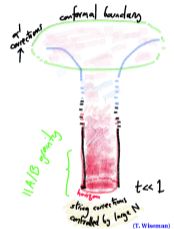
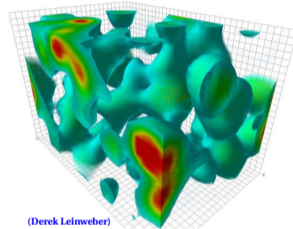
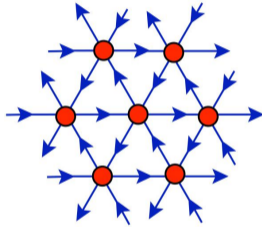


Lattice supersymmetric field theories — Part 1

David Schaich (University of Liverpool)

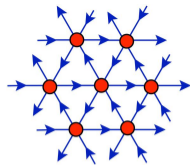


Nonperturbative and Numerical Approaches
to Quantum Gravity, String Theory and Holography

International Centre for Theoretical Sciences, Bangalore, 22 August 2021

Overview and plan

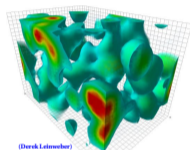
Overcoming challenges opens many opportunities
for lattice studies of supersymmetric QFTs



Motivation, background, formulation

Supersymmetry breaking in discrete space-time

Supersymmetry preservation in discrete space-time



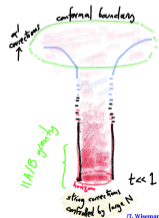
(Derek Leinweber)

Applications with significant recent progress

Maximal $\mathcal{N} = 4$ super-Yang–Mills

Lower dimensions $d < 4$

Minimal $\mathcal{N} = 1$ super-Yang–Mills



(T. Wiseman)

Remaining challenges: Super-QCD; Sign problems

Overview and plan

Overcoming challenges opens many opportunities
for lattice studies of supersymmetric QFTs

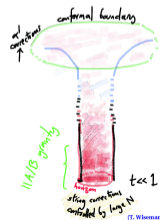
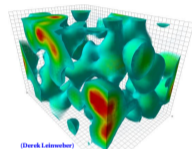
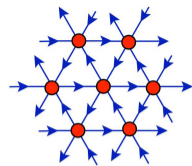
Motivation, background, formulation

Applications with significant recent progress

Remaining challenges

Conceptual focus with interaction encouraged

“It’s better to uncover a little than to cover a lot” (V. Weisskopf)



Further resources

Lattice studies of supersymmetric gauge theories

David Schaich*

*Department of Mathematical Sciences,
University of Liverpool, Liverpool L69 7ZL, United Kingdom*

(Dated: 17 August 2022)

Updated version of [arXiv:2208.03580](https://arxiv.org/abs/2208.03580) at icts.res.in/program/numstrings2022/talks

[arXiv:0903.4881](https://arxiv.org/abs/0903.4881) by Catterall, Kaplan and Ünsal remains most detailed review

Expect connections with lectures by:

Anna Hasenfratz — Introduction to Lattice Field Theory

Georg Bergner — Matrix Models, Gauge-Gravity Duality, and Simulations. . .

Further resources

Expect connections with lectures by:

Anna Hasenfratz — Introduction to Lattice Field Theory

Georg Bergner — Matrix Models, Gauge-Gravity Duality, and Simulations. . .

Will try to avoid pre-empting research talks coming up later in this program

Many people have contributed over many years

Alessandro D'Adda, Georg Bergner, Simon Catterall, Andy Cohen, Chris Culver, Poul Damgaard, Tom DeGrand, Joel Giedt, Masanori Hanada, Anosh Joseph, Raghav Jha, Daisuke Kadoh, Issaku Kanamori, Noboru Kawamoto, David B. Kaplan, So Matsuura, Angel Sherletov, Fumihiko Sugino, Mithat Ünsal, Urs Wenger, Andreas Wipf, . . .

