

# *Quantum meets Topology: Quantum Hall Effect*

*ICTS, January 2025, 100 years*

Perhaps, the most fundamental constant in quantum mechanics (CGS units, NOT SI):

Fine structure constant  $\alpha = e^2/\hbar c \sim 1/137$  (0.007 297 352 5693)

But  $e/\hbar/c$  (also  $m/kg/s$ ) are now precisely defined metrologically! (Vacuum permittivity!)

*It is protected as an invariant by topology  
although conductance is a macroscopic  
property of  $10^{23}$  electrons*

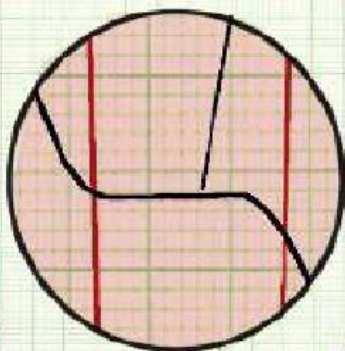
Indeed electrical conductance has the dimension of velocity

*This invariant 'velocity'  $\alpha c/2\pi \sim 1/26k\text{-ohm}$  is quantum Hall conductance (1980)*

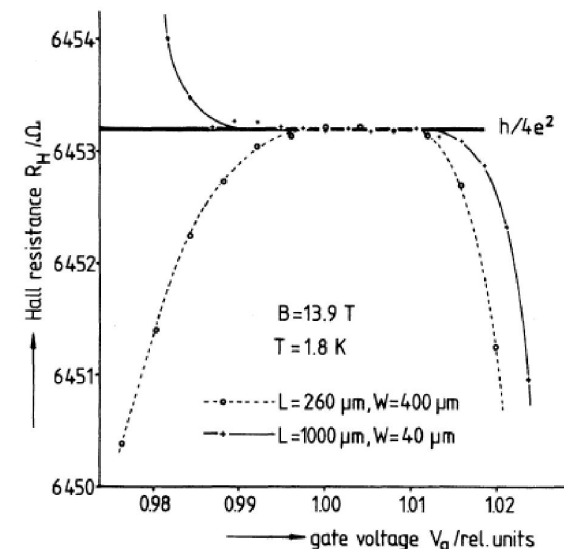
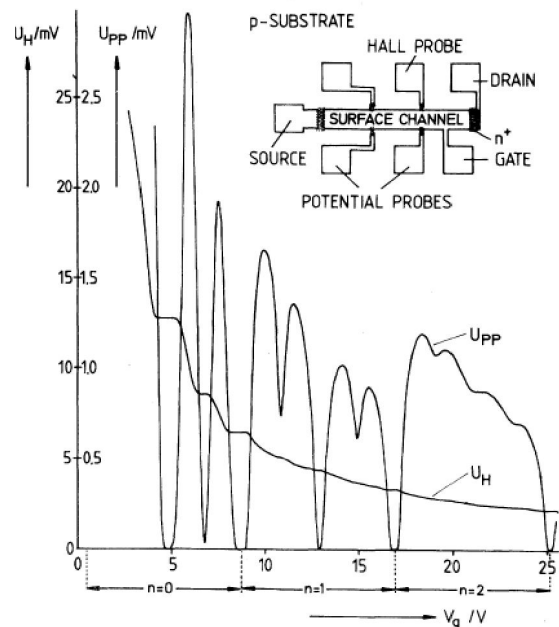
**BIRTH OF QHE**  
(5.2.1980 at 2 a.m.)

Resistance at  $B=0$   
Resistance at  $B=19.8$  T

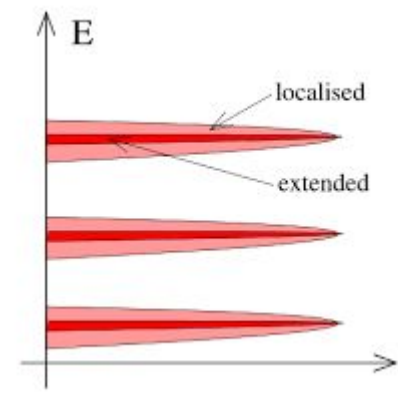
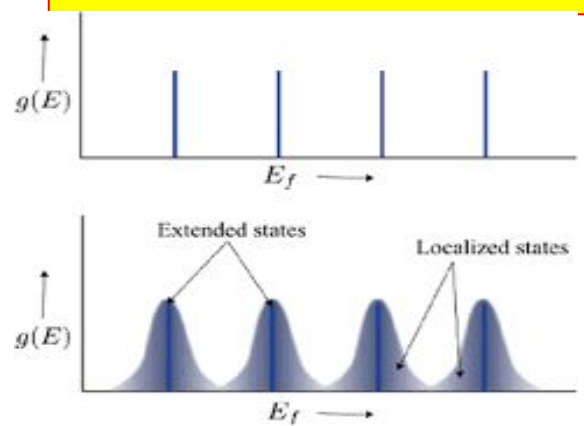
Hall resistance



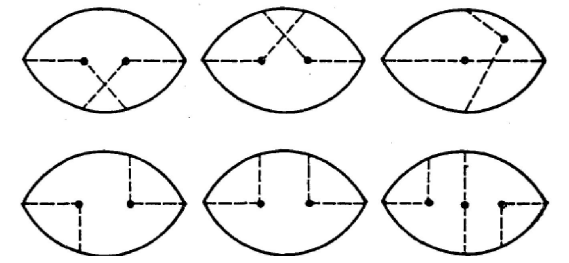
GATE VOLTAGE



**$R_K = 25812.80745 \Omega = h/e^2$  DEFINED as of 2020**  
 **$\alpha$  is measured accurately to 10 decimal places (g-2)**  
**Vacuum permittivity is known to 10 decimals also**  
 **$c = 299,792,458$  m/s ALL CONSISTENT m,kg,s defined**  
***Ironically, 1980 PRL did not determine  $\alpha$  It defined***



case of repulsive scatterers.\* This means that electrons which fully occupy impurity bands do not contribute to the Hall current, while those which occupy the main Landau level give rise to the same Hall current as that obtained when all i.e.  $1/2\pi l^2$  electrons of the Landau level move freely. **1975** the effects of higher Born scattering does not vanish



**Presence of individual delta-function quenched impurities does not affect the Hall conductivity— isolated bound states form, but the remaining free electrons carry extra current exactly compensating for this**

***IQHE is a topological phase protected by a gap and characterized by the Chern number invariant— the first and the prototypical example of SPT in physics (also the only decisive one)***

**In a ring geometry pierced by a flux, a change by a flux quantum must “transfer” between the edges:  $\rho_{xy} = h/(ne^2)$  gauge invariance, adiabaticity:**

$$C = \frac{1}{2\pi} \int_{T^2} d^2\theta \mathcal{F}_{xy}$$

**The First ‘Chern Number’  
Number of filled Landau levels**

$$\mathcal{A}_i(\Phi) = -i \langle \psi_0 | \frac{\partial}{\partial \theta_i} | \psi_0 \rangle$$

