

# Yang–Mills for mathematicians

## Infosys-ICTS Ramanujan Lectures: Lecture 1

Sourav Chatterjee

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- ▶ There will be reading references at the end, if you want to seriously learn about it.
- ▶ Physicists are generally familiar with most of what I'm going to say, but mathematicians are not. This talk is for mathematicians.

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- ▶ **What is a QFT?** — This is an open question, not only in mathematics, but also in physics.
- ▶ Remarkably, physicists can **calculate and make surprisingly accurate predictions** using QFTs, without really understanding what these objects are!
- ▶ The mathematical construction of quantum field theories — more specifically Yang–Mills theories — is one of the seven **millennium problems** posed by the Clay Institute.

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  - ▶ To a different observer, who is using a coordinate system obtained by the action of  $(a, \Lambda)$  on the coordinate system of the first observer, the state appears as  $U(a, \Lambda)\psi$ .

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- ▶  $H$  is called the Hamiltonian.
- ▶ If a different observer was at  $(x, y, z)$  at time 0, and moves with constant velocity  $\mathbf{v}$  for time  $t$ , he will observe the system to be in state  $U((-t, x, y, z), \Lambda)\psi$ , where  $\Lambda$  is a restricted Lorentz transformation depending on  $\mathbf{v}$ .

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- ▶ The field  $\varphi$  is used for calculating probabilities of events and expected values of various observables. In fact, it becomes the central object of interest in the study of the system.



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- ▶ They include some additional conditions (such as 'locality') that must be satisfied by  $\mathcal{H}$ ,  $U$  and  $\varphi$ , and some assumptions about the existence and properties of a unique **vacuum state**  $\Omega \in \mathcal{H}$  of our system. (This the lowest eigenstate of  $H$ .)

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- ▶ It has been possible to construct certain simple QFTs, known as **free fields**, which satisfy the Wightman axioms.
- ▶ Free fields describe **trivial** systems of particles that **do not interact with each other**.
- ▶ *Unfortunately, no one has been able to rigorously construct a nontrivial (interacting) QFT satisfying the Wightman axioms.*

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- ▶ So it is not clear how one can justify such a perturbative expansion. *In fact, in most cases it is not clear what the new Hilbert space is!*
- ▶ And yet, in many cases, these calculations yield results that match experiments to remarkable degrees of accuracy.

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- ▶ The program, initiated in the 60s, was successful in constructing nontrivial QFTs when the dimension of spacetime was reduced from 4 to 2 or 3 — but not yet in dimension 4.
- ▶ The most notable achievements were the constructions of  $\varphi_2^4$  and  $\varphi_3^4$  theories (in spacetime dimensions 2 and 3, respectively).

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- ▶ To venture into the real world, one has to consider 4D Yang–Mills theories.

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- ▶ However, the investigation was inconclusive and the question is still considered to be open.
- ▶ Even the **first step in the probabilistic approach**, namely, the construction of a random field, remains open. We will now talk about that.

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- ▶ These have not yet been constructed in spacetime dimensions 3 and 4.
- ▶ Euclidean Yang–Mills theories are **supposed to be scaling limits of lattice gauge theories**, which are well-defined discrete probabilistic objects, which I will now discuss.

# Lattice gauge theories

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- ▶ The **Wilson action** of  $U$  is defined as

$$S_W(U) := \sum_{p \in P(\Lambda)} \operatorname{Re}(\operatorname{Tr}(I - U_p)).$$

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- ▶ This probability measure is called the lattice gauge theory on  $\Lambda$  for the gauge group  $G$ , with inverse coupling strength  $\beta$ .
- ▶ An **infinite volume limit** of the theory is a weak limit of the above probability measures as  $\Lambda \uparrow \mathbb{Z}^d$  (may not be unique).

# Open problem #1: Yang–Mills existence

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- ▶ Even if one is able to somehow obtain a scaling limit, it is important to prove that it is nontrivial — meaning that it is a non-Gaussian field (on whatever space it's defined on).
- ▶ Finally, one has to construct the actual QFT using this field, via the Osterwalder–Schrader axioms or otherwise.

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- ▶ However, the problem is still considered to be open in dimensions 3 and 4.
- ▶ Hairer's theory of regularity structures recently allowed a different construction of  $\varphi_3^4$  theory via stochastic quantization. Ongoing work by Hairer and collaborators to extend this approach to 3D Yang–Mills theories.

## Open problem #2: Mass gap

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- ▶ Various Yang–Mills theories — such as 4D Yang–Mills theory with gauge group  $SU(3)$  — are supposed to have mass gaps.
- ▶ **The first step to showing this is to show that the corresponding lattice gauge theories have exponential decay of correlations at large  $\beta$ .**

# Mass gap: Mathematical literature

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- ▶ A rare example where this has been proved recently: The loop  $O(N)$  model, a toy version of the  $O(N)$  model, by Duminil-Copin et al.

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- ▶ Quarks are elementary particles that bind together to form protons, neutrons, etc.
- ▶ Quarks are always bound, and never occur freely in nature. This is known as quark confinement or color confinement.
- ▶ Wilson argued that this phenomenon occurs due to a mathematical feature of Yang–Mills theories, that is now called **Wilson's area law**.

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- ▶ Showing for rectangles is good enough.

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- ▶ Proof at large  $\beta$  for 3D  $U(1)$  theory by Göpfert and Mack (1982).
- ▶ Disproof at large  $\beta$  for 4D  $U(1)$  theory by Guth (1980) and Fröhlich and Spencer (1982). *Therefore in 4D at large  $\beta$ , it is crucial that the gauge group is non-Abelian.*

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- ▶ Duality means that any calculation in one theory corresponds to some calculation in the other theory.
- ▶ Maldacena's discovery is known as **AdS-CFT duality** or **gauge-string duality** or **gauge-gravity duality**.

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- ▶ Tremendous activity in physics, but almost nothing on the mathematical side. Possibly because the relevant QFTs are not mathematically well-defined.
- ▶ In 2015, I proved such a result for lattice gauge theories at small  $\beta$  — probably the first mathematical theorem in this area. This will be the topic of the next talk.

# Open problem #4: Gauge-string duality

- ▶ To establish gauge-string duality for YM theories, one can, for example, try to show that expected values of Wilson loop variables are expressible as integrals over trajectories of strings in a string theory.
- ▶ Tremendous activity in physics, but almost nothing on the mathematical side. Possibly because the relevant QFTs are not mathematically well-defined.
- ▶ In 2015, I proved such a result for lattice gauge theories at small  $\beta$  — probably the first mathematical theorem in this area. This will be the topic of the next talk.
- ▶ However, this is a discrete result. It is an open problem to prove such a theorem when  $\beta$  is large. We need to consider large  $\beta$  for passing to the continuum limit.

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- ▶ Further references in the next talk.