

Classical & Quantum dynamics in out of equilibrium systems

Bangalore, December 16th 2024

Valentina Ros, @ LPTMS Orsay

# Out-of equilibrium, activated dynamics in glassy systems: landscape approach

Based on work with Alessandro Pocco & Alberto Rosso, arXiv:2410.18010



# The setting: high-d dynamics in rugged landscapes

Classical stochastic dynamics:

$$\frac{d\mathbf{s}(t)}{dt} = -\nabla \mathcal{E}[\mathbf{s}(t)] + \sqrt{2T} \boldsymbol{\eta}(t) \quad \langle \eta_i(t) \eta_j(t') \rangle = \delta_{ij} \delta(t - t') \quad \mathbf{s} = (s_1, \dots, s_N) \in \mathcal{C}_N$$

**High dimension**  $N \gg 1$ , **weak noise**  $T \ll 1$

configuration space 

# The setting: high-d dynamics in rugged landscapes

1/17

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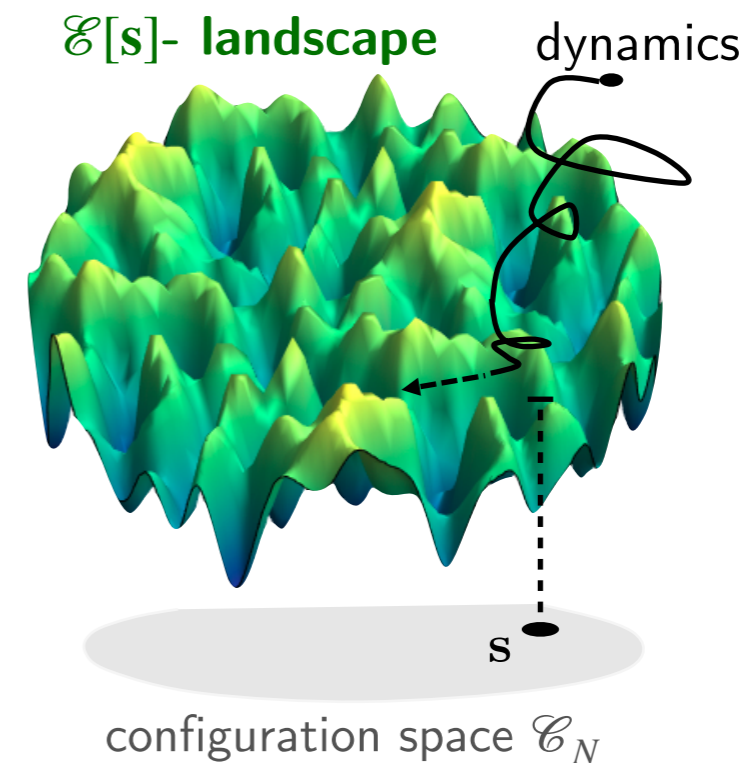
High dimension  $N \gg 1$ , weak noise  $T \ll 1$

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- Rugged energy landscape  $\mathcal{E}[\mathbf{s}(t)]$  with  $\mathcal{N} \sim e^{N\Sigma}$  local minima ( $\rightarrow$  **metastable states**), maxima, saddles such that  $\nabla \mathcal{E}[\mathbf{s}] = 0$

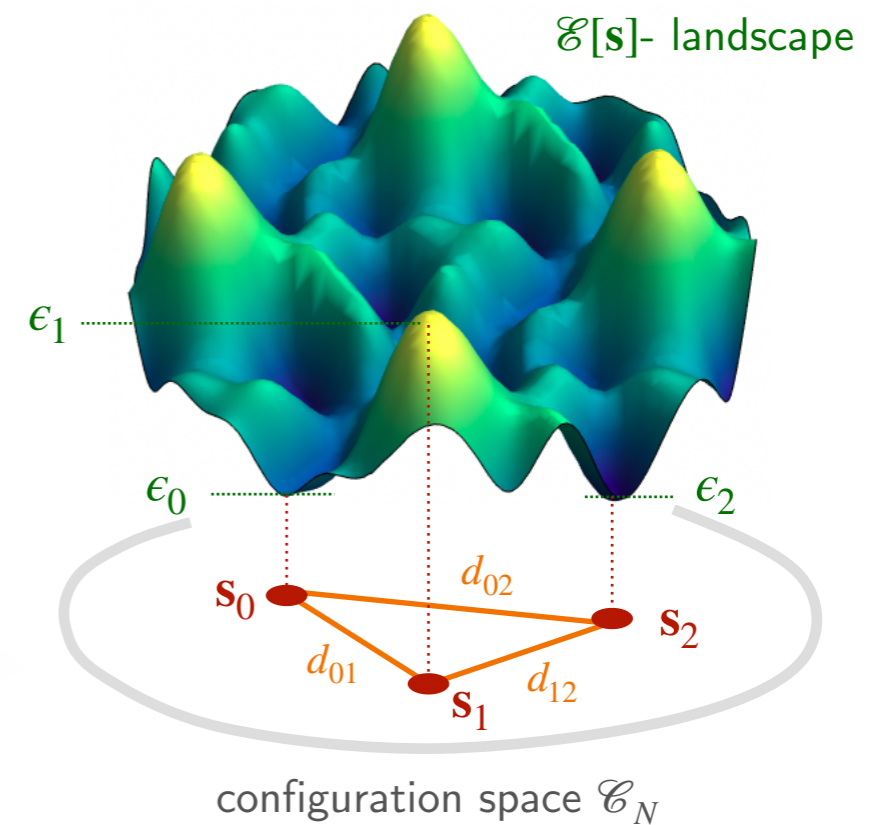
$\Sigma =$  “**landscape complexity**”

- $\mathcal{E}[\mathbf{s}(t)]$  **random landscape** on  $\mathcal{C}_N$ , Gaussian statistics.



We compute the **(conditional) entropy of triplets of metastable states** (local minima), more generally of stationary points  $\nabla \mathcal{E}[\mathbf{s}] = 0$ , as a function of;

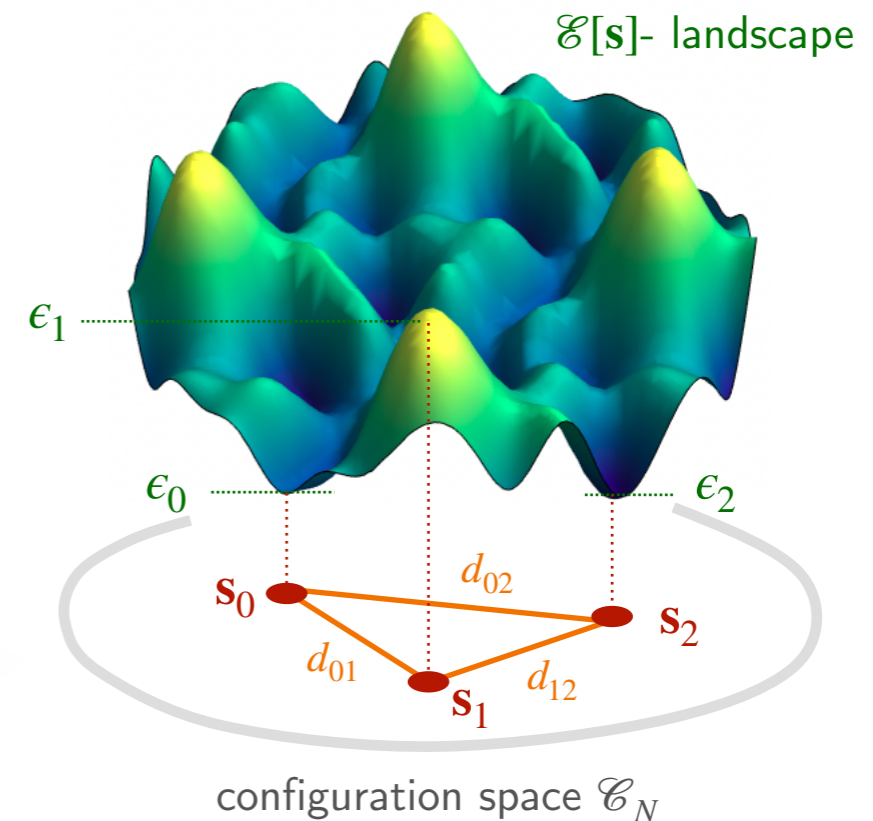
- (i) their energy density  $\epsilon = \mathcal{E}/N$
- (ii) their distances in configuration space  $d_{ab}$





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- Given the random variable

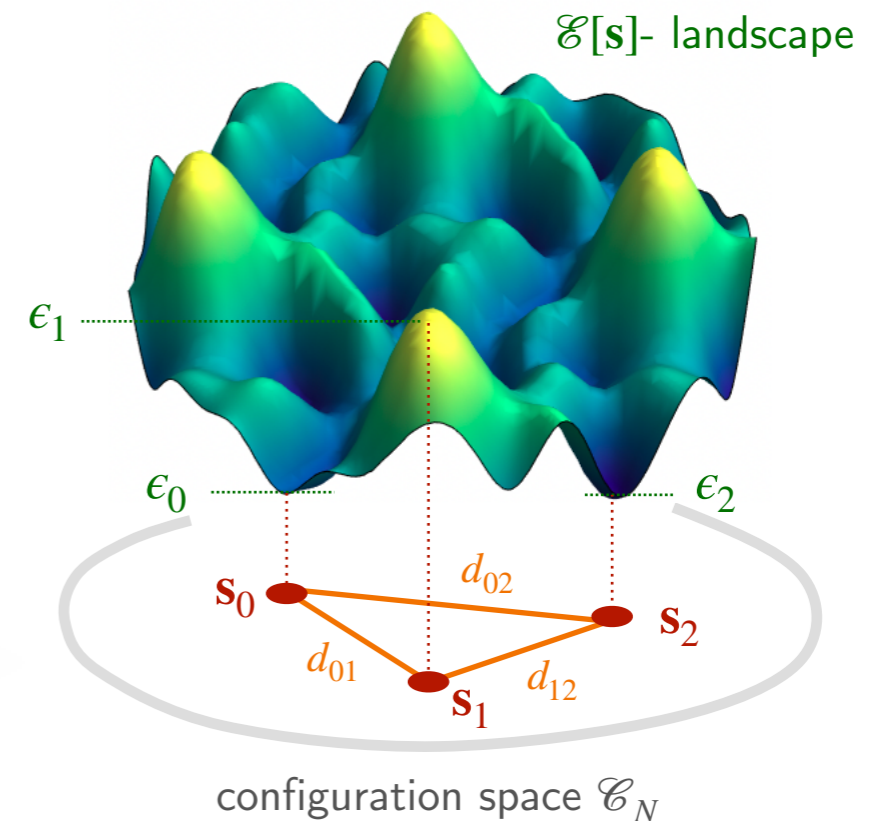
$\mathcal{N}_{s_0, s_1}(\epsilon_2, d_{02}, d_{12})$  = number of stationary points  $\mathbf{s}_2$  at energy  $\mathcal{E}[\mathbf{s}_2] = N\epsilon_2$  and conditioned to fixed distances  $d_{12}, d_{02}$  from two other stationary points  $\mathbf{s}_0, \mathbf{s}_1$  of energies  $N\epsilon_1, N\epsilon_0$  and distance  $d_{01}$

we compute

$$\Sigma^{(3)}(\epsilon_2, d_{02}, d_{01} | \epsilon_0, \epsilon_1, d_{01}) = \lim_{N \rightarrow \infty} \left\langle \frac{1}{N} \log[\mathcal{N}_{s_0, s_1}(\epsilon_2, d_{02}, d_{12})] \right\rangle_{0,1} \quad \text{“three-point complexity”}$$

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- “Replicated Kac-Rice formalism”: replica theory + random matrix theory      Review: VR, Fyodorov 2023

## Part I

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A good mean-field model of glasses

The known and the unknown

The unknown: activated dynamics

## Part II

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From dynamics to landscape's geometry