Motivations (I)

Lattice field theory promises first-principles predictions
for strongly coupled supersymmetric QFTs

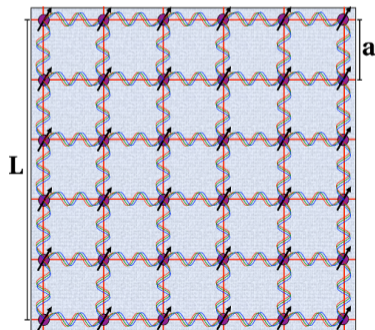
Formally $\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}\Phi \mathcal{O}(\Phi) e^{-S[\Phi]}$

Regularize by formulating theory in finite, discrete, euclidean space-time
↙ Gauge invariant, non-perturbative, d -dimensional

Recap: Lattice field theory in a nutshell

Formally $\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}\Phi \mathcal{O}(\Phi) e^{-S[\Phi]}$

Regularize by formulating theory in finite, discrete, euclidean space-time
← Gauge invariant, non-perturbative, d -dimensional



P. Vranas LLNL

Spacing between lattice sites (“ a ”)
→ UV cutoff scale $1/a$

Remove cutoff: $a \rightarrow 0$ ($L/a \rightarrow \infty$)

Discrete → continuous symmetries ✓

Recap: Lattice field theory in a nutshell



High-performance computing
→ evaluate up to
 ~billion-dimensional integrals
(Dirac op. as $\sim 10^9 \times 10^9$ matrix)

Importance sampling Monte Carlo

Algorithms sample field configurations with probability $\frac{1}{Z} e^{-S[\Phi]}$

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}\Phi \mathcal{O}(\Phi) e^{-S[\Phi]} \longrightarrow \frac{1}{N} \sum_{i=1}^N \mathcal{O}(\Phi_i) \text{ with stat. uncertainty } \propto \frac{1}{\sqrt{N}}$$

Motivations (II)

Lattice field theory promises first-principles predictions
for strongly coupled supersymmetric QFTs

BSM



New physics beyond the standard model
Nature demands supersymmetry breaking
Expect non-perturbative, **dynamical** breaking

Also expect susy breaking based on
chiral gauge theories — not covered here!

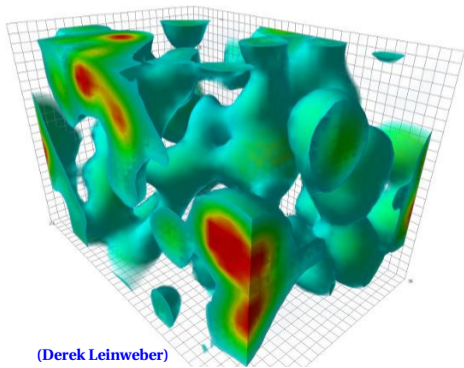
Motivations (II)

Seiberg duality and conformal window in super-QCD;
Montonen–Olive S-duality in $\mathcal{N} = 4$ SYM; scattering amplitudes etc.

BSM



QFT



(Derek Leinweber)

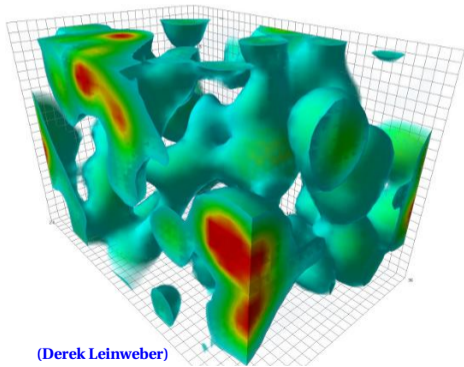
Motivations (II)

Can numerically test holographic conjectures,
or rely on holography to non-perturbatively define string theory

BSM

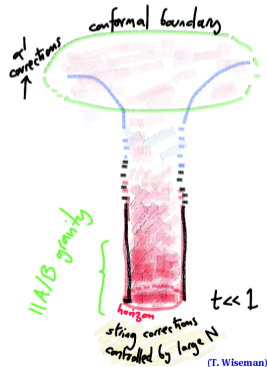


QFT



(Derek Leinweber)

Holography



(T. Wiseman)

Motivations (II)

Can numerically test holographic conjectures,
or rely on holography to non-perturbatively define string theory