**Condition for an insulator with no degrees of freedom**

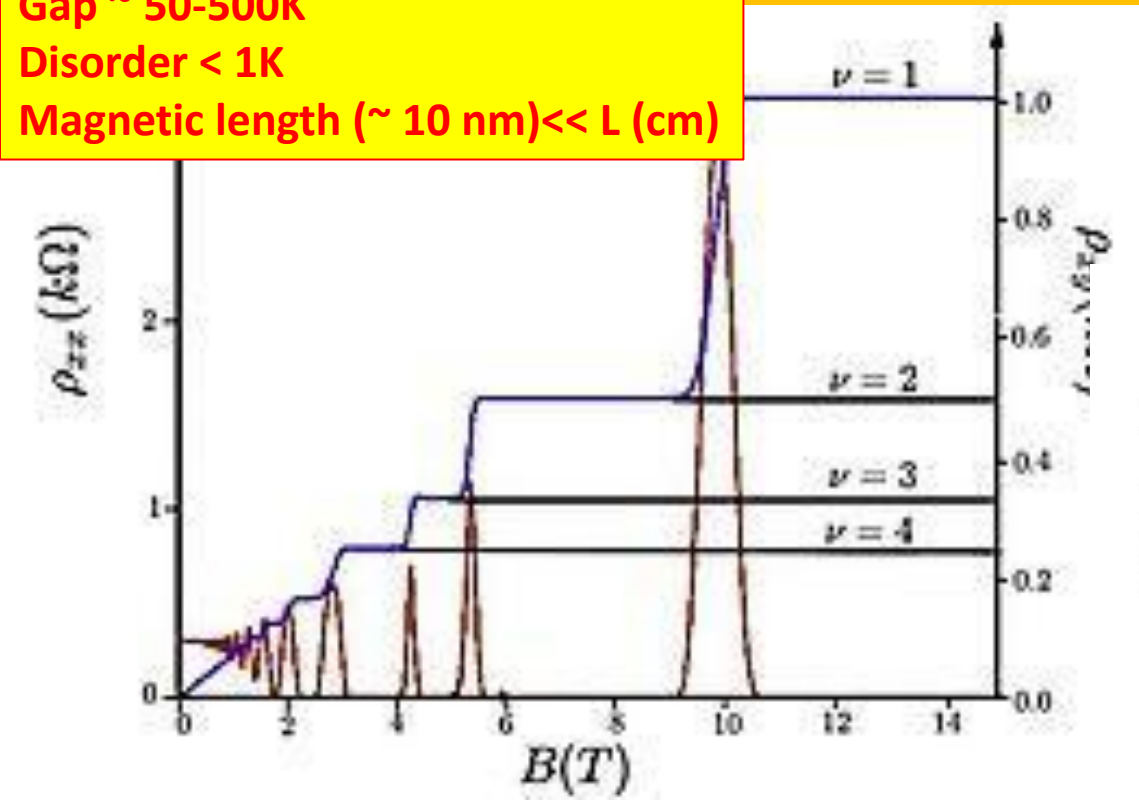
$\sigma_{xy} = \frac{e^2}{4\pi^2} \int_{M_b} \dots$  since  $k$  is integer  $k=C$

**Disorder essential**

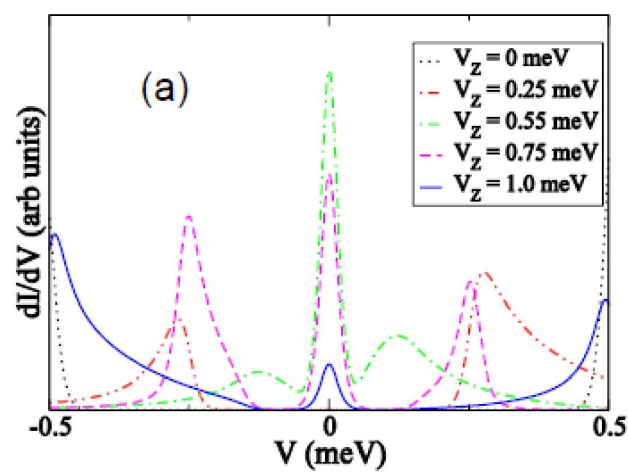
**These  $T=0$  topological theories establish QHE as Chern-invariant but are useless experimentally**

**CS theory asserts that a  $T=0$  quantization is guaranteed for infinite systems, but says NOTHING about its experimental observability at finite temperature/disorder-- THE GAP IS THE TOPOLOGICAL PROTECTION**  
**So, the theory 'explains' quantization after the fact, but does not predict it because it provides no technique for calculating corrections!**  
**Also, spectral gap is not sufficient, one must have a transport gap for the chemical potential to move, which necessitates having some disorder**

Gap  $\sim 50-500K$   
 Disorder  $< 1K$   
 Magnetic length ( $\sim 10\text{ nm}$ )  $\ll L$  (cm)



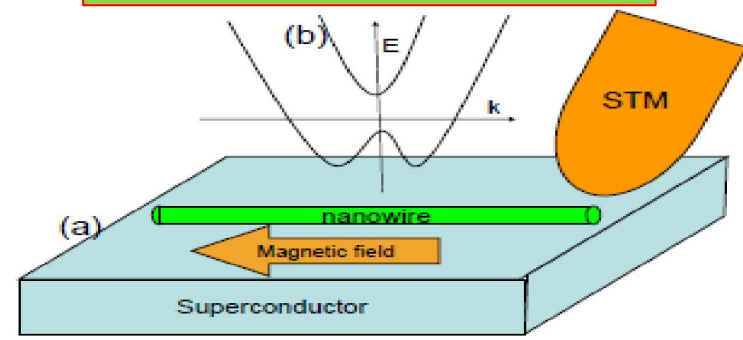
Robust quantization and topology not observed yet in spite of huge research efforts

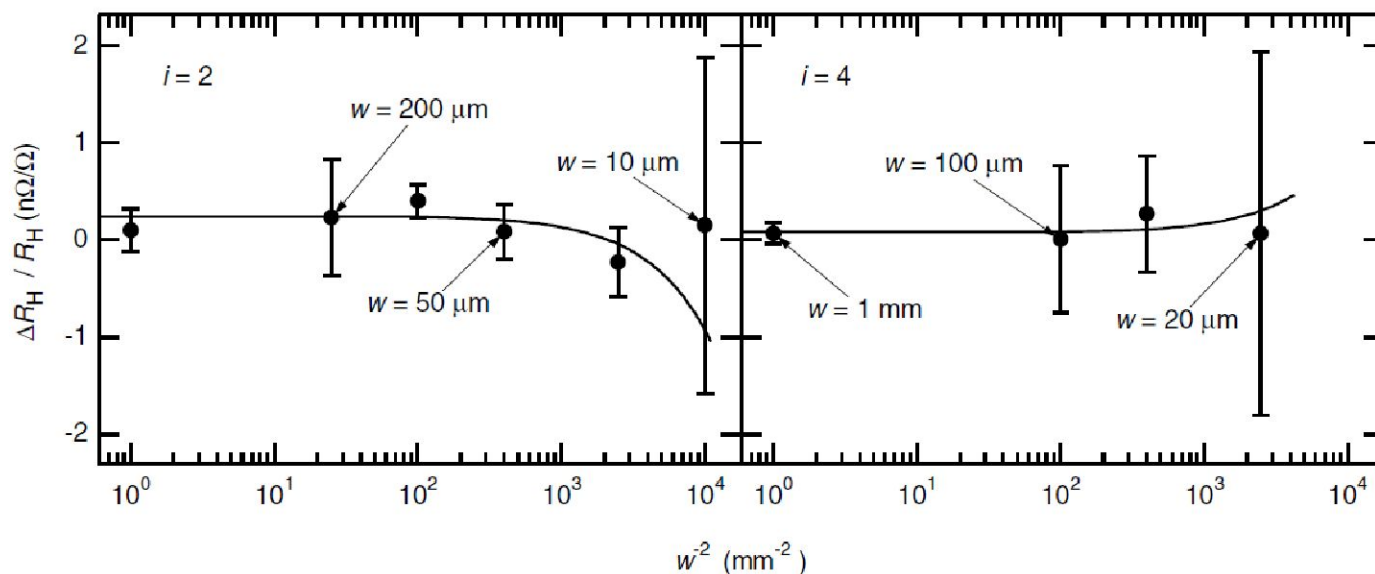
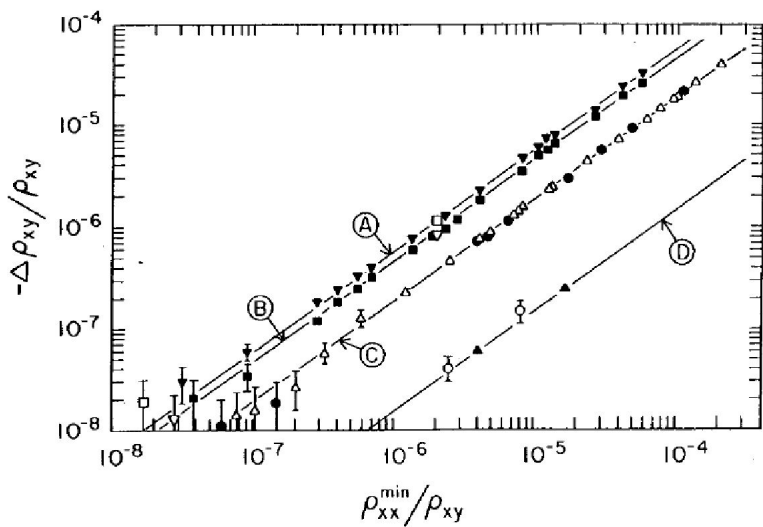
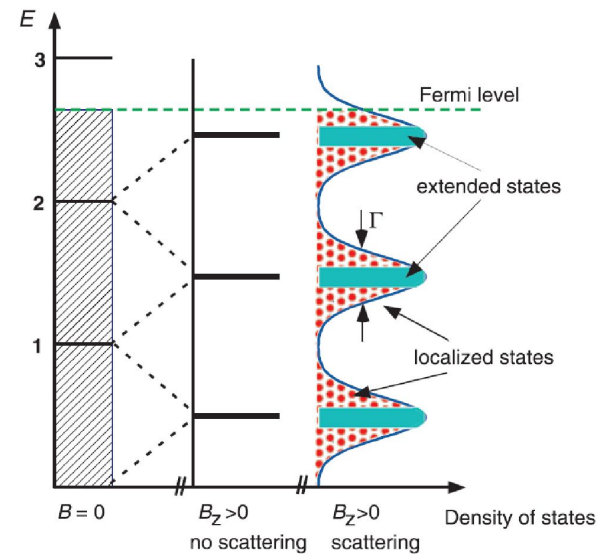
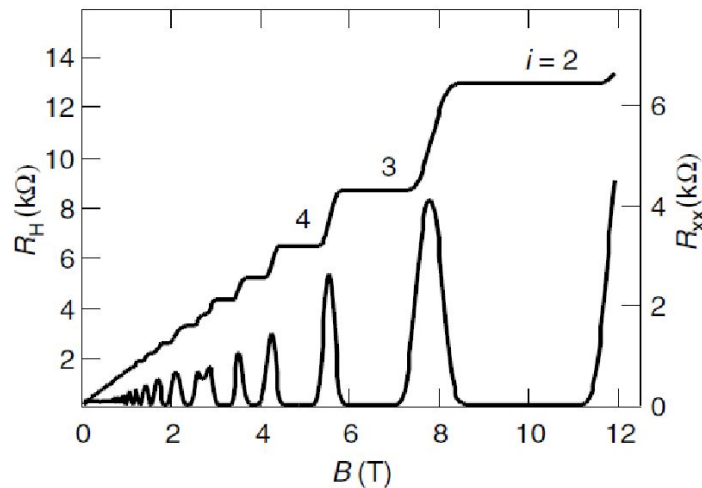
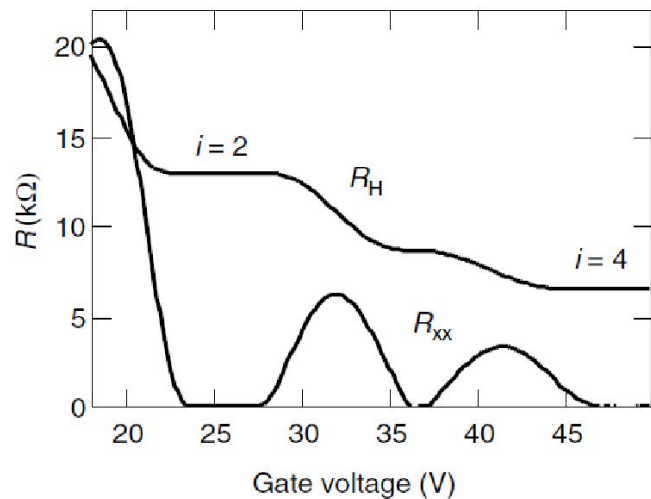