The local landscape's geometry

Hints on activated dynamics

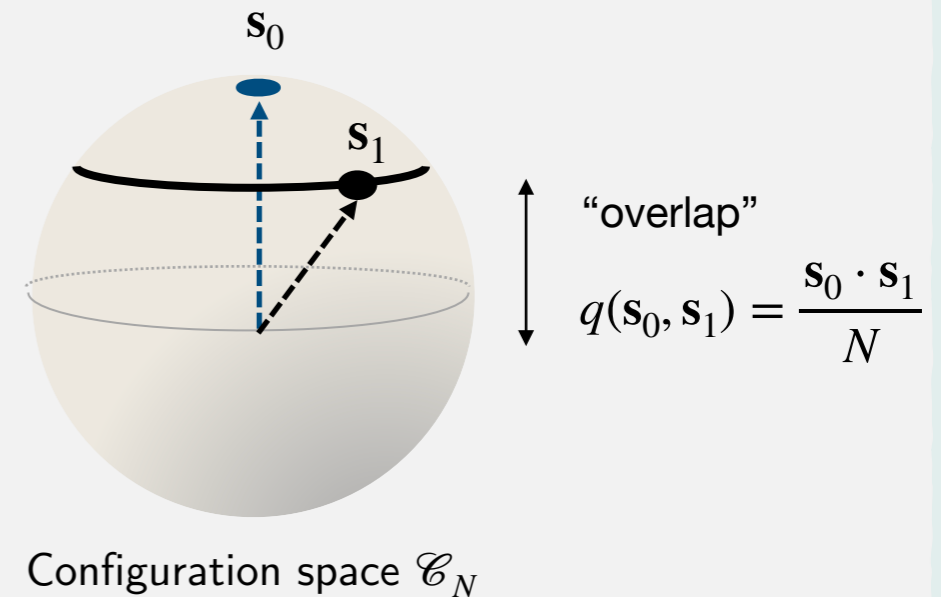
## Part I

# A good mean-field model for glasses

$$\mathcal{E}(\mathbf{s}) = \sqrt{\frac{p!}{2N^{p-1}}} \sum_{i_1, \dots, i_p} J_{i_1, \dots, i_p} s_{i_1} \cdots s_{i_p} \quad \sum_{i=1}^N s_i^2 = N$$

$$J_{i_1 \dots i_p} \sim \text{Gaussian iid} \quad p \geq 3$$

“**Pure spherical  $p$ -spin model**”, aka isotropic  
Gaussian landscapes on high-dimensional hypersphere



## THE SIMPLEST SPIN GLASS

DJ GROSS<sup>1</sup> and M MEZARD

Laboratoire de Physique Théorique de l'Ecole Normale Supérieure\*, 24 rue Lhomond,  
75231 Paris Cedex 05, France

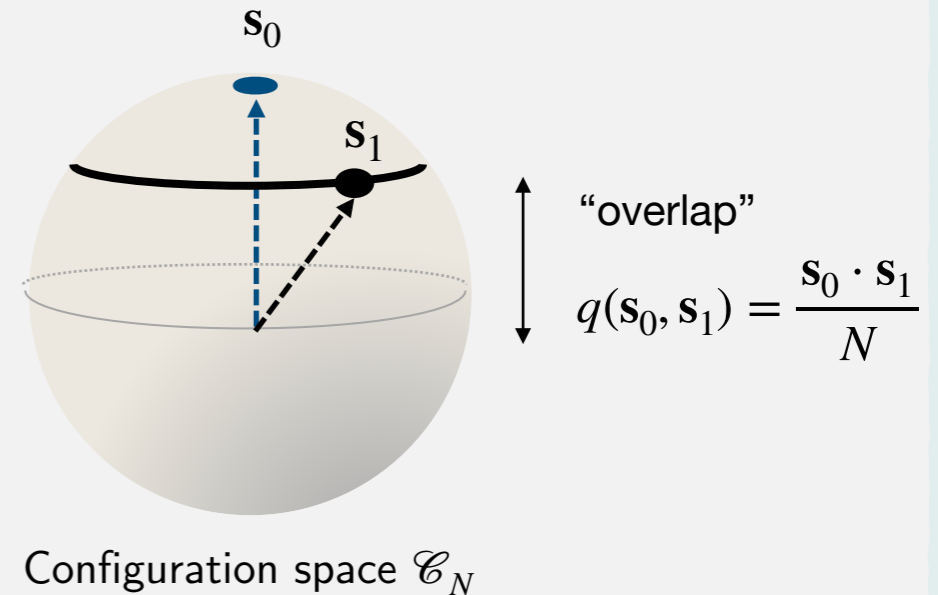
Received 7 May 1984

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## High-d inference & denoising

### Complex Energy Landscapes in Spiked-Tensor and Simple Glassy Models: Ruggedness, Arrangements of Local Minima, and Phase Transitions

Valentina Ros

Institut de Physique Théorique, Université Paris Saclay, CEA, CNRS, F-91191 Gif-sur-Yvette, France

Gerard Ben Arous

Courant Institute of Mathematical Sciences, New York University,  
251 Mercer Street, New York, New York 10012, USA

Giulio Biroli

Institut de Physique Théorique, Université Paris Saclay, CEA, CNRS, F-91191 Gif-sur-Yvette, France  
and Laboratoire de Physique Statistique, Ecole Normale Supérieure, PSL Research University,  
24 rue Lhomond, 75005 Paris, France

Chiara Cammarota

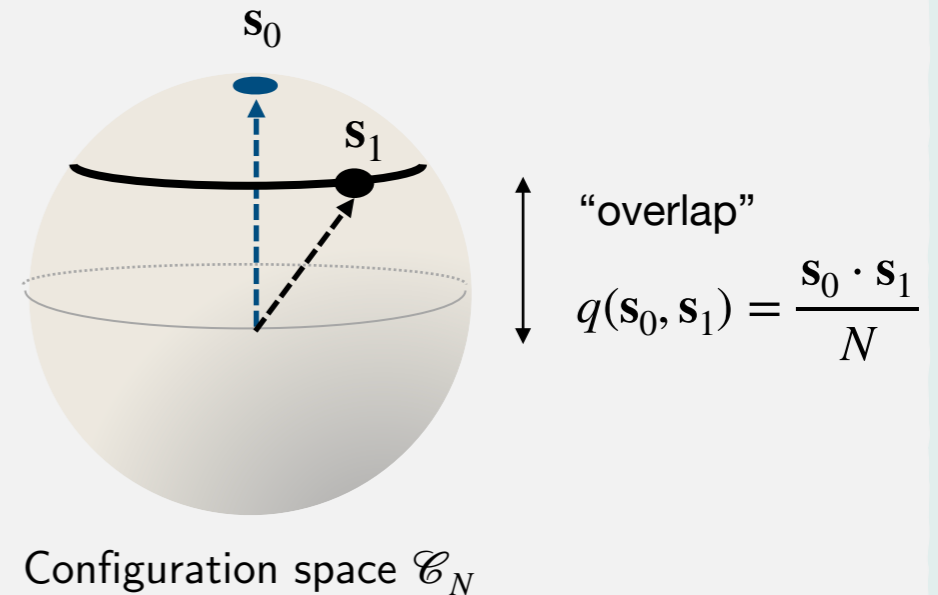
King's College London, Department of Mathematics, Strand, London WC2R 2LS, United Kingdom

(Received 24 April 2018; revised manuscript received 31 October 2018; published 4 January 2019)

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## Quantum ergodicity (ETH) breaking

Clustering of non-ergodic eigenstates in quantum spin glasses

C. L. Baldwin,<sup>1,2</sup> C. R. Laumann,<sup>1</sup> A. Pal,<sup>3</sup> and A. Scardicchio<sup>4,5</sup>

<sup>1</sup>Department of Physics, Boston University, Boston, MA 02215, USA

<sup>2</sup>Department of Physics, University of Washington, Seattle, WA 98195, USA

<sup>3</sup>Rudolf Peierls Centre for Theoretical Physics, Oxford University, Oxford OX1 3NP, UK

<sup>4</sup>Abdus Salam ICTP Trieste, Strada Costiera 11, 34151 Trieste, Italy

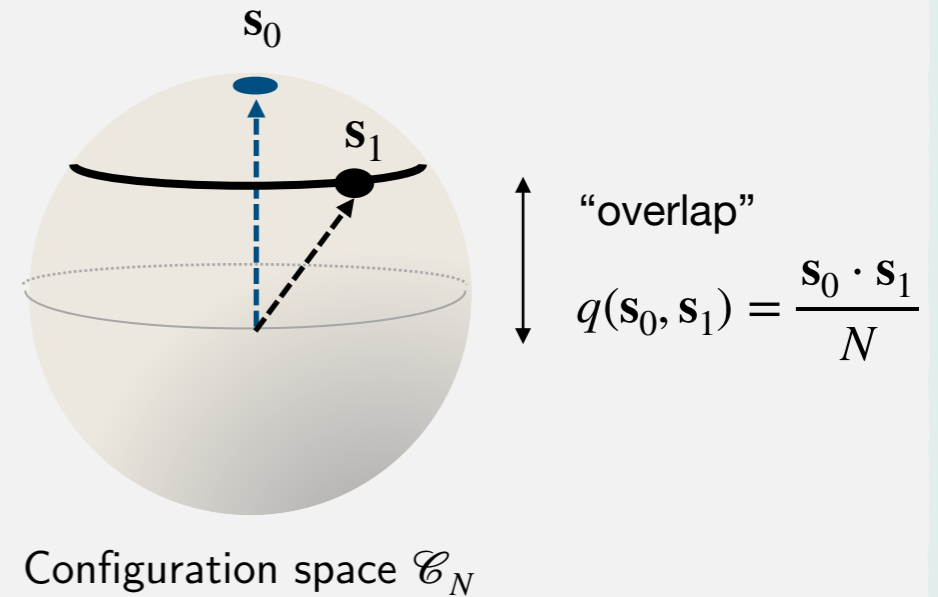
<sup>5</sup>INFN, Sezione di Trieste, Via Valerio 2, 34127 Trieste, Italy

(Dated: February 1, 2017)

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## Holography

The quantum  $p$ -spin glass model: a user manual for holographers

Tarek Anous<sup>3</sup> and Felix M Haehl

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[Journal of Statistical Mechanics: Theory and Experiment, Volume 2021, November 2021](#)

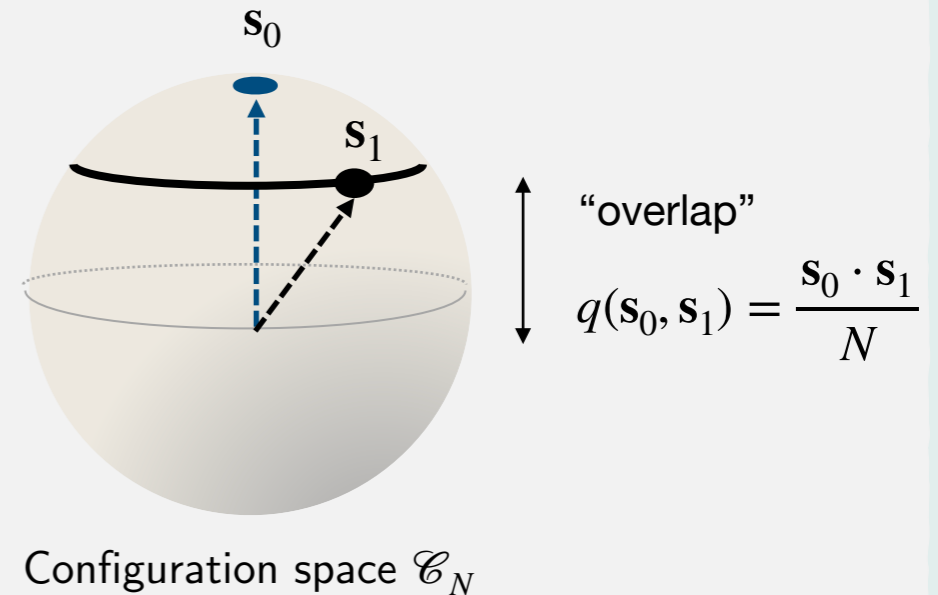
Citation Tarek Anous and Felix M Haehl *J. Stat. Mech.* (2021) 113101



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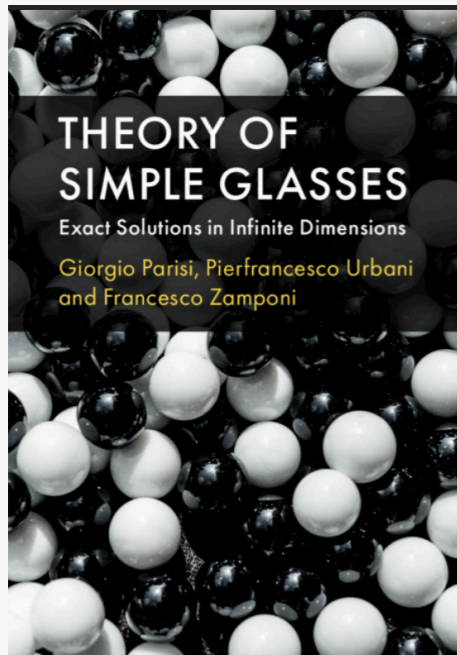
## Theory of learning

