

Lattice studies of three-dimensional super-Yang–Mills

David Schaich (University of Liverpool)



Nonperturbative and Numerical Approaches
to Quantum Gravity, String Theory and Holography

International Centre for Theoretical Sciences, Bangalore, 29 August 2022

[arXiv:2010.00026](https://arxiv.org/abs/2010.00026)

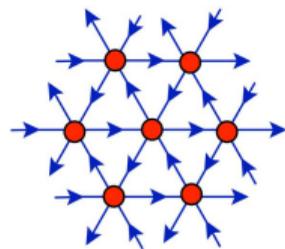
[arXiv:2201.08626](https://arxiv.org/abs/2201.08626)

[arXiv:2208.03580](https://arxiv.org/abs/2208.03580)

and more to come with R. G. Jha, A. Joseph, A. Sherletov & T. Wiseman

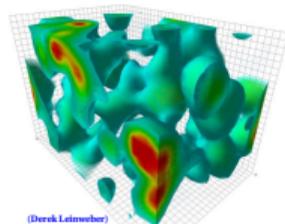
Overview and plan

Three dimensions is a promising frontier
for practical lattice studies of supersymmetric QFTs



Twisted lattice super-Yang–Mills (SYM) brief review

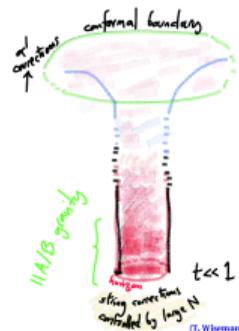
Recent work: $Q = 16$ SYM and dual D2-branes



Ongoing work: $Q = 16$ SYM phase diagram

$Q = 8$ SYM & 2d quiver super-QCD

Interaction encouraged — complete coverage unnecessary



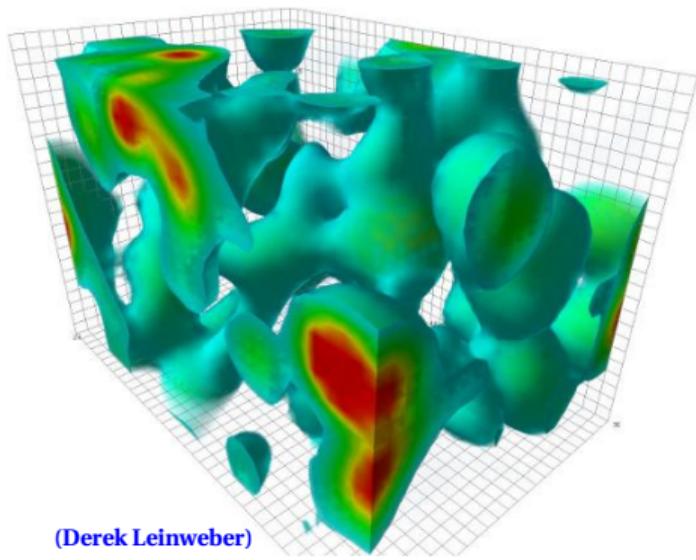
Motivations

Lattice field theory promises first-principles predictions
for strongly coupled supersymmetric QFTs

BSM

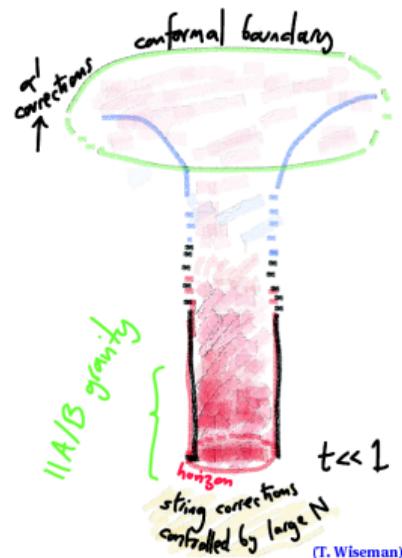


QFT



(Derek Leinweber)

Holography



(T. Wiseman)

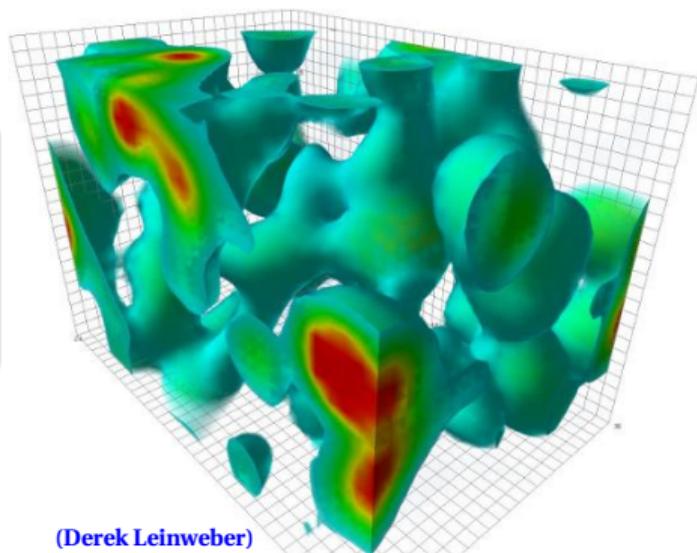
Motivations

Lattice field theory promises first-principles predictions
for strongly coupled supersymmetric QFTs

Three dimensions

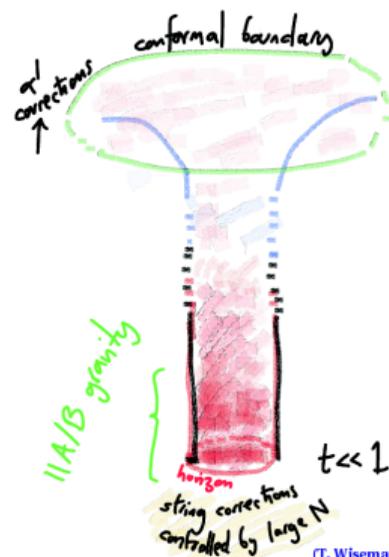
Rich field theory
and holographic
dynamics

QFT



(Derek Leinweber)

Holography



(T. Wiseman)

More manageable computational costs

Results to be shown, and work in progress

use importance sampling to evaluate up to \sim crore-dimensional integrals

(Dirac operator as $\sim 10^7 \times 10^7$ matrix)

Significant computational resources required

Many thanks to USQCD-DOE, DiRAC-STFC-UKRI, and computing centres!



USQCD @Fermilab

David Schaich (Liverpool)



DiRAC @Cambridge

3d lattice SYM



Barkla @Liverpool

ICTS Bangalore, 29 August 2022

3 / 20

Supersymmetry must be broken on the lattice

Supersymmetry is a space-time symmetry, $(I = 1, \dots, \mathcal{N})$
adding spinor generators Q_α^I and $\bar{Q}_{\dot{\alpha}}^I$ to translations, rotations, boosts

$\{Q_\alpha^I, \bar{Q}_{\dot{\alpha}}^J\} = 2\delta^{IJ}\sigma_{\alpha\dot{\alpha}}^\mu P_\mu$ broken in discrete space-time
→ relevant susy-violating operators

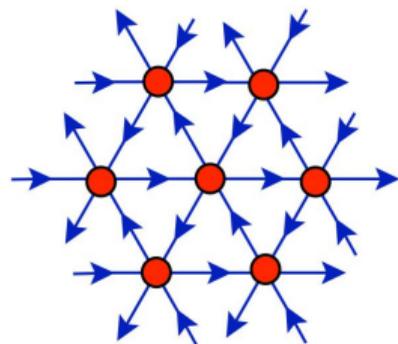


Supersymmetry need not be *completely* broken on the lattice

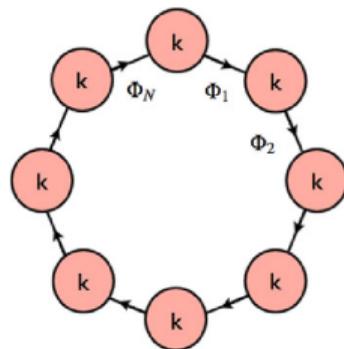
Preserve susy sub-algebra in discrete lattice space-time

⇒ correct continuum limit with little or no fine tuning

Equivalent constructions from ‘topological’ twisting and dim’l deconstruction



Review:
Catterall–Kaplan–Ünsal,
[arXiv:0903.4881](https://arxiv.org/abs/0903.4881)



Need $Q = 2^d$ supersymmetries in d dimensions

$d = 3$ → super-Yang–Mills (SYM) with $Q = 8$ or (maximal) $Q = 16$

3d maximal SYM in a nutshell

May be easiest to grok as dimensional reduction of 4d $\mathcal{N} = 4$ SYM

All fields massless and in adjoint rep. of $SU(N)$ gauge group

4d: Gauge field A_μ plus 6 scalars ϕ^{IJ}

$\mathcal{N} = 4$ four-component fermions $\Psi^I \longleftrightarrow$ 16 supersymmetries Q_α^I and $\bar{Q}_{\dot{\alpha}}^I$