TQC

$(SU)_2$  Anyons ; MZMs  
 Topo invariant:  
 pfaffian

Gap  $\sim 100-500\text{ mK}$   
 Disorder  $\sim 1-20K$   
 Coherence length  $\gg L$





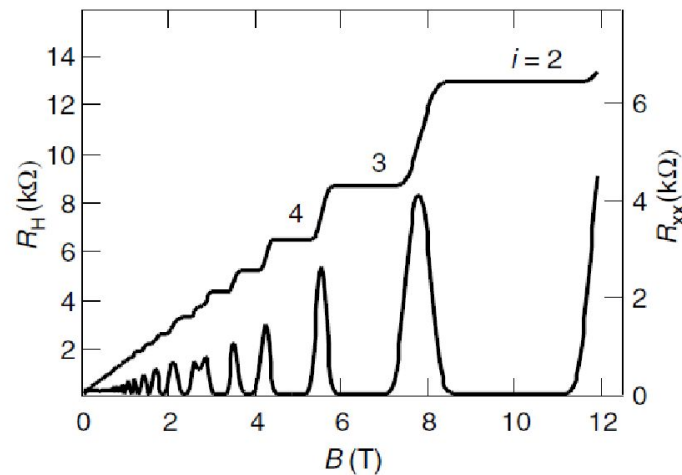
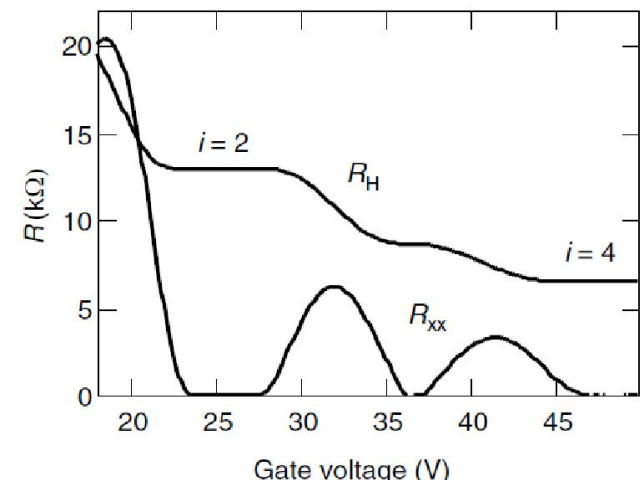
***T-dependence? Disorder dependence? Cyclotron energy dependence? Size dependence? LL,  $\mu$ ?  
Reconciling  $B=0$  Anderson localization with the existence of IQHE?  
None of these relevant questions can be addressed within the universal CS theories!***

**How does the QH plateau width depend on  $T$ ,  $\Gamma$ , and  $v$ ?**

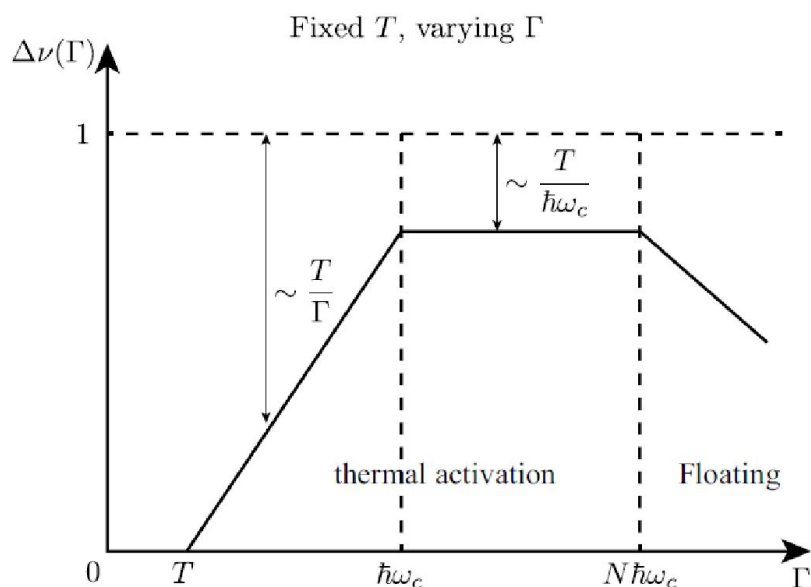
**Increase/Decrease/Constant/No idea ? (3/3/3/1)**

**Can strong disorder destroy IQHE through a quantum phase transition at any  $v$  ?**

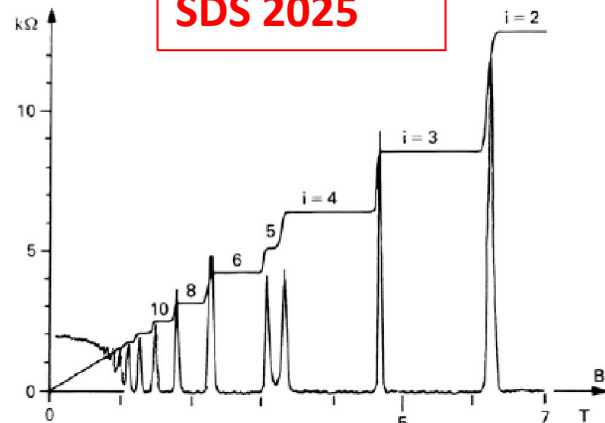
**How is the  $B=0$  limit of orthogonal class reached in IQHE?**



**Sit on a plateau: Increase disorder, temperature  
What happens to the plateau width?  
What happens when the disorder is very strong?  
The problem is nontrivial even if it is single particle physics  
Topology, localization,  $\Gamma$ ,  $\omega_c$ ,  $\mu$ ,  $T$ ,  $L$  : many scales**