Statistical physics of learning in high-dimensional chaotic systems

Samantha J Fournier<sup>2</sup> and Pierfrancesco Urbani

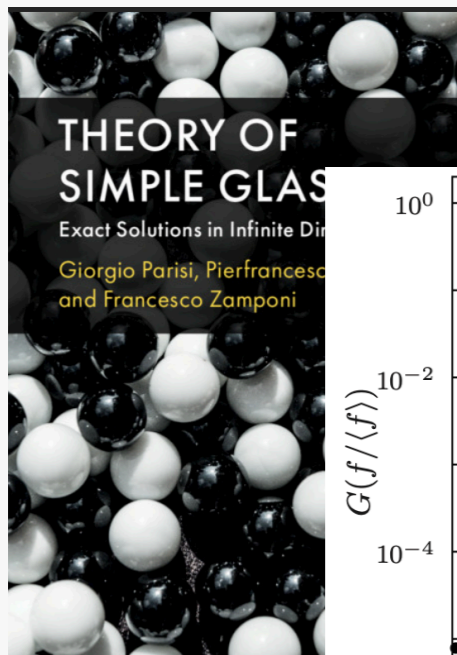
Published 27 November 2023 · © 2023 IOP Publishing Ltd and SISSA Medialab srl

[Journal of Statistical Mechanics: Theory and Experiment, Volume 2023, November 2023](#)

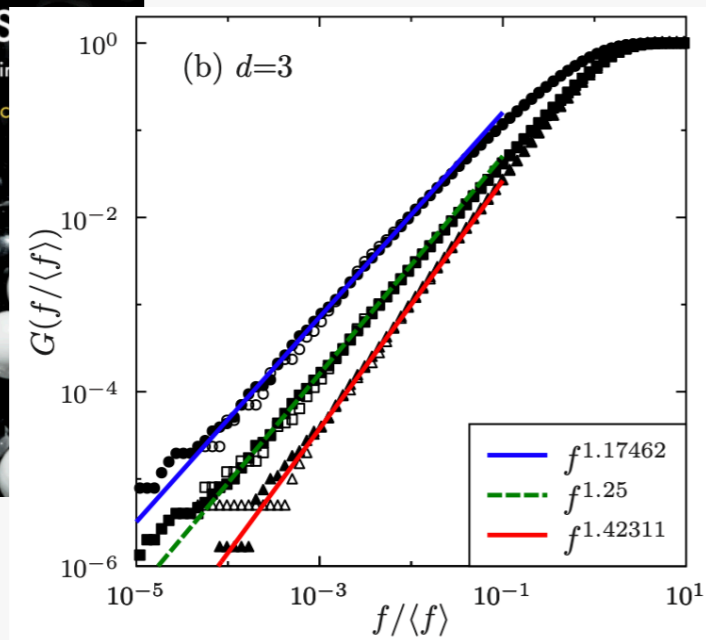


Parisi, Urbani,  
Zamponi 2020

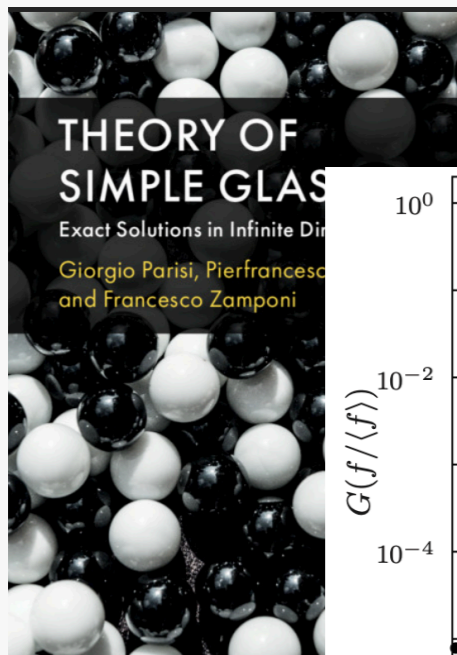
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- **Quantitative predictions (down to 3d):** dynamical transition, dynamical exponents, aging, rugged landscape, Gardner transition, jamming critical exponents, avalanche statistics, yielding and RFIM criticality...



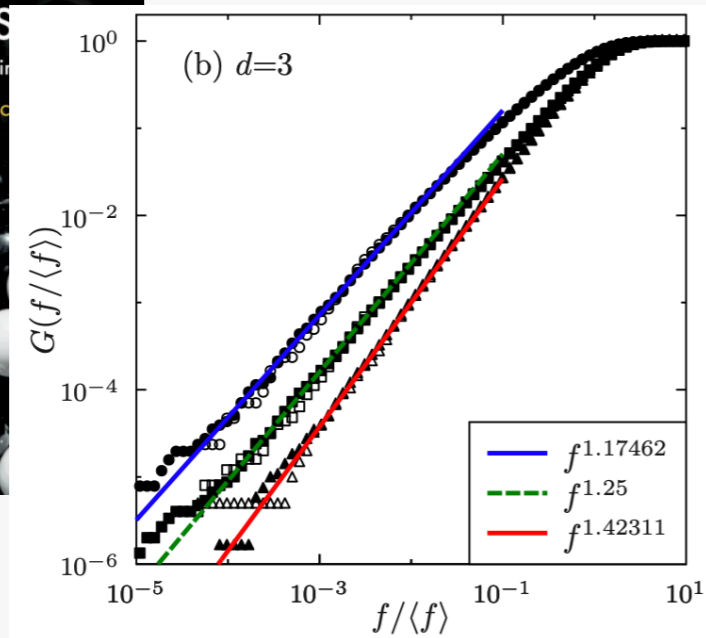
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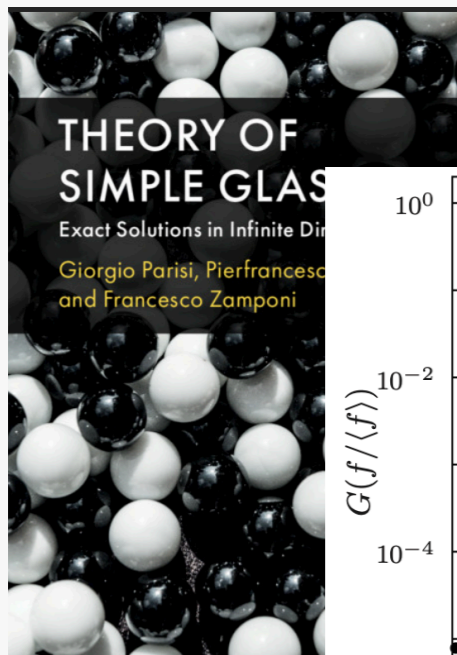


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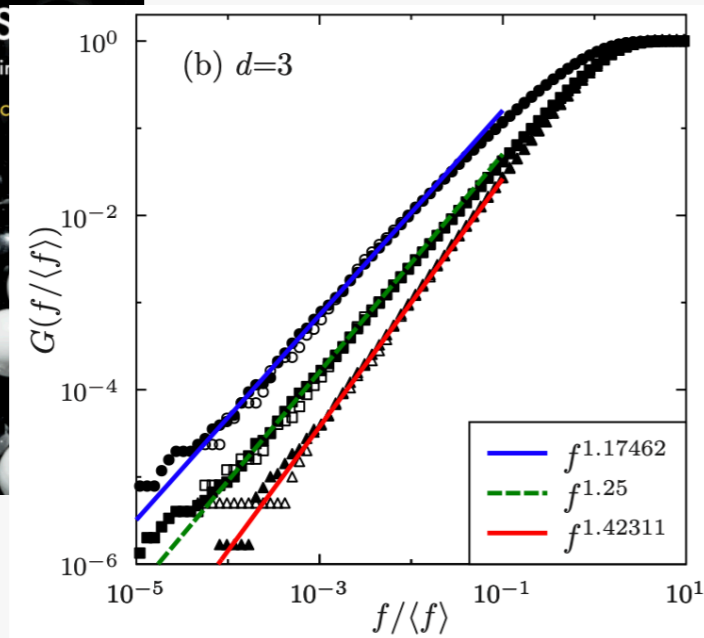
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■ **Phenomenology** captured by extremely stylized model such as spherical  $p$ -spin

■ A **solvable and non-trivial (!)** mean-field dynamics  $N \rightarrow \infty$ , capturing structure of mean-field theory of particles

