

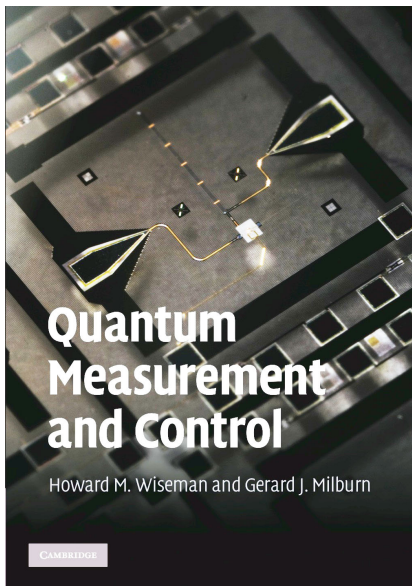
Adaptive Measurements for Profit and Pleasure

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Raisa I. Karasik, and Prahlad Warszawski

Queensland Quantum and Advanced Technologies Research Institute



Measurement and Control



- The obvious reason to combine **measurement** and **control** is **feedback**, to purposefully **change** the **average system evolution**.
- Non-trivial even classically.
- *cf.* **adaptive measurement** — **controlling** future **measurements** on the basis of the results of past ones, to **obtain better data**, leaving the **average system evolution unchanged**.
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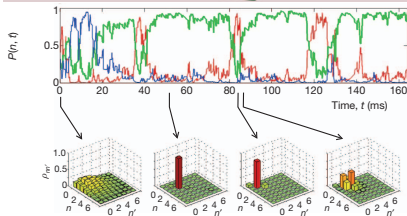
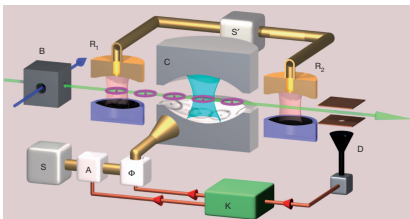
Measurement and Control

LETTER

doi:10.1038/nature10376

Real-time quantum feedback prepares and stabilizes photon number states

Clément Sayrin¹, Igor Dotsenko¹, Xingxing Zhou¹, Bruno Peaudecerf², Théo Rybarczyk³, Sébastien Gleyzes¹, Pierre Rouchon², Mazyar Mirrahimi³, Hadis Ahmadi³, Michel Brune¹, Jean-Michel Raimond¹ & Serge Haroche^{1,4}



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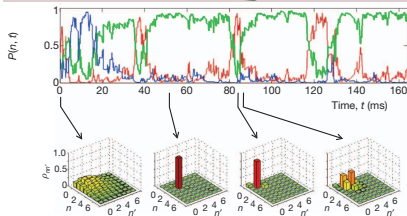
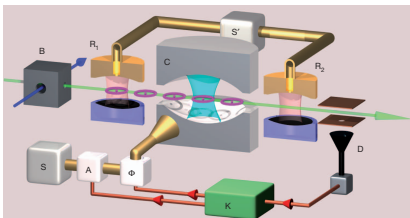
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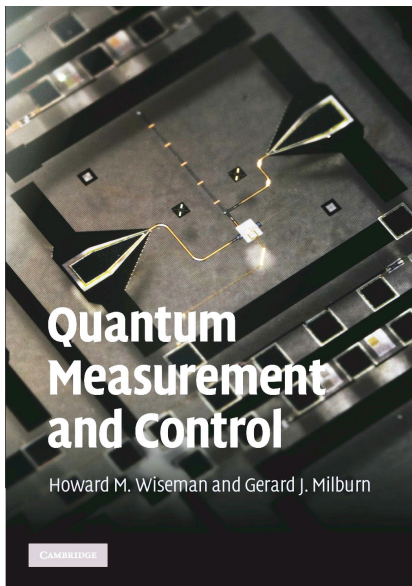
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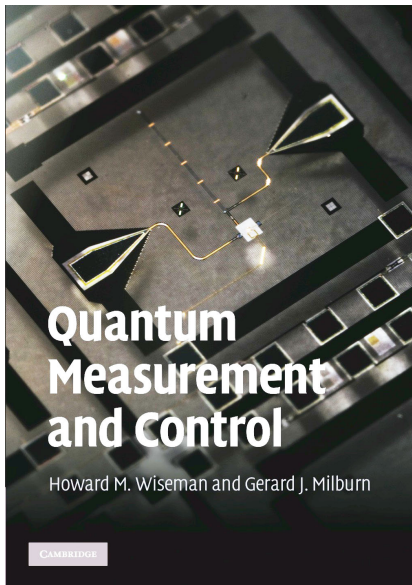
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- 1 Formally Defining Adaptive Measurements
- 2 Adaptive Measurements for Profit
 - Doing some things better
 - Doing some things *much* better
 - Doing some things *perfectly*
 - Doing some things *uniquely*
- 3 How Big a Brain does it take to Track an Open Quantum System?
 - Quantum Jumps: The Old Quantum Theory
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General Quantum Measurements

- For simplicity, restrict to *efficient* measurements (those that take pure states to pure states).
- A measurement \mathfrak{M} is described by a set $\{(r, \hat{M}_r) : r\}$ of *outcomes* and *measurement operators*.
- The unnormalized state *conditioned* on outcome r is $\tilde{\rho}'_r = \hat{M}_r \rho \hat{M}_r^\dagger$.
- The probability for result r is $P_r = \text{Tr}[\tilde{\rho}'_r] = \text{Tr}[\rho \hat{M}_r^\dagger \hat{M}_r]$.
- The only other restriction on \mathfrak{M} is $\sum_r \hat{M}_r^\dagger \hat{M}_r = I$.
- The *unconditioned* post-measurement state is $\rho' = \mathcal{T} \rho$, where $\mathcal{T} \bullet = \sum_r \hat{M}_r \bullet \hat{M}_r^\dagger$ is a CPTP map.
- A measurement is called *complete* if $\forall r \hat{M}_r = \hat{U}_r \hat{\pi}_r$, where $\hat{\pi}_r$ is a rank-one projector.

