

# Zero mean curvature surfaces in Lorentz-Minkowski 3-space

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ICTS-TIFR

# Minimal surfaces in $\mathbb{R}^3$

## Theorem (Weierstrass representation)

$M$  a Riemann surface,  
 $(g, \eta)$  a pair of meromorphic function and holomorphic 1-form on  $M$   
 such that  $0 < (1 + |g|^2)^2 \eta \bar{\eta} < \infty$  on  $M$ .

$\implies f := \operatorname{Re} \int (1 - g^2, i(1 + g^2), 2g) \eta$   
 gives a **minimal surface** (i.e.  $H \equiv 0$ ) in  $\mathbb{R}^3$ .

$(g, \eta)$  the **Weierstrass data**,  $g$  the **Gauss map**.

## Remark

If  $M$  is not simply connected,  $f$  might not be well-defined on  $M$ .

$$\operatorname{Per}(f) := \left\{ \operatorname{Re} \int_{\gamma} (1 - g^2, i(1 + g^2), 2g) \eta : \gamma \in H_1(M, \mathbb{Z}) \right\}.$$

# Period problem

- ①  $\operatorname{Per}(f) = \{0\} \implies f : M \rightarrow \mathbb{R}^3$  is well-defined on  $M$ .
- ②  $\exists v \in \mathbb{R}^3 \setminus \{0\}$  such that  $\operatorname{Per}(f) \subset \Lambda_1 := \{nv : n \in \mathbb{Z}\}$   
 $\implies f$  is **singly periodic**.  
 $f$  is well-defined in  $\mathbb{R}^3/\Lambda_1 = \mathbb{R}^2 \times S^1$ .
- ③  $\exists v_1, v_2 \in \mathbb{R}^3$  (lin. indep.) such that  
 $\operatorname{Per}(f) \subset \Lambda_2 := \{\sum_{j=1}^2 n_j v_j : n_j \in \mathbb{Z}\}$   
 $\implies f$  is **doubly periodic**.  
 $f$  is well-defined in  $\mathbb{R}^3/\Lambda_2 = T^2 \times \mathbb{R}$ .
- ④  $\exists v_1, v_2, v_3 \in \mathbb{R}^3$  (lin. indep.) such that  
 $\operatorname{Per}(f) \subset \Lambda_3 := \{\sum_{j=1}^3 n_j v_j : n_j \in \mathbb{Z}\}$   
 $\implies f$  is **triply periodic**.  
 $f$  is well-defined in  $\mathbb{R}^3/\Lambda_3 = T^3$ .

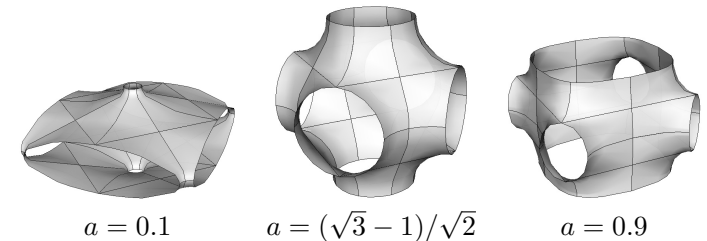
# Examples: Schwarz surfaces

$$M_a := \{(z, w) \in (\mathbb{C} \cup \{\infty\})^2; w^2 = z^8 + (a^4 + a^{-4})z^4 + 1\}, (0 < a < 1)$$

Schwarz P

$$g = z$$

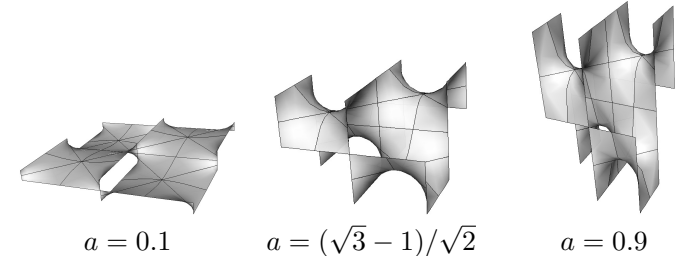
$$\eta = \frac{dz}{w}$$



Schwarz D

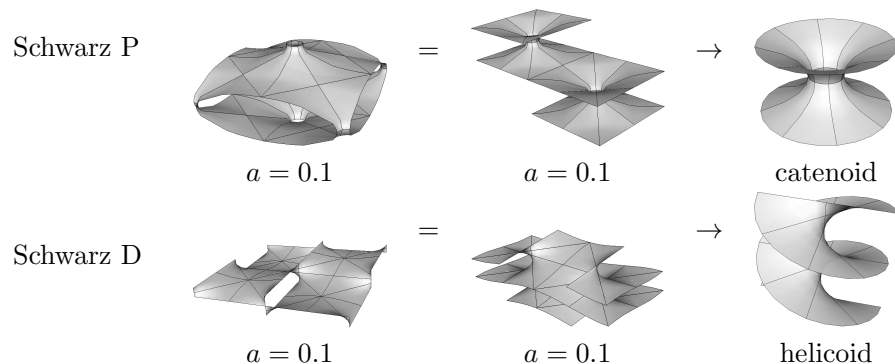
$$g = z$$

$$\eta = i \frac{dz}{w}$$



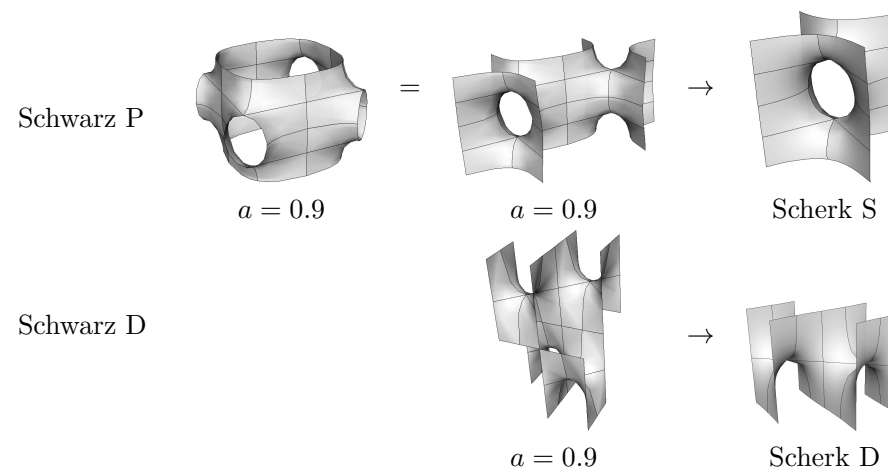
## Limits of Schwarz surfaces: $a \rightarrow 0$

$$M_a := \{(z, w) \in (\mathbb{C} \cup \{\infty\})^2; w^2 = z^8 + (a^4 + a^{-4})z^4 + 1\}, (0 < a < 1)$$