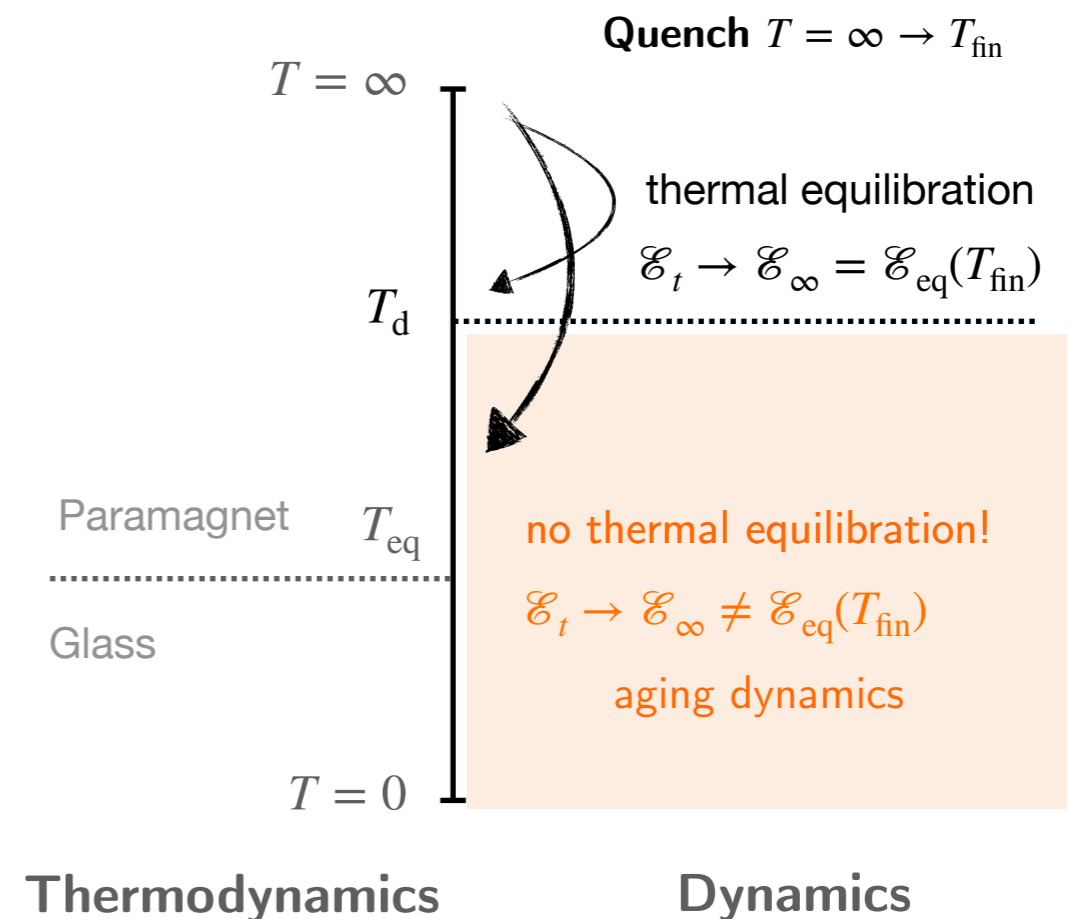


Parisi, Urbani, Zamponi 2020

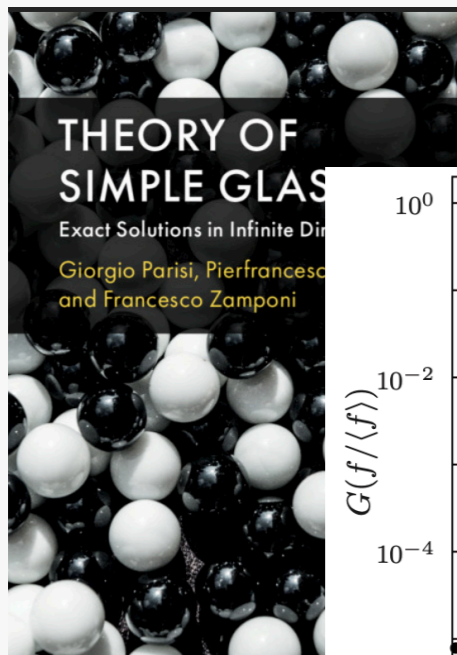


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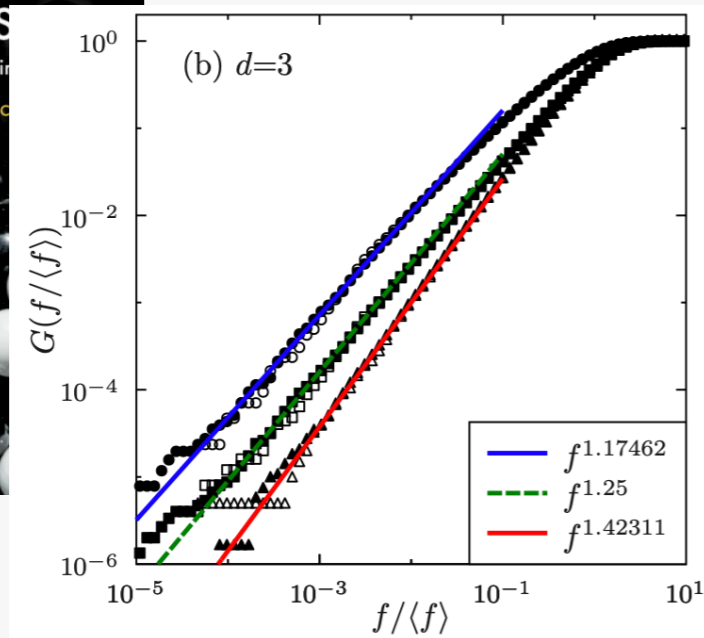
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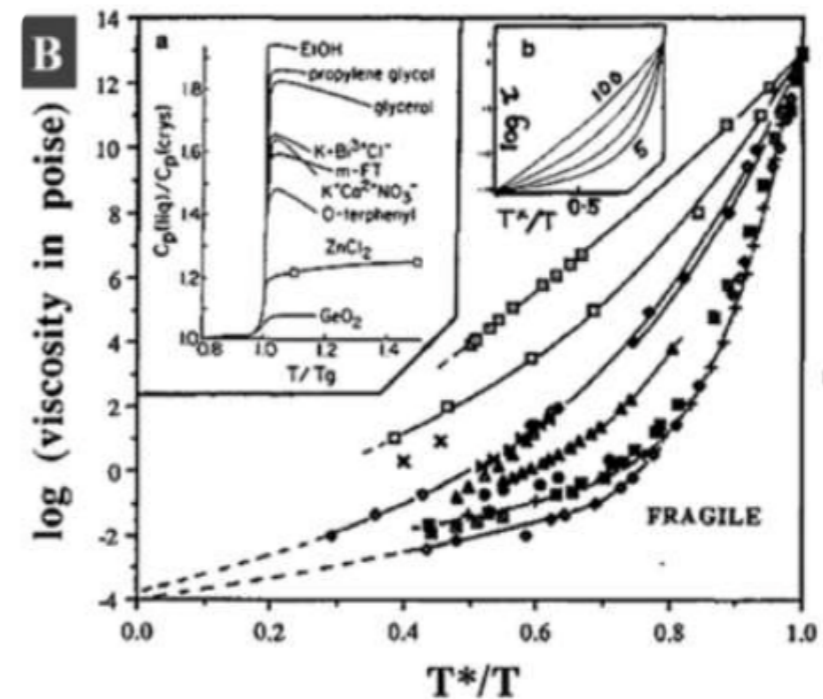


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$T_d \rightarrow$  dynamical slowdown supercooled liquids



Angell 1995

# Part I

## **The known & the unknown**

## "A solvable mean-field dynamics..."

- Can derive **exact equations (DMFT) for dynamical quantities** when  $N \rightarrow \infty$

$$\{s_i(t)\}_{i=1}^N \rightarrow C(t, t') = \frac{\mathbf{s}(t) \cdot \mathbf{s}(t')}{N}, \quad \epsilon(t) = \frac{\mathcal{E}(\mathbf{s}(t))}{N}, \quad \dots$$

Sompolinsky, Zippelius 1981  
Crisanti, Horner, Sommers 1993

$$\frac{\partial C(t, t')}{\partial t} = -\mu(t)C(t, t') + \int_0^{t'} du D(t, u)R(t', u) + \int_0^t du \Sigma(t, u)C(u, t')$$

$$\frac{\partial R(t, t')}{\partial t} = -\mu(t)R(t, t') + \delta(t - t') + \int_{t'}^t du \Sigma(t, u)R(u, t')$$

$$\Sigma(t, t') = \frac{g^2}{2}C^{p-1}(t, t')R(t, t')$$

$$D(t, t') = 2T\delta(t - t') + \frac{g^2}{6}[C(t, t')]^p$$

- Can find **ansatz solving the equations** asymptotically, when  $t, t' \rightarrow \infty$  Cugliandolo, Kurchan 1993



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## "A non-trivial mean-field dynamics..."

- Ansatz informative on **relaxational, out-of-equilibrium dynamics**: *separation of timescales, weak ergodicity breaking scenario, aging, effective temperatures, violation of fluctuation-dissipation, "quasi equilibrium" dynamics* Review: Bouchaud, Cugliandolo, Kurchan, Mezard 1998

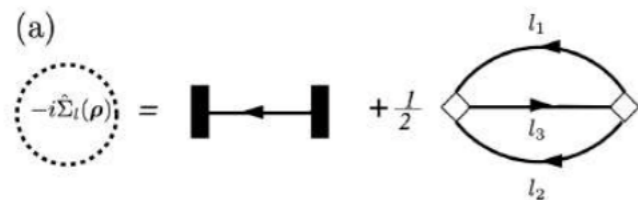
“... capturing structure of mean-field theory of particles”

p-spin DMFT equations are equivalent to **mode coupling equations** for supercooled liquids:  
(i) perturbative, diagrammatic expansion of Langevin, (ii) keep only line (vs vertex) corrections

Kirkpatrick, Thirumalai, Wolynes 1989

**Incidentally....**

Similar diagrams retained in BAA scheme for MBL, or integrals of motion construction within “forward approximation”



Basko, Aleiner, Altshuler 2005

**Mode-coupling approximations, glass theory and disordered systems**

Jean-Philippe Bouchaud<sup>a,1</sup>, Leticia Cugliandolo<sup>a,2</sup>, Jorge Kurchan<sup>b,3</sup>,  
Marc Mézard<sup>b,4</sup>

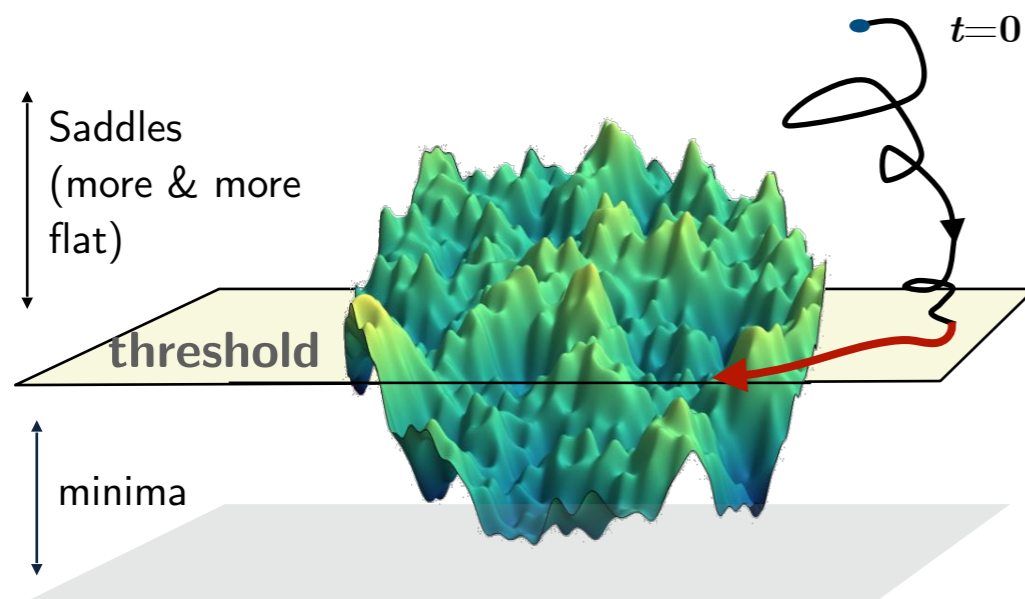
Let us face it: there are not so many techniques to deal with the score of strongly non-linear problems that Nature perversely offers, to the theoretical physicist's dismay.

# The known: dynamical transition and metastability

$\mathcal{N}(\epsilon)$  = number of stationary points  $\nabla \mathcal{E}(\mathbf{s}) = 0$ ,  $\mathcal{E}(\mathbf{s}) = N\epsilon$

$$\Sigma^{(1)}(\epsilon) = \lim_{N \rightarrow \infty} \frac{1}{N} \log \mathcal{N}(\epsilon) \quad \text{"(one-state) complexity"}$$

Cavagna, Giardinà, Parisi 1997, 1998



A “threshold” energy  $\epsilon_{\text{th}} = \epsilon_{\text{eq}}(T_d)$ :

■  $\epsilon > \epsilon_{\text{th}}$ : saddles with less and less downhill directions decreasing  $\epsilon \searrow \epsilon_{\text{th}}$  *Not trapping!*

■  $\epsilon < \epsilon_{\text{th}}$ : isolated local minima, separated by energy barriers  $\Delta \mathcal{E} \sim N$