The Large N Limit of Superconformal field theories and supergravity

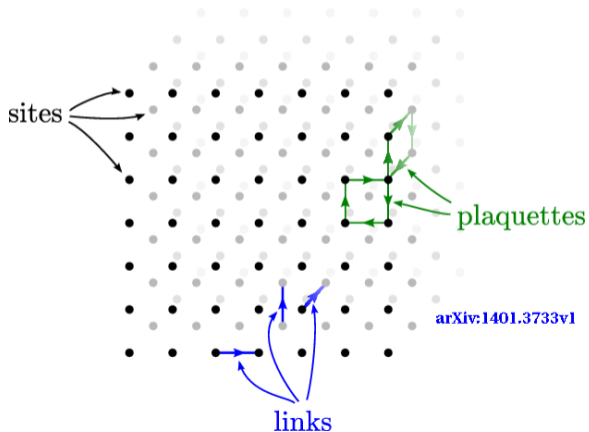
hep-th/9711200

Juan Maldacena

In principle, we can use this duality to give a definition of M/string theory on flat spacetime as (a region of) the large N limit of the field theories. Notice that this is a non-perturbative proposal for defining such theories, since the corresponding field theories can, *in principle*, be defined non-perturbatively.

Supersymmetry must be broken on the lattice (I)

Typically fermions \longleftrightarrow sites while gauge fields \longleftrightarrow links
scalars \longleftrightarrow sites but no doubling problem



Supersymmetry must be broken on the lattice (I)

Typically fermions \longleftrightarrow sites while gauge fields \longleftrightarrow links
scalars \longleftrightarrow sites but no doubling problem

Broken supersymmetry \longrightarrow relevant susy-violating operators
 \longrightarrow typically $\mathcal{O}(10)$ parameters to fine-tune for correct continuum limit



Supersymmetry must be broken on the lattice (II)

“What if a sufficiently clever discretization could match up all superpartners?”

Supersymmetry is a space-time symmetry, $(I = 1, \dots, \mathcal{N})$
adding spinor generators Q_α^I and $\bar{Q}_{\dot{\alpha}}^I$ to translations, rotations, boosts

Super-Poincaré algebra includes $\{Q_\alpha^I, \bar{Q}_{\dot{\alpha}}^J\} = 2\delta^{IJ}\sigma_{\alpha\dot{\alpha}}^\mu P_\mu$
broken in discrete space-time

Supersymmetry must be broken on the lattice (II)

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broken in discrete space-time

Continuous-time (hamiltonian) formulation [Polchinski; Banks–Windey]
can preserve $P_0 = H \sim i\partial_t \longrightarrow$ partial supersymmetry

But then fine-tuning needed to recover Lorentz invariance in continuum limit!

Supersymmetry must be broken on the lattice (III)

“What if we adapt $\{Q_\alpha, \bar{Q}_{\dot{\alpha}}\} = 2\sigma_{\alpha\dot{\alpha}}^\mu P_\mu = 2i\sigma_{\alpha\dot{\alpha}}^\mu \partial_\mu$

to use the finite difference $\partial\phi(x) \rightarrow \Delta\phi(x) = \frac{1}{a} [\phi(x+a) - \phi(x)]$?”

Full supersymmetry requires **Leibniz rule** $\partial[\phi\psi] = [\partial\phi]\psi + \phi\partial\psi$

Quantum mechanics:
$$\delta_i \mathbf{S} \propto \int \left[(\partial_t \psi_i) \frac{dW}{d\phi} + \psi_i \frac{d^2 W}{d\phi^2} \partial_t \phi \right] dt = \int \partial_t \left[\psi \frac{dW}{d\phi} \right] dt$$

Doesn't hold for
$$\begin{aligned} \Delta[\phi\psi] &= a^{-1} [\phi(x+a)\psi(x+a) - \phi(x)\psi(x)] \\ &= [\Delta\phi]\psi + \phi\Delta\psi + a[\Delta\phi]\Delta\psi \end{aligned}$$

Supersymmetry must be broken on the lattice (III)

Full supersymmetry requires **Leibniz rule** $\partial[\phi\psi] = [\partial\phi]\psi + \phi\partial\psi$

Doesn't hold for **any** local finite difference at non-zero lattice spacing $a > 0$

Supersymmetry vs. locality 'no-go' theorems

by Kato–Sakamoto–So [[arXiv:0803.3121](https://arxiv.org/abs/0803.3121)] and Bergner [[arXiv:0909.4791](https://arxiv.org/abs/0909.4791)]