## Limits of Schwarz surfaces: $a \rightarrow 1$

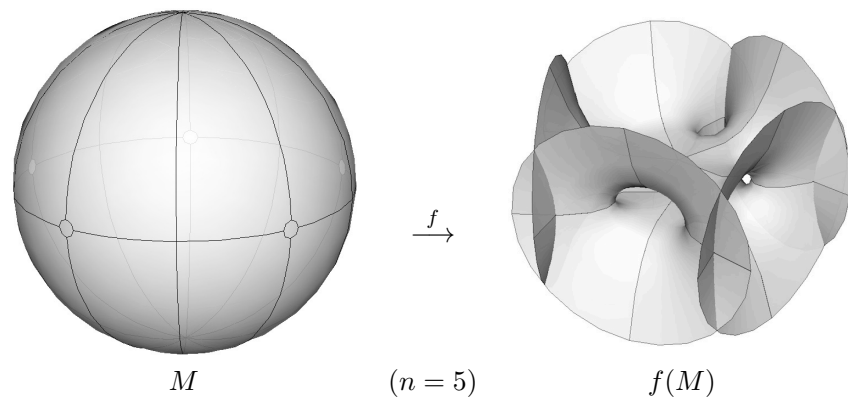
$$M_a := \{(z, w) \in (\mathbb{C} \cup \{\infty\})^2; w^2 = z^8 + (a^4 + a^{-4})z^4 + 1\}, (0 < a < 1)$$



## Jorge-Meeks surfaces ( $n$ -noid)

$$M = (\mathbb{C} \cup \{\infty\}) \setminus \{z \in \mathbb{C}; z^n = 1\} (n \geq 2),$$

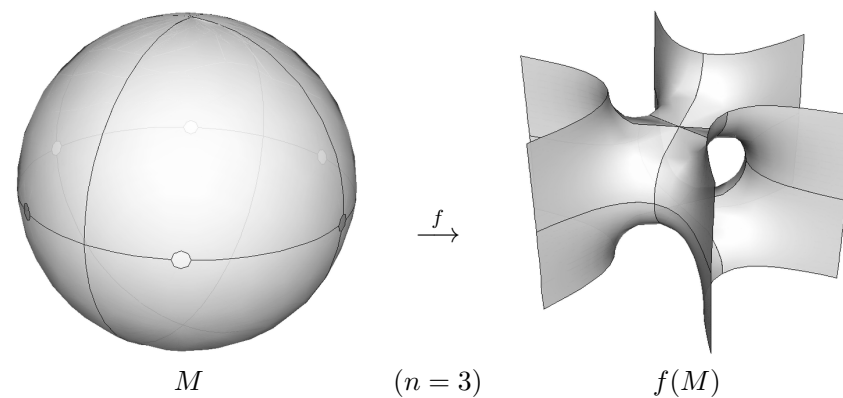
$$g = z^{n-1}, \quad \eta = \frac{1}{(z^n - 1)^2} dz.$$



## Karcher saddle tower with $2n$ -ends (Singly periodic)

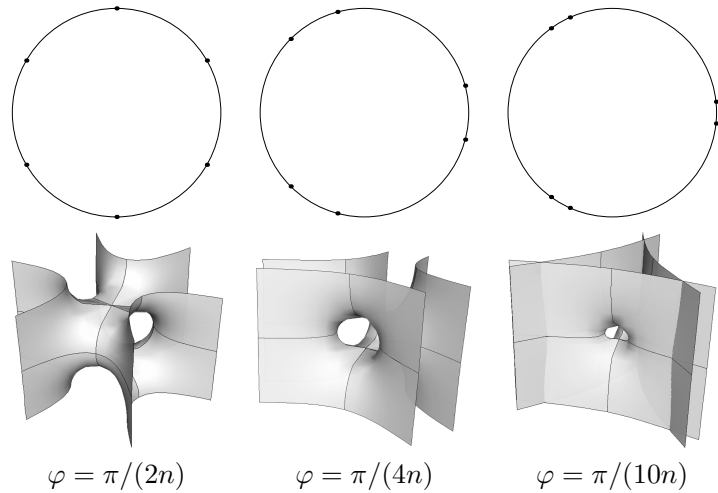
$$M = (\mathbb{C} \cup \{\infty\}) \setminus \{z \in \mathbb{C}; z^{2n} = 1\} (n \geq 2),$$

$$g = z^{n-1}, \quad \eta = \frac{1}{z^{2n} + 1} dz.$$



# Deformation

$$g = z^{n-1}, \quad \eta = \frac{1}{z^{2n} - 2 \cos(n\varphi)z^n + 1} dz \quad (0 < \varphi \leq \pi/(2n)).$$



# Maximal surfaces in $\mathbb{L}^3$

$\mathbb{L}^3$  the Lorentz-Minkowski 3-space.  $\langle \cdot, \cdot \rangle := dx_1^2 + dx_2^2 - dx_3^2$ .

- $f : M \rightarrow \mathbb{L}^3$  is **spacelike**  $\iff \langle df, df \rangle$  is positive definite.
- $f$  is a (**spacelike**) **maximal surface**  $\iff H \equiv 0$ .

**Theorem (Weierstrass-type representation (O. Kobayashi, 1983))**

$M$  a Riemann surface,  
 $(g, \eta)$  a pair of meromorphic function and holomorphic 1-form on  $M$   
 such that  $0 < (1 - |g|^2)^2 \eta \bar{\eta} < \infty$  on  $M$ .