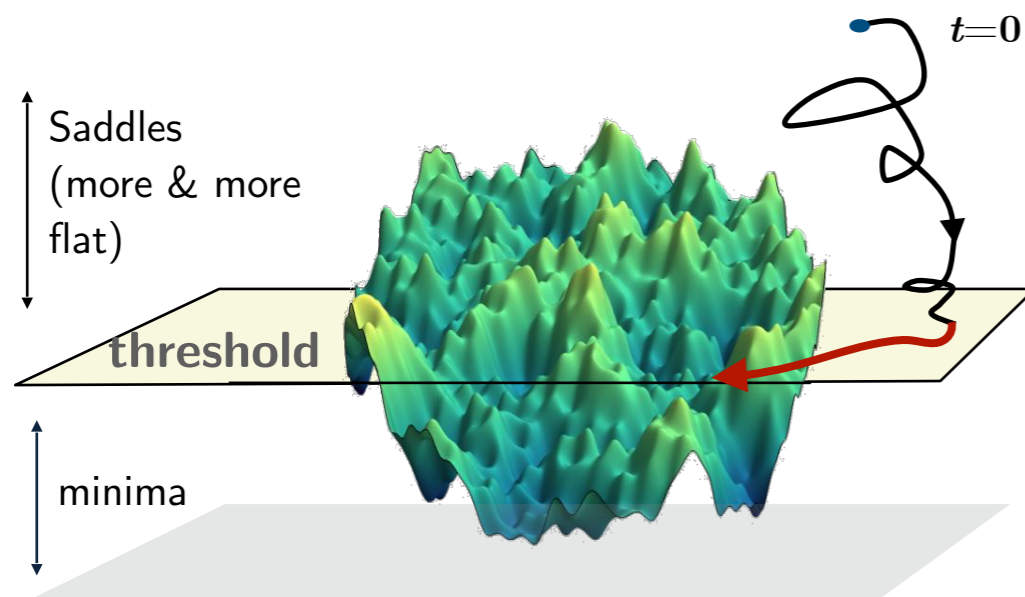
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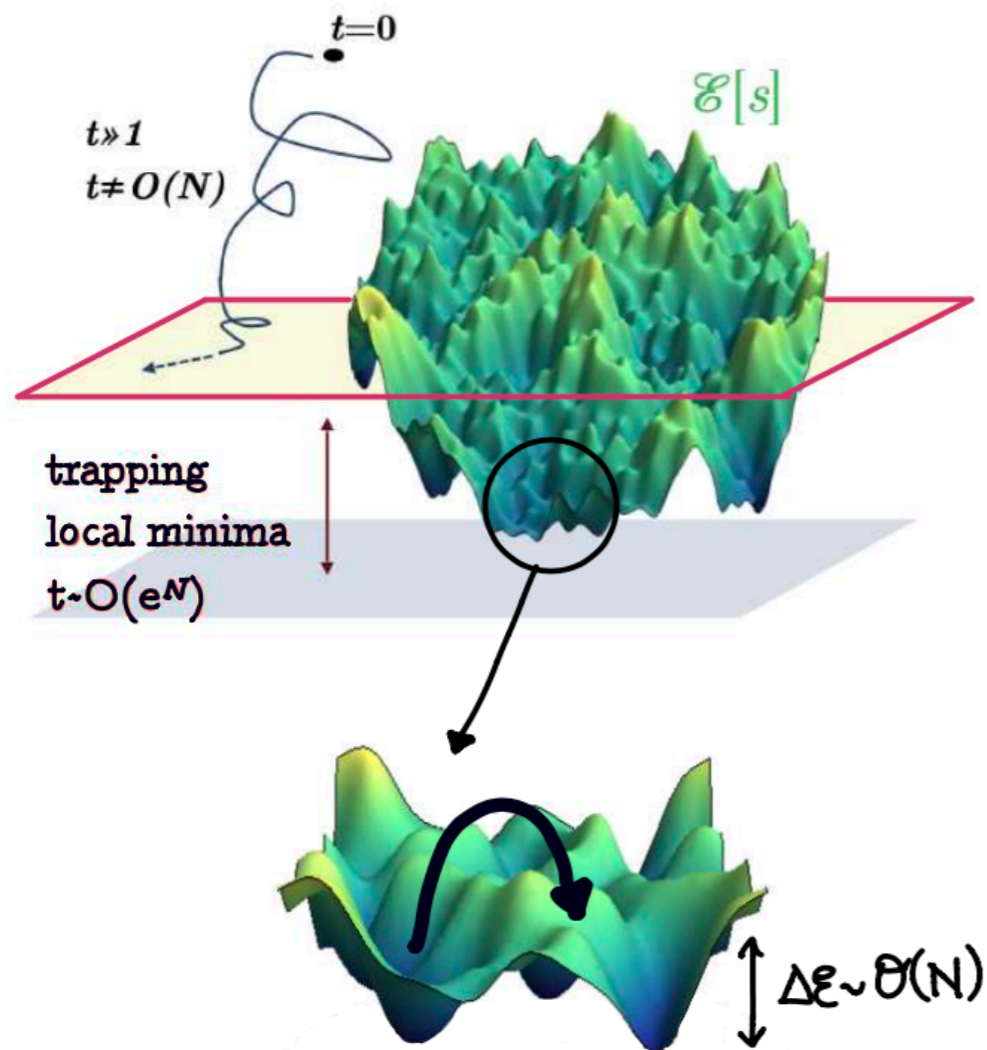
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*Trapping metastable states!*

**Dynamics is relaxing until it reaches  $\epsilon_{\text{th}}$ , where metastable states start to appear.**

Slowing down & aging because of landscape’s geometry: the more descends, the more finding downhill directions gets harder.



$N \gg 1$  but finite: the dynamical transition at  $T_d$  becomes a **crossover** due to activated processes

■ Mean-field  $N \rightarrow \infty$ : local minima (metastable states) separated by barriers  $\Delta \mathcal{E} \sim N \rightarrow \infty$ : truly trapping.

■  $N \gg 1$  but finite: escape processes are possible, at times  $\tau \sim e^{\beta \Delta \mathcal{E}} \sim e^N$ .

**Rare activated processes drive dynamical exploration of bottom of landscape.  
How to capture this physics?**

Activated dynamics not captured by dynamical mean-field theory (that takes  $t \rightarrow \infty$  after  $N \rightarrow \infty$ ), nor by perturbation in  $N$ ... Instantons of the dynamical theory.

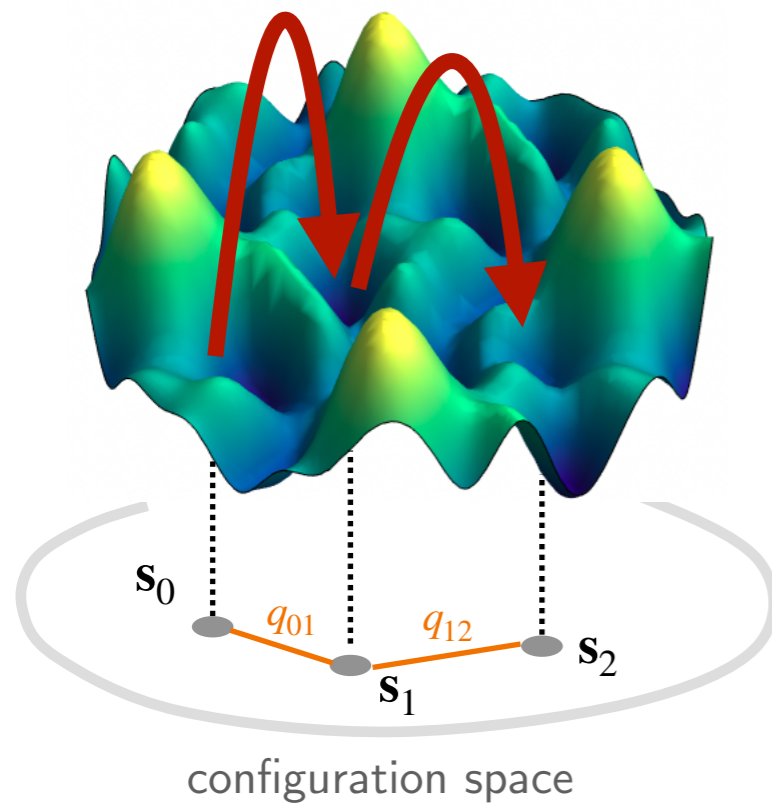
## Part II

# From dynamics to landscape's geometry

# A random jump process among minima

Coarse grained dynamics: sequence of jumps between metastable states.

Transition rate:  $\mathcal{T} = t^{(1)}(\mathbf{s}_1 | \mathbf{s}_0) t^{(2)}(\mathbf{s}_2 | \mathbf{s}_1, \mathbf{s}_0) \dots$





Coarse grained dynamics: sequence of jumps between metastable states.

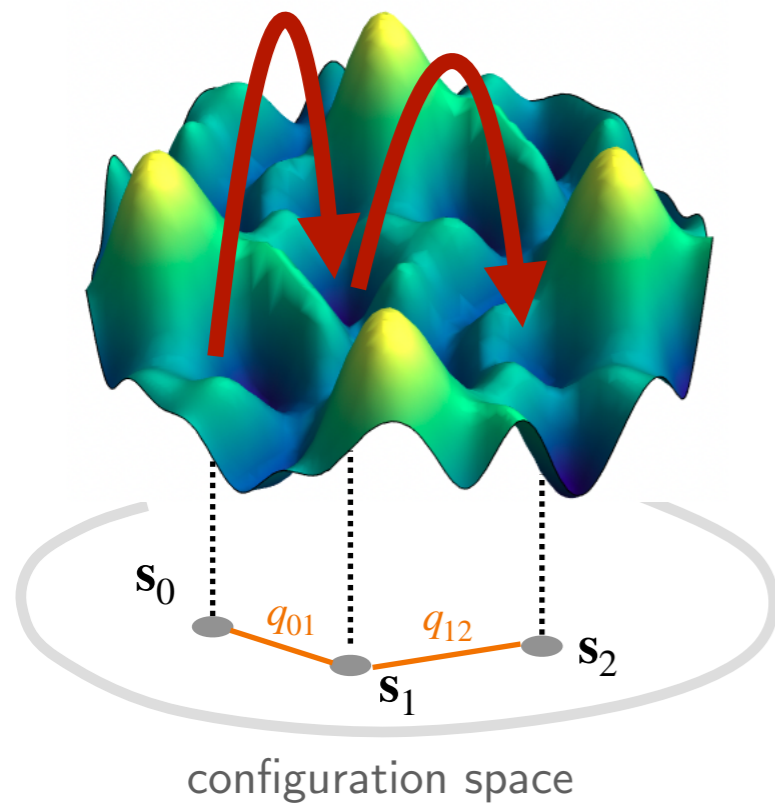
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Assumptions:

- rates depend only on energies  $\epsilon_0, \epsilon_1, \epsilon_2, \dots$  and overlaps  $q_{ab} = \frac{\mathbf{s}_a \cdot \mathbf{s}_b}{N}$

(isotropy):  $t^{(1)}(\mathbf{s}_1 | \mathbf{s}_0) \rightarrow t^{(1)}(\epsilon_1, q_{01} | \epsilon_0), \dots$

- for fixed energy: assume jumps always to **closest minima at that energy**. Why? Energy barriers decreasing with  $q$



$$q_{01} \rightarrow q_{\max}^{(1)}(\epsilon_1 | \epsilon_0)$$

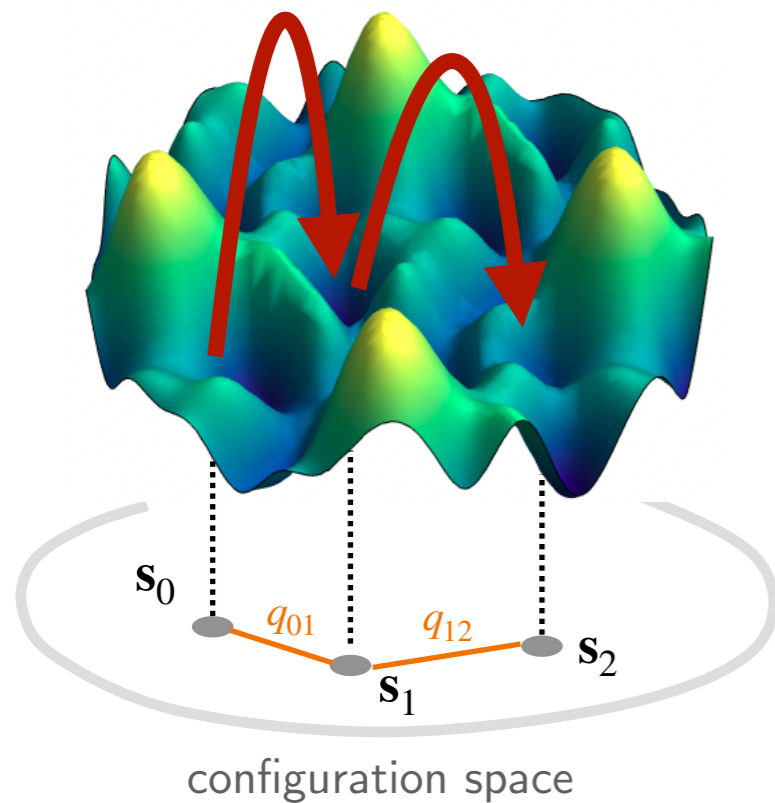
$$q_{12} \rightarrow q_{\max}^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0)$$



$$t^{(1)}(\mathbf{s}_1 | \mathbf{s}_0) \rightarrow t^{(1)}(\epsilon_1, q_{\max}^{(1)} | \epsilon_0)$$

$$t^{(2)}(\mathbf{s}_2 | \mathbf{s}_1, \mathbf{s}_0) \rightarrow t^{(2)}(\epsilon_2, q_{\max}^{(2)} | \epsilon_1, \epsilon_0, q_{\max}^{(1)})$$





Coarse grained dynamics: sequence of jumps between metastable states.