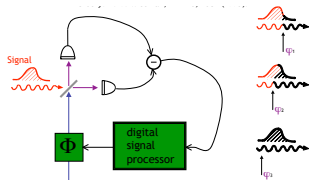
Adaptive Measurements

- For a complete measurement, $\tilde{\rho}'_r = M_r \rho M_r^\dagger \propto U_r \pi_r U_r^\dagger$, is determined solely by r .
 \implies later measurements give no more information about ρ .
- For *incomplete* measurements, making a second measurement may yield more information. And so on
- If the experimenter has:
 - 1 The ability to perform a *sequence* $\{\mathfrak{M}_n\}$ of *incomplete* measurements.
 - 2 *Restrictions* on the class of each measurement,
 - 3 But still with some *choice* as to what measurement to make at step n
 then the optimal choice for the second measurement will depend in general on the *result* of the first measurement, and so on.
- Making this so realizes an **adaptive measurement**.
- If the restrictions on \mathfrak{M}^n includes that \mathcal{T}^n is *fixed*, then the protocol is **purely** an **adaptive measurement**, as $\rho^N = \mathcal{T}^N \dots \mathcal{T}^1 \rho^0$.

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Adaptive Homodyne on Coherent States



1 single-shot *estimation of a fixed phase*

- **Theory** [HMW, *PRL* (1995)]: predicted improvement offered by adaptive measurement in V (i.e., MSE):

50% reduction in $V(\phi)$.

- **Expt** [Armen *et al.*, *PRL* (2002)]: measured 40% reduction in V .

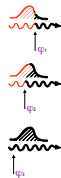
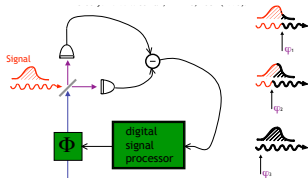
2 continuous *tracking of a diffusing phase*

- **Theory** [Berry & HMW, *PRA* (2002)]: predicted improvement

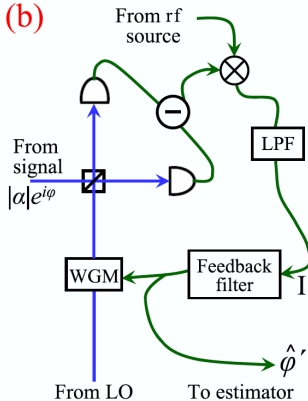
30% reduction in $V(\phi)$.

- **Expt** [Wheatley *et al.*, *PRL* (2010)]: measured 20% reduction in V .

Adaptive Homodyne on Coherent States



(b)



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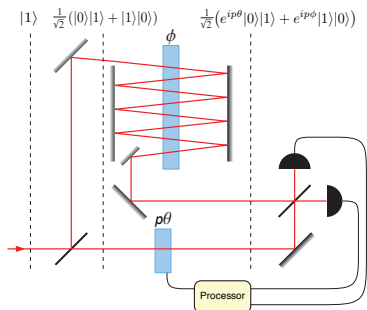
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Adaptive Single-photon Multipass Interferometry



- Fix $N = \#$ photon-passes.
- **Theory** and **Expt** [Higgins, Berry, Bartlett, HMW & Pryde, *Nature* (2007); *NJP* (2009)].
- Heisenberg limit:

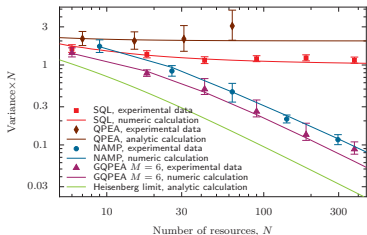
$$V \approx 10/N^2$$

- Best known nonadaptive scheme (2009)

$$V \approx 20/N^2.$$

- Best known adaptive scheme (2007)

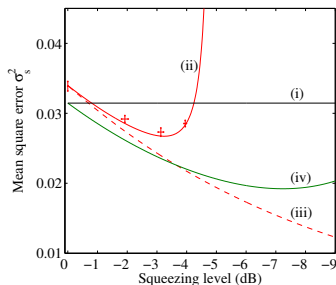
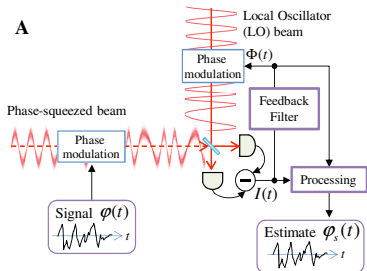
$$V \approx 15/N^2.$$



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Adaptive Homodyne *Tracking* on Squeezed States



- Allow *arbitrary squeezing* on a coherent beam, on which is imprinted a *diffusing phase* which is continuously *tracked*.
- **Theory** [Berry & HMW, *PRA* (2006, Erratum 2013)]:

$$V_{\text{heterodyne}} = O((\mathcal{N}/\kappa)^{-1/2})$$

$$V_{\text{adaptive}} = O((\mathcal{N}/\kappa)^{-2/3})$$

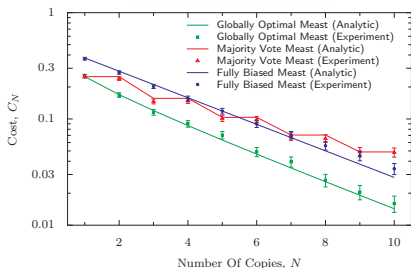
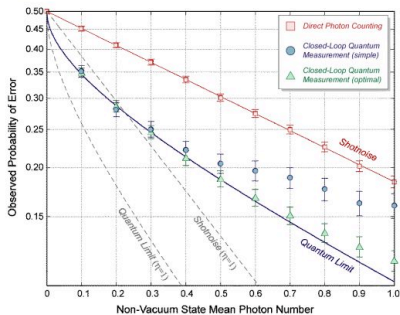
Here κ = diffusion rate, \mathcal{N} = photon flux (including flux due to squeezing).

- **Expt** [Yonezawa *et al.*, *Science* (2012)]: measured 15% reduction in V below the coherent-state-limit (for given \mathcal{N}), at optimal degree of squeezing.

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Helstrom-Limited Nonorthogonal State Discrimination



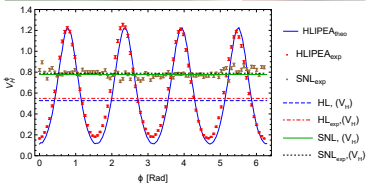
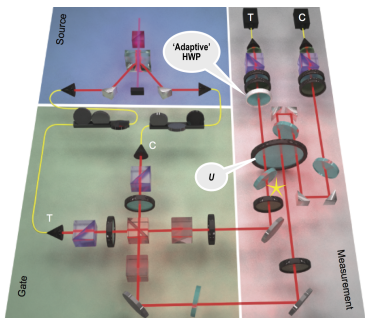
- 1 single-shot discrimination of $|\alpha\rangle, |0\rangle$ with minimal (Helstrom) error.