Global $SU(4) \sim SO(6)$ R symmetry

3d: Gauge field A_μ plus 7 scalars ϕ

$\mathcal{N} = 8$ two-component fermions $\Psi \longleftrightarrow$ 16 supersymmetries

Global $\text{Spin}(7, \mathbb{C}) \sim SO(8) \supset SO(4) \sim SU(2) \times SU(2)$ R symmetry

Symmetries relate kinetic, Yukawa and ϕ^4 terms \longrightarrow single coupling $\lambda = g^2 N$

Twisting 3d maximal SYM

May be easiest to grok as dim'l reduction of 4d twisted $\mathcal{N} = 4$ SYM [2002.10517]

$$\{\mathcal{Q}, \mathcal{Q}_a, \mathcal{Q}_{ab}\} \longrightarrow \{\mathcal{Q}, \mathcal{Q}_0, \mathcal{Q}_\mu, \mathcal{Q}_{0\mu}, \mathcal{Q}_{\mu\nu}\}$$

$$\{\eta, \psi_a, \chi_{ab}\} \longrightarrow \{\eta, \eta_0, \psi_\mu, \psi_{0\mu}, \chi_{\mu\nu}\}$$

$$\{\mathcal{U}_a, \bar{\mathcal{U}}_a\} \longrightarrow \{\phi, \bar{\phi}, \mathcal{U}_\mu, \bar{\mathcal{U}}_\mu\}$$

with $\mu, \nu = 1, \dots, 4$

Twisted rotation group now

$$\mathrm{SO}(3)_{\mathrm{tw}} \equiv \mathrm{diag} \left[\mathrm{SO}(3)_{\mathrm{euc}} \otimes \mathrm{SO}(3)_R \right]$$

$$\mathrm{SO}(3)_R \subset \mathrm{SO}(4)_R$$

Two closed supersymmetry sub-algebras in discrete space-time

$$\{\mathcal{Q}, \mathcal{Q}\} = 2\mathcal{Q}^2 = 0$$

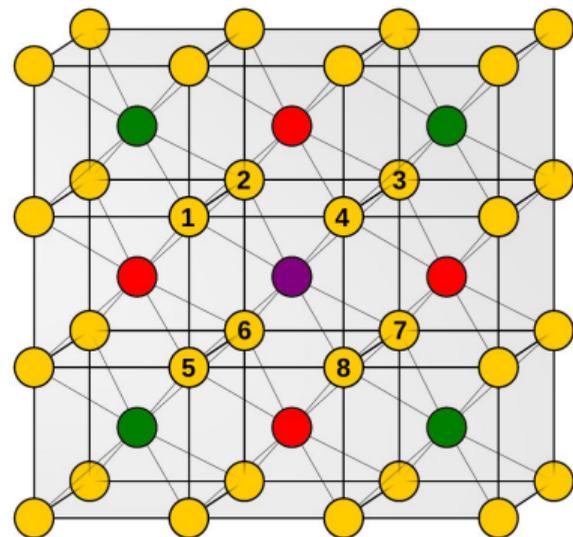
$$\{\mathcal{Q}_0, \mathcal{Q}_0\} = 2\mathcal{Q}_0^2 = 0$$

Four links in three dimensions $\longrightarrow A_3^*$ lattice

A_3^* (body-centered cubic) lattice
from dimensional reduction of 4d A_4^* lattice

Basis vectors linearly dependent and non-orthogonal

Large S_4 point group symmetry
 \longrightarrow continuum limit without fine-tuning



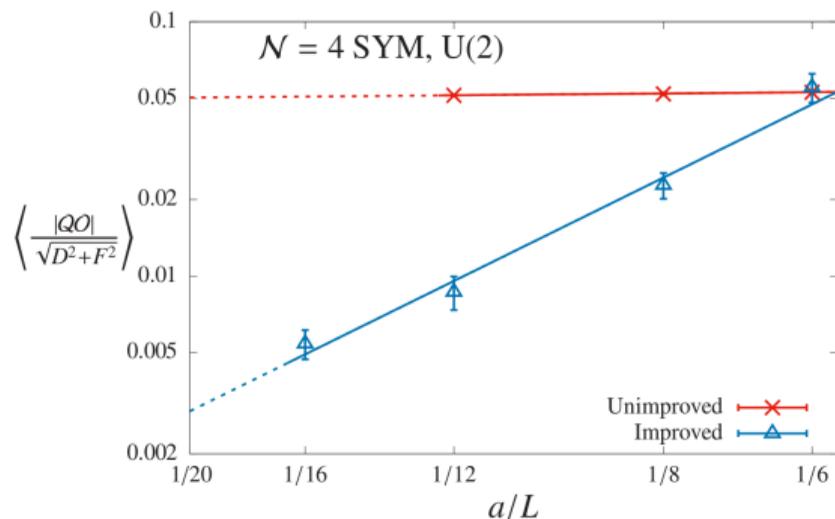
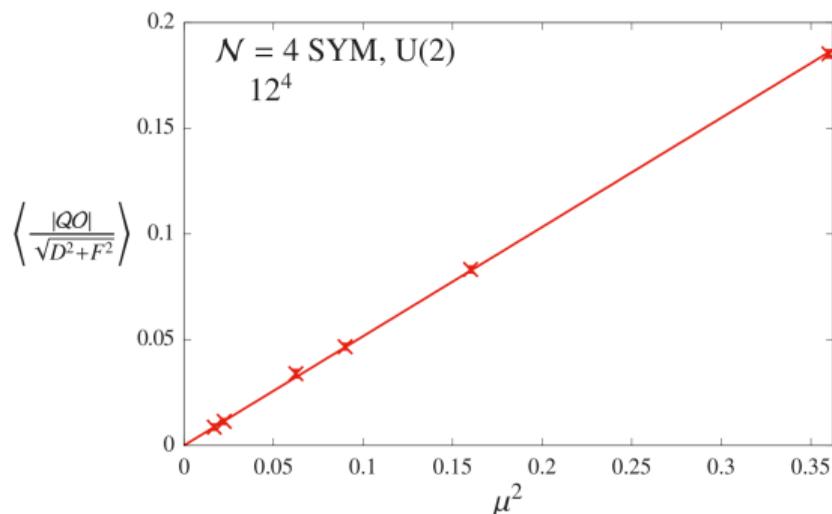
msestudent.com/body-centered-cubic-bcc-unit-cell

Numerical calculations require regulating zero modes and flat directions
and stabilizing dimensional reduction

Deformations to stabilize lattice calculations

Recall soft \mathcal{Q} -breaking $SU(N)$ scalar potential $\propto \mu^2 \sum_a \text{Tr} \left[(\mathcal{U}_a \bar{\mathcal{U}}_a - \mathbb{I}_N)^2 \right]$
and supersymmetric $U(1)$ constraint $\sim G \sum_{a < b} (\det \mathcal{P}_{ab} - 1)$

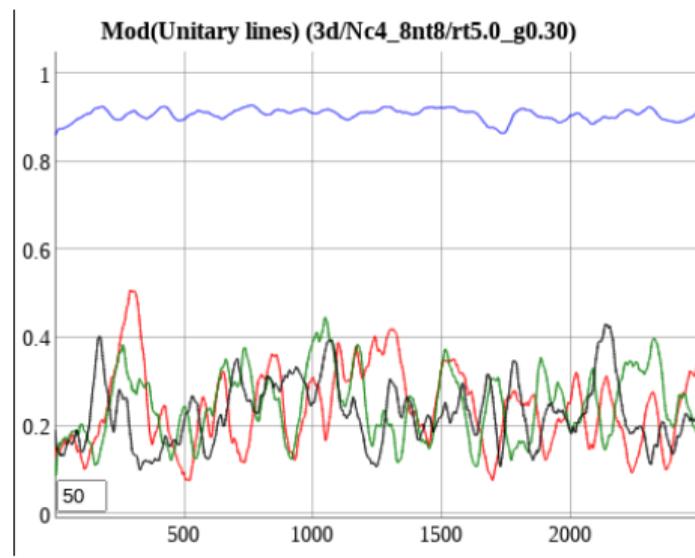
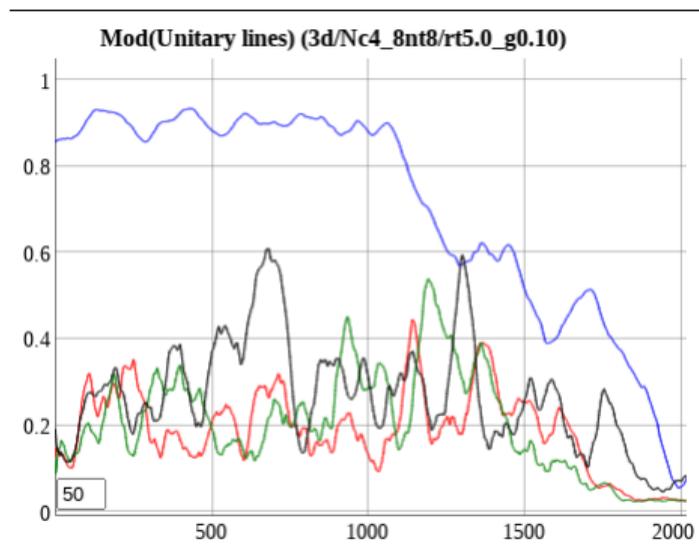
Monitor \mathcal{Q} restoration via Ward identity violations $\langle \text{Tr} \mathcal{Q} [\eta \mathcal{U}_a \bar{\mathcal{U}}_a] \rangle \neq 0$