Transition rate:  $\mathcal{T} = t^{(1)}(\mathbf{s}_1 | \mathbf{s}_0) t^{(2)}(\mathbf{s}_2 | \mathbf{s}_1, \mathbf{s}_0) \dots$

Assumptions:

- rates depend only on energies  $\epsilon_0, \epsilon_1, \epsilon_2, \dots$  and overlaps  $q_{ab} = \frac{\mathbf{s}_a \cdot \mathbf{s}_b}{N}$

(isotropy):  $t^{(1)}(\mathbf{s}_1 | \mathbf{s}_0) \rightarrow t^{(1)}(\epsilon_1, q_{01} | \epsilon_0), \dots$

- for fixed energy: assume jumps always to **closest minima at that energy**. Why? Energy barriers decreasing with  $q$

$$q_{01} \rightarrow q_{\max}^{(1)}(\epsilon_1 | \epsilon_0)$$

$$q_{12} \rightarrow q_{\max}^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0)$$



$$t^{(1)}(\mathbf{s}_1 | \mathbf{s}_0) \rightarrow t^{(1)}(\epsilon_1, q_{\max}^{(1)} | \epsilon_0)$$

$$t^{(2)}(\mathbf{s}_2 | \mathbf{s}_1, \mathbf{s}_0) \rightarrow t^{(2)}(\epsilon_2, q_{\max}^{(2)} | \epsilon_1, \epsilon_0, q_{\max}^{(1)})$$

**Our question:** How much correlations propagate along path?

## Two scenarios.

**“Avalanche-like” dynamics:** big activated jumps facilitate subsequent rearrangements

Observed in finite-d models like elastic manifolds

$$t^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) > t^{(1)}(\epsilon_2 | \epsilon_1)$$

Ferrero, Foini, Giamarchi, Kolton, Rosso 2021

**“Memoryless” dynamics:** subsequent jumps uncorrelated to first

$$t^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) = t^{(1)}(\epsilon_2 | \epsilon_1)$$

Dyre 1987, Bouchaud 1992, Gayrard 2019

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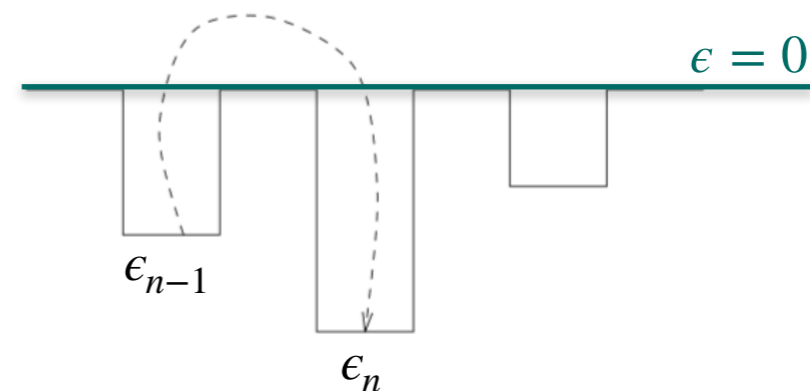
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Dyre 1987, Bouchaud 1992, Gayrard 2019

Memoryless dynamics assumed in **“trap models”**: effective, solvable models of activated dynamics in very non-convex landscapes. Assumes “uniform” energy barriers.

$$t^{(n)}(\epsilon_n | \epsilon_{n-1} \cdots \epsilon_0) = t(\epsilon_{n-1}) \propto e^{\beta \epsilon_{n-1}}$$



Correct description for Random Energy Model (no correlations in energy landscape)

Gayrard 2019

For p-spin models, unclear

Stariolo, Cugliandolo 2019, 2020

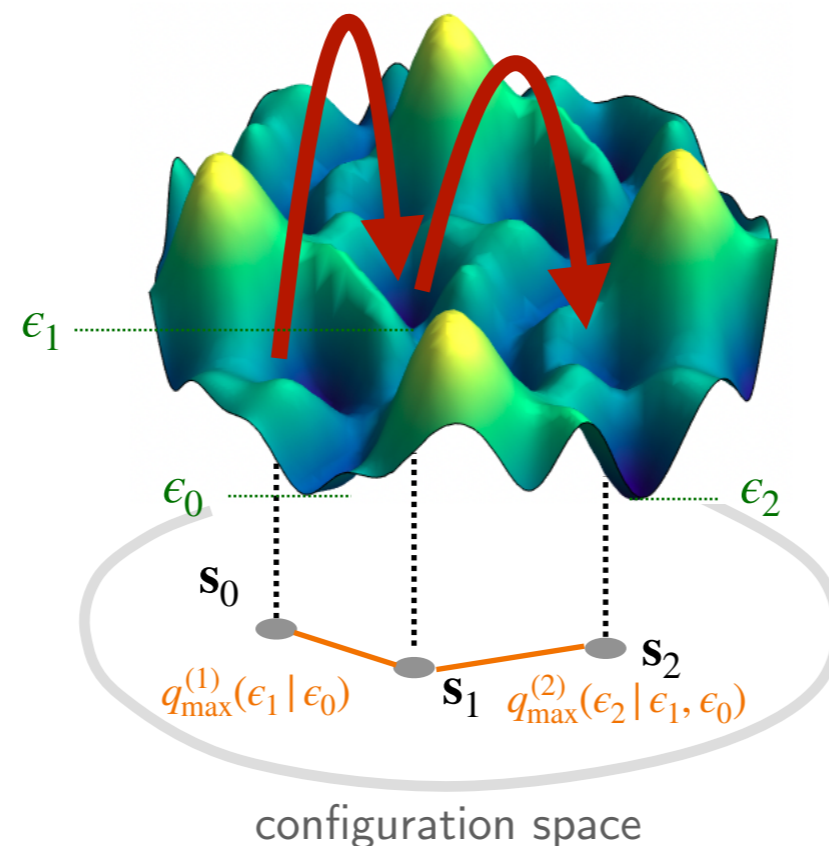
## Two scenarios, rephrased.

**“Avalanche-like” dynamics:** big activated jumps facilitate subsequent rearrangements  
Observed in finite-d models like elastic manifolds

$$t^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) > t^{(1)}(\epsilon_2 | \epsilon_1) \longrightarrow q_{\max}^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) > q_{\max}^{(1)}(\epsilon_2 | \epsilon_1)$$

**“Memoryless” dynamics:** subsequent jumps uncorrelated to first

$$t^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) = t^{(1)}(\epsilon_2 | \epsilon_1) \longrightarrow q_{\max}^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) = q_{\max}^{(1)}(\epsilon_2 | \epsilon_1)$$



## Part II

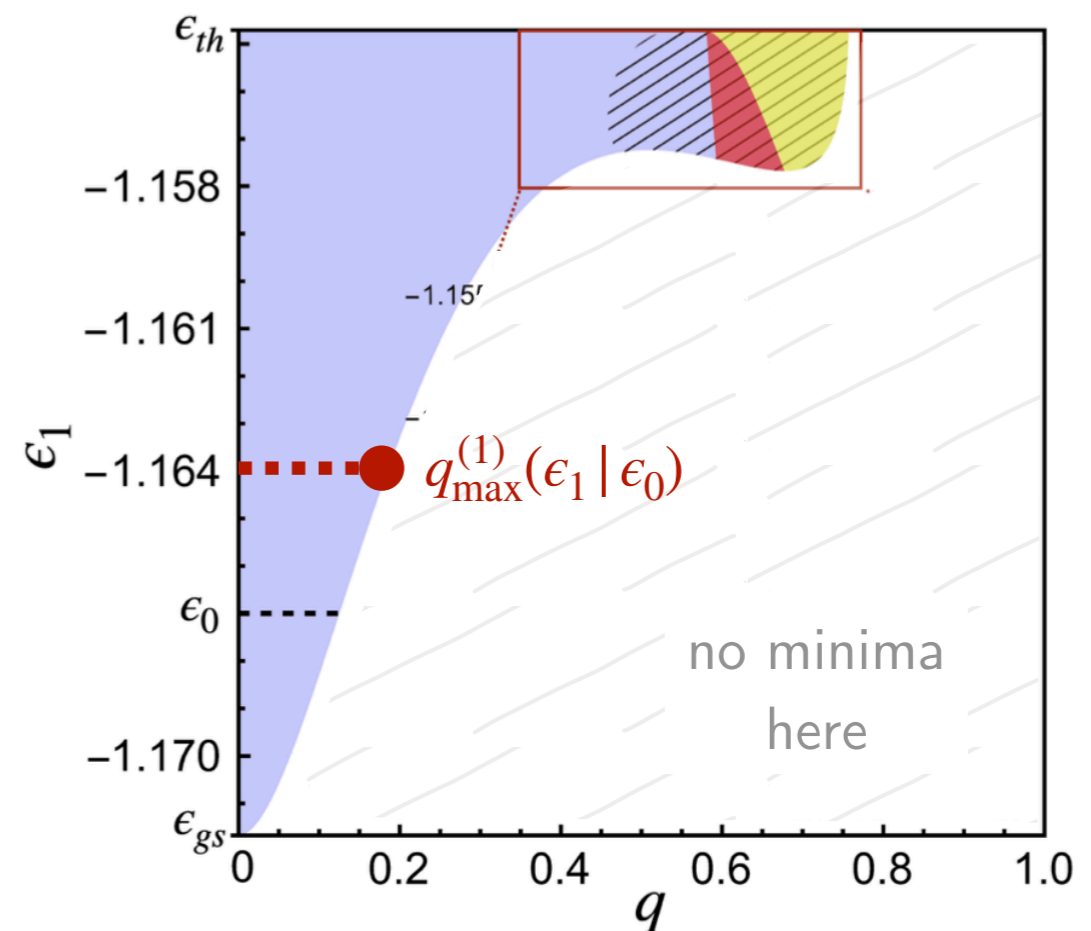
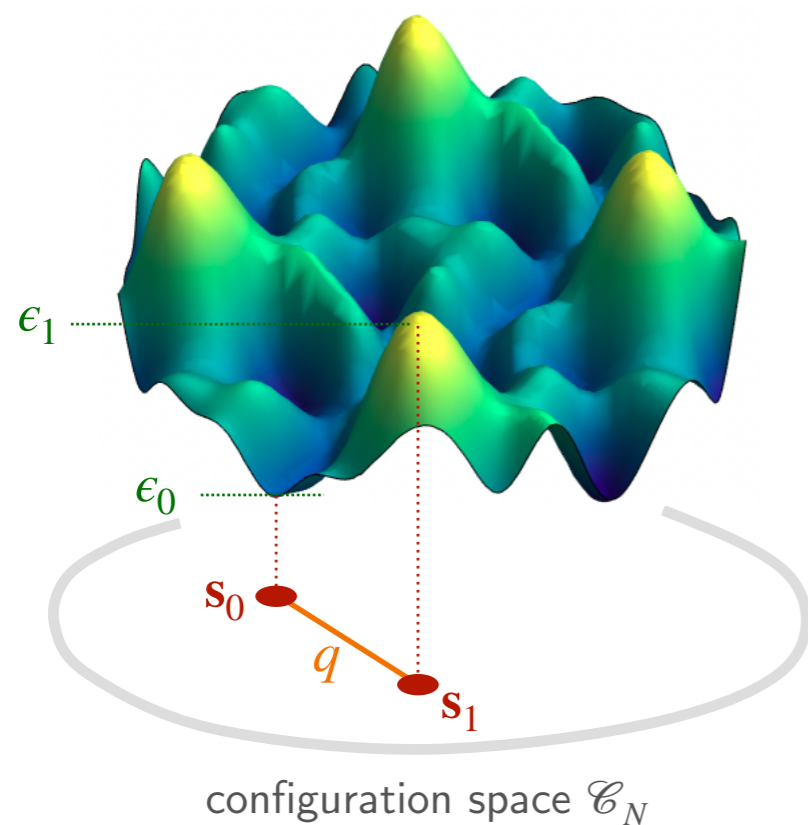
# Landscape's geometry & hints on activation

■ Select minimum  $\mathbf{s}_0$  with energy  $\epsilon_0$  - flat measure

■ Count number  $\mathcal{N}(\epsilon_1 | \epsilon_0, q)$  of minima  $\mathbf{s}_1$  at energy  $\epsilon_1$  and  $q = \frac{\mathbf{s}_0 \cdot \mathbf{s}_1}{N}$

■ Two-point complexity  $\Sigma^{(2)}(\epsilon_1 | \epsilon_0, q) = \lim_{N \rightarrow \infty} \left\langle \frac{1}{N} \log \mathcal{N}(\epsilon_1 | \epsilon_0, q) \right\rangle_0 \rightarrow$  flat average over minima  $\mathbf{s}_0$