- Restricting to photon counting and displacement, *adaptive* displacement is necessary & sufficient.
- **Theory:** Dolinar, IBM (1973)
- **Xpt:** Cook, Martin & Geremia, Nature (2007).

- 2 Helstrom-limit discrim. of n -qubit product states $|\theta\rangle^{\otimes n}, |-\theta\rangle^{\otimes n}$.

- Restricting to single-qubit operations, *adaptive* measurements is necessary & sufficient.
- **Theory:** Acín *et al.*, PRA (2005)
- **Xpt:** Higgins *et al.*, PRL (2009).

Heisenberg-Limited Interferometry using Multiphoton Entanglement and Multipasses



- Fix the total number of photon-passes N .
- **Theory** [HMW *et al.*, *IEEE* (2009), based on Griffiths and Niu, *PRL* (1996)]: Asymptotically achieves $V_{\text{HL}} = \pi^2/N^2$.

For $N = 3$:

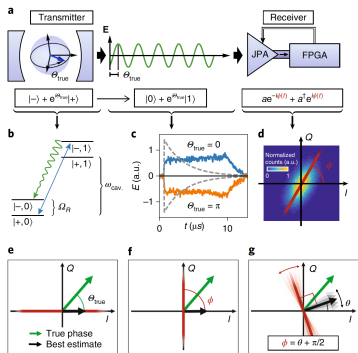
$$V_{\text{adaptive}} = V_{\text{HL}} \approx 0.53$$

$$V_{\text{nonadaptive}} \approx 0.65$$

- **Expt** [Daryanoosh, Slussarenko, Berry, HMW, Pryde, *Nature Comms.* (2018)]:

$$V_{\text{adaptive}} \approx 0.55$$

Perfect Phase Measurement in $\{|0\rangle, |1\rangle\}$ subspace



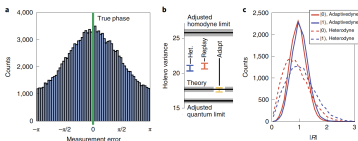
- Aim: projection onto canonical phase states $|\varphi\rangle = (|0\rangle + e^{i\varphi}|1\rangle)/\sqrt{2}$, using only homodyne measurement.
- **Theory** [HMW, *PRL* (1995)]:

$$2\pi P_{\text{rnd-homodyne}}(\varphi) = 0.80|\langle\varphi|\psi\rangle|^2 + 0.20$$

$$2\pi P_{\text{heterodyne}}(\varphi) = 0.88|\langle\varphi|\psi\rangle|^2 + 0.12$$

$$2\pi P_{\text{adaptive}}(\varphi) = 1.00|\langle\varphi|\psi\rangle|^2 + 0.00.$$

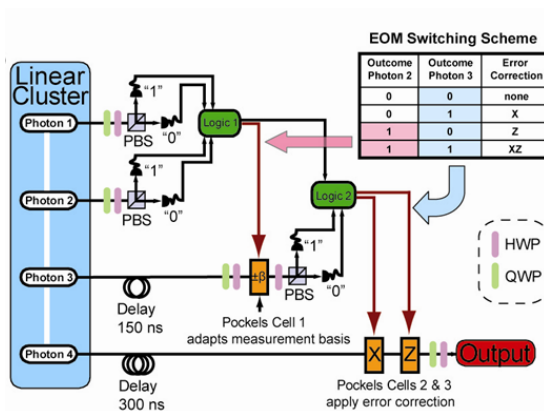
- **Experiment** [Martin, Livingston, Hacoen-Gourgy, HMW & Siddiqi, *Nature Phys.* (2020)]: measured 15% reduction in V below the measured heterodyne limit.



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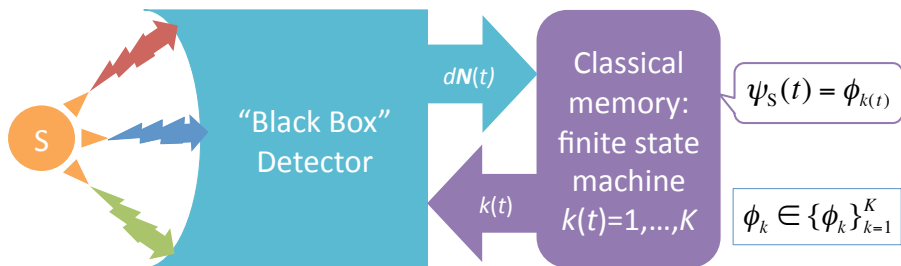
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Measurement-Based Quantum Computing



- **Theory:** Raussendorf and Briegel, *PRL* (2001).
- **Experiment:** Prevedel *et al.* (Vienna), *Nature* (2007).
- **Industry:** Ψ -Quantum, Xanadu, others.

Tracking an Open Quantum System with a Finite Classical Memory



Theory: Karasik and HMW, *PRL* (2011); *ibid.* *PRA* (2011).
Warszawski and HMW, *NJP* (2019); *ibid.*, *Quantum* (2019).

But this is **for Pleasure ...**

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The First Quantum Dynamics (Einstein, 1917)

On the quantum theory of Radiation

A. Einstein, Phys. Z. 18, 121 (1917).

2. Hypotheses on the radiative exchange of energy

Let Z_n and Z_m be two quantum-theoretically possible states of the gas molecule, whose energies are ε_n and ε_m , respectively, and satisfy the inequality $\varepsilon_m > \varepsilon_n$. Let us assume that the molecule is capable of a transition from state Z_n into state Z_m with an absorption of radiation energy $\varepsilon_m - \varepsilon_n$; that, similarly, the transition from state Z_m to state Z_n is possible, with emission of the same radiative energy. Let the radiation absorbed or emitted by the molecule have frequency ν which is characteristic for the index combination (m, n) that we are considering.

For the laws governing this transition, we introduce a few hypotheses which are obtained by carrying over the known situation for a Planck resonator in classical theory to the as yet unknown one in quantum theory.