Deformations to stabilize lattice calculations

Enable naive dimensional reduction (4d code with $N_x = 1$)

Potential $\propto \mu^2 \text{Tr} \left[(\varphi - \mathbb{I}_N)^\dagger (\varphi - \mathbb{I}_N) \right]$ to break center symmetry in reduced dir
→ Kaluza–Klein reduction rather than Eguchi–Kawai



Public code for supersymmetric lattice field theories

so that the full improved action becomes

$$\begin{aligned} S_{\text{imp}} &= S'_{\text{exact}} + S_{\text{closed}} + S'_{\text{soft}} \tag{18} \\ S'_{\text{exact}} &= \frac{N}{4\lambda_{\text{lat}}} \sum_n \text{Tr} \left[-\bar{\mathcal{F}}_{ab}(n) \mathcal{F}_{ab}(n) - \chi_{ab}(n) \mathcal{D}_{[a}^{(+)} \psi_{b]}(n) - \eta(n) \bar{\mathcal{D}}_a^{(-)} \psi_a(n) \right. \\ &\quad \left. + \frac{1}{2} \left(\bar{\mathcal{D}}_a^{(-)} \mathcal{U}_a(n) + G \sum_{a \neq b} (\det \mathcal{P}_{ab}(n) - 1) \mathbb{I}_N \right)^2 \right] - S_{\text{det}} \\ S_{\text{det}} &= \frac{N}{4\lambda_{\text{lat}}} G \sum_n \text{Tr} [\eta(n)] \sum_{a \neq b} [\det \mathcal{P}_{ab}(n)] \text{Tr} [\mathcal{U}_b^{-1}(n) \psi_b(n) + \mathcal{U}_a^{-1}(n + \hat{\mu}_b) \psi_a(n + \hat{\mu}_b)] \\ S_{\text{closed}} &= -\frac{N}{16\lambda_{\text{lat}}} \sum_n \text{Tr} \left[\epsilon_{abcde} \chi_{de}(n + \hat{\mu}_a + \hat{\mu}_b + \hat{\mu}_c) \bar{\mathcal{D}}_c^{(-)} \chi_{ab}(n) \right], \\ S'_{\text{soft}} &= \frac{N}{4\lambda_{\text{lat}}} \mu^2 \sum_n \sum_a \left(\frac{1}{N} \text{Tr} [\mathcal{U}_a(n) \bar{\mathcal{U}}_a(n)] - 1 \right)^2 \end{aligned}$$

$\gtrsim 100$ inter-node data transfers in the fermion operator — non-trivial

Public parallel code github.com/daschaich/susy [arXiv:1410.6971]

actively developed for improved performance and new applications

Formulate on $r_1 \times r_2 \times r_\beta$ (skewed) 3-torus

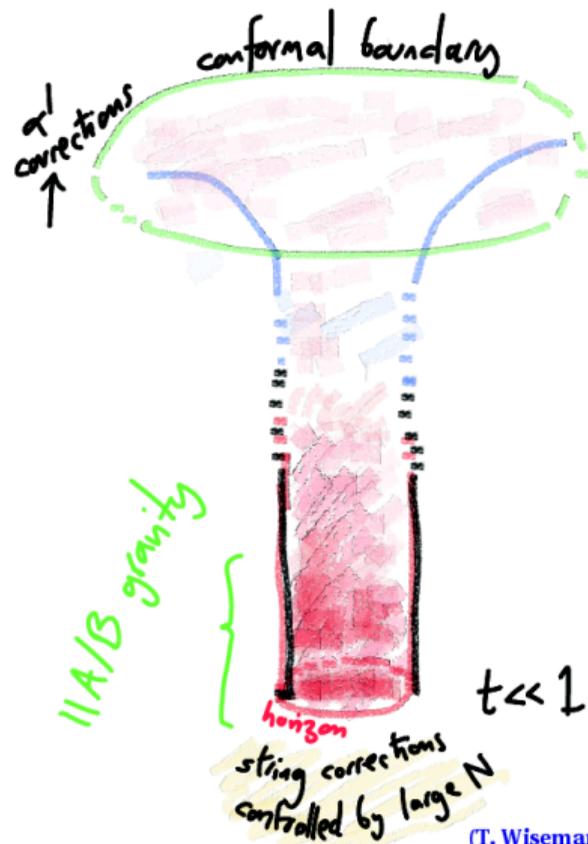
Thermal boundary conditions

→ dimensionless temperature $t = \frac{T}{\lambda} = \frac{1}{r_\beta}$

Low temperatures t at large N



Black branes in dual supergravity



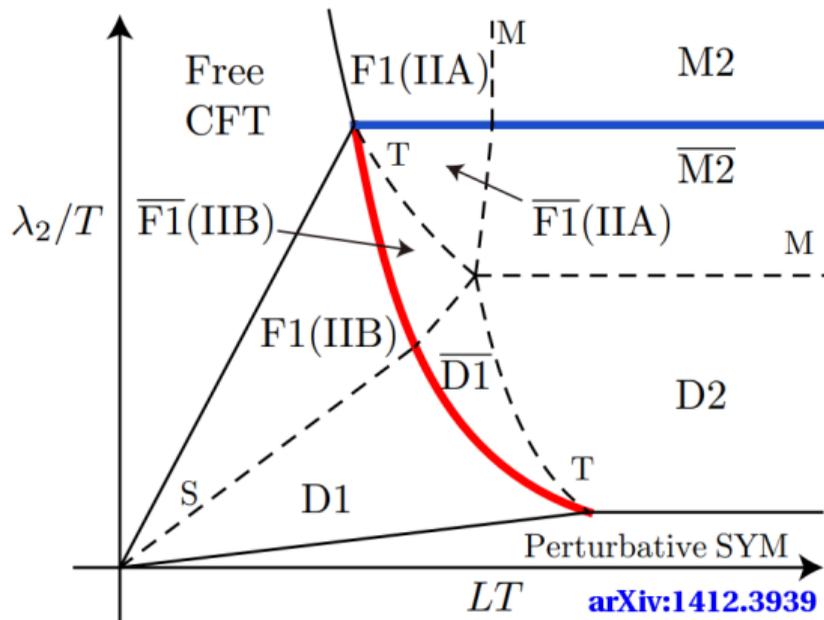
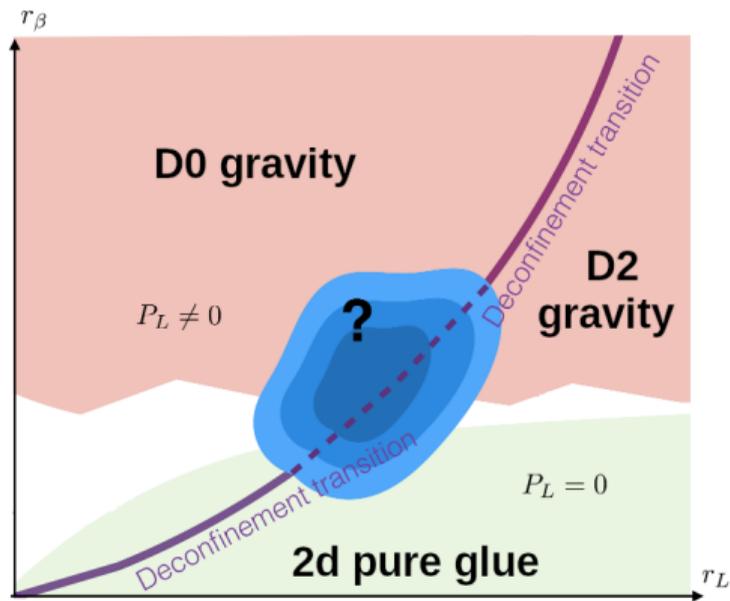
(T. Wiseman)

