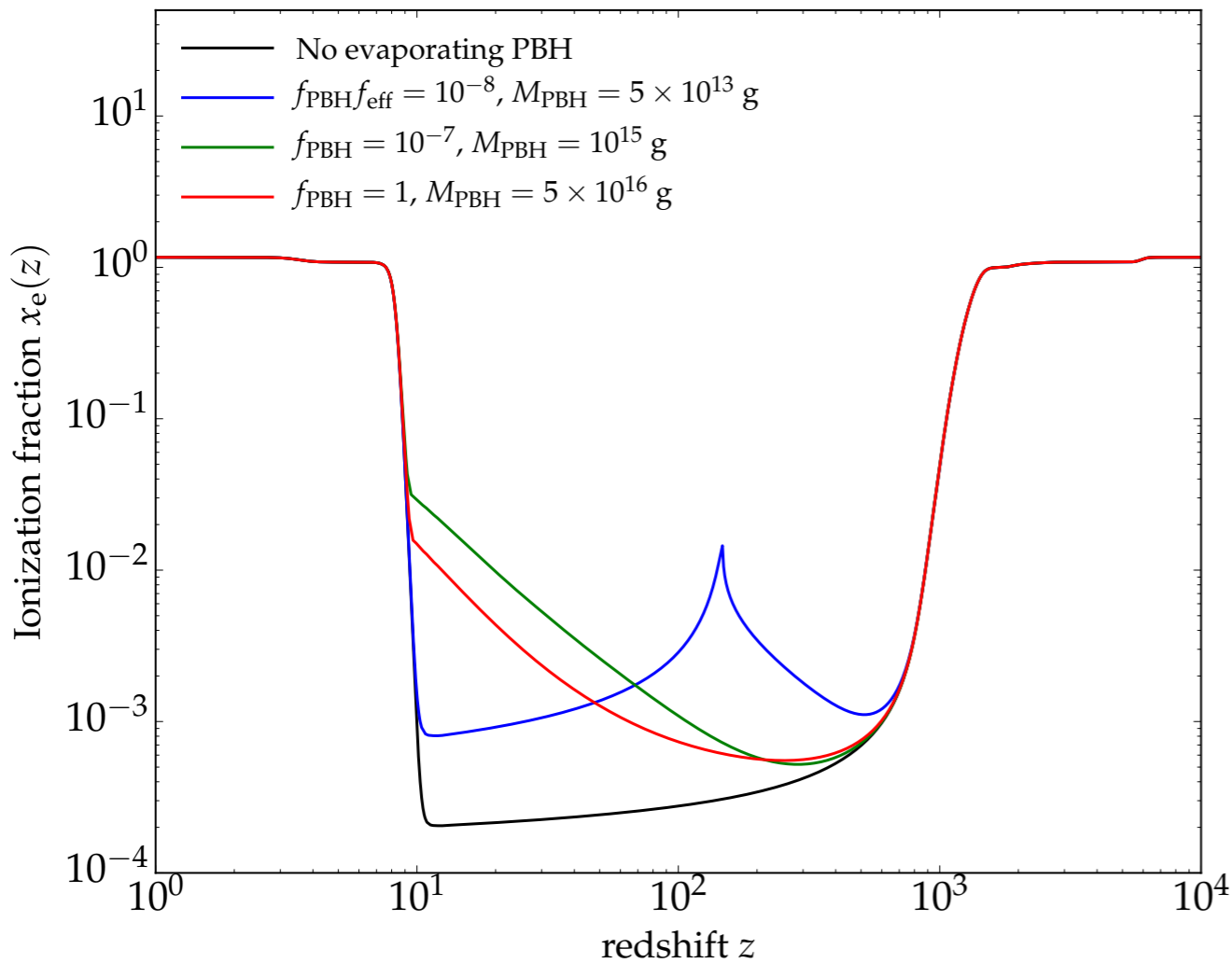


# Impact of evaporating PBH on the CMB

VP++2017