(a) *Emission of radiation.* According to Hertz, an oscillating Planck resonator radiates energy in the well-known way, regardless of whether or not it is excited by an external field. Correspondingly, let us assume that a molecule may go from state Z_m to a state Z_n and emit radiation energy $\varepsilon_m - \varepsilon_n$ with frequency ν , without excitation from external causes. Let the probability dW for this to happen during the time interval dt , be

$$dW = A_m^n dt, \quad (\text{A})$$

where A_m^n is a constant characterising the index combination under consideration.

$$P(Z_m, t + dt | Z_n, t) = \kappa_{mn} dt$$

$$\kappa_{mn} = (N_m^n + 1)A_m^n \text{ for } \varepsilon_m > \varepsilon_n$$

$$\kappa_{mn} = N_m^n A_m^n \text{ for } \varepsilon_m < \varepsilon_n$$

$$N_{mn} = N_{\text{Planck}}(\varepsilon_m - \varepsilon_n)$$

Classical master equation:

$$\dot{p}_m = \sum_n \kappa_{mn} (p_n - p_m).$$

Ergodic (unique steady state):

$$\lim_{t \rightarrow \infty} p_n(t) = p_{\text{Boltzmann}}(\varepsilon_n)$$

Bohr's and Einstein's Quantum Jumps

“The passing of the systems between different stationary states ... cannot be treated [using] ordinary mechanics ... [and] is followed by the emission of a homogeneous radiation, for which $[\Delta E = h\nu]$. [This] is in obvious contrast to the ordinary ideas of electrodynamics, but appears necessary in order to account for the experimental facts. (Bohr, 1913).

“The weakness of the theory [is] that it leaves the moment and direction of the elementary processes to ‘chance’.” (Einstein, 1917).

- The transitions may be stochastic, but they correspond to **physical events**: absorption from, or emission into, the radiation bath.
- Thus the state Z_n of the atom at any time is knowable in principle by **monitoring** the bath.
- If the atom can be approximated as having finitely many (D) levels, then a finite (D -state) classical memory is all that is required to keep track of the atomic state.

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Unravelling Quantum Master Equations

- If we **ignore the bath** then even if both system and bath are initially pure, the system state will **decohere**:

$$|\Psi(0)\rangle = |\phi(0)\rangle_{\text{env}} \otimes |\psi(0)\rangle_{\text{sys}} \rightarrow |\Psi(t)\rangle = \exp(-i\hat{H}_{\text{tot}}t) |\Psi(0)\rangle$$

$$(\text{pure}) |\psi(0)\rangle_{\text{sys}} \rightarrow \rho_{\text{sys}}(t) = \text{Tr}_{\text{env}}[|\Psi(t)\rangle\langle\Psi(t)|] \text{ (mixed)}$$

- If the Born-Markov approximation is valid, $\rho_{\text{sys}}(t)$ obeys a **master equation** of the Lindblad form:

$$\dot{\rho}(t) = \mathcal{L}\rho(t) \equiv [-i\hat{H}, \rho] + \sum_{\ell=1}^L \mathcal{D}[\hat{c}_{\ell}]\rho.$$

- If it is valid *then* it is also the case that the bath can be measured repeatedly, on a time scale which is short compared to the interesting system evolution, *without invalidating the master equation*.
- This is called **monitoring** the system. If the monitoring is perfect, then this produces a stochastic *pure conditioned* system state $|\psi_c(t)\rangle$:

$$\text{E}[|\psi_c(t)\rangle\langle\psi_c(t)|] = \rho(t) = \exp(\mathcal{L}t)|\psi(0)\rangle\langle\psi(0)|.$$

Rediscovering quantum jumps

- Consider the master equation with a single decoherence channel:

$$\rho(t + dt) = \rho(t) - i[\hat{H}, \rho(t)]dt + [\hat{c}\rho(t)\hat{c}^\dagger - \frac{1}{2}\hat{c}^\dagger\hat{c}\rho(t) - \frac{1}{2}\rho(t)\hat{c}^\dagger\hat{c}]dt.$$

- If $\rho(t) = |\psi(t)\rangle\langle\psi(t)|$ then this can be rewritten to $O(dt)$ as

$$P_0(dt)|\psi_0(t + dt)\rangle\langle\psi_0(t + dt)| + P_1(dt)|\psi_1(t + dt)\rangle\langle\psi_1(t + dt)|,$$

where

$$|\psi_0(t + dt)\rangle = \left(1 - i\hat{H}dt - \frac{1}{2}\hat{c}^\dagger\hat{c}dt\right) |\psi(t)\rangle / \sqrt{P_0(dt)}$$

$$|\psi_1(t + dt)\rangle = \sqrt{dt}\hat{c}|\psi(t)\rangle / \sqrt{P_1(dt)}$$

$$P_1(dt) = 1 - P_0(dt) = \langle\psi(t)|\hat{c}^\dagger\sqrt{dt}\sqrt{dt}\hat{c}|\psi(t)\rangle$$

- $P_1(dt) = O(dt) \implies$ “1” events are non-null “detections”.

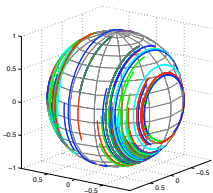
$|\psi_0(t + dt)\rangle \approx |\psi(t)\rangle$ (no detection \implies smooth evolution)

$|\psi_1(t + dt)\rangle \not\approx |\psi(t)\rangle$ (detection \implies quantum jump).

General Properties of Conditional Evolution

Unlike the quantum jumps in Einstein's thermal equilibrium model,

- 1 The post-jump $|\psi_1(t + dt)\rangle$ depends on the pre-jump $|\psi(t)\rangle$
- 2 Jumps don't take you to an orthogonal state: $\langle\psi_1(t + dt)|\psi(t)\rangle \neq 0$
- 3 Even with no jump, you don't stay fixed: $|\psi_0(t + dt)\rangle \neq |\psi(t)\rangle$



In general, the long-time *conditioned state* $|\psi_c(t)\rangle$ explores some manifold within Hilbert space, so

$$\rho_{ss} = \int d\mu_{ss}(\phi) |\phi\rangle\langle\phi|.$$

Thus, even for a D -dimensional Hilbert space, a classical memory of *infinite* size would be required to keep track of which $|\phi\rangle$ pertains.