Complicated constructions to balance locality vs. supersymmetry

Non-ultralocal product operator \longrightarrow lattice Leibniz rule but not gauge invariance

D'Adda–Kawamoto–Saito, [arXiv:1706.02615](https://arxiv.org/abs/1706.02615)

Cyclic Leibniz rule \longrightarrow partial lattice supersymmetry but only (0+1)d QM so far

Kadoh–Kamei–So, [arXiv:1904.09275](https://arxiv.org/abs/1904.09275)

Checkpoint

Motivation, background, formulation

- ✓ Supersymmetry breaking in discrete space-time
- Supersymmetry preservation in discrete space-time

Applications with significant recent progress

Maximal $\mathcal{N} = 4$ super-Yang–Mills

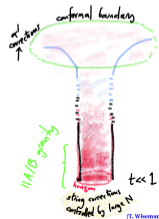
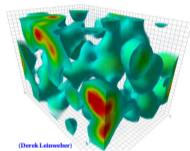
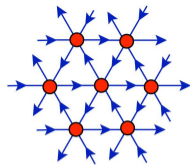
Lower dimensions $d < 4$

Minimal $\mathcal{N} = 1$ super-Yang–Mills

Remaining challenges: Super-QCD; Sign problems

Questions?

“It’s better to uncover a little than to cover a lot”

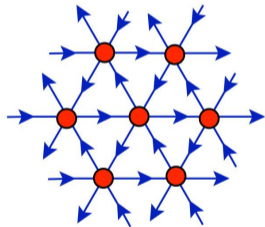


Supersymmetry need not be **completely** broken on the lattice

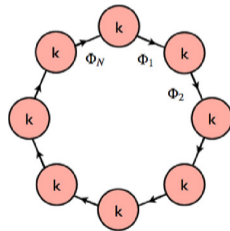
Preserve susy sub-algebra in discrete lattice space-time

\implies correct continuum limit with little or no fine tuning

Equivalent constructions from ‘topological’ twisting and dim’l deconstruction



Review:
Catterall–Kaplan–Ünsal,
[arXiv:0903.4881](https://arxiv.org/abs/0903.4881)



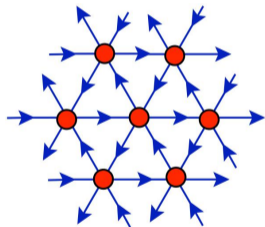
Orbifolding came first; twisting steps easier to follow

Supersymmetry need not be **completely** broken on the lattice

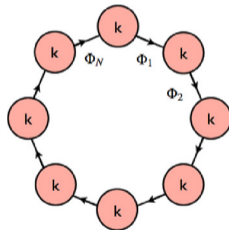
Preserve susy sub-algebra in discrete lattice space-time

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Equivalent constructions from ‘topological’ twisting and dim’l deconstruction



Review:
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Need 2^d supersymmetries in d dimensions

⇒ Only $\mathcal{N} = 4$ super-Yang–Mills (SYM) for $d = 4$

$\mathcal{N} = 4$ SYM in a nutshell

Arguably simplest non-trivial 4d QFT \longrightarrow dualities, amplitudes, ...

$SU(N)$ gauge theory with $\mathcal{N} = 4$ fermions ψ^I and 6 scalars ϕ^{IJ} ,
all massless and in adjoint rep.

$ \Omega_1\rangle$	$1 \longrightarrow A_\mu$
$Q^I \Omega_1\rangle$	$1/2 \longrightarrow \psi^I$
$Q^J Q^I \Omega_1\rangle$	$0 \longrightarrow \phi^{IJ}$
$Q^K Q^J Q^I \Omega_1\rangle$	$-1/2 \longrightarrow \psi^I$
$Q^L Q^K Q^J Q^I \Omega_1\rangle$	$-1 \longrightarrow A_\mu$

$\mathcal{N} = 4$ SYM in a nutshell

Arguably simplest non-trivial 4d QFT \longrightarrow dualities, amplitudes, ...

SU(N) gauge theory with $\mathcal{N} = 4$ fermions ψ^I and 6 scalars ϕ^{IJ} ,
all massless and in adjoint rep.