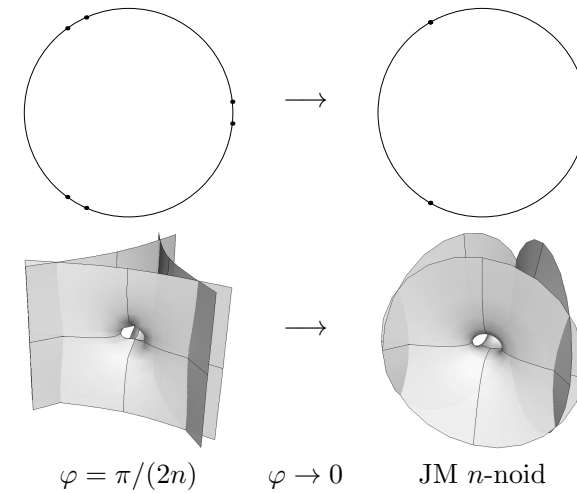
$\implies f := \text{Re} \int (1 + g^2, i(1 - g^2), 2g) \eta$   
 gives a maximal surface in  $\mathbb{L}^3$ .

$(g, \eta)$  the **Weierstrass data**,  $g$  the **Gauss map**.

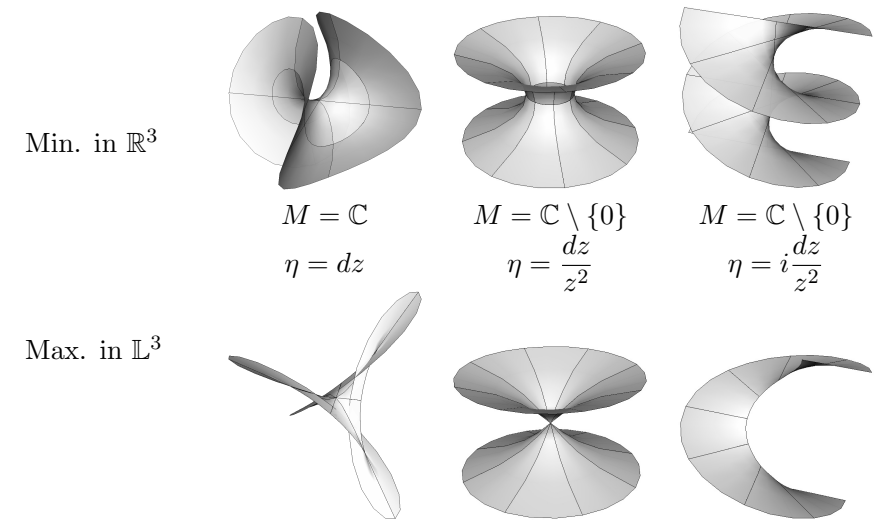
**Remark (Calabi, 1970 / Cheng-Yau, 1976)**  
 The only complete maximal surface is a spacelike **plane**.

# Limit: $\varphi \rightarrow 0$

$$g = z^{n-1}, \quad \eta = \frac{1}{z^{2n} - 2 \cos(n\varphi)z^n + 1} dz \quad (0 < \varphi \leq \pi/(2n)).$$



# Examples: Enneper, catenoid, helicoid ( $g = z$ )



# Singularities

$f : M \rightarrow \mathbb{L}^3$  a maximal surface with Weierstrass data  $(g, \eta)$ .  
 $f^* : M \rightarrow \mathbb{L}^3$  maximal surface with Weierstrass data  $(g, i\eta)$ .

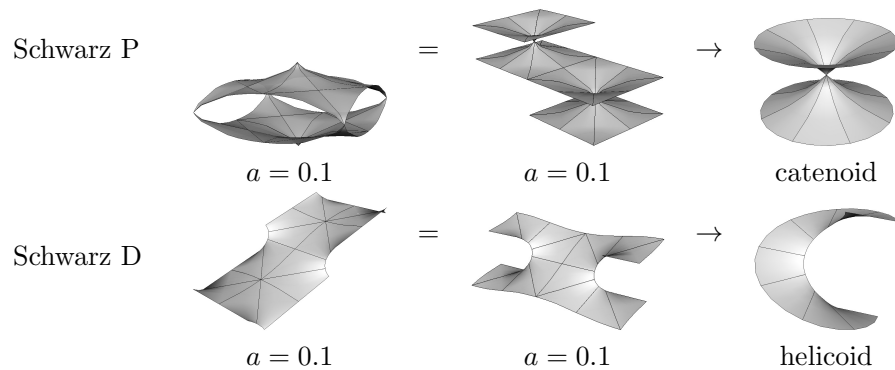
$f^*$  is called the **conjugate surface** of  $f$ .

## Fact

- $f$  has **cuspidal edge** at  $p \in M \iff f^*$  has **cuspidal edge** at  $p \in M$ .
- $f$  has **swallowtail** at  $p \in M \iff f^*$  has **cuspidal cross cap** at  $p \in M$ .
- $f$  has **cuspidal cross cap** at  $p \in M \iff f^*$  has **swallowtail** at  $p \in M$ .
- $f$  has **cone-like sing.** at  $p \in M \iff f^*$  has **fold sing.** at  $p \in M$ .
- $f$  has **fold sing.** at  $p \in M \iff f^*$  has **cone-like sing.** at  $p \in M$ .