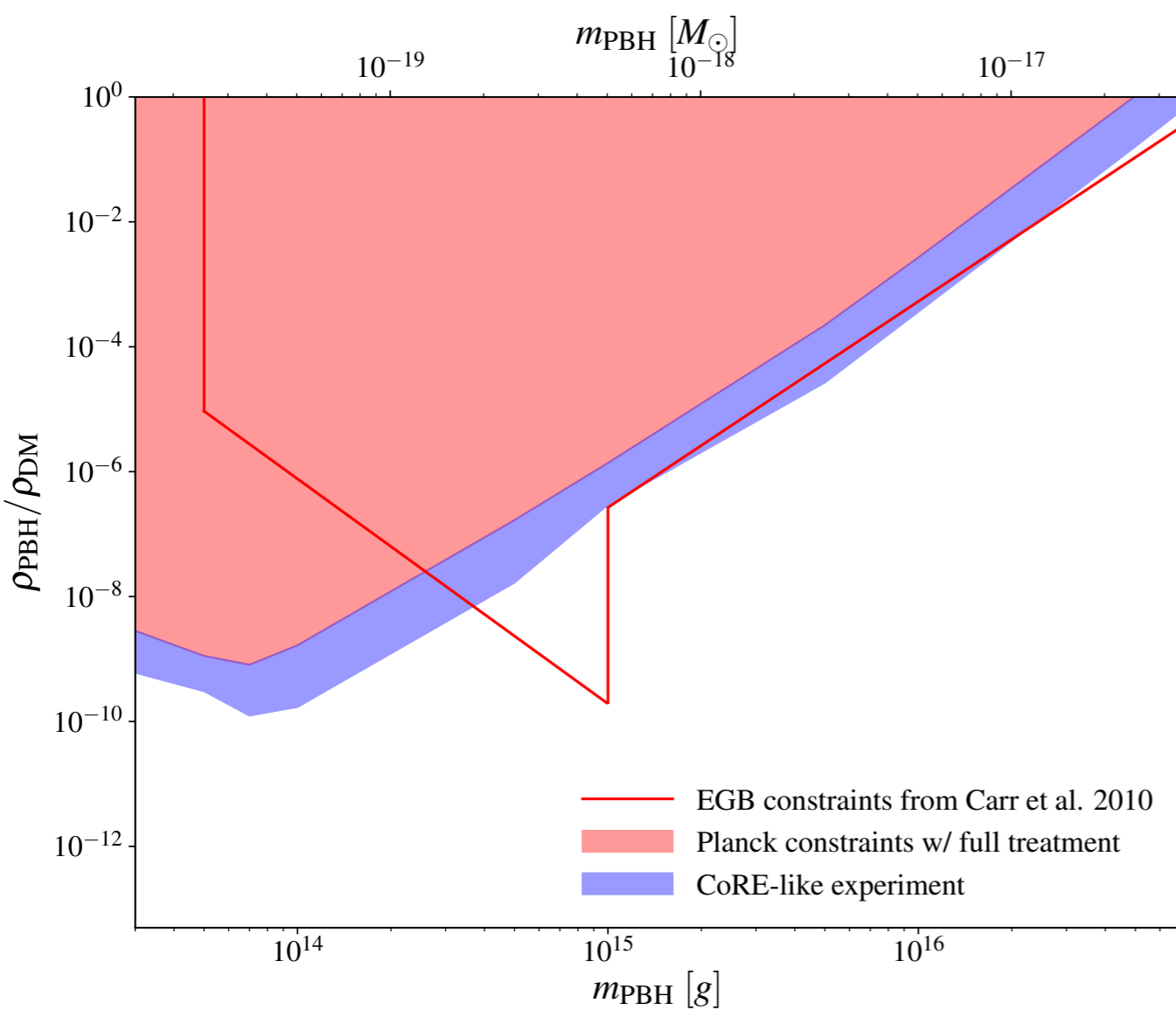
Stöcker++ 2018

Poulter++ 1907.06485

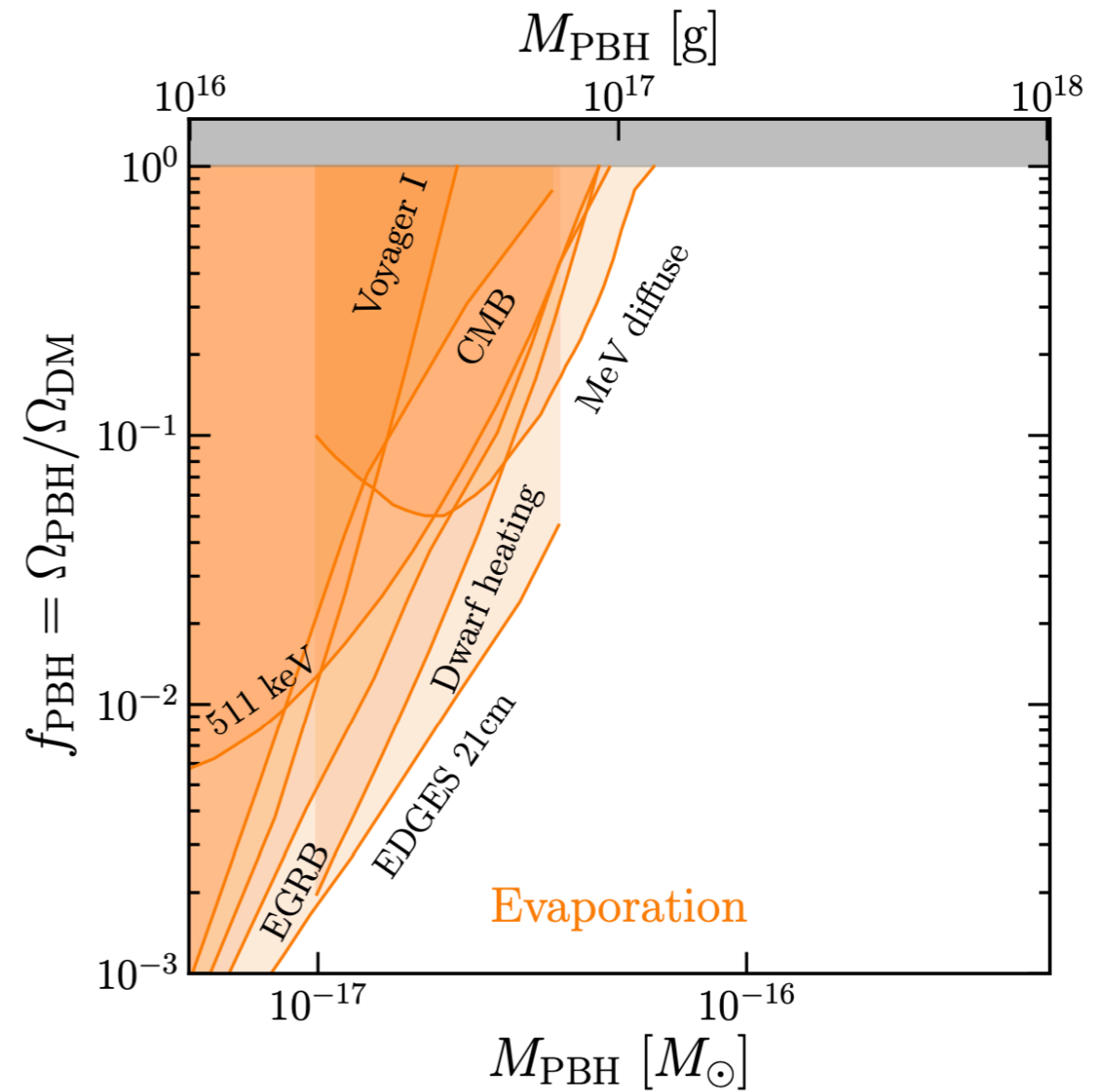
 $z_{\text{reio}} = 8.24$ 

- Effect is quite similar to that of accreting PBH unless mass is  $< 10^{15}$  g.