Symmetries relate coefficients of kinetic, Yukawa and ϕ^4 terms

Maximal **16 supersymmetries** Q_α^I and $\bar{Q}_{\dot{\alpha}}^I$ $I = 1, \dots, 4$
transform under global SU(4) \sim SO(6) **R symmetry**

Conformal \longrightarrow β function is zero for all values of $\lambda = g^2 N$

Twisting $\mathcal{N} = 4$ SYM — main idea

Intuitive picture — expand 4×4 matrix of supersymmetries

$$\begin{pmatrix} Q_\alpha^1 & Q_\alpha^2 & Q_\alpha^3 & Q_\alpha^4 \\ \bar{Q}_{\dot{\alpha}}^1 & \bar{Q}_{\dot{\alpha}}^2 & \bar{Q}_{\dot{\alpha}}^3 & \bar{Q}_{\dot{\alpha}}^4 \end{pmatrix} = \mathcal{Q} + \mathcal{Q}_\mu \gamma_\mu + \mathcal{Q}_{\mu\nu} \gamma_\mu \gamma_\nu + \bar{\mathcal{Q}}_\mu \gamma_\mu \gamma_5 + \bar{\mathcal{Q}} \gamma_5$$

R-symmetry index \times Lorentz index \implies reps of ‘twisted rotation group’

$$\mathrm{SO}(4)_{\mathrm{tw}} \equiv \mathrm{diag} \left[\mathrm{SO}(4)_{\mathrm{euc}} \otimes \mathrm{SO}(4)_R \right] \quad \mathrm{SO}(4)_R \subset \mathrm{SO}(6)_R$$

Change of variables \longrightarrow \mathcal{Q} s transform with integer ‘spin’ under $\mathrm{SO}(4)_{\mathrm{tw}}$

Twisting $\mathcal{N} = 4$ SYM — main idea

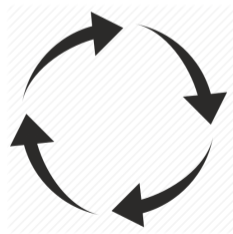
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Discrete space-time

Can preserve closed sub-algebra

$$\{\mathcal{Q}, \mathcal{Q}\} = 2\mathcal{Q}^2 = 0$$



Twisting $\mathcal{N} = 4$ SYM — main idea

Intuitive picture — expand 4×4 matrix of supersymmetries

$$\begin{pmatrix} Q_\alpha^1 & Q_\alpha^2 & Q_\alpha^3 & Q_\alpha^4 \\ \bar{Q}_{\dot{\alpha}}^1 & \bar{Q}_{\dot{\alpha}}^2 & \bar{Q}_{\dot{\alpha}}^3 & \bar{Q}_{\dot{\alpha}}^4 \end{pmatrix} = Q + Q_\mu \gamma_\mu + Q_{\mu\nu} \gamma_\mu \gamma_\nu + \bar{Q}_\mu \gamma_\mu \gamma_5 + \bar{Q} \gamma_5$$

Discrete space-time

Can preserve closed sub-algebra

$$\{Q, Q\} = 2Q^2 = 0$$



Twisting the fields

The four Majorana ψ^I behave just like the supercharges — no spinors remain!

$$\left(\psi^1 \quad \psi^2 \quad \psi^3 \quad \psi^4 \right) \longrightarrow (\eta, \psi_\mu, \chi_{\mu\nu}, \bar{\psi}_\mu, \bar{\eta})$$

Under $SO(4)_R \subset SO(6)_R$ the six scalars $\phi^{IJ} \longrightarrow (B_\mu, \phi, \bar{\phi})$

Organize into 5-component complexified gauge fields

$$\mathcal{A}_a = (A_\mu, \phi) + i(B_\mu, \bar{\phi})$$

$$\bar{\mathcal{A}}_a = (A_\mu, \phi) - i(B_\mu, \bar{\phi})$$

Complexified gauge field

Organize 4 + 6 bosons into 5-component complexified gauge fields

$$\mathcal{A}_a = (\mathbf{A}_\mu, \phi) + i(\mathbf{B}_\mu, \bar{\phi})$$

$$\bar{\mathcal{A}}_a = (\mathbf{A}_\mu, \phi) - i(\mathbf{B}_\mu, \bar{\phi})$$

Why?