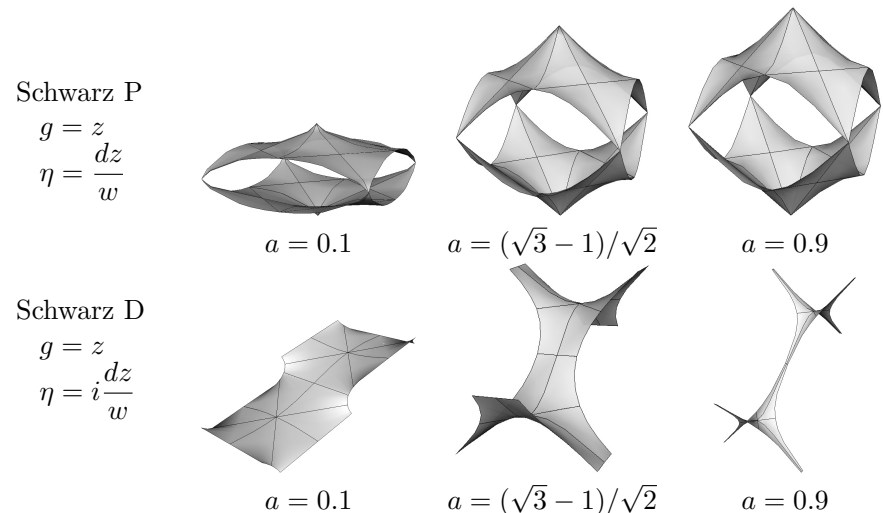
# Limits of Schwarz-type surfaces: $a \rightarrow 0$

$$M_a := \{(z, w) \in (\mathbb{C} \cup \{\infty\})^2; w^2 = z^8 + (a^4 + a^{-4})z^4 + 1\}, (0 < a < 1)$$



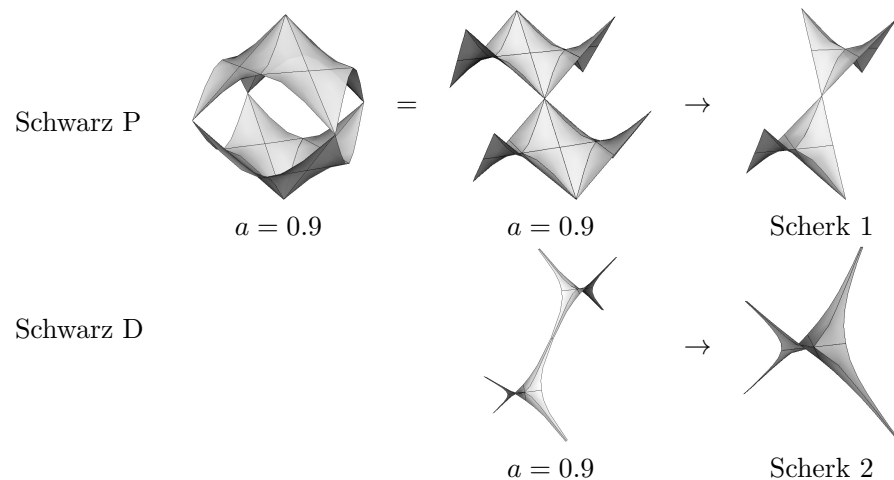
# Examples: Schwarz-type maximal surfaces

$$M_a := \{(z, w) \in (\mathbb{C} \cup \{\infty\})^2; w^2 = z^8 + (a^4 + a^{-4})z^4 + 1\}, (0 < a < 1)$$



# Limits of Schwarz-type surfaces: $a \rightarrow 1$

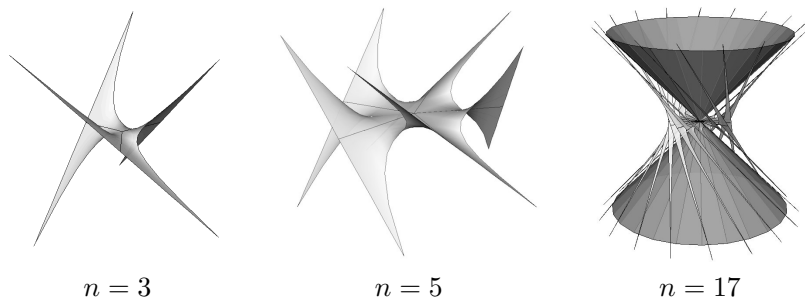
$$M_a := \{(z, w) \in (\mathbb{C} \cup \{\infty\})^2; w^2 = z^8 + (a^4 + a^{-4})z^4 + 1\}, (0 < a < 1)$$



## Jorge-Meeks type maximal surfaces

$$M = (\mathbb{C} \cup \{\infty\}) \setminus \{z \in \mathbb{C}; z^n = 1\} \quad (n \geq 2),$$

$$g = z^{n-1}, \quad \eta = \frac{i}{(z^n - 1)^2} dz.$$



$n = 3$

$n = 5$

$n = 17$

### Remark

$S(f) = \{z \in M; |z| = 1\}$  consists of (non-deg.) fold singularities.

## Timelike minimal surfaces, zero mean curvature surfaces

- $f : M \rightarrow \mathbb{L}^3$  is a **timelike surface**  $\iff \langle df, df \rangle$  is Lorentzian metric.
- $f$  is a (**timelike**) **minimal surface**  $\iff H \equiv 0$ .

### Remark

Graph of a function  $t = \varphi(x, y)$  in  $\mathbb{L}^3$  is a spacelike maximal surface (resp. timelike minimal surface)  $\iff \varphi$  satisfies

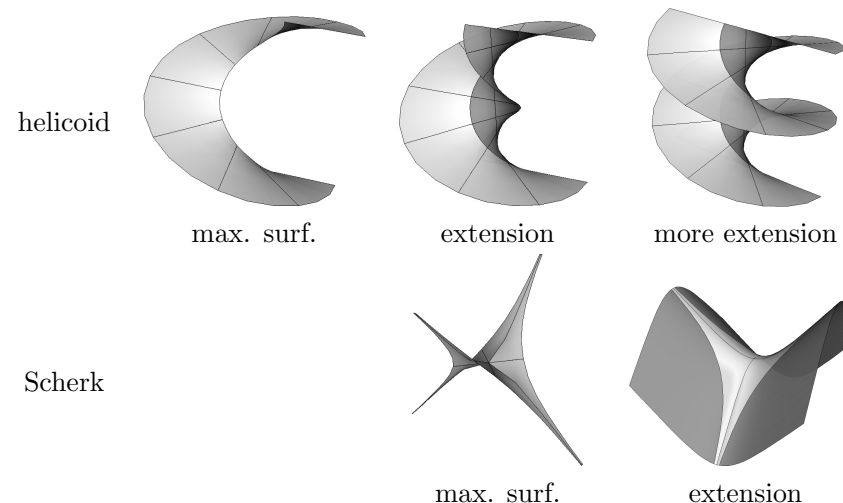
$$(1 - \varphi_y^2)\varphi_{xx} + 2\varphi_x\varphi_y\varphi_{xy} + (1 - \varphi_x^2)\varphi_{yy} = 0 \quad (\star)$$

and  $1 - \varphi_x^2 - \varphi_y^2 > 0$  (resp.  $1 - \varphi_x^2 - \varphi_y^2 < 0$ ).