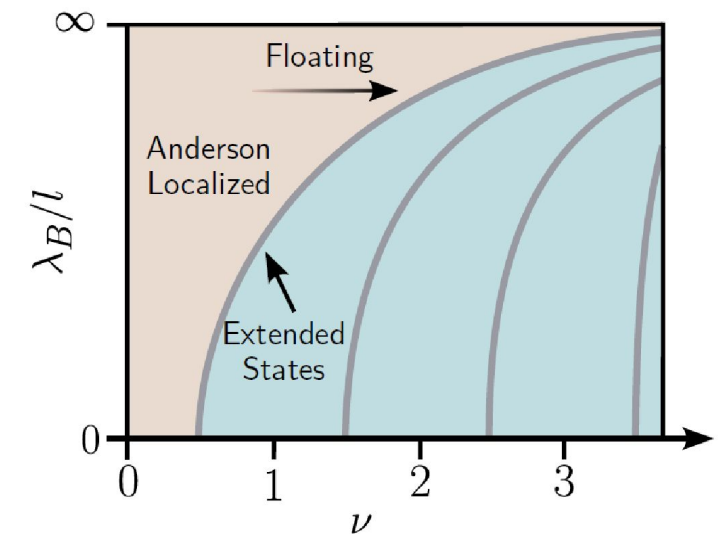
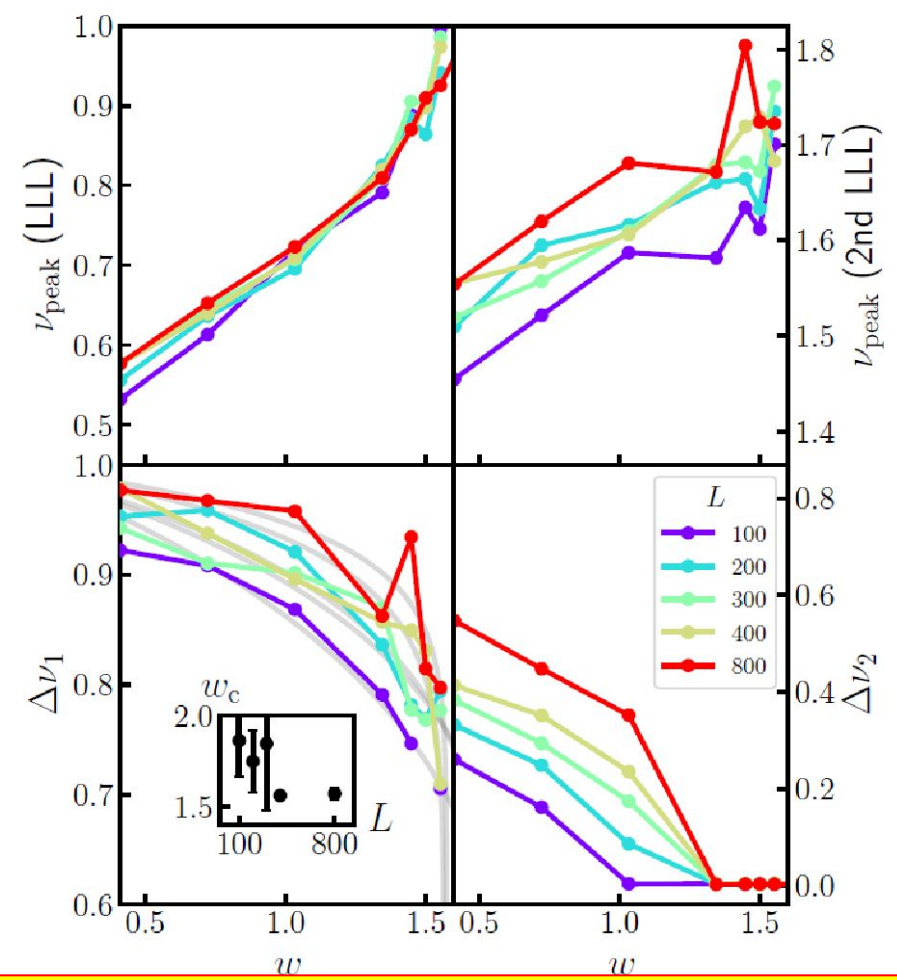
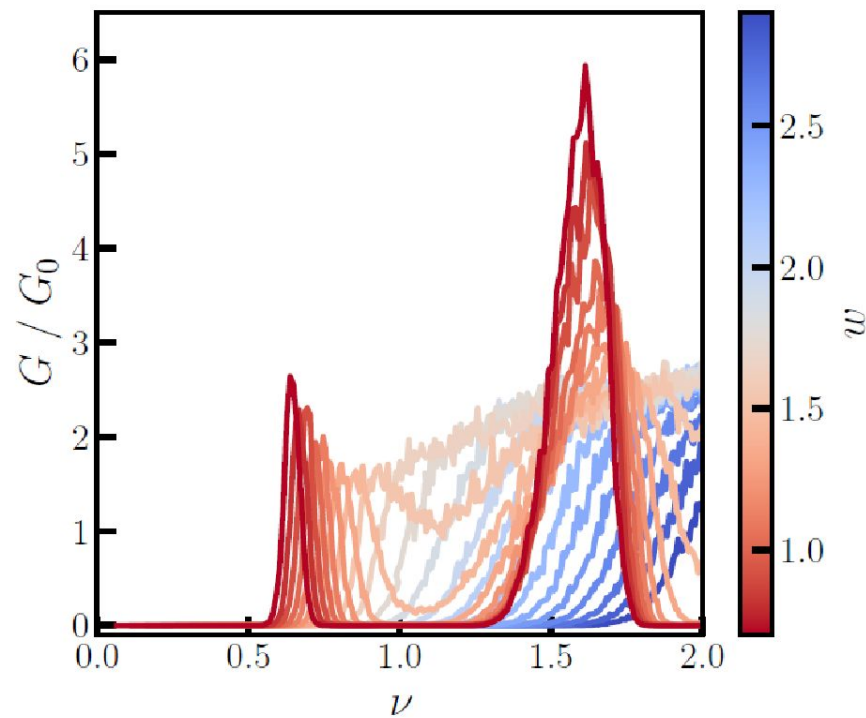
**Yi-Thomas,  
Huang, Sau,  
SDS 2025**



$$H = - \sum_{\langle ij \rangle} t e^{ia_{ij}} c_i^\dagger c_j + \text{h.c.} + \sum_i V_i c_i^\dagger c_i$$

**Deceptively simple Hamiltonian with  
chirality/topology hidden as constraints  
QHE is a nonperturbative topological effect  
 $H$  can be diagonalized directly  
A percolation network model appropriate**

# Exact T=0 finite size calculations for the two lowest Landau levels



**For B=0 or very strong disorder,  $\Gamma / \omega_c \gg 1$ , no QHE: Floating**

**T=0: The QHE plateau decreases continuously with increasing disorder with the LLL extended state moving up in energy with increasing disorder: Strong disorder ( $>2\omega_c$ ) 'destroys' QHE**

FIG. 2. Longitudinal conductance  $G$  (scaled by  $G_0 = e^2/h$  of the first two Landau levels as a function of filling  $\nu$  with increasing disorder strength  $w$ .  $L = 800$  and  $\lambda_B = 4$ .