Holographic expectations for 3d maximal SYM

Rich holographic phase diagram, especially when $r_1 \neq r_2$

Left: $r_1 = r_2$

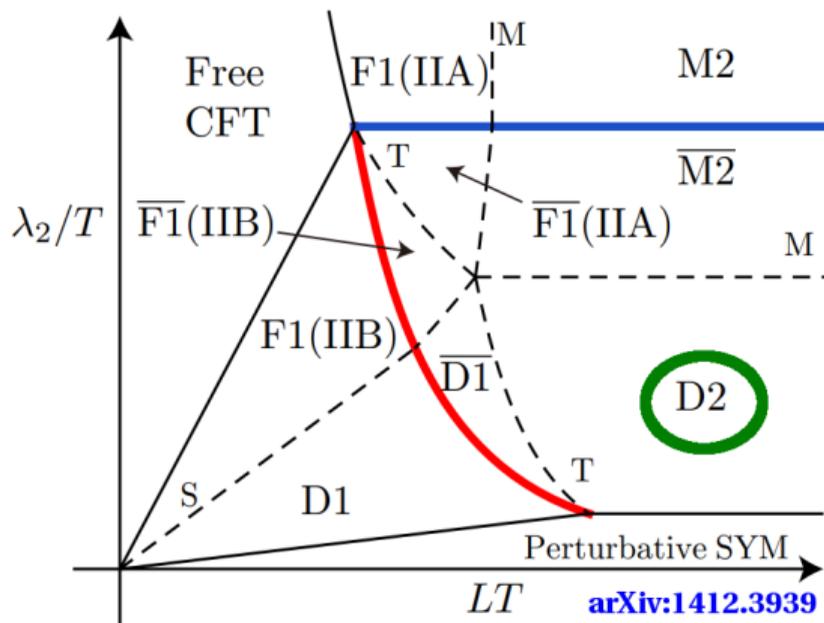
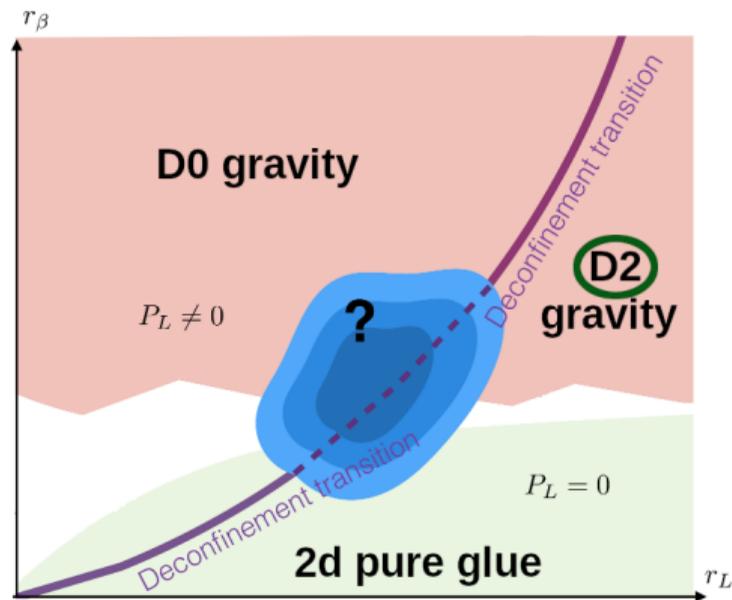
Right: $r_1 = \infty, r_2 = L\lambda$



Holographic expectations for 3d maximal SYM

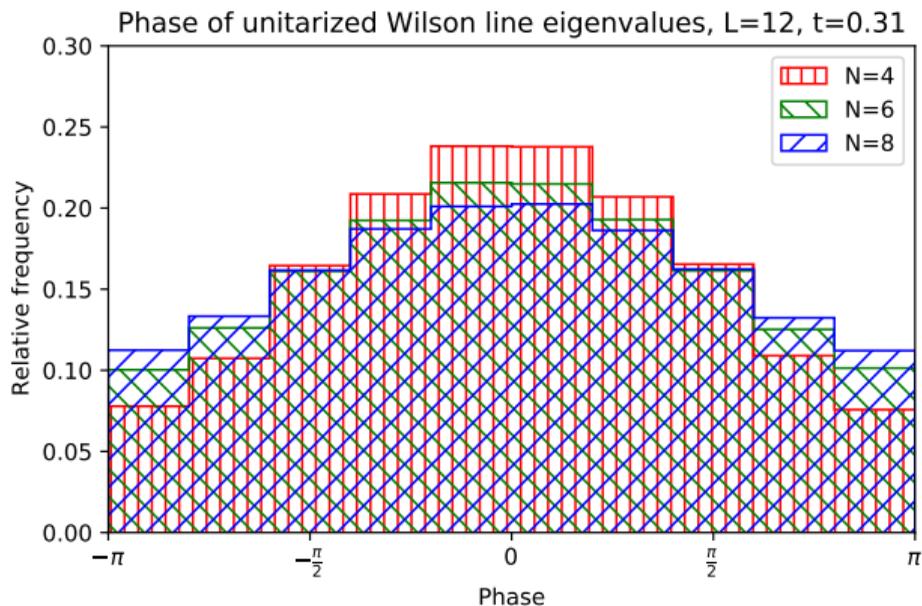
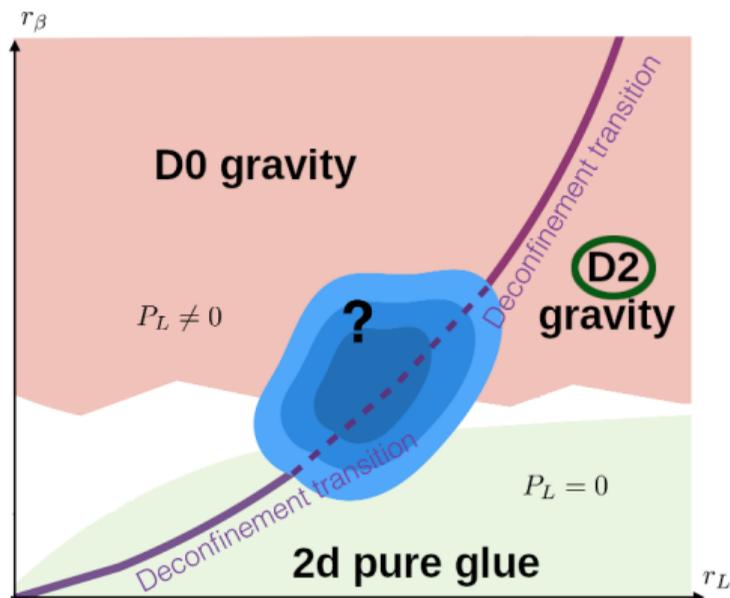
Rich holographic phase diagram, especially when $r_1 \neq r_2$

First consider simplest homogeneous black D2-branes $\rightarrow r_1 = r_2 = r_\beta$



[arXiv:1412.3939](https://arxiv.org/abs/1412.3939)

Homogeneous D2-branes \longleftrightarrow uniform Wilson line eigenvalue phases at large N

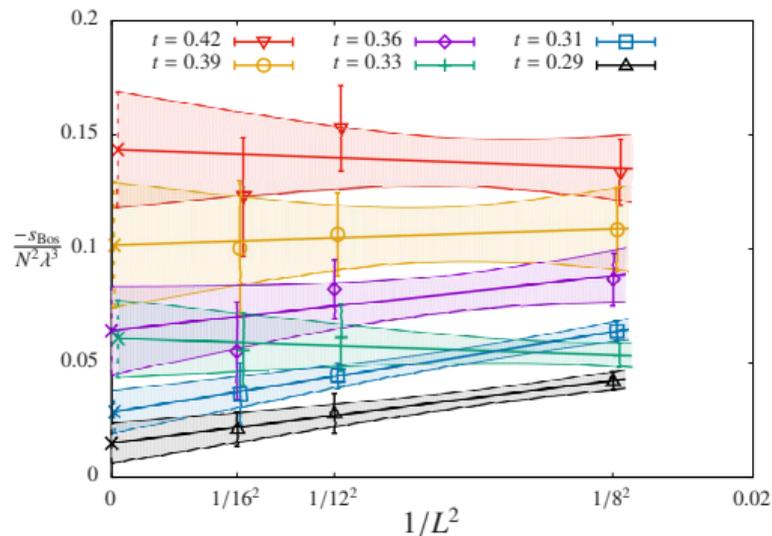
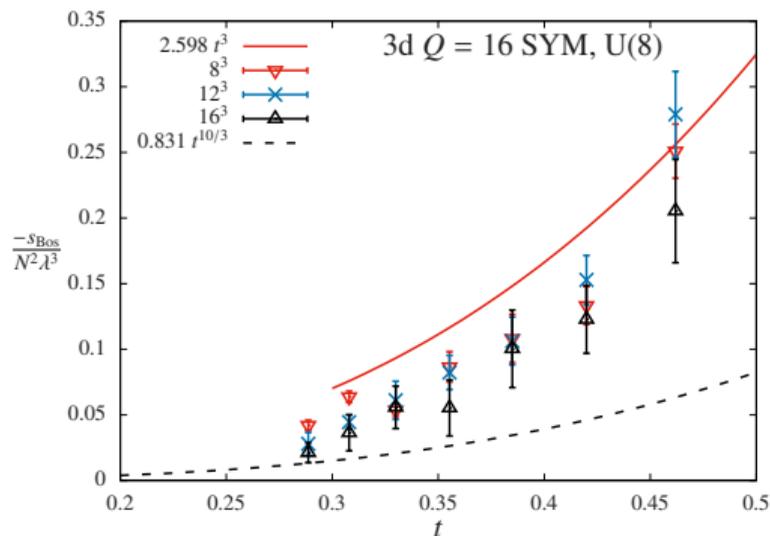


Holographic black brane energies and continuum extrapolation

Lattice volume L^3 with gauge group $U(8)$

→ results approach leading holographic expectation $\propto t^{10/3}$ for low $t \lesssim 0.4$