### Definition

( $\star$ ) is called the **zero mean curvature equation** and a graph  $t = \varphi(x, y)$  satisfying ( $\star$ ) is called a **zero mean curvature surface** (in  $\mathbb{L}^3$ ).

## Extensions of maximal surfaces with fold singularities



helicoid

max. surf.

extension

more extension

Scherk

max. surf.

extension

## Extension of max. surf. to zero mean curvature surf.

### Definition

Regular curve  $\sigma : I \subset \mathbb{R} \rightarrow \mathbb{L}^3$  is called **null curve** if  $\sigma'(p)$  is lightlike ( $\forall p \in I$ ). Null curve  $\sigma$  is said to be **non-degenerate** if  $\sigma'(p)$  and  $\sigma''(p)$  are linearly independent ( $\forall p \in I$ ).

### Theorem (Gu, 1985 / Klyachin, 2003, cf. [FKKRSUYY])

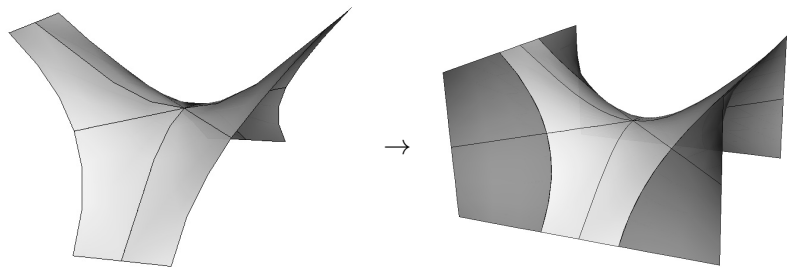
$f : M \rightarrow \mathbb{L}^3$  a maximal surface with fold singularities,  
 $\gamma(t)$  ( $t \in I$ ): a set of fold sing. of  $f$ .

$\implies \hat{\gamma}(t) := f \circ \gamma(t)$  is non-degenerate null curve, and

$$\tilde{f}(u, v) := \frac{1}{2} (\hat{\gamma}(u + v) + \hat{\gamma}(u - v))$$

is real analytically connected to the image of  $f$  along  $\gamma$  as a timelike minimal surface.

# Analytic extensions of Schwarz D-type maximal surfaces



maximal surface

extension

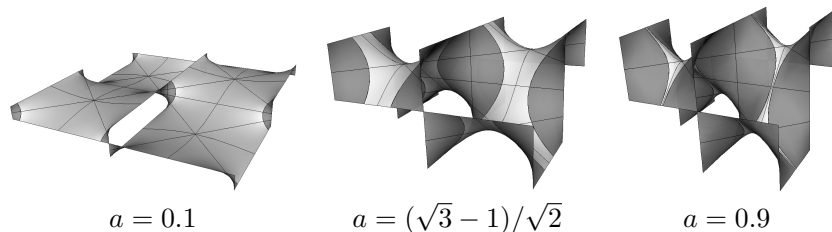
By the analytic extensions of Schwarz D-type maximal surfaces, we have:

## Theorem (FRUYY, 2014)

$\forall a \in (0, 1), \exists \Sigma_a$ : oriented closed 2-mfd of genus 3,  $\Gamma_a$ : 3-dim lattice,

$$\exists f_a : \Sigma_a \rightarrow \mathbb{L}^3 / \Gamma_a \quad \text{zero mean curvature embedding}$$

# Schwarz D-type zero mean curvature embeddings



$a = 0.1$

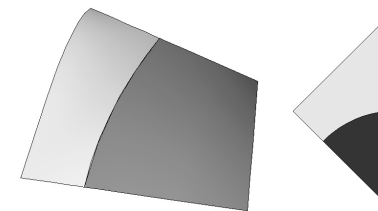
$a = (\sqrt{3} - 1) / \sqrt{2}$

$a = 0.9$

# Idea of the proof of the embeddedness

$$D^{\max} := \{(z, w) \in M_a ; |z| < 1, 0 \leq \arg z \leq \pi/4\},$$

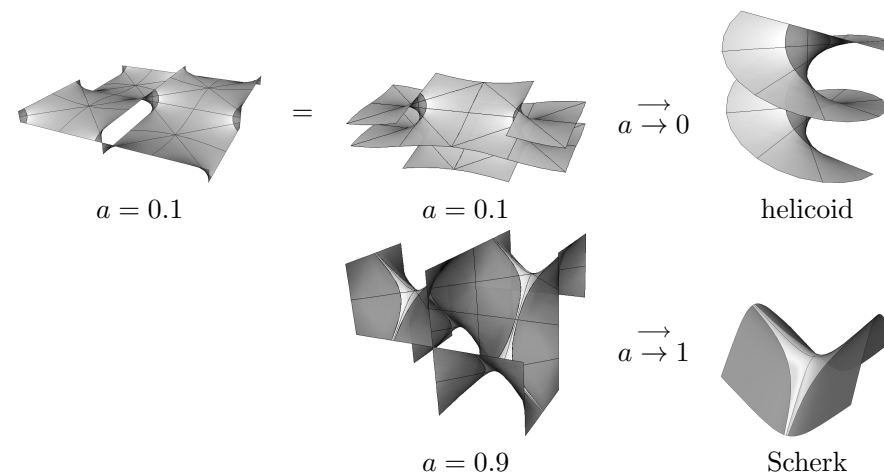
$$D^{\min} := \{(u, v) \in \mathbb{R}^2 ; 0 \leq u \leq \pi/4, 0 < v \leq \pi/2\}.$$



$f(D^{\max}) \cup \tilde{f}(D^{\min})$

- First we show the fundamental piece  $f(D^{\max}) \cup \tilde{f}(D^{\min})$  is embedded and contained some vertical prism over a isosceles right triangle.
- After a reflection w.r.t. any boundary of  $f(D^{\max}) \cup \tilde{f}(D^{\min})$ , the original piece and its duplicate are not intersect each other.