**Conductance peak associated with the extended state at LL center shows scaling**

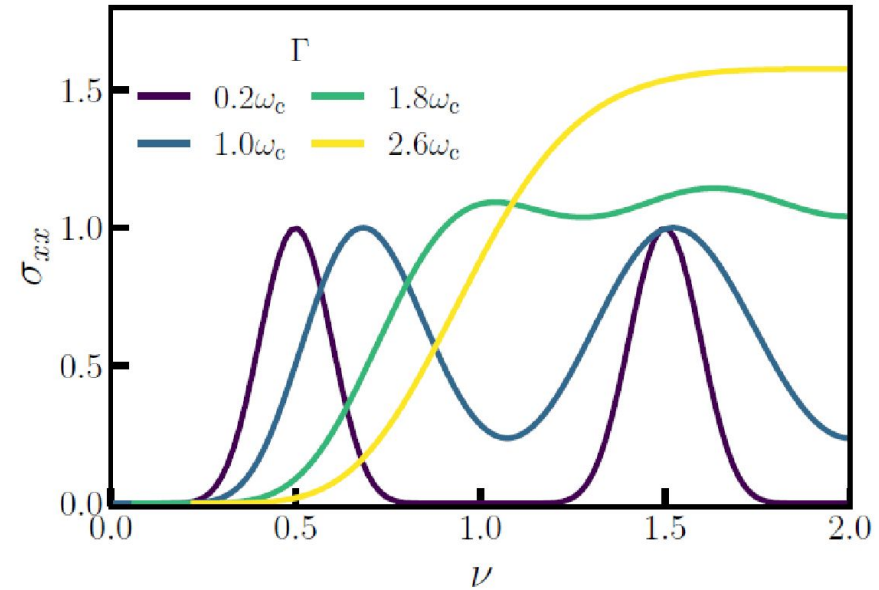
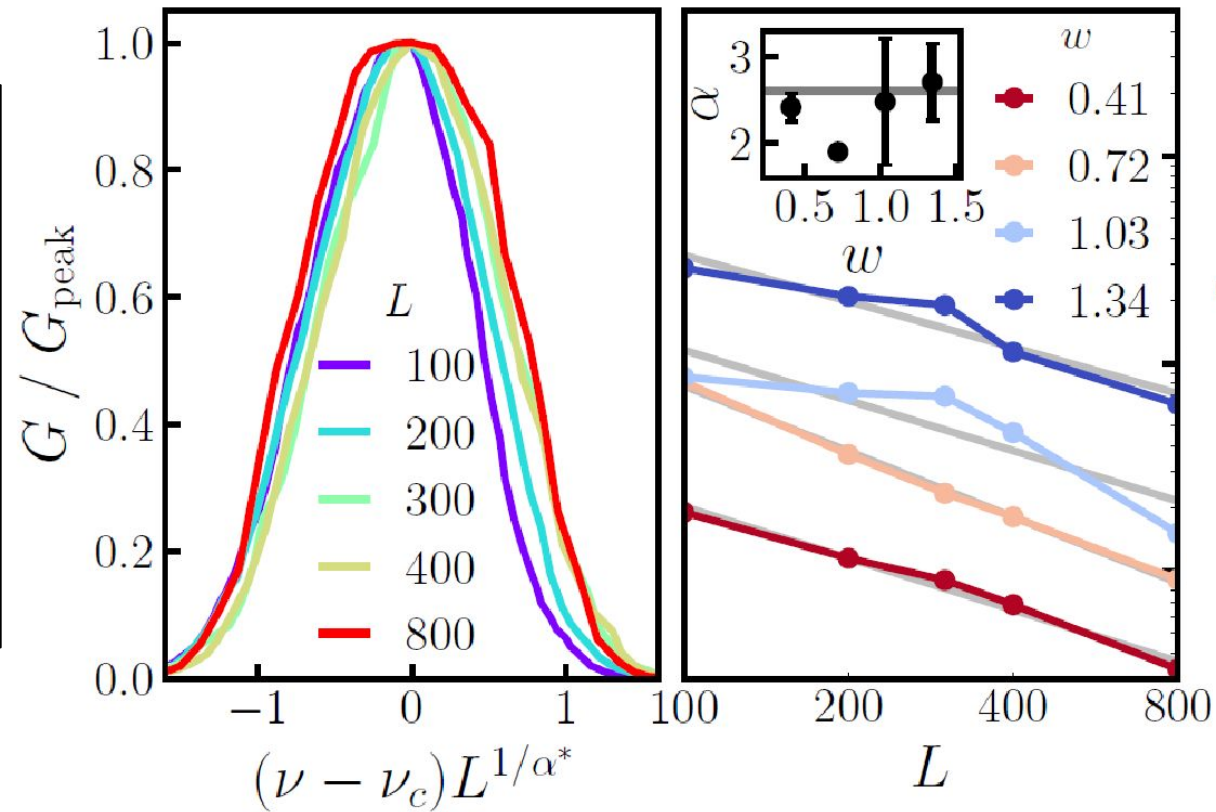
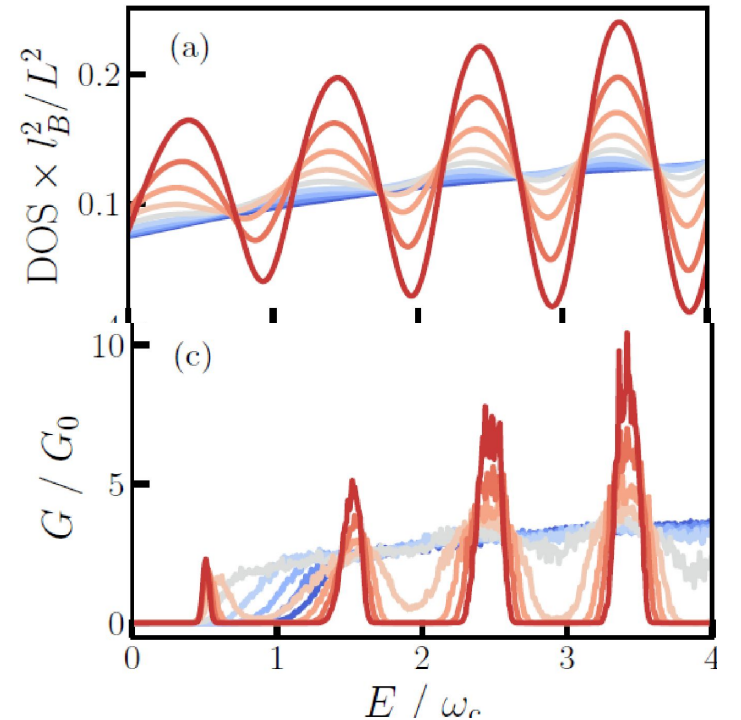
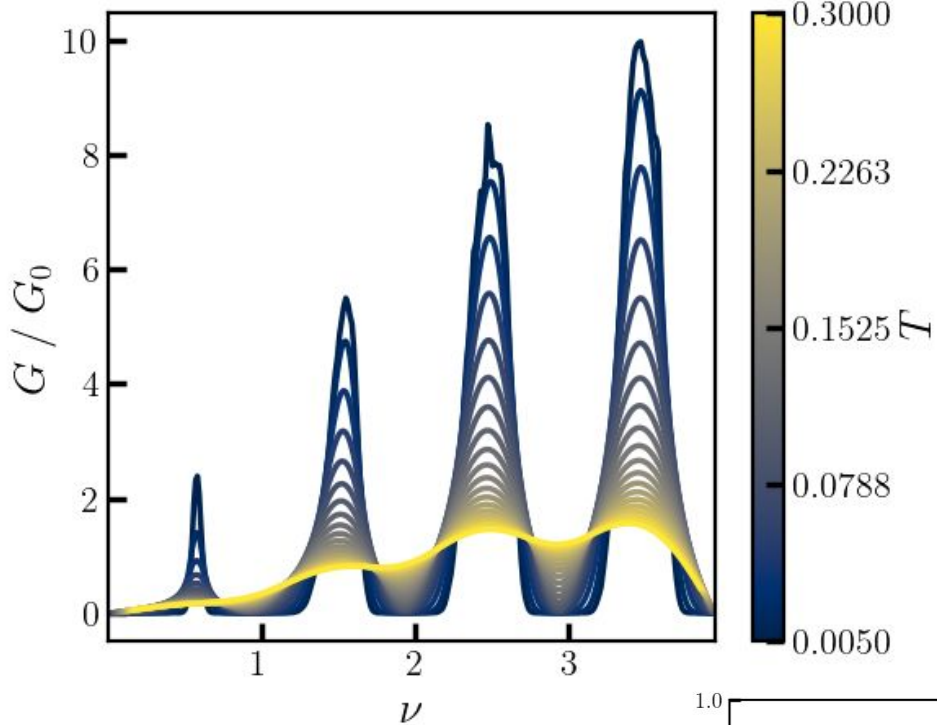