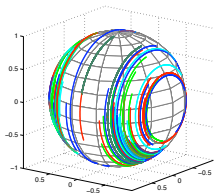
Question

Can we *control* the way the system jumps (without changing the average evolution), so that it is restricted to **finitely many states**?

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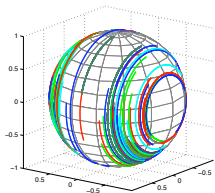
Question

Can we *control* the way the system jumps (without changing the average evolution), so that it is restricted to **finitely many states**?

General Properties of Conditional Evolution

Unlike the quantum jumps in Einstein's thermal equilibrium model,

- 1 The post-jump $|\psi_1(t + dt)\rangle$ depends on the pre-jump $|\psi(t)\rangle$
- 2 Jumps don't take you to an orthogonal state: $\langle\psi_1(t + dt)|\psi(t)\rangle \neq 0$
- 3 Even with no jump, you don't stay fixed: $|\psi_0(t + dt)\rangle \neq |\psi(t)\rangle$



In general, the long-time *conditioned state* $|\psi_c(t)\rangle$ explores some manifold within Hilbert space, so

$$\rho_{ss} = \int d\mu_{ss}(\phi) |\phi\rangle\langle\phi|.$$

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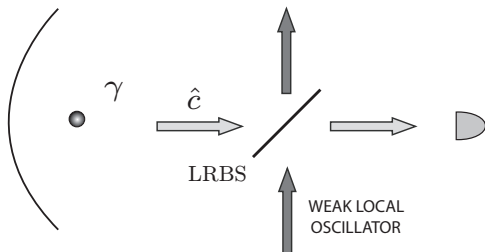
Can we *control the way the system jumps (without changing the average evolution)*, so that it is restricted to **finitely many states**?

Adaptive Monitoring

- Because the dynamics is Markovian, the **average system dynamics** $\dot{\rho} = \mathcal{L}\rho$ is unchanged by any processing of the system output fields prior to detection (it is just a change of basis).
- In quantum optics terms, we can put the output fields through a passive interferometer, also introducing local oscillator fields.
- To attain all possible unravellings, it is necessary to process the output fields **adaptively**. That is, the monitoring scheme chosen at time t is determined by the record prior to time t .

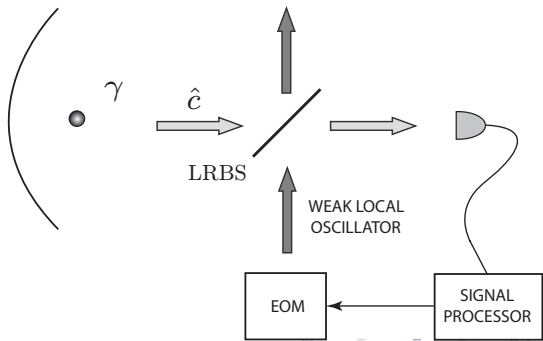
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Not all Ensembles are Physically Realizable

- Restrict to *ergodic* master equations: $\rho_{ss} = \lim_{t \rightarrow \infty} e^{\mathcal{L}t} \rho(0)$.
- We say that an ensemble $\{|\phi_k\rangle\}_{k=1}^K$ **represents** ρ_{ss} iff

$$\exists \text{ positive weights } \{\wp_k\} \text{ such that } \rho_{ss} = \sum_{k=1}^K \wp_k |\phi_k\rangle\langle\phi_k|.$$
- We say that an ensemble $\{|\phi_k\rangle\}_{k=1}^K$ is **physically realizable (PR)** in steady-state if there exists a way (which could be **adaptive**) to **monitor** the bath such that, *for all long times* t , $|\psi_c(t)\rangle = |\phi_k\rangle$ for some k .
- Theorem** (Wiseman & Vaccaro, *PRL* (2001)): the ensemble $\{|\phi_k\rangle\}_{k=1}^K$ is physically realizable in s.s. iff there exists $\kappa_{jk} > 0$:

$$\mathcal{L}|\phi_j\rangle\langle\phi_j| = \sum_k \kappa_{jk} (|\phi_k\rangle\langle\phi_k| - |\phi_j\rangle\langle\phi_j|).$$

- For a typical \mathcal{L} , many ensembles $\{|\phi_k\rangle\}_{k=1}^K$ that represent ρ_{ss} are **not PR**.
- In particular, for a typical master equation, the $K = D$ diagonal ensemble $\rho_{ss}|\phi_k\rangle = \wp_k|\phi_k\rangle$ is **not a PRE**.

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The Key Question (Wiseman & Karasik, *PRL*, 2011)

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Given \mathcal{L} , what is K_{\min} , the smallest possible K ? **How big a brain is needed to keep track of the pure state of an open quantum system?**

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The Bloch Representation

- For a qubit we use the Bloch or $SU(2)$ representation,

$$\rho = \frac{1}{2} (I + x\hat{\sigma}_x + y\hat{\sigma}_y + z\hat{\sigma}_z).$$

- Then defining $\mathbf{r} = (x, y, z)^\top$, $\dot{\rho} = \mathcal{L}\rho$ becomes

$$\dot{\mathbf{r}} = \mathbf{A}\mathbf{r} + \mathbf{b},$$

where we require \mathbf{A} to be Hurwitz so that $\mathbf{r}_{ss} = -\mathbf{A}^{-1}\mathbf{b}$.

- We seek a PR ensemble $\{\mathbf{r}_k\}_{k=1}^K$. That is $K^2 - K$ rates $\kappa_{jk} > 0$ and K 3-vectors \mathbf{r}_k satisfying

$$\forall k \quad \mathbf{r}_k \cdot \mathbf{r}_k = 1$$

$$\forall j \quad \mathbf{A}\mathbf{r}_j + \mathbf{b} = \sum_{k=1}^K \kappa_{jk} (\mathbf{r}_k - \mathbf{r}_j).$$

- This is $4K$ quadratic equations in $K^2 + 2K$ unknowns. Solutions may exist for $K \geq 2$ but for arbitrary K it is still NP-complete.