Easiest to see for $SO(5)_{\text{tw}} = \text{diag}[SO(5)_{\text{euc}} \otimes SO(5)_R]$ in five dimensions

$$\mathbf{A}_a \sim \text{vector} \otimes \text{scalar} = \text{vector}$$

$$\phi^a \sim \text{scalar} \otimes \text{vector} = \text{vector}$$

Dimensionally reduce $\mathcal{A}_a = \mathbf{A}_a + i\phi^a \longrightarrow (\mathbf{A}_\mu, \phi) + i(\mathbf{B}_\mu, \bar{\phi})$

Completing the twist

Complexified $\{\mathcal{A}_a, \bar{\mathcal{A}}_a\}$ produce $U(N) = SU(N) \otimes U(1)$ gauge theory

Similarly combine

$$\psi_a = (\psi_\mu, \bar{\eta})$$

$$\chi_{ab} = (\chi_{\mu\nu}, \bar{\psi}_\mu)$$

$$Q_a = (Q_\mu, \bar{Q})$$

$$Q_{ab} = (Q_{\mu\nu}, \bar{Q}_\mu)$$

Check Q interchanges bosonic \longleftrightarrow fermionic d.o.f. with $Q^2 = 0$

$$Q \mathcal{A}_a = \psi_a$$

$$Q \psi_a = 0$$

$$Q \chi_{ab} = -\bar{\mathcal{F}}_{ab}$$

$$Q \bar{\mathcal{A}}_a = 0$$

$$Q \eta = d$$

$$Q d = 0$$

↙ bosonic auxiliary field with e.o.m. $d = \bar{\mathcal{D}}_a \mathcal{A}_a$

Twisted $\mathcal{N} = 4$ SYM

✓ Q interchanges bosonic \longleftrightarrow fermionic d.o.f. with $Q^2 = 0$

$$Q \mathcal{A}_a = \psi_a$$

$$Q \psi_a = 0$$

$$Q \chi_{ab} = -\bar{\mathcal{F}}_{ab}$$

$$Q \bar{\mathcal{A}}_a = 0$$

$$Q \eta = d$$

$$Q d = 0$$

$$\text{Action is } S = \int \frac{N}{4\lambda} \text{Tr} \left[Q \left(\chi_{ab} \mathcal{F}_{ab} + \eta \bar{\mathcal{D}}_a \mathcal{A}_a - \frac{1}{2} \eta d \right) - \frac{1}{4} \epsilon_{abcde} \chi_{ab} \bar{\mathcal{D}}_c \chi_{de} \right]$$

Supersymmetric ($QS = 0$) from $Q^2 \cdot = 0$ and **Jacobi identity** $\epsilon_{abcde} \bar{\mathcal{F}}_{ab} \bar{\mathcal{D}}_c = 0$

Lattice $\mathcal{N} = 4$ SYM

Lattice theory looks nearly the same despite breaking Q_a and Q_{ab}

Covariant derivatives \rightarrow finite difference operators

Complexified gauge fields $\{\mathcal{A}_a, \bar{\mathcal{A}}_a\} \rightarrow$ gauge links $\{\mathcal{U}_a, \bar{\mathcal{U}}_a\} \in \mathfrak{gl}(N, \mathbb{C})$

with gauge-invariant flat measure $D\mathcal{U}D\bar{\mathcal{U}}$

✓ Q interchanges bosonic \leftrightarrow fermionic d.o.f. with $Q^2 = 0$

$$Q \mathcal{A}_a \rightarrow Q \mathcal{U}_a = \psi_a \qquad Q \psi_a = 0$$

$$Q \chi_{ab} = -\bar{\mathcal{F}}_{ab} \qquad Q \bar{\mathcal{A}}_a \rightarrow Q \bar{\mathcal{U}}_a = 0$$

$$Q \eta = d \qquad Q d = 0$$

Lattice $\mathcal{N} = 4$ SYM

Lattice theory looks nearly the same despite breaking Q_a and Q_{ab}

Covariant derivatives \rightarrow finite difference operators

Complexified gauge fields $\{\mathcal{A}_a, \bar{\mathcal{A}}_a\} \rightarrow$ gauge links $\{\mathcal{U}_a, \bar{\mathcal{U}}_a\} \in \mathfrak{gl}(N, \mathbb{C})$
with gauge-invariant flat measure $D\mathcal{U}D\bar{\mathcal{U}}$