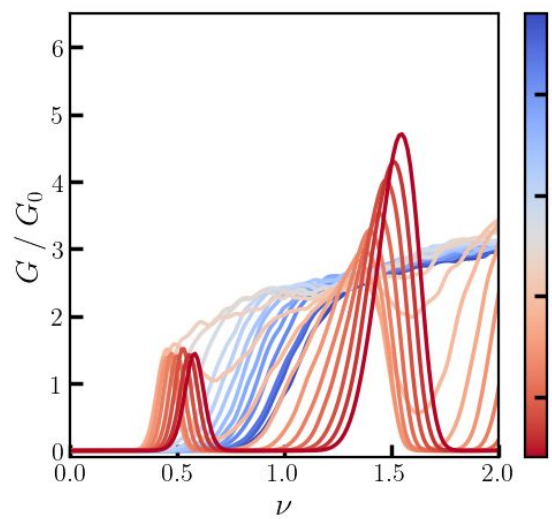
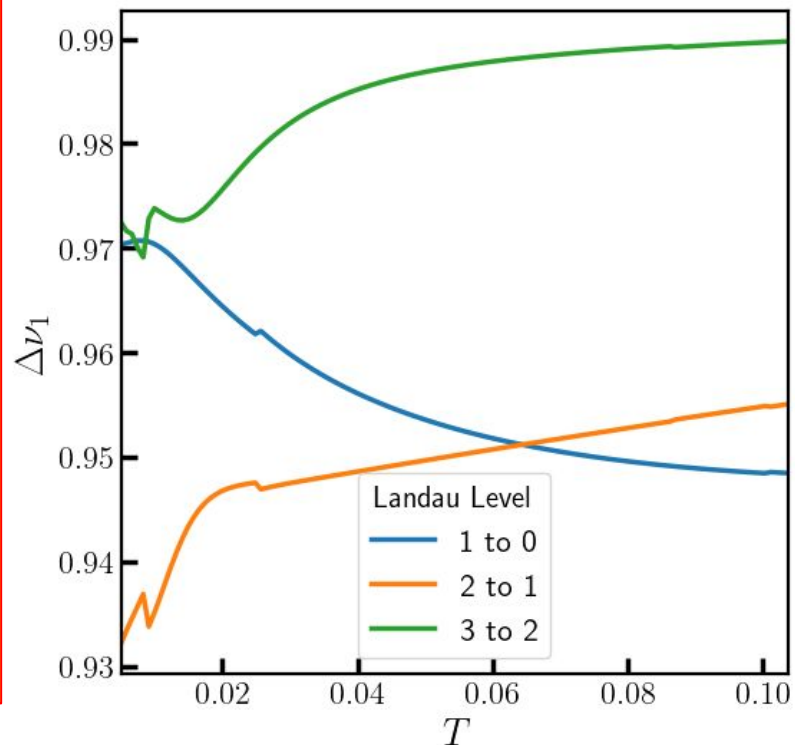
FIG. 5. (Left) The scaling of the conductance peak demonstrating approximated collapse for the  $w = 0.41$  disorder case. We use the exponent  $\alpha^* = 2.609$  from Ref. 35. (Right) The width  $\sigma^2$  of the unscaled Gaussian calculated by fitting  $\log G$  by  $(\nu - \nu_c)^2$ . Resulting scaling exponents are given in the inset compared with  $\alpha = \alpha^*$ , denoted by the horizontal line.



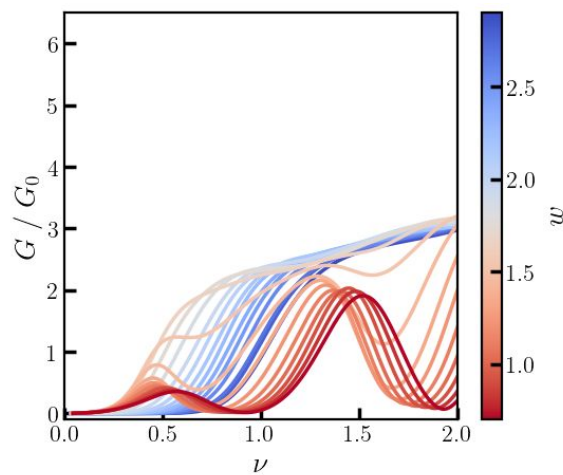
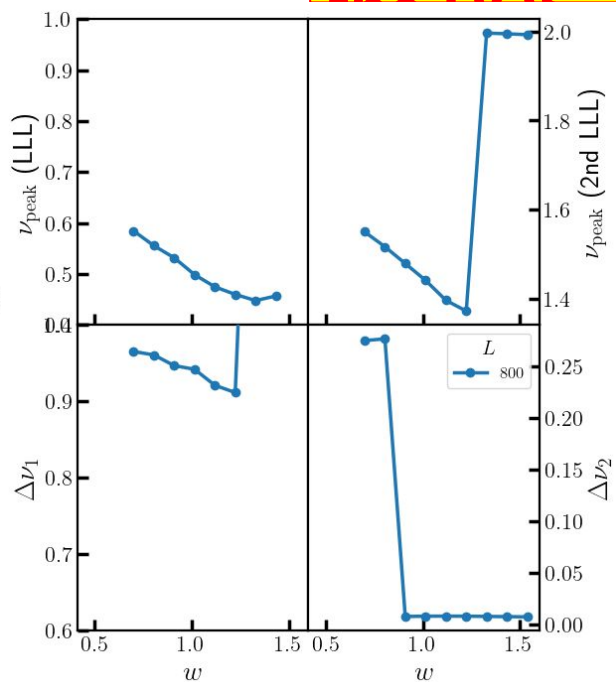




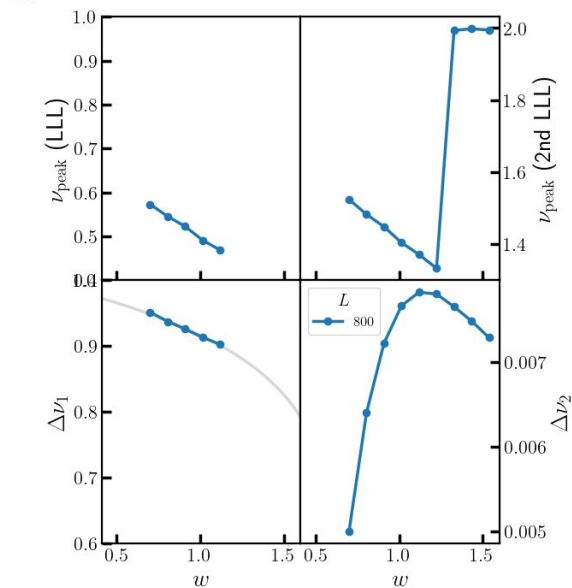
**w=0.7, L=800**  
**Increasing T**  
**typically**  
**shrinks a**  
**plateau**  
**except**  
**for competing**  
**with disorder**  
**broadening of**  
**the DOS**



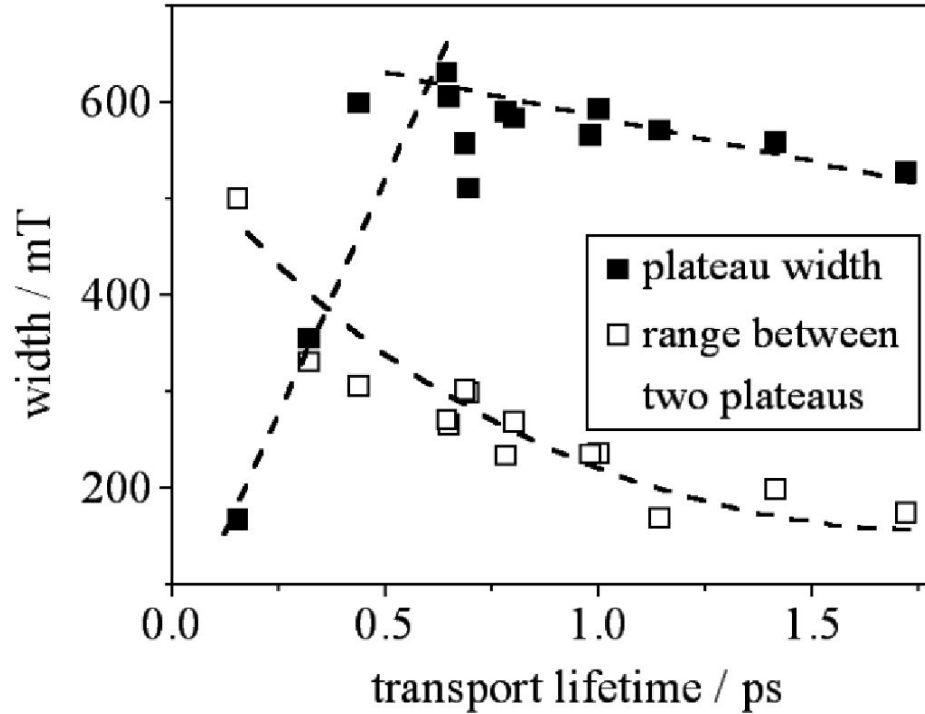
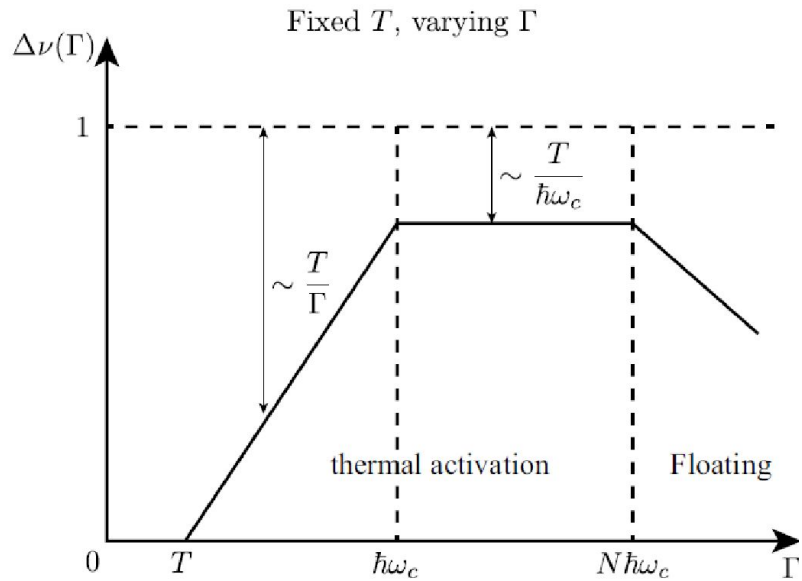
**T=0.02**      **L=800**