VR, Biroli, Cammarota 2019

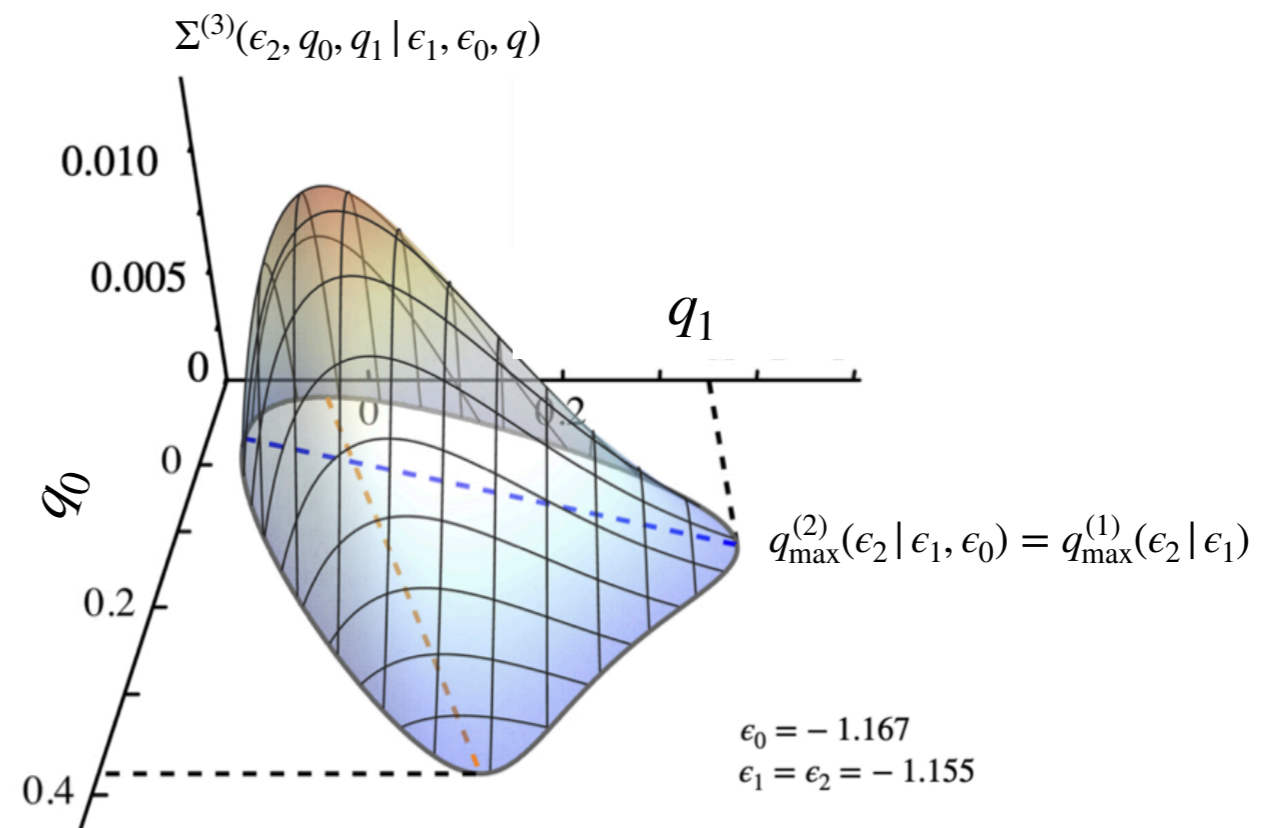
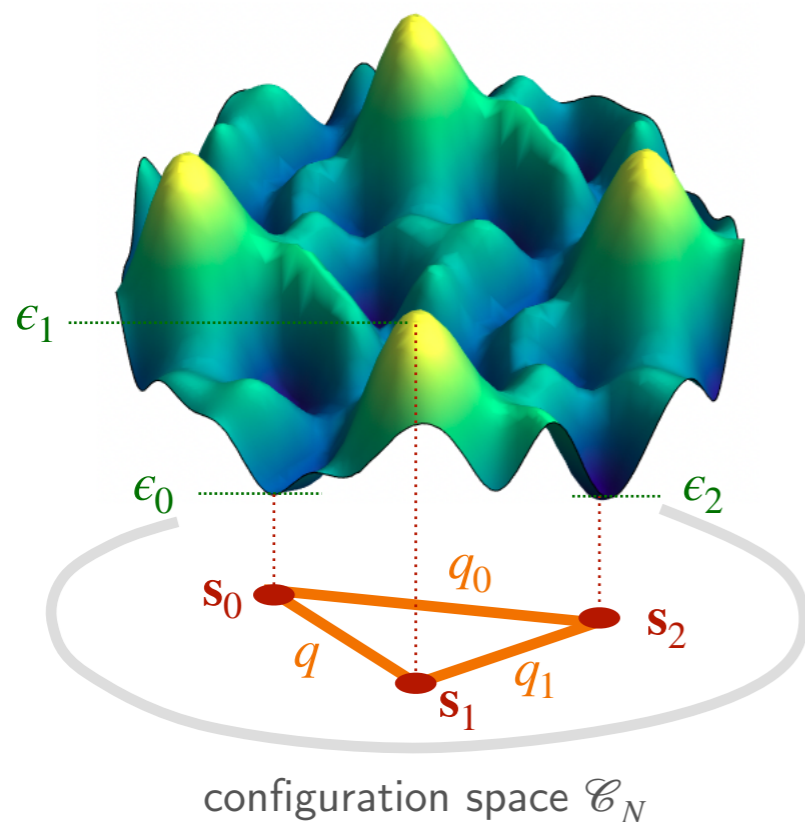


- Select minimum  $\mathbf{s}_0$  with energy  $\epsilon_0$  - flat measure
- Select minimum  $\mathbf{s}_1$  with energy  $\epsilon_1$  and  $q = \frac{\mathbf{s}_0 \cdot \mathbf{s}_1}{N}$  - flat measure
- Count number  $\mathcal{N}(\epsilon_2, q_0, q_1 | \epsilon_1, \epsilon_0, q)$  of minima  $\mathbf{s}_2$  at energy  $\epsilon_2$  and given overlaps

- Three-point complexity  $\Sigma^{(3)}(\epsilon_2, q_0, q_1 | \epsilon_1, \epsilon_0, q) = \lim_{N \rightarrow \infty} \left\langle \frac{1}{N} \log \mathcal{N}(\epsilon_2, q_0, q_1 | \epsilon_1, \epsilon_0, q) \right\rangle_{0,1}$

flat average  
over minima  $\mathbf{s}_0$   
and  $\mathbf{s}_1$

Pacco, Rosso, VR 2024



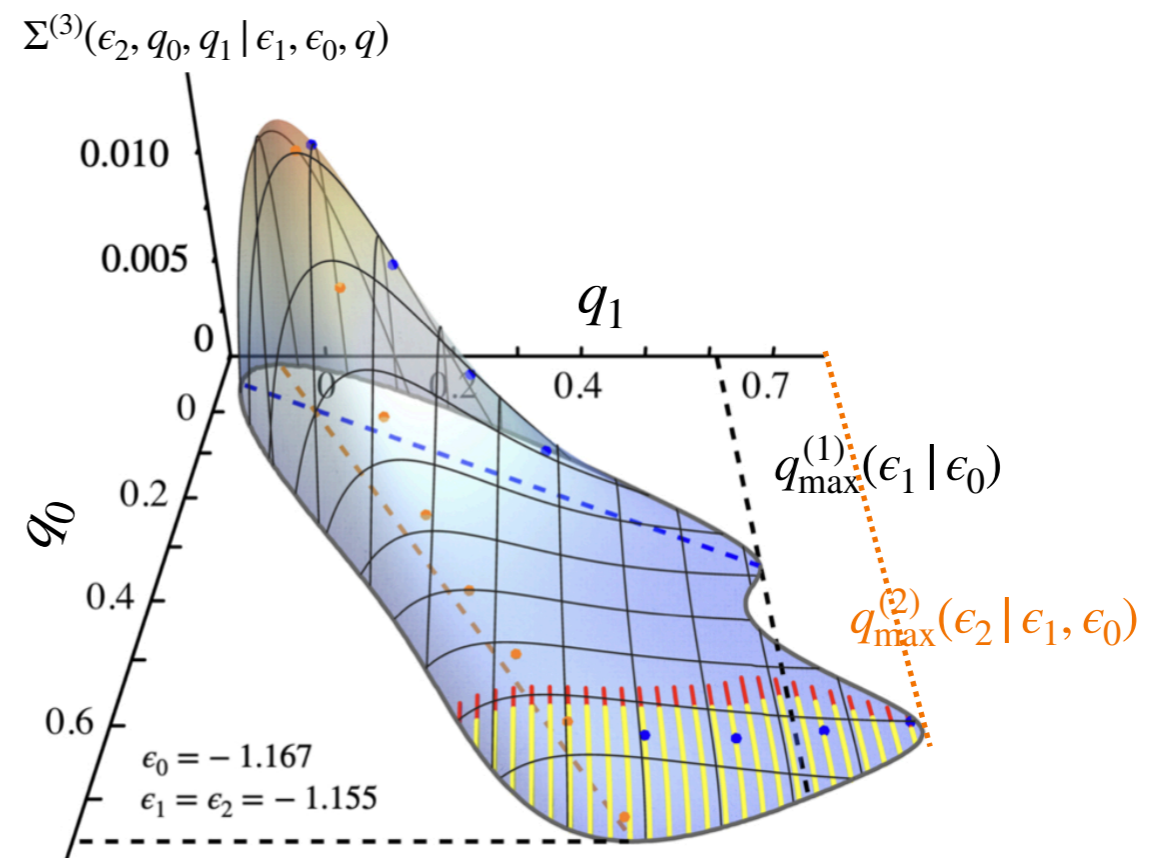
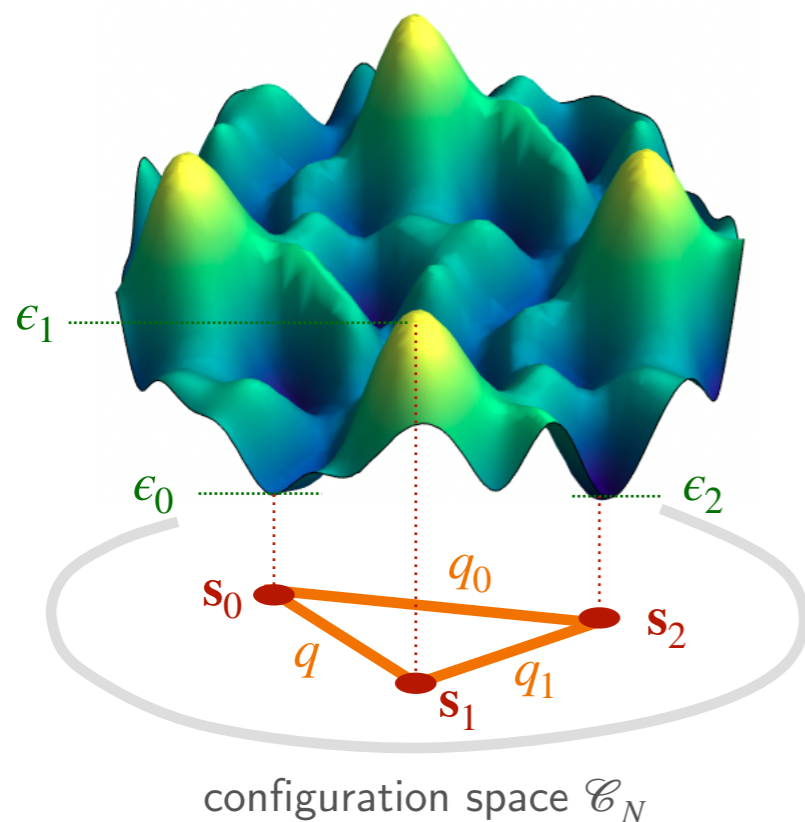


- Select minimum  $\mathbf{s}_0$  with energy  $\epsilon_0$  - flat measure
- Select minimum  $\mathbf{s}_1$  with energy  $\epsilon_1$  and  $q = \frac{\mathbf{s}_0 \cdot \mathbf{s}_1}{N}$  - flat measure
- Count number  $\mathcal{N}(\epsilon_2, q_0, q_1 | \epsilon_1, \epsilon_0, q)$  of minima  $\mathbf{s}_2$  at energy  $\epsilon_2$  and given overlaps