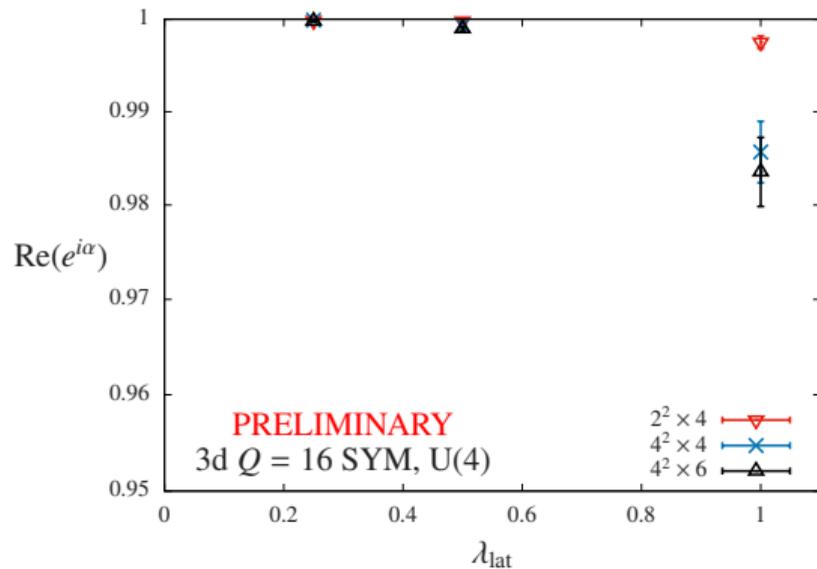
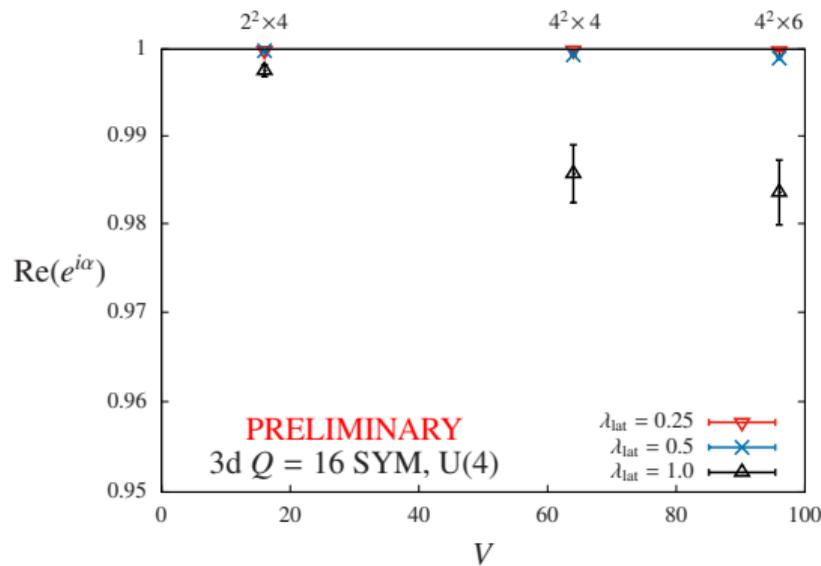
Carry out first 3d continuum extrapolations, $L \rightarrow \infty$ with fixed $t = 1/(L\lambda_{\text{lat}})$



Aside: No sign problem for 3d maximal SYM

Continuum limit $L \rightarrow \infty$ with fixed $t = 1/(L\lambda_{\text{lat}}) \implies \lambda_{\text{lat}} \rightarrow 0$

Pfaffian nearly real positive for $\lambda_{\text{lat}} \leq 1$ on small volumes \longrightarrow no sign problem



Next step: Exploring the 3d $Q = 16$ SYM phase diagram

Work in progress to investigate D2–D0 transition with $r_L = r_1 = r_2$
→ scan in r_β fixing aspect ratio $\alpha = r_L/r_\beta$

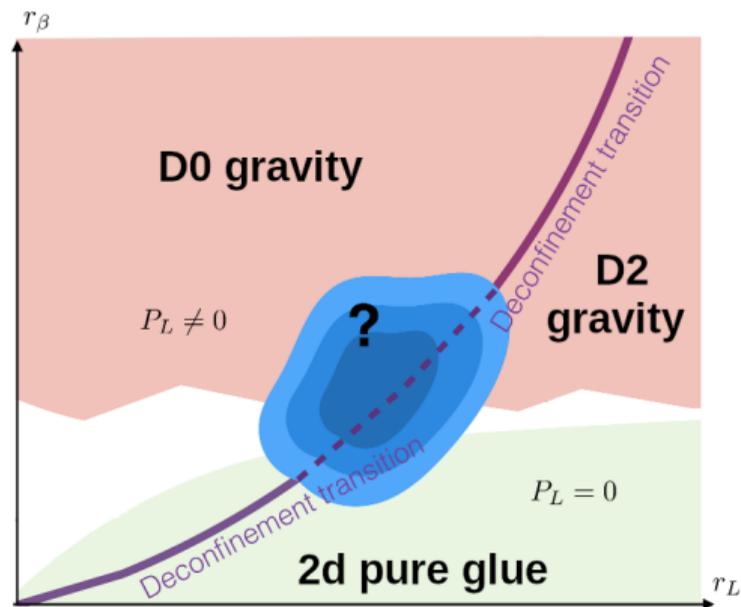
For decreasing r_L at large N

homogeneous black D2 brane

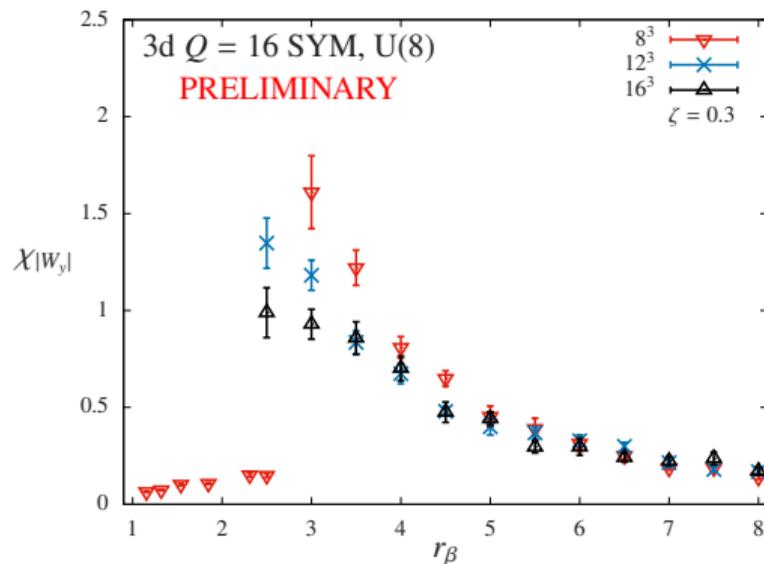
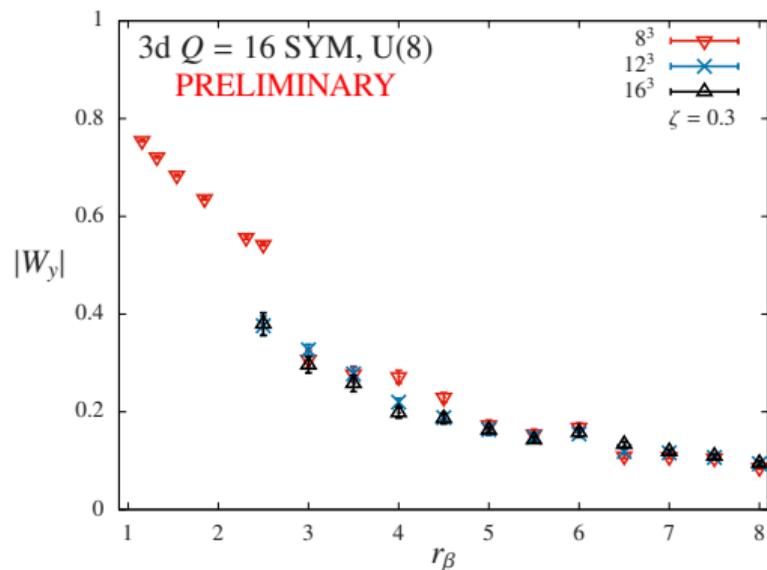
→ localized D0 black hole



“spatial deconfinement”
signalled by Wilson line P_L



3d $Q = 16$ SYM spatial deconfinement transition signals



Preliminary U(8) results for 8^3 vs. 12^3 vs. 16^3 lattices (aspect ratio $\alpha = 1$)