# Limits



$a = 0.1$

$a = 0.1$

$a \rightarrow 0$

helicoid

$a = 0.9$

$a \rightarrow 1$

Scherk

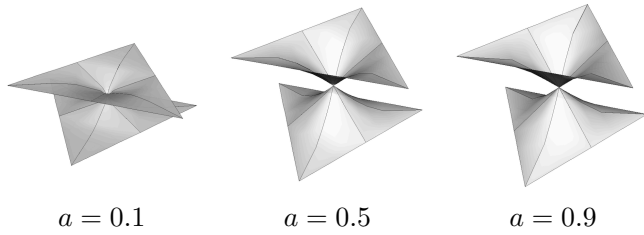
## Other examples: Schwarz H-type surface

$$M_a := \{(z, w) \in (\mathbb{C} \cup \{\infty\})^2; w^2 = z^7 + (a^3 + a^{-3})z^4 + z\}, (0 < a < 1)$$

Schwarz H

$$g = z$$

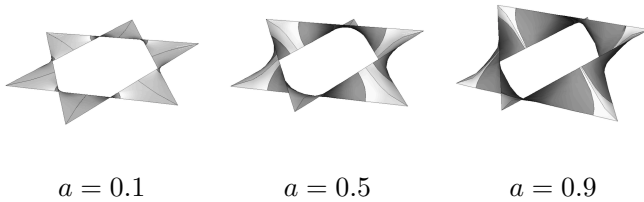
$$\eta = \frac{dz}{w}$$



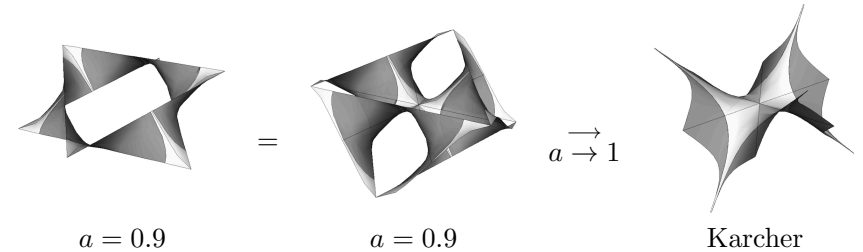
Schwarz HC

$$g = z$$

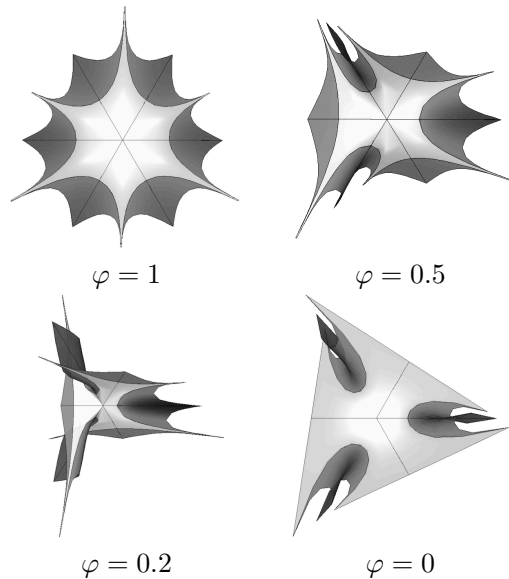
$$\eta = i \frac{dz}{w}$$



## Limit for Schwarz HC-type surface ( $a \rightarrow 1$ )

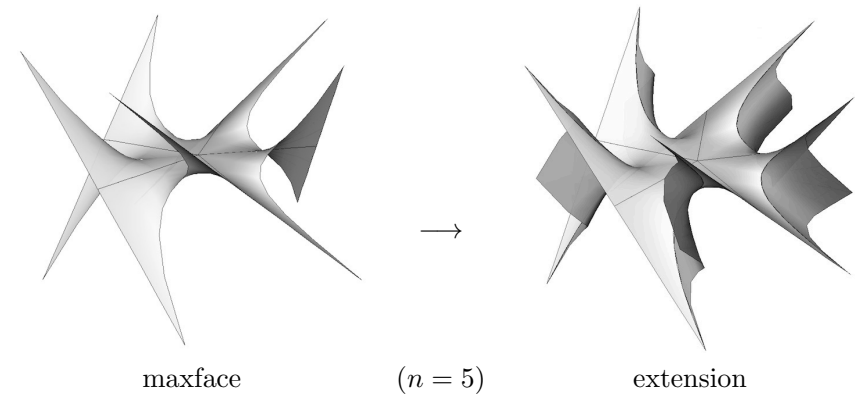


## Other examples: Karcher-type, JM-type surfaces



## Jorge-Meeks-type surfaces

**Theorem (FKKRUY, 2017)**  
 For any  $n \geq 2$ , the analytic extension of Jorge-Meeks type  $n$ -noids are properly embedded ZMC surfaces.



## Outline of proof

$M = (\mathbb{C} \cup \{\infty\}) \setminus \{z \in \mathbb{C}; z^n = 1\}$  ( $n \geq 2$ ),  $g = z^{n-1}$ ,  $\eta = \frac{i}{(z^n - 1)^2} dz$ .

$$f = \operatorname{Re} \int (1 + g^2, i(1 - g^2), -2g) \eta = (x_1, x_2, x_3),$$

$$x_1 = -\frac{(r^{2n-1} + r) \sin \theta + (r^{n+1} + r^{n-1}) \sin(n-1)\theta}{n(r^{2n} - 2r^n \cos n\theta + 1)} + \frac{n-1}{n^2} \sum_{j=1}^{n-1} \log \left( r^2 - 2r \cos \left( \theta - \frac{2\pi j}{n} \right) + 1 \right) \sin \frac{2\pi j}{n},$$

$$x_2 = \frac{-(r^{2n-1} + r) \cos \theta + (r^{n+1} + r^{n-1}) \cos(n-1)\theta}{n(r^{2n} - 2r^n \cos n\theta + 1)} + \frac{n-1}{n^2} \sum_{j=0}^{n-1} \log \left( r^2 - 2r \cos \left( \theta - \frac{2\pi j}{n} \right) + 1 \right) \cos \frac{2\pi j}{n},$$

$$x_3 = \frac{2r^n \sin n\theta}{n(r^{2n} - 2r^n \cos n\theta + 1)}, \quad \text{where } z = re^{i\theta}.$$