Need $\mathcal{U}_a \rightarrow \mathbb{I}_N + \mathcal{A}_a$ to recover continuum covariant derivative

$$\text{Lattice action } S_{\text{lat}} = \sum \frac{N}{4\lambda_{\text{lat}}} \text{Tr} \left[Q \left(\chi_{ab} \mathcal{F}_{ab} + \eta \bar{\mathcal{D}}_a \mathcal{U}_a - \frac{1}{2} \eta d \right) - \frac{1}{4} \epsilon_{abcde} \chi_{ab} \bar{\mathcal{D}}_c \chi_{de} \right]$$

still supersymmetric from $Q^2 \cdot = 0$ and finite-difference **Bianchi identity**

Lattice $\mathcal{N} = 4$ SYM — gauge invariance

$$\text{Lattice action } S = \frac{N}{4\lambda_{\text{lat}}} \text{Tr} \left[\mathcal{Q} \left(\chi_{ab} \mathcal{F}_{ab} + \eta \bar{\mathcal{D}}_a \mathcal{U}_a - \frac{1}{2} \eta \mathbf{d} \right) - \frac{1}{4} \epsilon_{abcde} \chi_{ab} \bar{\mathcal{D}}_c \chi_{de} \right]$$

Gauge invariance \longleftrightarrow trace over closed loops

Fixes orientations of lattice variables and finite-difference operators

Site variables

$$G(n) \eta(n) G^\dagger(n)$$

Link variables

$$G(n) \psi_a(n) G^\dagger(n + \hat{\mu}_a)$$

$$G(n) \mathcal{U}_a(n) G^\dagger(n + \hat{\mu}_a)$$

$$G(n + \hat{\mu}_a) \bar{\mathcal{U}}_a(n) G^\dagger(n)$$

Plaquette variables

$$G(n + \hat{\mu}_a + \hat{\mu}_b) \chi_{ab}(n) G^\dagger(n)$$

Lattice $\mathcal{N} = 4$ SYM — gauge invariance

Site variables

$$G(n) \eta(n) G^\dagger(n)$$

Link variables

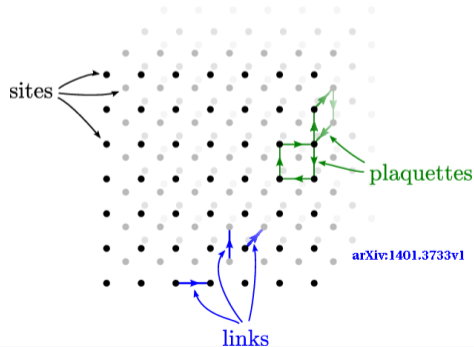
$$G(n) \psi_a(n) G^\dagger(n + \hat{\mu}_a)$$

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$$G(n + \hat{\mu}_a) \bar{\mathcal{U}}_a(n) G^\dagger(n)$$

Plaquette variables

$$G(n + \hat{\mu}_a + \hat{\mu}_b) \chi_{ab}(n) G^\dagger(n)$$



Examples:

$$\text{Tr} [\eta \bar{\mathcal{U}}_a \psi_a]$$

$$\text{Tr} [\chi_{ab} \mathcal{U}_a \psi_b]$$

Next time

Overcoming challenges opens many opportunities
for lattice studies of supersymmetric QFTs

Motivation, background, formulation

✓ Supersymmetry breaking in discrete space-time

Supersymmetry preservation — wrap up

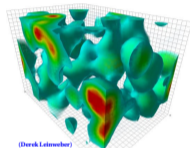
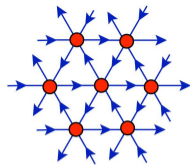
Applications with significant recent progress

Maximal $\mathcal{N} = 4$ super-Yang–Mills

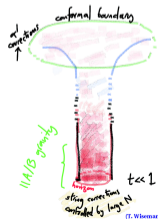
Lower dimensions $d < 4$

Minimal $\mathcal{N} = 1$ super-Yang–Mills

Remaining challenges: Super-QCD; Sign problems



(Derek Leinweber)



(T. Wiseman)