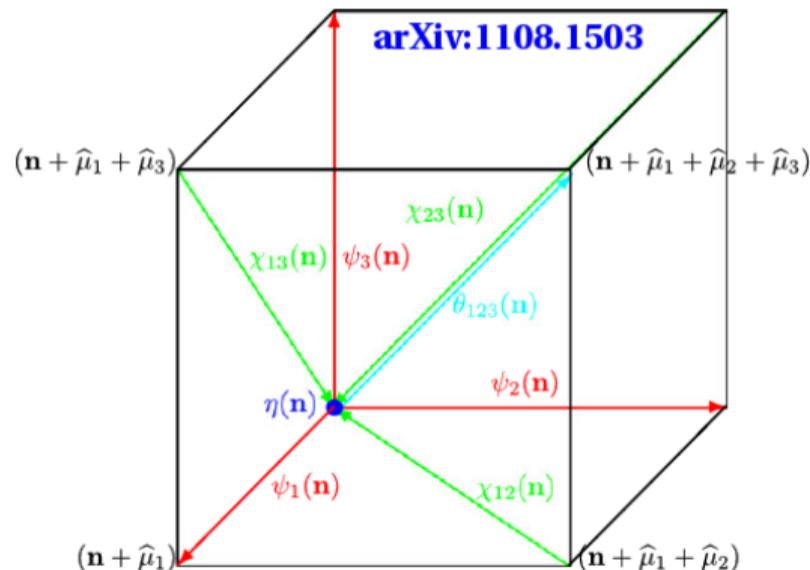
Still locating peaks in Wilson line susceptibility and checking hysteresis

Work in progress: 3d $Q = 8$ SYM

Simpler [Blau–Thompson] twisted formulation

$Q = 8$ supercharges $\{Q, Q_a, Q_{ab}, Q_{abc}\}$ with $a, b = 1, \dots, 3$

→ site / link / plaquette / cube fermions $\{\eta, \psi_a, \chi_{ab}, \theta_{abc}\}$ on simple cubic lattice



Work in progress: 3d $Q = 8$ SYM

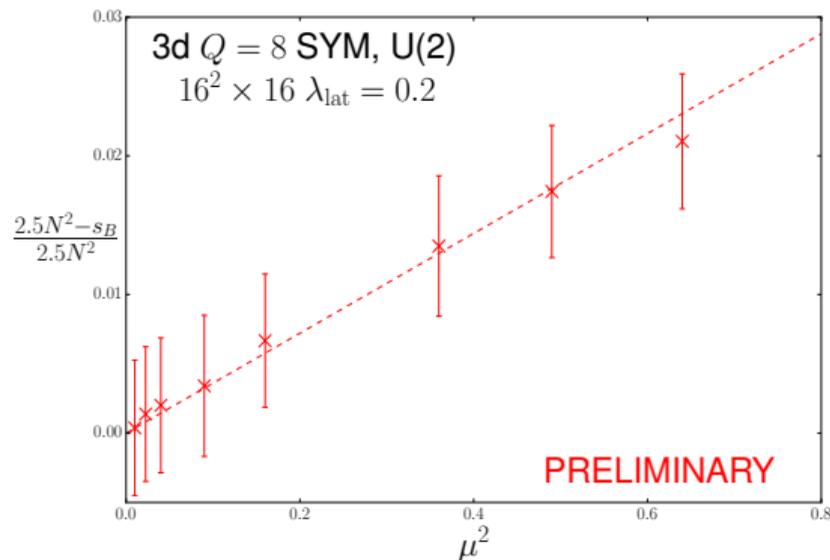
Simpler [Blau–Thompson] twisted formulation

$Q = 8$ supercharges $\{Q, Q_a, Q_{ab}, Q_{abc}\}$ with $a, b = 1, \dots, 3$

→ site / link / plaquette / cube fermions $\{\eta, \psi_a, \chi_{ab}, \theta_{abc}\}$ on simple cubic lattice

Parallel code developed
(Angel Sherletov)

Tests passed
→ larger-scale calculations
[mirror symmetry?]



Work in progress: Quiver superQCD from twisted SYM

First check 3d SYM \longrightarrow 2d superQCD then new 4d SYM \longrightarrow 3d superQCD

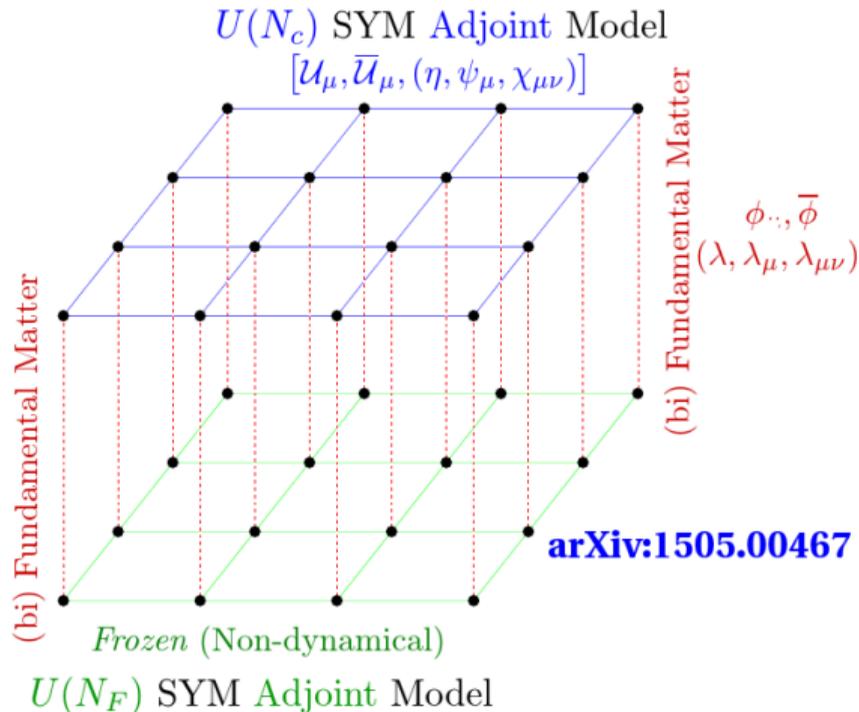
2-slice lattice SYM
with $U(N) \times U(F)$ gauge group

Adj. fields on each slice

Bi-fundamental in between

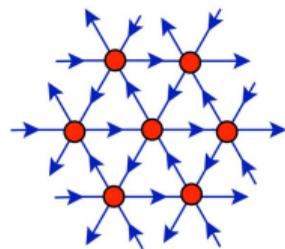
Decouple $U(F)$ slice

\longrightarrow $U(N)$ SQCD in $d - 1$ dims.
with F fund. hypermultiplets

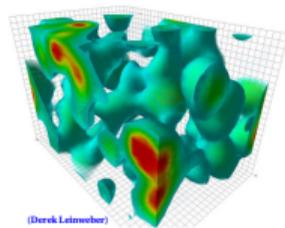


Recap: An exciting time for lattice supersymmetry

Three dimensions is a promising frontier
for practical lattice studies of supersymmetric QFTs

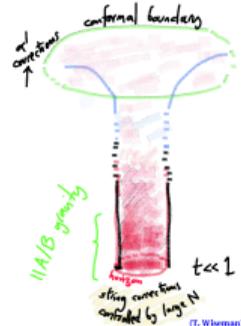


Preserving susy sub-algebra enables lattice calculations,
[public code](#) available



3d $Q = 16$ SYM thermodynamics consistent with holography,
work in progress on phase diagram

Work in progress on 3d $Q = 8$ SYM \longrightarrow 2d superQCD
and much more for the future



Thanks for your attention!

Any further questions?

Collaborators

Simon Catterall, Joel Giedt, Raghav Jha,

Anosh Joseph, Angel Sherletov, Toby Wiseman

Funding and computing resources

UK Research
and Innovation



Backup: Lattice $\mathcal{N} = 4$ SYM

Lattice theory looks nearly the same despite breaking Q_a and Q_{ab}

Covariant derivatives \longrightarrow finite difference operators

Complexified gauge fields $\{\mathcal{A}_a, \bar{\mathcal{A}}_a\} \longrightarrow$ gauge links $\{\mathcal{U}_a, \bar{\mathcal{U}}_a\} \in \mathfrak{gl}(N, \mathbb{C})$
with gauge-invariant flat measure $D\mathcal{U}D\bar{\mathcal{U}}$

Need $\mathcal{U}_a \rightarrow \mathbb{I}_N + \mathcal{A}_a$ to recover continuum covariant derivative

✓ Q interchanges bosonic \longleftrightarrow fermionic d.o.f. with $Q^2 = 0$

$$\begin{aligned} Q \mathcal{A}_a &\longrightarrow Q \mathcal{U}_a = \psi_a & Q \psi_a &= 0 \\ Q \chi_{ab} &= -\bar{\mathcal{F}}_{ab} & Q \bar{\mathcal{A}}_a &\longrightarrow Q \bar{\mathcal{U}}_a = 0 \\ Q \eta &= d & Q d &= 0 \end{aligned}$$

Backup: Sign problems

Recall typical algorithms sample field configurations Φ with **probability** $\frac{1}{\mathcal{Z}} e^{-S[\Phi]}$
→ “**sign problem**” if action $S[\Phi]$ can be negative or complex