## Outline of proof

We have  $f(r, \theta) = f(1/r, \theta)$ . Set  $u := \frac{r + r^{-1}}{2}$ . Then

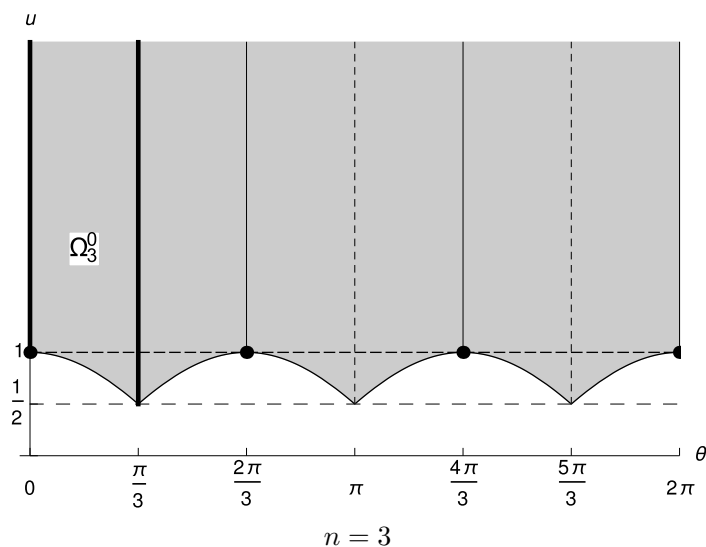
$$x_1 = -\frac{T_{n-1}(u) \sin \theta + u \sin(n-1)\theta}{n(T_n(u) - \cos n\theta)} + \frac{n-1}{n^2} \sum_{j=1}^{n-1} \log \left( u - \cos \left( \theta - \frac{2\pi j}{n} \right) \right) \sin \frac{2\pi j}{n},$$

$$x_2 = \frac{-T_{n-1}(u) \cos \theta + u \cos(n-1)\theta}{n(T_n(u) - \cos n\theta)} + \frac{n-1}{n^2} \sum_{j=0}^{n-1} \log \left( u - \cos \left( \theta - \frac{2\pi j}{n} \right) \right) \cos \frac{2\pi j}{n},$$

$$x_3 = \frac{\sin n\theta}{n(T_n(u) - \cos n\theta)},$$

where  $T_n(u)$ ,  $T_{n-1}(u)$  denote the first Chebyshev polynomials in the variable  $u$  of degree  $n$ ,  $n-1$ , respectively.

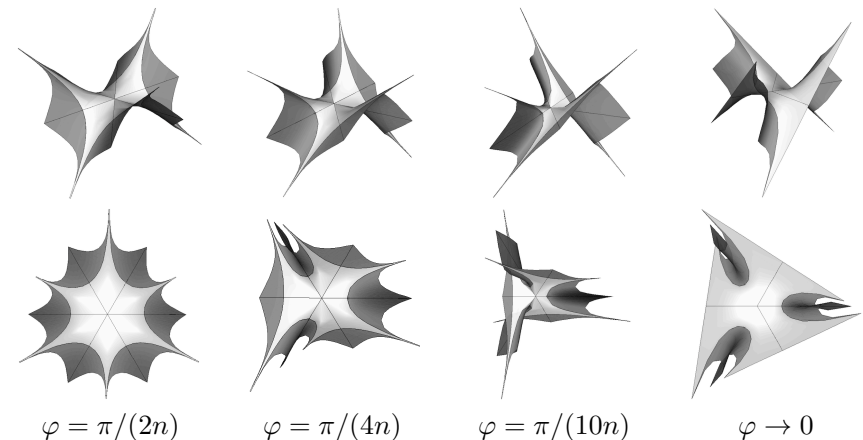
## Domain for the analytic extension of $f$ is defined



## Karcher type ZMC surfaces with $2n$ ends (non periodic)

$g = z^{n-1}$ ,  $\eta = \frac{i}{z^{2n} - 2 \cos(n\varphi)z^n + 1} dz$  ( $0 < \varphi \leq \pi/(2n)$ ).

$n = 3$ :



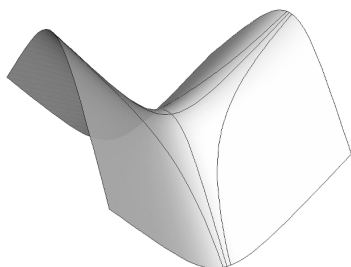


## ZMC entire graphs

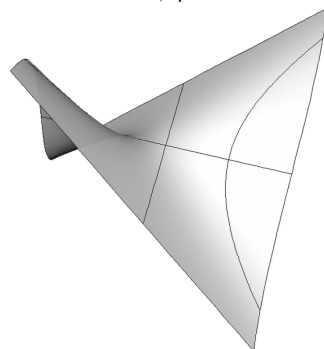
$$g = z^{n-1}, \quad \eta = \frac{i}{z^{2n} - 2 \cos(n\varphi)z^n + 1} dz \quad (0 < \varphi \leq \pi/(2n)).$$

$$n = 2, \varphi = \pi/(2n)$$

$$n = 2, \varphi = 0$$



$$t = \log(\cosh x / \cosh y)$$



$$t = x \tanh y$$

These surfaces were first found by O. Kobayashi (1983).

## Kobayashi surfaces

### Lemma

Let  $0 = \alpha_0 \leq \alpha_1 \leq \dots \leq \alpha_{2n-1}$  be  $2n$  real numbers ( $n \geq 2$ ).