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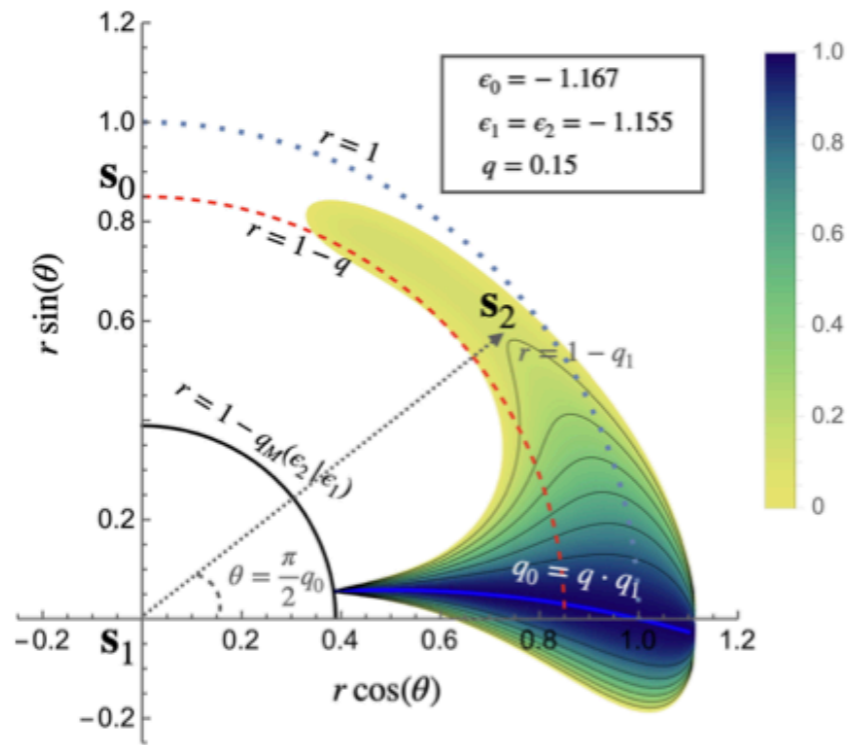
flat average  
over minima  $\mathbf{s}_0$   
and  $\mathbf{s}_1$

Pacco, Rosso, VR 2024



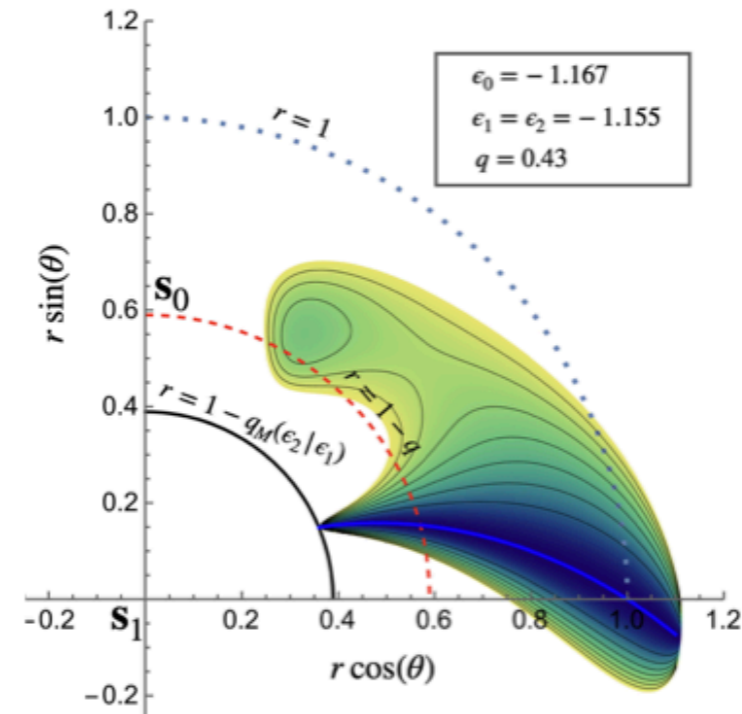


Depletion



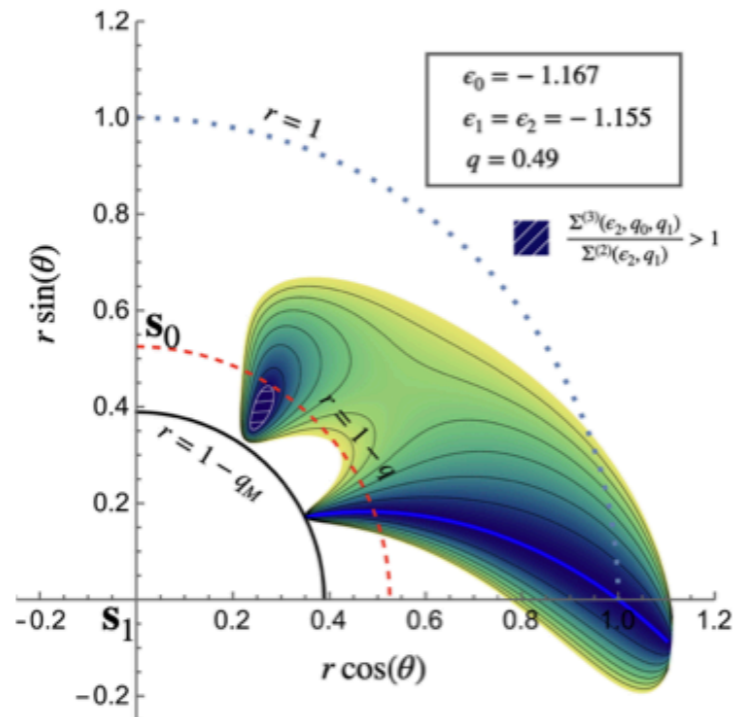
(a)

Non-monotonicity



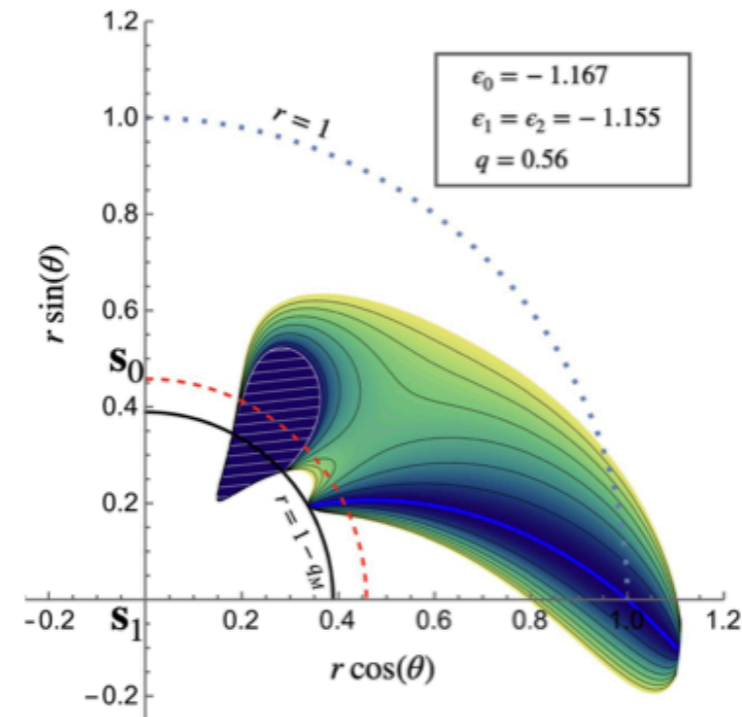
(b)

Accumulation

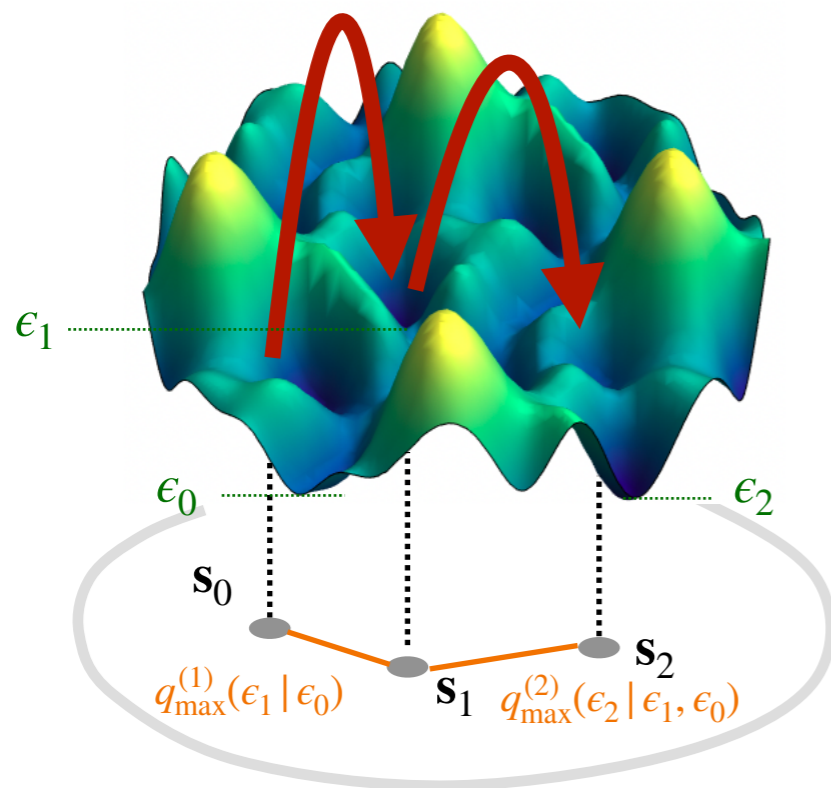


(c)

Clustering



(d)



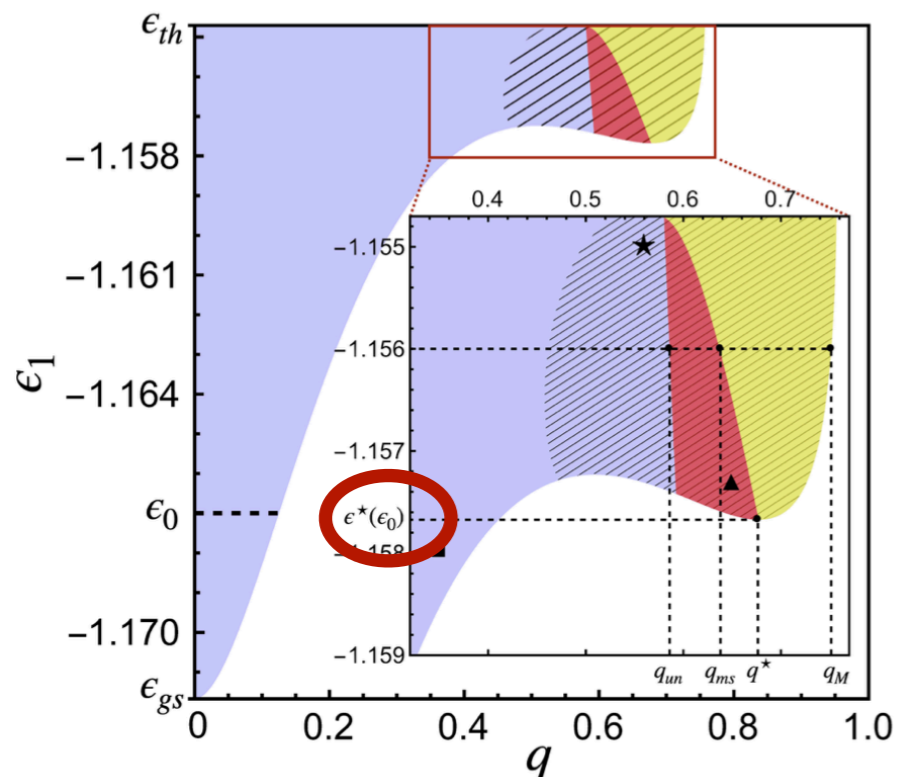
We identify a **“critical” energy curve  $\epsilon^*(\epsilon)$** :

■ Minima at  $\epsilon_1 > \epsilon^*(\epsilon_0)$  and  $\epsilon_2 < \epsilon^*(\epsilon_1)$  are such that  $q_{\min}^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) > q_{\min}^{(1)}(\epsilon_2 | \epsilon_1)$

→ **“avalanche-like” scenario**

■ In all other cases,  $q_{\min}^{(2)}(\epsilon_2 | \epsilon_1, \epsilon_0) = q_{\min}^{(1)}(\epsilon_2 | \epsilon_1)$

→ **“memoryless” scenario**



**Jumps to equal-energy or lower-energy minima are memoryless:** no traces of thermal avalanches, unlike finite-dimensional systems.

For details:

A. Pocco, A. Rosso, VR, arXiv:2410.18010

**Summary.**

Spherical  $p$ -spin is a good mean-field model for glasses.  
Out of-equilibrium, relaxational dynamics is understood via DMFT.  
**Out-of-equilibrium, activated dynamics is open theory problem.**