Lattice SYM has complex pfaffian $\text{pf } \mathcal{D} = |\text{pf } \mathcal{D}| e^{i\alpha}$

$$\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int [dU][d\bar{U}] \mathcal{O} e^{-S_B[U, \bar{U}]} \text{pf } \mathcal{D}[U, \bar{U}]$$

We **phase quench** $\text{pf } \mathcal{D} \rightarrow |\text{pf } \mathcal{D}|$, need to reweight $\langle \mathcal{O} \rangle = \frac{\langle \mathcal{O} e^{i\alpha} \rangle_{\text{pq}}}{\langle e^{i\alpha} \rangle_{\text{pq}}}$
 $\implies \langle e^{i\alpha} \rangle_{\text{pq}} = \frac{\mathcal{Z}}{\mathcal{Z}_{\text{pq}}}$ quantifies severity of sign problem

Dimensionally reduce to 2d $\mathcal{N} = (8, 8)$ SYM on $(r_L \times r_\beta)$ torus with four scalar \mathcal{Q}

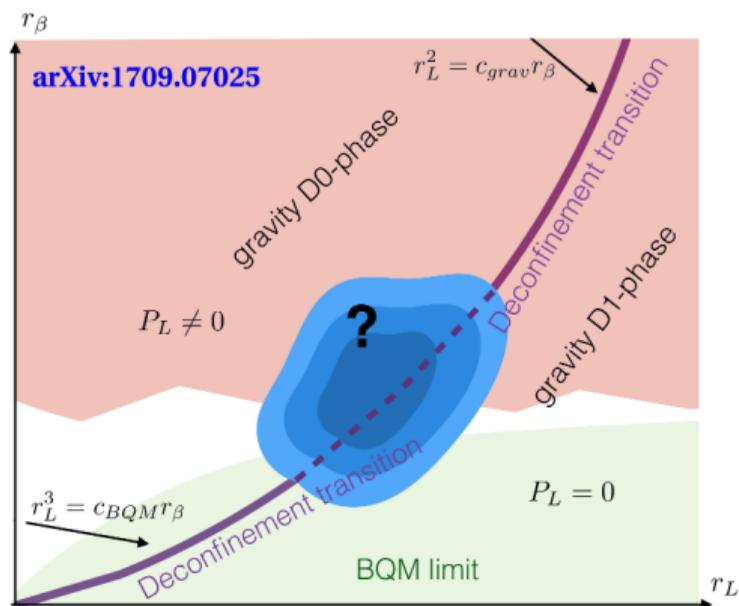
Low temperatures $t = 1/r_\beta \longleftrightarrow$ black holes in dual supergravity

For decreasing r_L at large N

homogeneous black string (D1)
 \longrightarrow localized black hole (D0)



“spatial deconfinement”
 signalled by Wilson line P_L



Backup: Dimensional reduction to 2d $\mathcal{N} = (8, 8)$ SYM

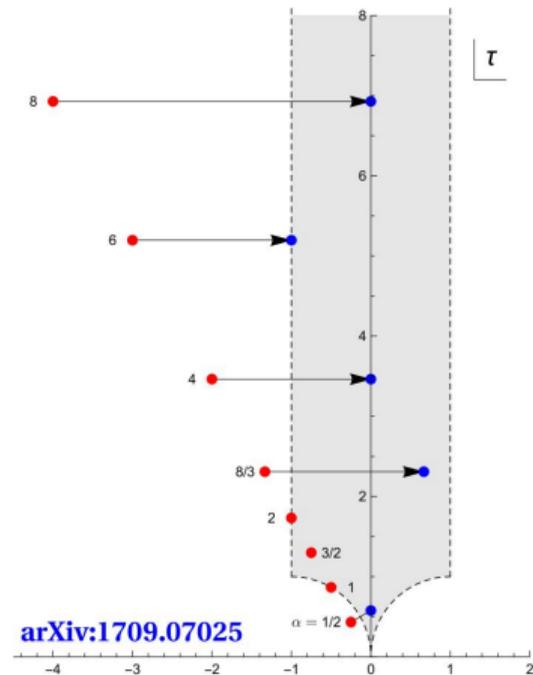
Naive for now: 4d $\mathcal{N} = 4$ SYM code with $N_x = N_y = 1$

$A_4^* \longrightarrow A_2^*$ (triangular) lattice

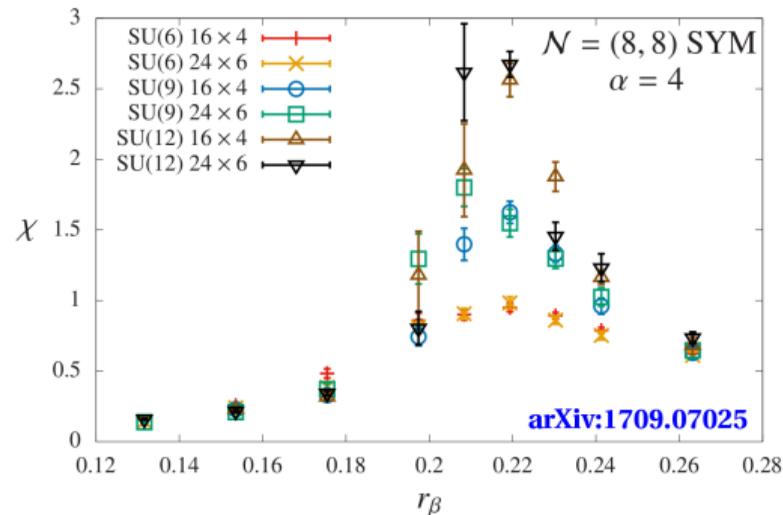
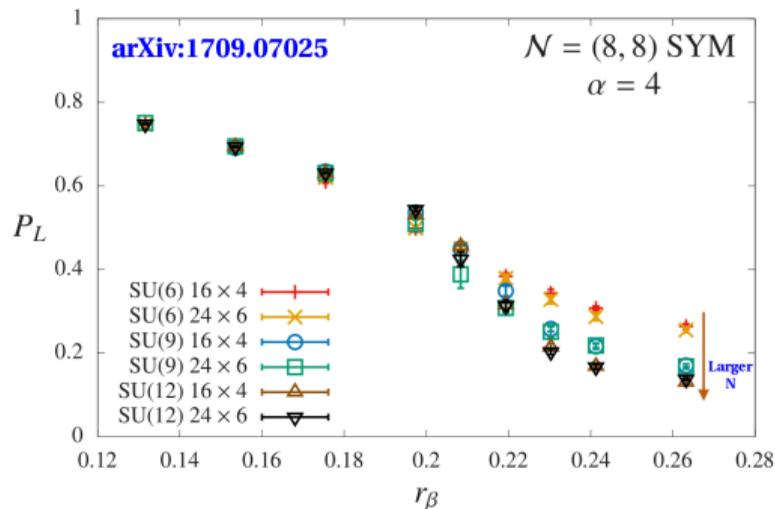
Torus **skewed** depending on $\alpha = L/N_t$

Modular transformation into fundamental domain
 \longrightarrow some skewed tori actually rectangular

Again need to stabilize compactified links
to ensure broken center symmetries



Backup: 2d spatial deconfinement transition signals

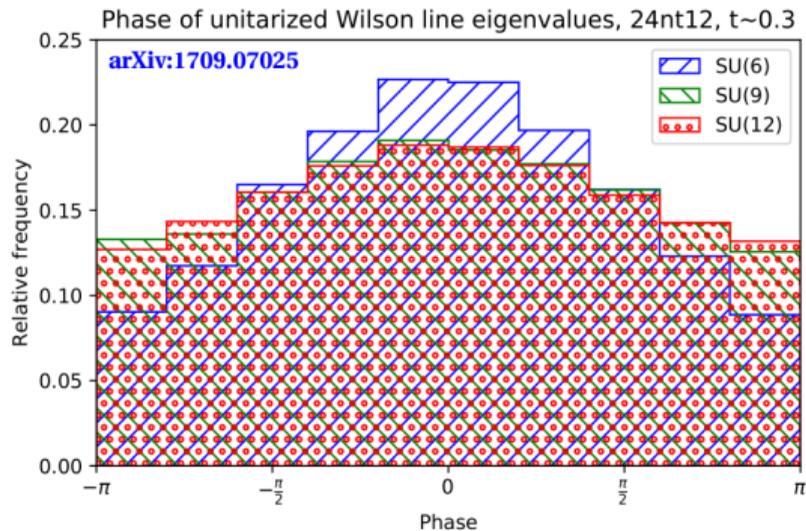
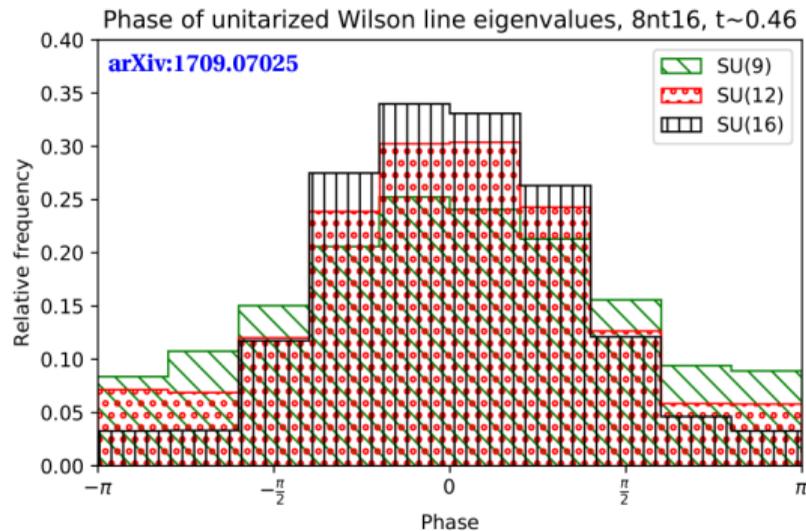