We set  $M = (\mathbb{C} \cup \{\infty\}) \setminus \{e^{i\alpha_0}, \dots, e^{i\alpha_{2n-1}}\}$ , and

$$g = z^{n-1}, \quad \eta = i \frac{e^{i(\alpha_0 + \dots + \alpha_{2n-1})/2}}{\prod_{j=0}^{2n-1} (z - e^{i\alpha_j})} dz.$$

$\implies$  The maxface  $f : M \rightarrow \mathbb{L}^3$  with the above W-data is **well-defined** on  $M$ , and the singular set  $S(f) = \{z \in M; |z| = 1\}$  consists of (non-deg.) **fold singularities**.

### Definition

We call a maxface given in this lemma an **order  $n$  Kobayashi surface** (of principal type), and  $(\alpha_0, \dots, \alpha_{2n-1})$  the **angle data** of  $f$ .

## Kobayashi surfaces

### Theorem (FKKRUY, 2016)

Let  $f : M \rightarrow \mathbb{L}^3$  be an order  $n$  Kobayashi surface with the angle data  $(\alpha_0, \dots, \alpha_{2n-1})$ , and  $\tilde{f} : M \rightarrow \mathbb{L}^3$  its analytic extension.

We set  $\alpha_{2n} = 2\pi$ .

$\implies$

- ① If  $|\alpha_j - \alpha_{j+1}| < 2\pi/(n-1)$  ( $j = 0, \dots, 2n-1$ ) hold and that  $\alpha_0, \dots, \alpha_{2n-1}$  are distinct, then  $\tilde{f}$  is a **proper immersion**.
- ② If  $|\alpha_j - \alpha_{j+1}| < \pi/(n-1)$  ( $j = 0, \dots, 2n-1$ ) hold and that  $\alpha_0, \dots, \alpha_{2n-1}$  are distinct, then  $\tilde{f}$  gives an **entire graph**.
- ③ When  $n = 2$ ,  $\tilde{f}$  is a **properly embedded**.

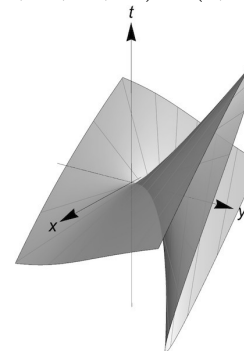
### Problem

What is the condition for  $\tilde{f}$  to be **properly embedded**?

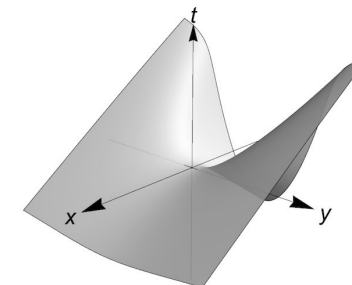
## Examples (n=2)

$$g = z, \quad \eta = i \frac{e^{i(\alpha_0 + \alpha_1 + \alpha_2 + \alpha_3)/2}}{\prod_{j=0}^3 (z - e^{i\alpha_j})} dz.$$

$$(\alpha_0, \alpha_1, \alpha_2, \alpha_3) = (0, 0, 0, 0) \quad (\alpha_0, \alpha_1, \alpha_2, \alpha_3) = (0, 0, 0, \pi)$$



$$\eta = \frac{i}{(z-1)^4} dz$$



$$\eta = \frac{-1}{(z-1)^3(z+1)} dz$$

## Relationship to fluid mechanics [FKKRSUYY]

Consider a 2-dim flow with velocity vector field  $\mathbf{v} = (u, v)$ , density  $\rho$ , pressure  $p$ .

Suppose the following conditions for the flow:

- (1) **barotropic**. i.e.  $p$  depends only on  $\rho$ .  
 $c := \sqrt{dp/d\rho}$  is called the **local speed of sound**.
- (2) **steady**. i.e.  $\mathbf{v}$ ,  $\rho$ ,  $p$  do not depend on time.
- (3) no external forces.
- (4) **irrotational**. i.e.  $\text{rot}\mathbf{v} = v_x - u_y = 0$ .

By (2), the **equation of continuity** is reduced to

$$\text{div}(\rho\mathbf{v}) = (\rho u)_x + (\rho v)_y = 0.$$

Hence  $\exists\psi = \psi(x, y)$  s.t.

$$\psi_x = -\rho v, \quad \psi_y = \rho u,$$

which is called the **stream function** of the flow.

## Relationship to fluid mechanics [FKKRSUYY]

The stream function  $\psi$  satisfies the following equation:

$$(\rho^2 c^2 - \psi_y^2)\psi_{xx} + 2\psi_x\psi_y\psi_{xy} + (\rho^2 c^2 - \psi_x^2)\psi_{yy} = 0.$$

When  $\rho c = 1$ , this equation coincides with the ZMC equation (★).

Suppose now  $\rho c = 1$ .

$\implies \exists\rho_0$  a positive constant s.t.

$$p = \text{const.} - \rho^{-1},$$

$$\rho = \rho_0|1 - \psi_x^2 - \psi_y^2|^{1/2}, \quad c = 1/\rho = \rho_0^{-1}|1 - \psi_x^2 - \psi_y^2|^{-1/2}.$$

Also,  $\mathbf{v} = \rho^{-1}(\psi_y, -\psi_x)$ .

### Lemma

$$|\mathbf{v}| > c \text{ (resp. } |\mathbf{v}| < c) \iff 1 - \psi_x^2 - \psi_y^2 < 0 \text{ (resp. } 1 - \psi_x^2 - \psi_y^2 > 0).$$

## Relationship to fluid mechanics [FKKRSUYY]

### Theorem




$\sigma(t) = (x(t), y(t)) \in \mathbb{R}^2$  a locally convex curve ( $t$  an arc-length).

$\implies \exists\psi = \psi(x, y)$  s.t.  $(x, y, \psi(x, y))$  is a zero mean curvature surface which change type across the non-degenerate null curve  $(x(t), y(t), t)$ . i.e.  $\psi$  is the stream function of some flow with  $\rho c = 1$ .



Moreover, the velocity vector field  $\mathbf{v} = \rho^{-1}(\psi_y, -\psi_x)$  of this flow satisfies:

- $|\mathbf{v}| \rightarrow \infty$  as  $(x, y)$  approaches  $\sigma(t)$ .
- The flow changes from being subsonic to being supersonic across  $\sigma$ .
- $\sigma''(t)$  points to the supersonic region.

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Thank you

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