# Constraints on evaporating PBH



*Stocker++ 1801.01871*



*Green and Kavanagh 2007.10722*

● CMB largely dominates at low masses and can improve constraints in the future !

# Exercices!

- The numerical code is public: ExoCLASS

*Stocker++ 1801.01871*

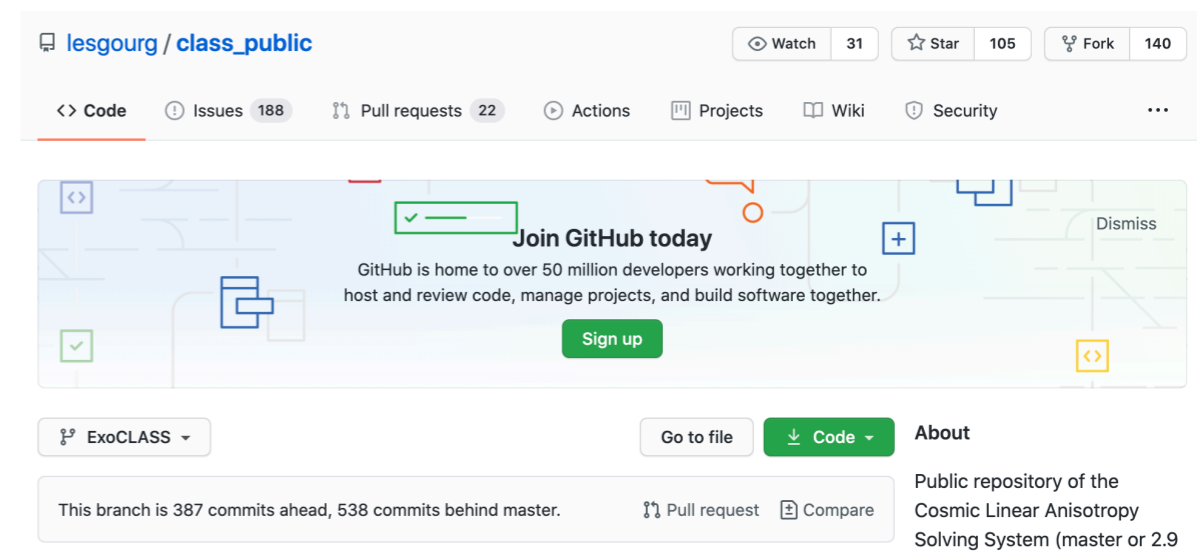
## Exotic energy injection with ExoCLASS: Application to the Higgs portal model and evaporating black holes

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<sup>b</sup>Department of Physics and Astronomy, Johns Hopkins University, Baltimore, MD 21218,  
USA.