Two-State Jumping ($K = 2$)

- For $K = 2$ the dynamical constraints imply

$$\mathbf{A}(\mathbf{r}_1 - \mathbf{r}_2) = -(\kappa_{12} + \kappa_{21})(\mathbf{r}_1 - \mathbf{r}_2).$$

- Lemma** If \mathbf{A} is 3×3 and Hurwitz then it has at least one real, negative eigenvalue. That is, $\exists \mathbf{u} : \mathbf{A}\mathbf{u} = -\lambda\mathbf{u}$, $\lambda < 0$.
- Theorem** There always exists a two-state jumping solution

$$\mathbf{r}_1 = \mathbf{r}_{ss} + \varepsilon_1 \mathbf{u}$$

$$\mathbf{r}_2 = \mathbf{r}_{ss} - \varepsilon_2 \mathbf{u}$$

$$\kappa_{12} = \wp_2 |\lambda| ; \quad \wp_2 = \frac{\varepsilon_1}{\varepsilon_1 + \varepsilon_2}$$

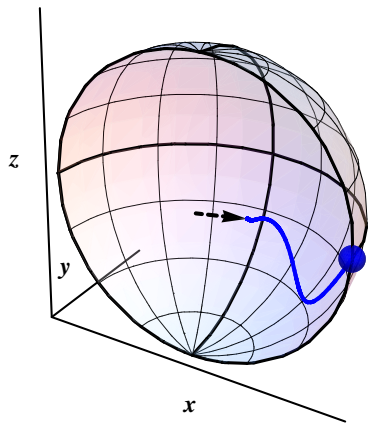
$$\kappa_{21} = \wp_1 |\lambda| ; \quad \wp_1 = \frac{\varepsilon_2}{\varepsilon_1 + \varepsilon_2}$$

- This hold regardless of the number of jump operators $\{\hat{c}_l\}_{l=1}^L$.

Example: Resonance Fluorescence

$$\mathcal{L}\rho = \mathcal{D}\left[\frac{\hat{\sigma}_x - i\hat{\sigma}_y}{2}\right]\rho - i\left[\frac{\Omega}{2}\hat{\sigma}_x, \rho\right]$$

$$\Omega=1$$



- $\forall \Omega$, \mathbf{r}_{ss} is the $x = 0$ plane and

$$A \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = -\frac{1}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}.$$

- Thus there is a symmetric solution

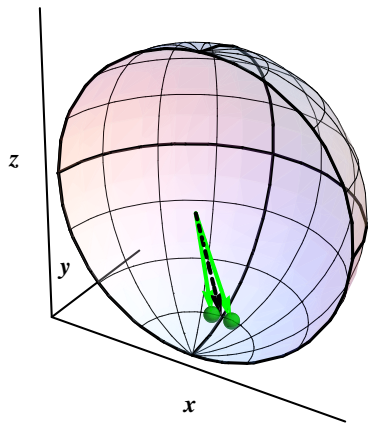
$$\mathbf{r}_{\pm} = \mathbf{r}_{ss} \pm \epsilon \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}.$$

- For $|\Omega| < 0.25$, other $K = 2$ ensembles exist.
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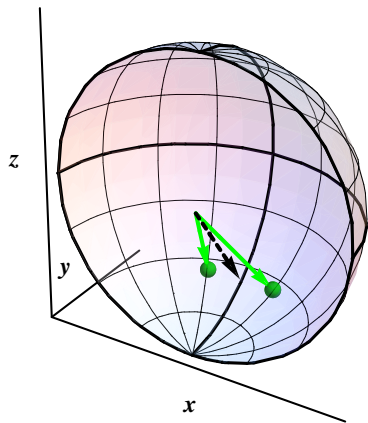
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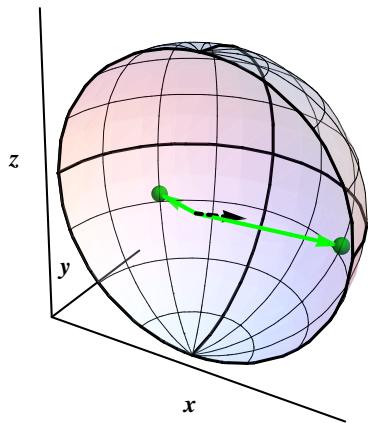
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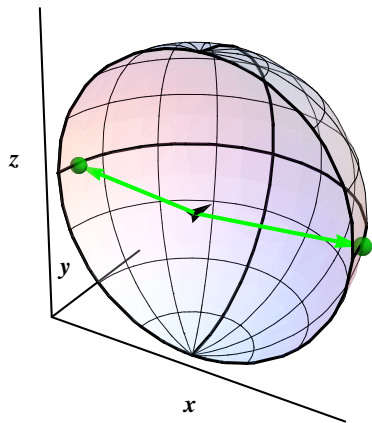
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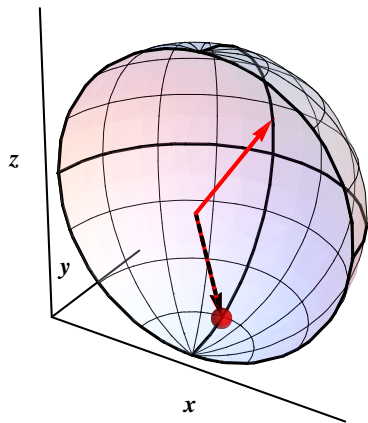
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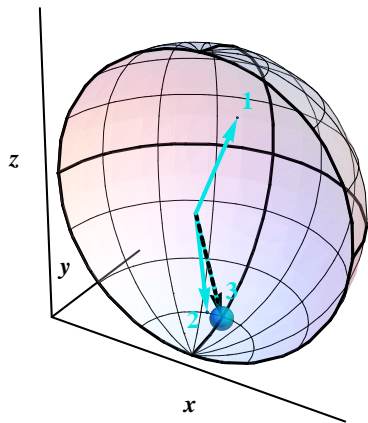
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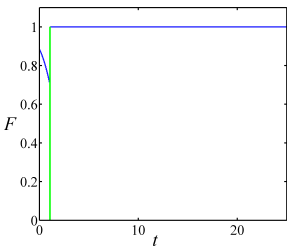
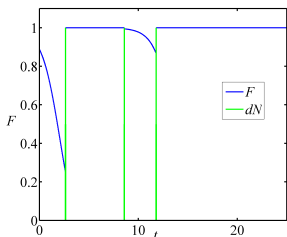
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Stability. (Karasik & Wiseman, *PRA*, 2011.)