**T=0.1**      **L=800**



# Semiclassical percolation network theory for IQHE including both disorder and temperature: The main effect of finite T is activation of carriers into extended states

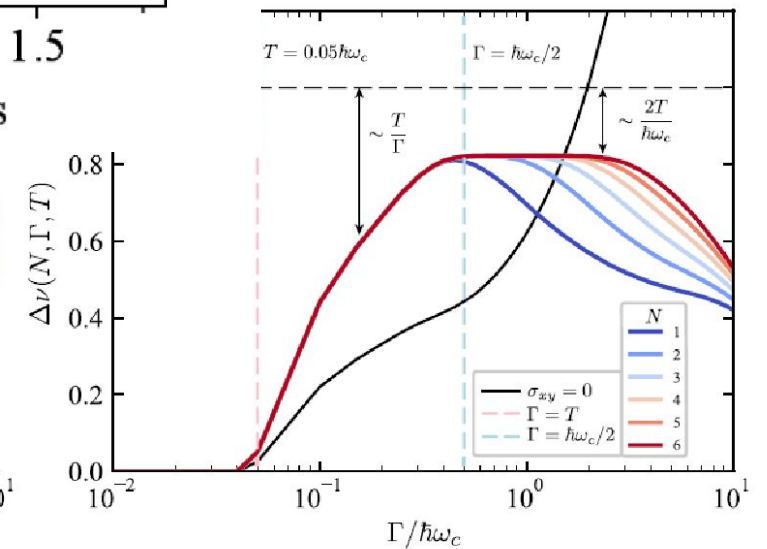
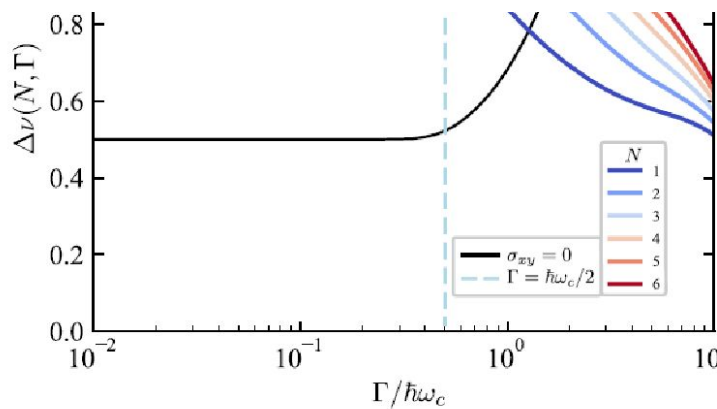


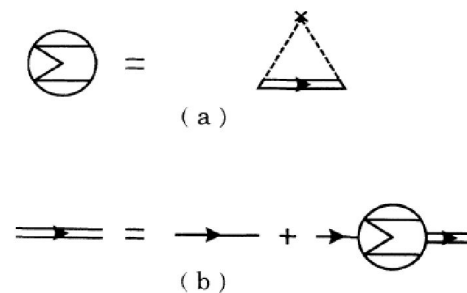
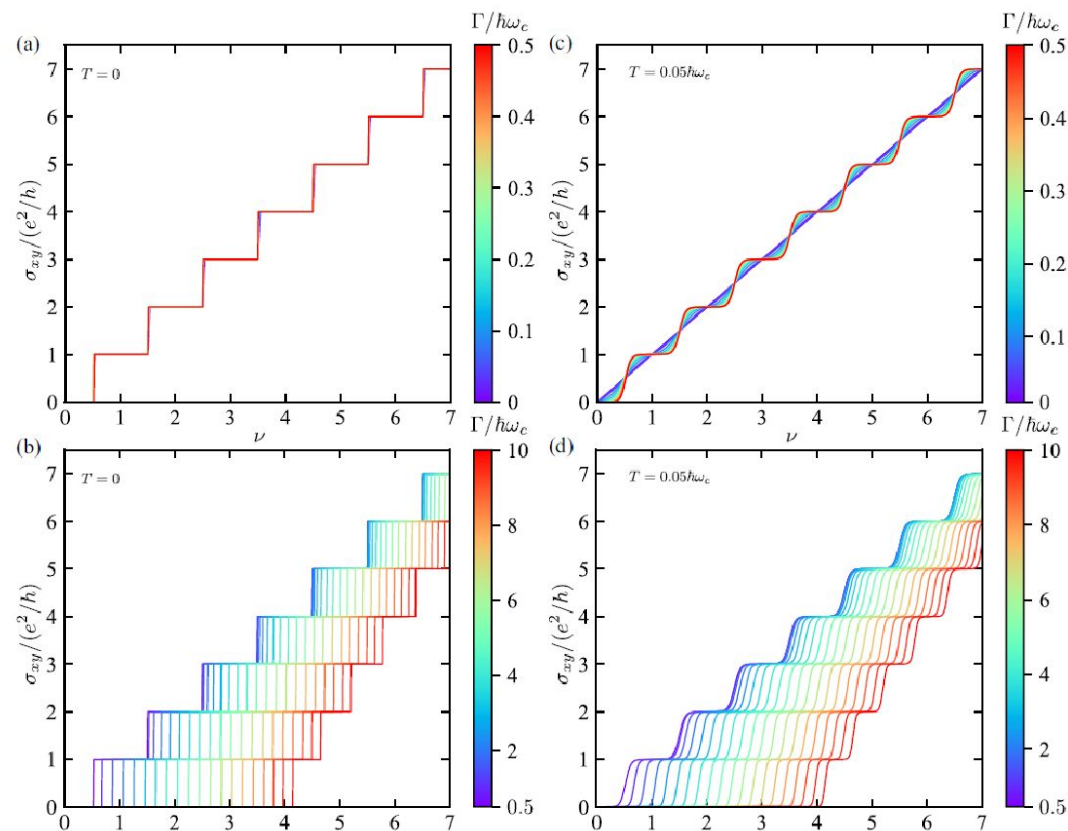
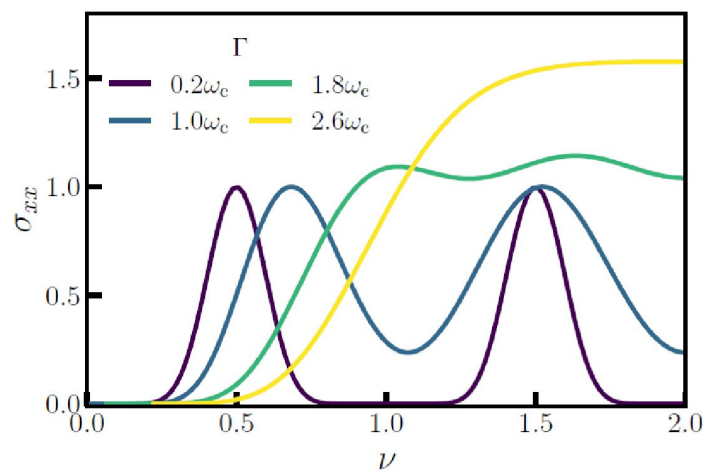
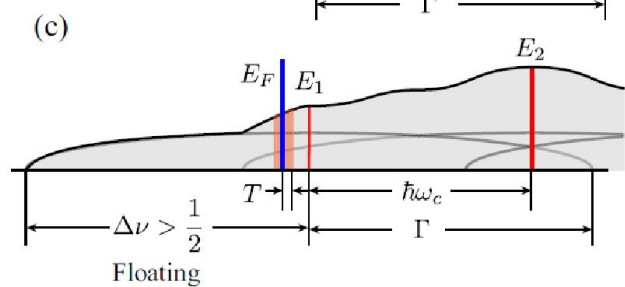
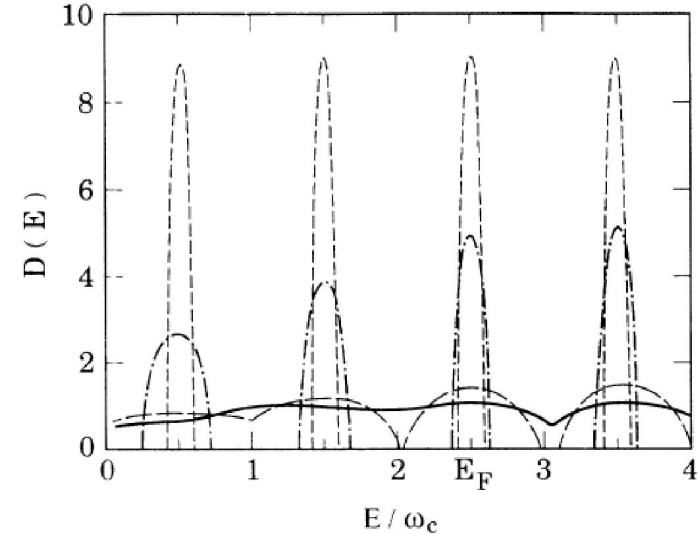
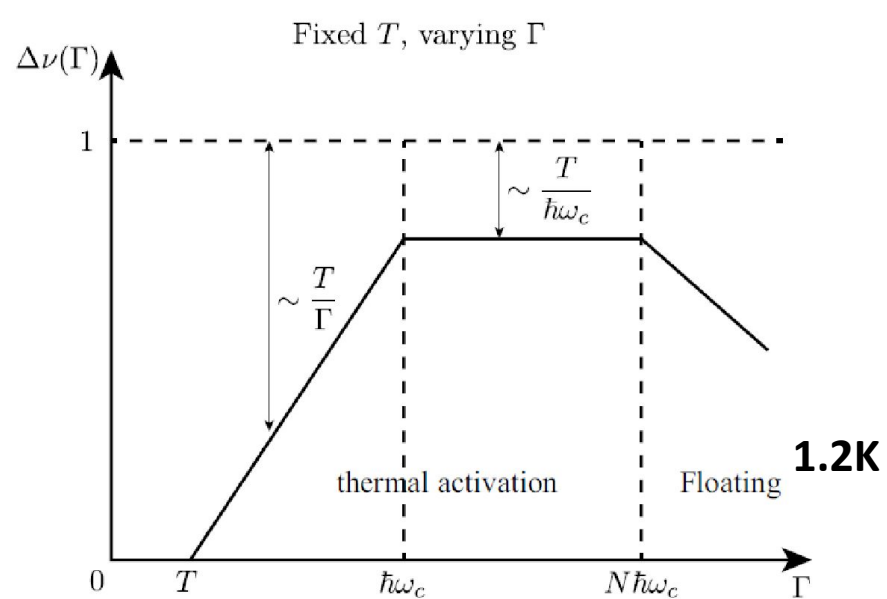
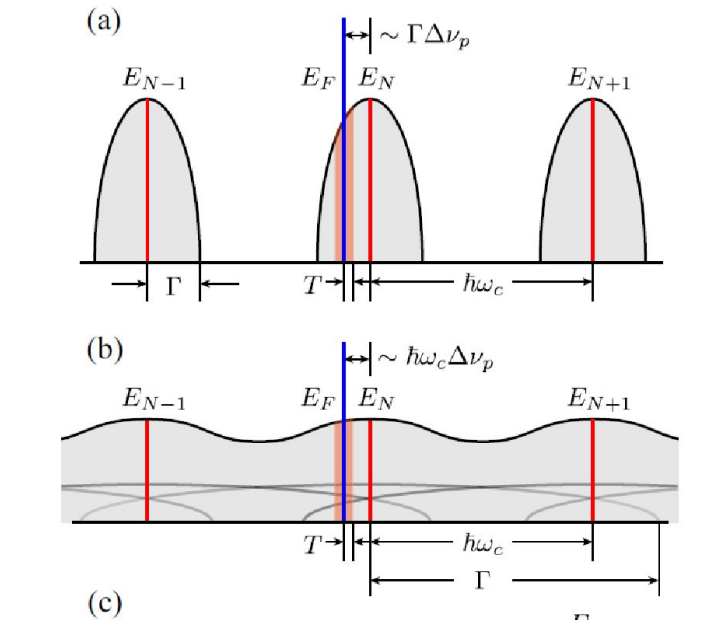
$$\nu_p = 1, \text{ if } T > \Gamma, \omega_c$$