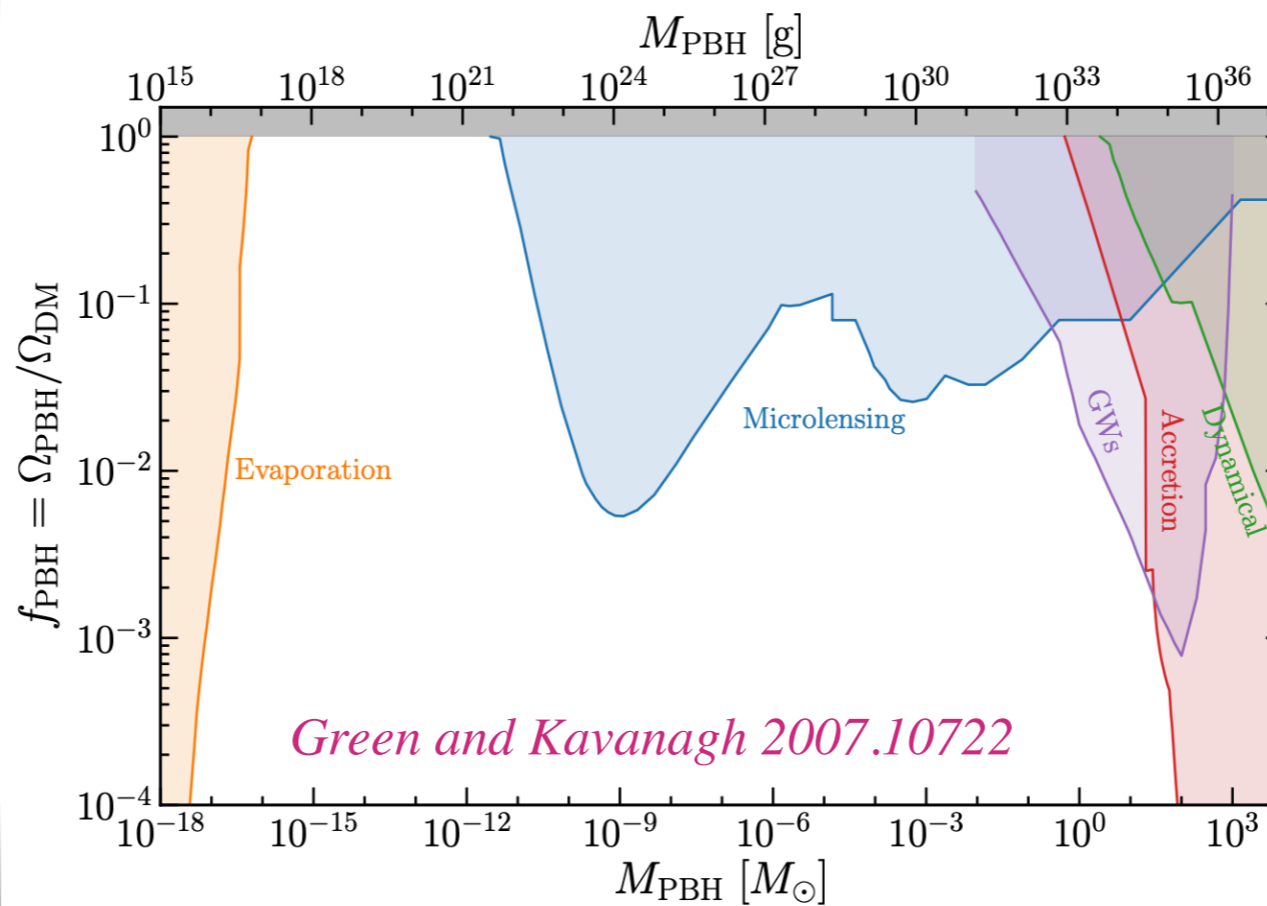
E-mail: [stoecker@physik.rwth-aachen.de](mailto:stoecker@physik.rwth-aachen.de), [mkraemer@physik.rwth-aachen.de](mailto:mkraemer@physik.rwth-aachen.de),  
[vpoulin@jhu.edu](mailto:vpoulin@jhu.edu), [lesgourg@physik.rwth-aachen.de](mailto:lesgourg@physik.rwth-aachen.de)



- Try to reproduce some of the figures presented previously.
- You can also study the effect of DM annihilations & decays.

PBH is a great DM candidate that can be probed in many ways:

- Cosmological probes are very powerful to look for electromagnetic signatures of PBH
- Accretion excludes PBH as 100 % DM for  $M_{\text{PBH}} \gtrsim 30 M_{\odot}$  (spherical) or  $M_{\text{PBH}} \gtrsim 1 M_{\odot}$  (disk)
- Evaporation excludes PBH as 100 % DM for  $M_{\text{PBH}} \lesssim 10^{17} \text{g}$
- Future 21 cm experiments increase tremendously the discovery potential
- Discovery of even a fraction of PBH as DM (SMBH?) could be the ‘silver bullet’ for WIMPs.
- There exists also model-dependent constraints depending PBH formation mechanism (e.g. GW background, spectral distortions...) not treated here.





Thanks for your attention!