- We have *analytically proven the mean square stability* of *all* the $K = 2$ and $K = 3$ schemes presented. That is,

$$\lim_{t \rightarrow \infty} \text{Expected} [|\langle \psi_c(t) | \phi_{k(t)} \rangle|^2] = 1,$$

with $k(t)$ a function of the **record** alone.

- However, *some* of these schemes have deterministically *unstable* stages.
- Even those that are piecewise deterministically stable can suffer a drop in fidelity upon a jump.
- Proving the stability of *all* finite- K schemes for an arbitrary system is an open problem.

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Revisiting the Counting Argument

- Recall: finding a PRE means solving the “Wiseman–Vacarro equation”

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for an ensemble $\{|\phi_k\rangle\}_{k=1}^K$ and positive rates $\{\kappa_{jk}\}$.

- Karasik–Wiseman: $K_{\min} = (D - 1)^2 + 1$ to expect solutions.
- Warszawski & HMW, *Quantum* (2019) revisited this, but now taking into account the number L of Lindblad operators.
- By a much more complicated parameter-counting argument, we claim that, iff $L < D - 1$, there is a correction to Karasik–Wiseman:

$$K_{\min} = (D - 1)^2 + 1 + (2D - 2L - 1).$$

- Note that still $(D - 1)^2 + 1 \leq K_{\min} \leq D^2 - 1$.
- But if \mathcal{L} has dynamical symmetries, this may reduce K_{\min} .

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$$\forall j \mathcal{L}|\phi_j\rangle\langle\phi_j| = \sum_{k=1}^K \kappa_{jk} (|\phi_k\rangle\langle\phi_k| - |\phi_j\rangle\langle\phi_j|).$$

for an ensemble $\{|\phi_k\rangle\}_{k=1}^K$ and positive rates $\{\kappa_{jk}\}$.

- Karasik–Wiseman: $K_{\min} = (D - 1)^2 + 1$ to expect solutions.
- Warszawski & HMW, *Quantum* (2019) revisited this, but now taking into account the number L of Lindblad operators.
- By a much more complicated parameter-counting argument, we claim that, iff $L < D - 1$, there is a correction to Karasik–Wiseman:

$$K_{\min} = (D - 1)^2 + 1 + (2D - 2L - 1).$$

- Note that still $(D - 1)^2 + 1 \leq K_{\min} \leq D^2 - 1$.
- But if \mathcal{L} has dynamical symmetries, this may reduce K_{\min} .

Questions we posed

- Q1 Are there MEs for which $K > D$ is provably necessary for a PRE?
- Q2 Is an ensemble size of $K = (D - 1)^2 + 1$ (as suggested by Karasik–Wiseman) provably inadequate for some systems?
- Q3 Does the refined parameter counting heuristic reliably predict whether PREs are feasible for a ME of a given form?
 - Q3a Does the heuristic accurately predict the impossibility of PREs when the number of parameters is less than the number of constraints? (i.e. for ensembles smaller than the determined threshold?)
 - Q3b Does the heuristic accurately predict the possibility of PREs when the number of parameters is equal to the number of constraints?
 - Q3c Does the heuristic accurately predict the necessity of PREs when the number of parameters is equal to the number of constraints?

Answer 1

Q1 Are there MEs for which $K > D$ is provably necessary for a PRE?

- Yes.
- Hence **open quantum systems can be harder to track than open classical systems.**
- Our investigation was done for a random selection of 20 MEs in $D = 3$.
- For each of these MEs, we obtained a computational proof that $K = D$ PREs cannot exist.
- This was in the form of a Hilbert Nullstellensatz certificate of infeasibility for the equations governing PREs¹.
- This was expected from the Karasik–Wiseman argument that $K \geq (D - 1)^2 + 1$ is required.

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Answer 2

Q2 Is an ensemble size of $K = (D - 1)^2 + 1$ (as suggested by Karasik–Wiseman) provably inadequate for some systems?

- Yes.
- Our investigation was carried out for a random selection of 10 MEs in $D = 3$ with $L = 1$ Lindblad.
- For each of these MEs, we obtained a computational proof (Hilbert Nullstellensatz) that PREs with $K = 5$ cannot exist.
- This is as expected from Warszawski–Wiseman’s refined parameter counting argument, which says that, in this case, $K_{\min} = 8$, in contrast to Karasik–Wiseman’s $K_{\min} = 5$.
- Hence **our refined parameter counting argument is supported.**

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Answer 3

Q3 Does the refined (Warszawski–Wiseman) parameter counting heuristic reliably predict whether PREs are feasible for a ME of a given form?

- It seems that way.

Q3a The non-existence of PREs when $K < K_{\min}$ is supported (Q2).

Q3b To look for PREs when $K = K_{\min}$ beyond $D = 2$ we have to simplify our system by introducing *symmetry*.

- Restricting to **re3its**, our argument says $K_{\min} = 4$ (which is < 5).
- From 80 randomly selected MEs, we found $K = 4$ PREs for 6 of them, using extended polynomial homotopy continuation methods.

Q3c We were able to find PREs in 100% of cases with $K > K_{\min}$.

- But we were restricted to $D = 2$, because the difficulty of finding PREs scales $\sim \exp(D^4)$.
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Outline

- 1 Formally Defining Adaptive Measurements
- 2 Adaptive Measurements for Profit
 - Doing some things better
 - Doing some things *much* better
 - Doing some things *perfectly*
 - Doing some things *uniquely*
- 3 How Big a Brain does it take to Track an Open Quantum System?
 - Quantum Jumps: The Old Quantum Theory
 - Quantum Jumps: The Modern Understanding
 - Physically Realizable Ensembles
 - For a qubit, a two-state classical memory is all it takes ...
 - A Fuller Answer
- 4 Conclusion
 - Summary and Questions

Adaptive Measurements for Profit

- **Adaptive measurements** comprise a form of **measurement-based control**, which is distinct from **feedback** and which has **no analogue classically for perfect measurements**.
- They have countless quantum applications, and **many experimental demonstrations, including**
 - Phase estimation
 - static phase for fixed mean photon number \bar{n} (coherent or **squeezed**)
 - static phase for fixed maximum photon number n (especially $n = 1$)
 - dynamic (diffusing) phase for fixed photon flux over diffusion rate \mathcal{N}/κ (coherent or **squeezed**)
 - static interferometric phase for fixed photon-passes M (single photon or **entangled**)
 - State discrimination of a fixed number of non-orthogonal states.
 - Measurement-based quantum computing.