**0: Only slow shrinkage starting at  $\nu=0$  moving upward with  $T$ : Nonmonotonic**

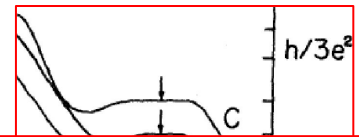
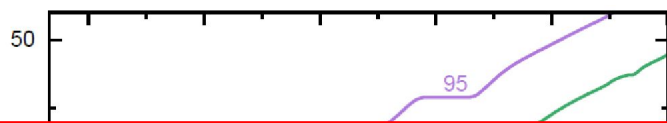
$$< \Gamma$$

**Depending on  $\Gamma, \omega_c$  and  $T, E_F$  the QH plateau may grow or shrink with increasing disorder and there is slow floating with increasing  $\Gamma/\omega_c$**





**IQHE difficult in high-quality samples!!**  
**2024 GaAs**  
**26 million mobility**  
 **$\Gamma \sim 5\text{mK}$**



**Marv Cage (1987) NIST: “Widths decrease with increasing  $T$ . They also decrease with increasing mobility. Widths disappear at high mobilities, and also shrink for very low mobilities.”**

**We explain all of this—universal CS theory cannot IQHE difficult to achieve in better samples!!**

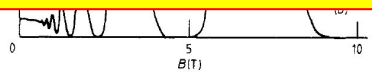
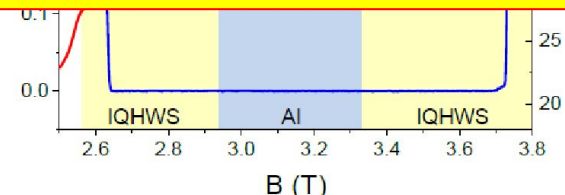


Fig. 4. Plateau width  $(\Delta V_g^0)$  of  $\rho_{xx}$  in gate voltage scans ( $V_g$ ) normalized to inter-plateau distances ( $V_g^0$ ) as a function of reciprocal mobility.

Figure 4. Resistivity  $\rho_{xx}$  as a function of the magnetic field at  $T = 1.5$  K for two different heterostructures with approximately the same carrier density but different mobilities: (a),  $\mu = 10^6 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ ; (b),  $\mu = 10^5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ . The plateau  $\rho_{xx} = 0$  around  $B = 5$  T is better.

**Can IQHE/FQHE occur for  $B=0$  and/or in lattice systems?**

**Yes: All we need are 2D flat band, topology  
Spontaneous breaking of time reversal invariance  
Chern insulators and FCI; QAHE/FQAHE**

PRL 106, 236803 (2011)

 Selected for a Viewpoint in *Physics*  
PHYSICAL REVIEW LETTERS

week ending  
10 JUNE 2011

Active huge  
current subject  
with many  
papers in  
2023-25 in  
experiment  
and theory

## Nearly Flatbands with Nontrivial Topology

Kai Sun,<sup>1</sup> Zhengcheng Gu,<sup>2</sup> Hosho Katsura,<sup>3</sup> and S. Das Sarma

**Chern number arising from the band Berry curvature**

RAPID COMMUNICATIONS

PHYSICAL REVIEW B 86, 241112(R) (2012)

## Topological flat band models with arbitrary Chern numbers

Shuo Yang,<sup>1</sup> Zheng-Cheng Gu,<sup>2</sup> Kai Sun,<sup>1</sup> and S. Das Sarma<sup>1</sup>

*In the presence interparticle interactions, these models lead to Abelian and non-Abelian fractional Chern insulators. We test with hardcore bosons at 1/3 filling, and a fractional quantum Hall state is observed.*

*As the strength of disorder increases, the FCI/FQH phase turns into a compressible Fermi liquid and then into a topologically trivial insulator.*

*Topology and Quantum Mechanics will continue their synergy, becoming a single subject in physics, and will also lead to the first practical fully quantum machine: Topological Quantum Computer (QHE is the just the beginning of this journey)*