Peaks in Wilson line susceptibility match change in its magnitude $|P_L|$,
grow with size of $SU(N)$ gauge group, comparing $N = 6, 9, 12$

Agreement for 16×4 vs. 24×6 lattices (aspect ratio $\alpha = r_L/r_\beta = 4$)

Backup: 2d Wilson line eigenvalues

Large- N eigenvalue phase distribution also signals spatial deconfinement



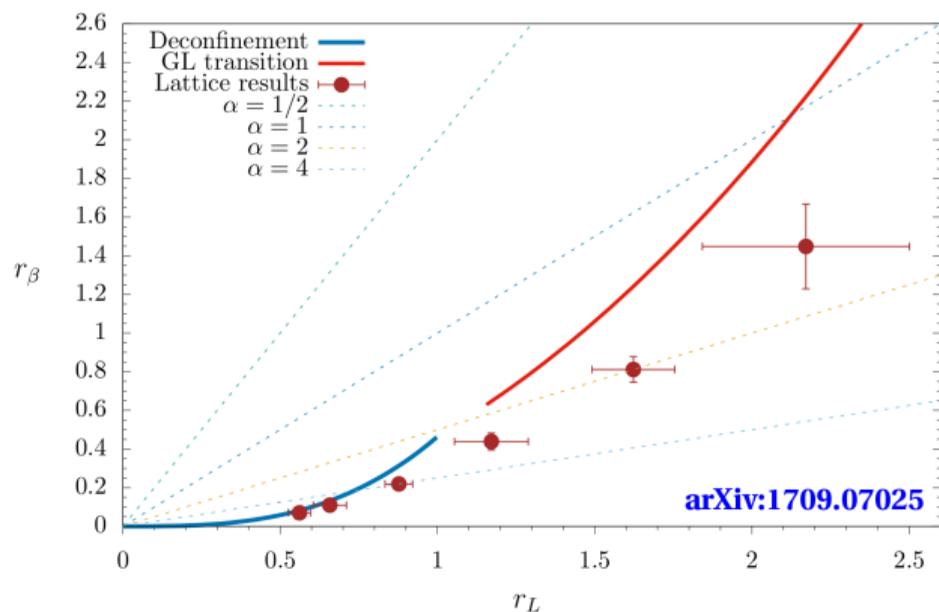
Left: $\alpha = 1/2$ distributions more localized as N increases \longrightarrow D0 black hole

Right: $\alpha = 2$ distributions more uniform as N increases \longrightarrow D1 black string

Backup: Lattice results for 2d $\mathcal{N} = (8, 8)$ SYM phase diagram

Good agreement with bosonic QM at high temperatures ($\alpha \gtrsim 4$)

Harder to control low-temperature uncertainties (larger $N > 16$ should help)



Overall consistent with holography

Comparing multiple lattice sizes
and $6 \leq N \leq 16$

Controlled extrapolations
are work in progress

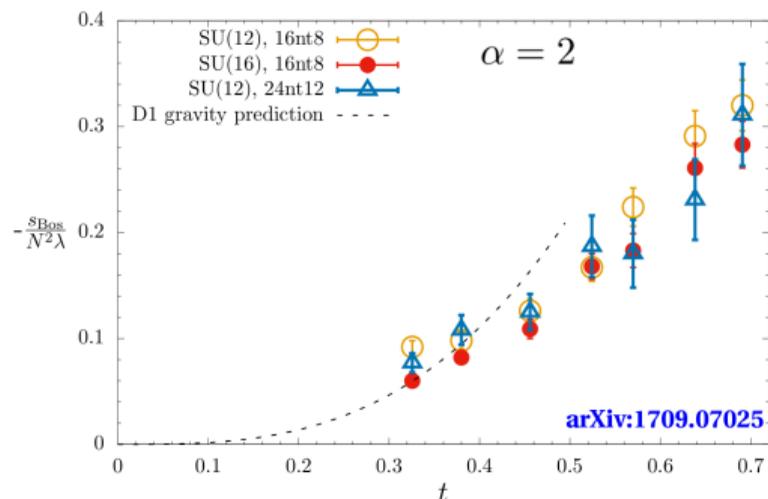
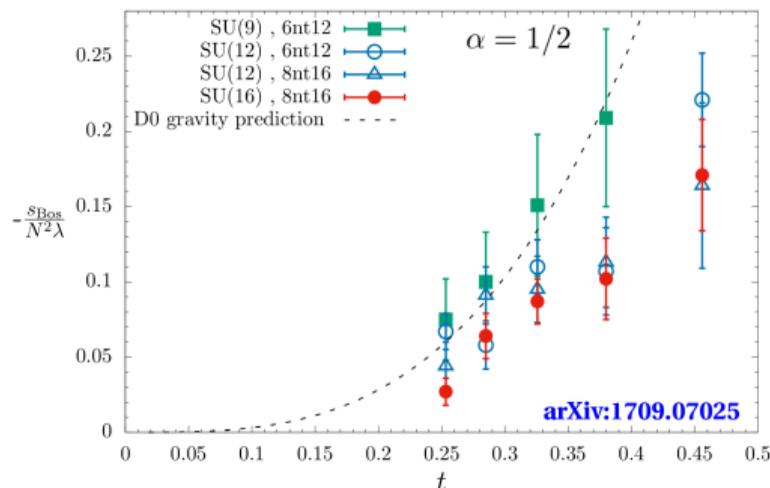
Backup: 2d holographic black hole energies

Lattice results consistent with leading expectation for sufficiently low $t \lesssim 0.4$

Similar behavior \rightarrow difficult to distinguish phases

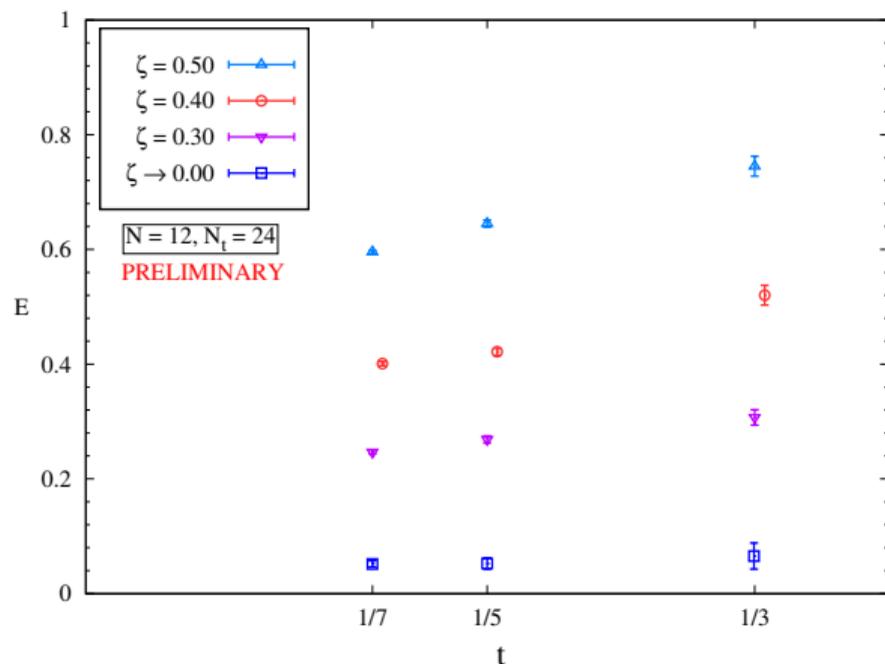
$\propto t^{3.2}$ for small- r_L D0 phase

$\propto t^3$ for large- r_L D1 phase



Much simpler twisted formulation: $Q = 4$ supercharges $\{Q, Q_a, Q_{ab}\}$

→ site / link / plaquette fermions $\{\eta, \psi_a, \chi_{ab}\}$ on square lattice ($a, b = 1, 2$)



Work by Navdeep Singh Dhindsa

Prelim. $\mu^2 \rightarrow 0$ extrapolations

for $r_L = r_\beta \longleftrightarrow \alpha = 1$

Energy independent of $t \lesssim 0.33$

vs. $\sim t^3$ for $\mathcal{N} = (8, 8)$ SYM

Backup: High-temperature ($t \gtrsim 1$) 3d maximal SYM

Wilson line eigenvalue phases localized rather than uniform (**left**)

Thermodynamics consistent with weak-coupling expectation $\propto t^3$ (**right**)