Adaptive Monitoring for Pleasure

- In semiclassical models (e.g. Einstein's) a D -level open quantum system jumps between the D levels. That is, an observer can **keep track of the state** using a K -state classical memory with $K = D$.
- For a general ergodic Markovian open quantum system:
 - With a generic **monitoring scheme**, it is necessary to store real numbers (i.e. the classical memory size $K \rightarrow \infty$).
 - By allowing for all possible (in particular, **adaptive**) **monitoring schemes**, a finite K should always be sufficient.
 - But by a counting argument, typically $K_{\min} = O(D^2)$.
- For $D = 2$ (a qubit), $K = 2$ (one classical bit) is always sufficient.
- For $D = 3$ we have proven that $K = 3$ is insufficient in general.

\implies To keep track of an open quantum system you need a bigger brain than you would for an open classical system of the same size.

Any Questions?

- e.g.* Given a physically realizable ensemble, can you explicitly construct the (adaptive) monitoring scheme that realizes it?
- e.g.* Does this generalize to discrete-time evolution (CP-maps)?
- e.g.* What does it mean to consider all adaptive monitorings?
- e.g.* What about the Schrödinger-HJW theorem?
- e.g.* Do these finite-state PREs by adaptive unravellings have any uses?

The Controllable Parameters

- The master equation $\dot{\rho} = \sum_{l=1}^L \mathcal{D}[\hat{c}_l]\rho - \mathcal{C}[i\hat{H}]\rho$ is invariant under $\{\hat{c}_l\} \rightarrow \{\hat{c}'_m\}$ and $\hat{H} \rightarrow \hat{H}'$,

$$\hat{c}'_m = \sum_{l=1}^L S_{ml} \hat{c}_l + \beta_m, \quad \hat{H}' = \hat{H} - \frac{i}{2} \sum_{m=1}^M \frac{1}{2} (\beta_m^* \hat{c}'_m - \beta_m \hat{c}'_m^\dagger).$$

Here S is a semi-unitary *matrix* i.e. $\sum_{m=1}^M S_{l'm}^* S_{ml} = \delta_{l',l}$.

- Unravelling the master equation $\dot{\rho} = \mathcal{L}\rho$ as

$$\rho + d\rho = dt \sum_{m=1}^M \mathcal{J}[\hat{c}'_m]\rho + \left(1 - dt \mathcal{C}[i\hat{H}' + \frac{1}{2} \sum_{m=1}^M \hat{c}'_m \hat{c}'_m^\dagger]\right) \rho$$

gives **different conditional evolution**, with the **same** average ρ_{ss} .

- In quantum optics, S_{ml} describes an interferometer, while β_m describes adding local oscillators before detection.
- For K -state jumping we need K of these: S_{ml}^k and β_m^k , with k chosen **adaptively**, and with $M \leq \max\{K - 1, L\}$.

Keeping track is not the same as Finding

- **Schrödinger-HJW theorem:** If $\rho_{ss} = \text{Tr}_{\text{bath}}[|\Psi_{\text{entangled}}\rangle\langle\Psi_{\text{entangled}}|]$ then for all pure state weighted ensembles (*not* necessarily orthogonal)

$$\{\wp_b|\phi_b\rangle\langle\phi_b|\}_{b=1}^B \text{ such that } \rho_{ss} = \sum_{b=1}^B \wp_b|\phi_b\rangle\langle\phi_b|,$$

there exists a bath **POVM** $\{\hat{E}_b\}_{b=1}^B$ such that for

$$\wp_b|\phi_b\rangle\langle\phi_b| = \text{Tr}_{\text{field}}[|\Psi_{\text{entangled}}\rangle\langle\Psi_{\text{entangled}}|\hat{E}_b].$$

- Does this mean that if one can attain all possible **monitorings**, one can attain all possible ensembles representing ρ_{ss} , including the diagonal one $\rho_{ss}|\phi_b\rangle = \wp_b|\phi_b\rangle$, $b = 1 \dots D$? **No!**
- Monitoring means keeping track of the state $|\psi_c(t)\rangle$ for all t .
- The Schrödinger-HJW theorem applies to **finding** the system to be in a state $|\phi_b\rangle$ at one particular long-time t .

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The Quantum Optical Theory of Radiation

- Master equation in the Interaction Frame:

$$\dot{\rho} = \sum_{n,m=1}^D \kappa_{mn} \{ \mathcal{J}[|\varepsilon_n\rangle\langle\varepsilon_m|] - \mathcal{C}[\frac{1}{2}|\varepsilon_m\rangle\langle\varepsilon_m|] \} \rho.$$

where $\mathcal{J}[\hat{a}]\rho \equiv \hat{a}\rho\hat{a}^\dagger$, $\mathcal{C}[\hat{b}]\rho \equiv \hat{b}\rho - \rho\hat{b}^\dagger$

- $\implies \rho_{ss} = \sum_{m=1}^D P_{\text{Boltzmann}}(\varepsilon_m) |\varepsilon_m\rangle\langle\varepsilon_m|$, where $\langle\varepsilon_m|\varepsilon_n\rangle = 0$.
- Say $\rho(t) = |\varepsilon_o\rangle\langle\varepsilon_o|$. Then

$$\begin{aligned} \rho(t+dt) &= \rho(t) + dt\dot{\rho}(t) \\ &= \sum_{n,m=1}^D \kappa_{mn} dt \mathcal{J}[|\varepsilon_n\rangle\langle\varepsilon_m|] \rho(t) + \left[1 - \sum_{n,m=1}^D \kappa_{mn} dt \mathcal{C}[\frac{1}{2}|\varepsilon_m\rangle\langle\varepsilon_m|] \right] \rho(t) \\ &= \sum_{n=1}^D \kappa_{on} dt |\varepsilon_n\rangle\langle\varepsilon_n| + \left[1 - \sum_{n=1}^D \kappa_{on} dt \right] |\varepsilon_o\rangle\langle\varepsilon_o| \\ &= \sum_{n=1}^D dP_{\text{jump}}(o \rightarrow n) |\varepsilon_n\rangle\langle\varepsilon_n| + \left[1 - \sum_{n=1}^D dP_{\text{jump}}(o \rightarrow n) \right] |\varepsilon_o\rangle\langle\varepsilon_o|. \end{aligned}$$